

Division of Polymer Chemistry, Inc.

American Chemical Society

Organic/Inorganic Polymer Systems

An Interdisciplinary Workshop

February 12-15, 1995

Inn @ Napa Valley--Napa, California

Workshop organizers: Richard M. Laine

**Departments of Materials and Engineering, and Chemistry
The University of Michigan
(313) 764-6203, FAX 763-4788**

Bruce Novak

**Department of Polymer Science and Engineering
University of Massachusetts at Amherst
Room 701, Lederle Graduate Res. Center
(413) 545-2160, FAX 413-545-0764**

With special thanks to:

**Diane M. Morrill, Business Manager
Division of Polymer Chemistry
1103 Hahn Hall
Virginia Polytechnic Institute
Blacksburg, VA 24061-0212
Phone: (703) 231-3029, FAX: 231-8517**

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The Program

Sunday, February 12

4:00-8:00 pm

Registration

8:00-10:00 pm

Reception

Monday, February 13

8:30-8:45 am Introductory Remarks by **Rick Laine**, University of Michigan, Ann Arbor, MI and **Bruce Novak**, University of Massachusetts, Amherst, MA

Sol-Gel Processing--Multifunctional Materials

- 8:45-9:35 **Clement Sanchez** Hybrid Inorganic/Organic Systems with Electrochromic, Photochromic.. Properties
- 9:35-10:25 **Bruce Dunn** Optical Applications of Inorganic/Organic Hybrids
- 10:25-10:45 *Break*
- 10:45-11:35 **Douglas A. Loy** Silsesquioxane Derived Porous Materials
- 11:35-12:25 **Richard W. Pekala** Organic/Inorganic Aerogels: Controlling Structure at the Nanometer Scale
- 12:25-2:00 *Lunch*

Organic/Inorganic Hybrid Polymers.

- 2:00-2:50 **Michael Michalczyk** Star Gels: New Single Component Organic/Inorganic Network Materials
- 2:50-3:10 *Break*
- 3:10-4:00 **Barry Arkles** Commercial Production of Inorganic/Organometallic Hybrids
- 4:00-5:30 **Poster Session** Abstracts:
- Synthesis and Characterization of Carbonate-bridged Polysilsesquioxane Sol-Gels.
- Annealing Effects on Solid State Properties of Transition-Metal Coordination Complexes and Networks Based on Diene Polymers with Palladium Chloride.
- Reactive Blending via Metal-Olefin Coordination in Diene Polymers—Solid State Properties that Support the Concept of a Network Structure.
- Densification Behavior in Organically Modified Silica Systems as a Function of Heat Treatment: A Comparative Study of Bulk Gels and Thin Films Prepared Using Pendant and Bridged Organic Ligands.
- Aluminosilicate-Poly(ethyleneglycol) Copolymer Electrolytes and Vanadium Oxide Oxymethylene Bridged Polyethylene Oxide Nanocomposite Electrode Materials.

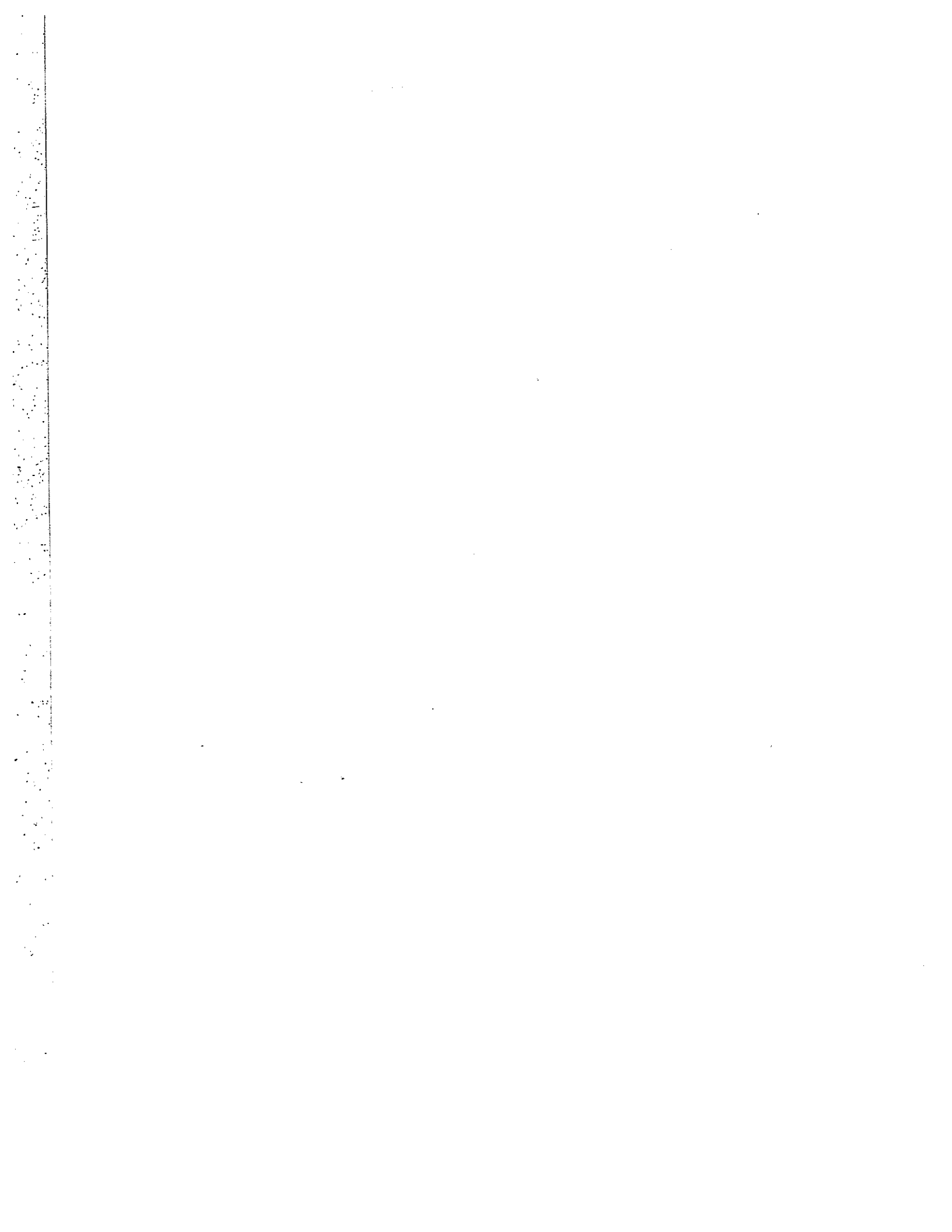
Wednesday, February 15

Composites

8:45-9:35	Paul D. Calvert	Polymer/Inorganic Composites via Biomimetic Processing
9:35-10:25	Emanuelle Giannelis	Design, Synthesis and Properties of Polymer Matrix Nanocomposites
10:25-10:45	Break	
10:45-11:35	Bruce Novak	Mutually Interpenetrating Organic-Inorganic Networks: Synthesis, Structure and Properties
11:35--	Checkout, departure	

Sol-Gel Processing

Clement Sanchez	Molecular Design of Hybrid Organic-Inorganic Nano-composites Made via Sol-Gel Chemistry
Bruce Dunn	Optical Applications of Inorganic/Organic Hybrids
Douglas A. Loy	Silsesquioxane Derived Porous Materials
Richard W. Pekala	Organic/Inorganic Aerogels: Controlling Structure at the Nanometer Scale



MOLECULAR DESIGN OF HYBRID ORGANIC-INORGANIC NANO-COMPOSITES MADE VIA SOL-GEL CHEMISTRY

Clément Sanchez

Laboratoire de Chimie de la Matière Condensée - URA
1466

Université Pierre et Marie Curie
Paris -France

OUTLINE

Introduction

Rudiments of sol-gel chemistry
Classification of hybrids O-I

Molecular design of class II O-I

1- Siloxane based hybrids (Si-C bonding)

NLO (grafted organic chromophores)
Emission (Rare-earth, Nd³⁺, Dy³⁺ etc...)
Redox (V⁴⁺...V⁵⁺), Photochromic (w⁵⁺...w⁶⁺)
Electronic and dielectric (polypyrrole-V⁴⁺...V⁵⁺)

2- Crosslinked Tin-oxo clusters (polymers, difonct-
ligands)
(Sn-C bonding, Sn-C + electrostatic bonding)

3- Transition metal based hybrids

Complexing ligands as particle size controllers
Copolymers zirconium oxo-PMMA

Conclusion

INORGANIC POLYMERIZATION REACTIONS

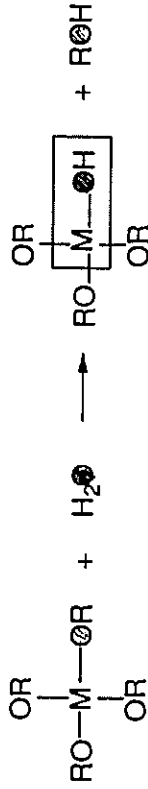
Hydrolysis-Condensation of Metal Alkoxides

Alkoxide : M(OR)_n

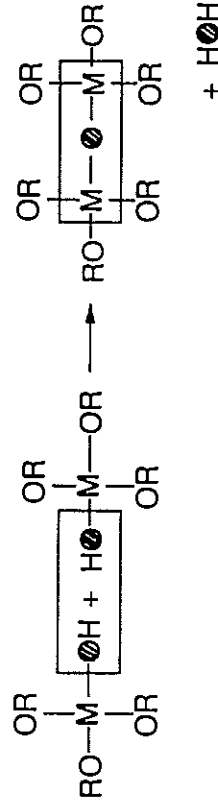
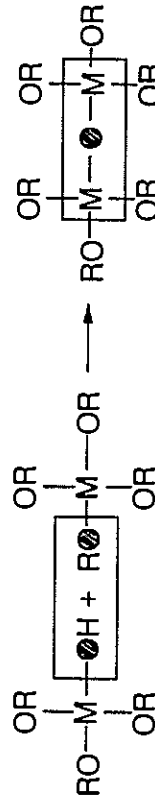
M = Si, Ti, Zr, Al

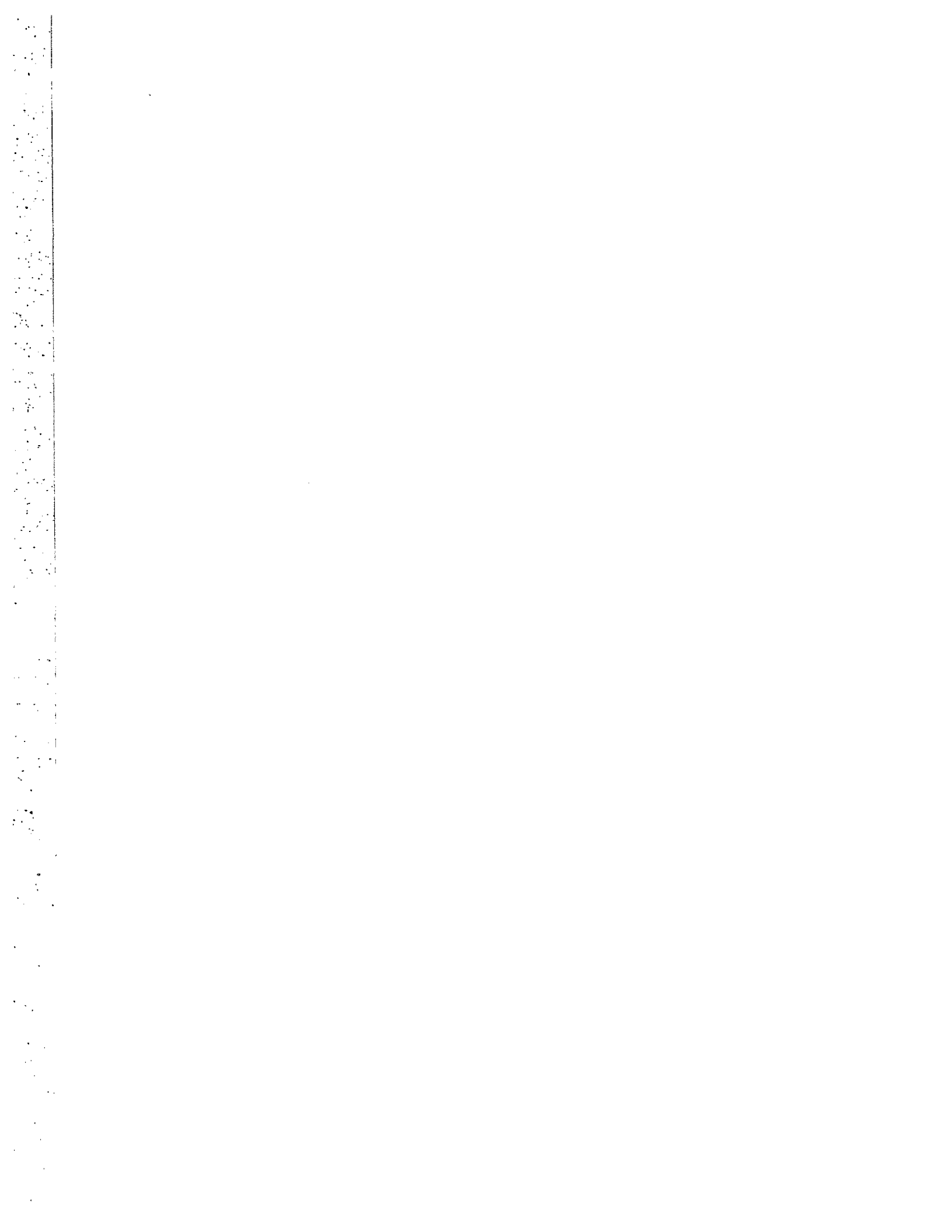
R = -CH₃, -CH₂CH₃

Hydrolysis



Condensation



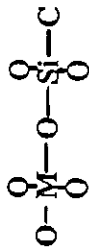


CLASS II HYBRIDS: ORGANIC-INORGANIC COMPONENTS ARE CHEMICALLY BONDED

1- Silanes et stananes



2- Transition metals: bonding via silicium



3- Transition metals (M= Ti^{IV}, Zr^{IV}, Ce^{IV}, V^V, W^{VI}..)



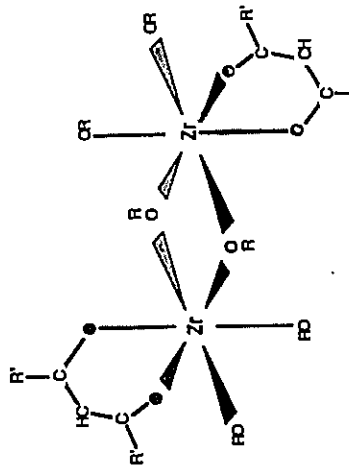
CHEMICAL MODIFICATION OF THE TRANSITION METAL ALKOXIDE



M-OX is a new molecular precursor

OX = Strong complexing ligands

(β-dicétones, α-hydroxy acids and derivatives)



1-Siloxane based hybrids
(Si-C bonding)

→ General examples (alkoxysilanes + metal alkoxides)

Copolymers or nanocomposites ?

Specific examples of hybrid materials:

NLO (grafted organic chromophores)

Emission (Rare-earth, Nd³⁺, Dy³⁺ etc..)

Redox (V⁴⁺...V⁵⁺), Photochromic (W⁵⁺...W⁶⁺)

Electronic and dielectric (polypyrrolle-V⁴⁺...V⁵⁺)

HYBRID ORGANIC-INORGANIC MATERIALS

1- ORGANIC AND INORGANIC CHEMISTRY CAN BE COUPLED VIA THE SOL-GEL PROCESS

Room temperature synthesis and Organic solvents
Metallo-organic precursors:

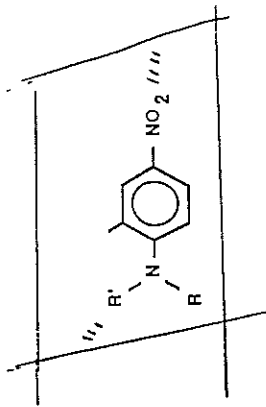
- Metal alkoxides
- Alkoxysilanes
- Complexed alkoxides

2- HYBRID ORGANIC-INORGANIC CAN BE CONVENIENTLY DIVIDED IN

THREE CLASSES

CLASS I

Embedded

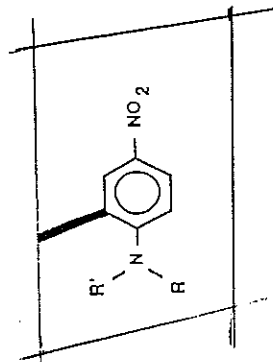


No covalent or ionocovalent chemical bonds

Vd W, H.B, or electrostatic interactions

CLASS II

Grafted



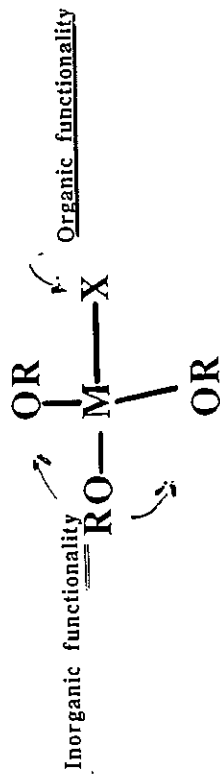
Chemically bonded

Strong interactions

CLASS III

MOLECULAR DESIGN OF CLASS II HYBRIDS

The molecular precursor carry both functionalities



X is "not" hydrolyzable

X is a molecule

NETWORK MODIFIER

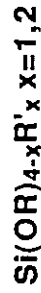
X is polymerizable

NETWORK FORMER

HYBRID ORGANIC-INORGANIC FILMS FOR OPTICS

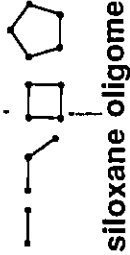
Siloxane chain former Metal-oxo crosslinkers

Alkoxysilanes



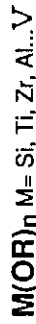
R' = CH₃, Phenyl, H...

H₂O (catalyst?)



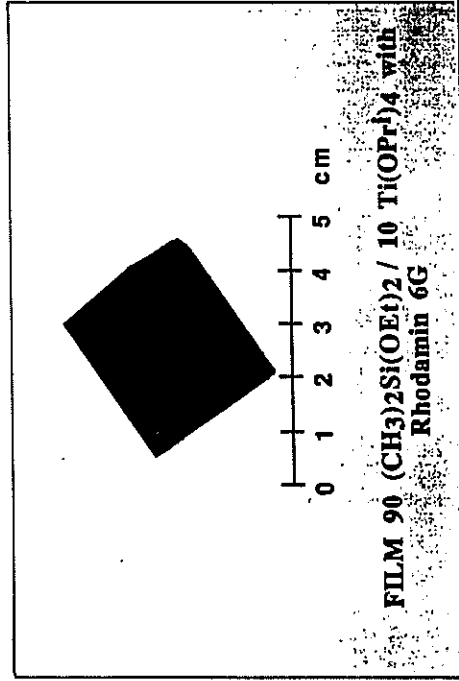
siloxane oligomers

Metal alkoxides



Sol

Thick Coatings (1-30 μm)



(S. Diré, F. Babonneau, C. Sanchez, J. Livage, J. Mater. Chem., 2, 239, 1992)

ALKOXY-SILANES AS NETWORK MODIFIERS

FUNCTIONALITY

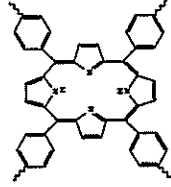
$(\text{OR})_2\text{Si}(\text{R})_2$ 2D networks (Chain former)

$(\text{OR})_3\text{Si}-\text{R}$ 3D networks

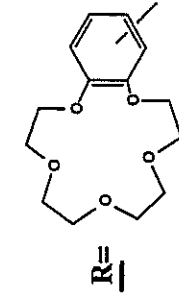
R = CH₃, Phenyl,

mechanical, hydrophobic
refractive index
hydrophobic

R = CF₂-CF₂-CF₃

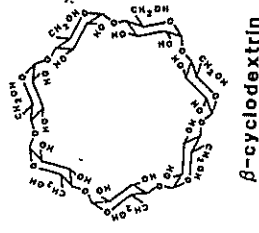


R = catalyst

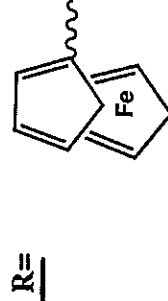


R =

Selective membrane

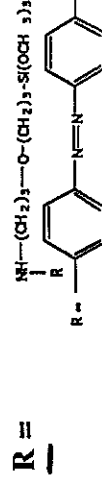


β-cyclodextrin



R =

Electrochemical sensor



R =

NLO

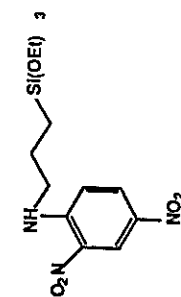
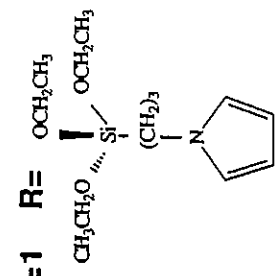
SOME PROPERTIES OF SILOXANE-OXIDE MATRICES

**1-Siloxane based hybrids
(Si-C bonding)**



FILMS

- General examples (alkoxysilanes + metal alkoxides)
- Copolymers or nanocomposites ?
- Specific examples of hybrid materials:
 - NLO (grafted organic chromophores)
 - Emission (Rare-earth, Nd^{3+} , Dy^{3+} etc..)
 - Redox (V^{4+} ... V^{5+}), Photochromic (W^{5+} ... W^{6+})
 - Electronic and dielectric (polypyrrolle- V^{4+} ... V^{5+})

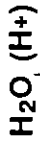
$x=2$ R= CH_3	M= Si, Zr
$\rightarrow x=2$ R= CH_3, H	M= Zr + Ln (III)
$x=2$ R= CH_3	M= W
$x=2$ R= CH_3	M= V
$\rightarrow x=1$ R=	M= Si, Zr
	Luminescence Photochromic (organic dyes)
$x=1$ R=	M= Si, Zr, V
	electronic
$x=1$ Ferrocene	M = Si, Zr
	Electrochemistry

Siloxane-oxide based coatings

Hydrolysis and co-condensation of

Alkoxysilanes

Siloxane polymer former



Coatings

Metal alkoxides

Crosslinking reagents
(catalyst)



→ CONTROL THE EXTENSION OF THE PHASE SEPARATION
between siloxane species and metal-oxo species

→ Nature of the metal M
Nature of the R and R' groups
pH, Water content, time and sequence of mixing



→ ABILITY TO FORM STABLE

KINETICALLY STABLE BONDS

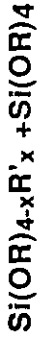
AGING TIME before film deposition
(by pass important ϕ separation)

COPOLYMERS OR NANOCOMPOSITES

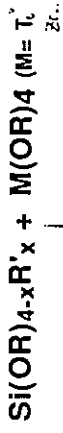
General Trend (29Si, 17O NMR)

hydrolysis and condensation of Alkoxysilanes and metal alkoxides

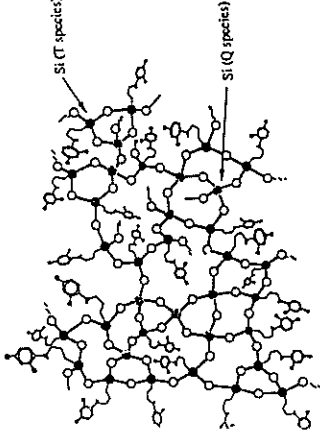
(F. Babonneau et al., MRS Proceedings, 346, 949, 1994)



COPOLYMERS (SEQUENCING)



NANO-COMPOSITES



The control of the extend of the phase separation
is important for many properties

Mechanical properties, Transparency, Porosity, Hydrophobicity
Residual solvent and OH groups
Specific ACTIVE property (optical, electronic.)

1-Siloxane based hybrids (Si-C bonding)

General examples (alkoxysilanes + metal alkoxides)

Copolymers or nanocomposites ?

→ Specific examples of hybrid materials:

→ NLO (grafted organic chromophores)

Emission (Rare-earth, Nd³⁺, Dy³⁺ etc..)

Redox (V⁴⁺...V⁵⁺), Photochromic (W⁵⁺...W⁶⁺)

Electronic and dielectric (polypyrrrole-V⁴⁺...V⁵⁺)

NLO PROPERTIES

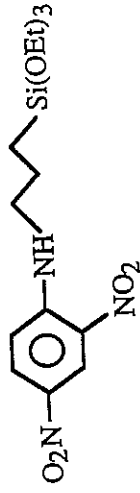
Induced polarisation

$$P = P_0 + \chi^{(1)} \cdot E + \chi^{(2)} \cdot E \cdot E + \chi^{(3)} \cdot E \cdot E \cdot E + \dots$$

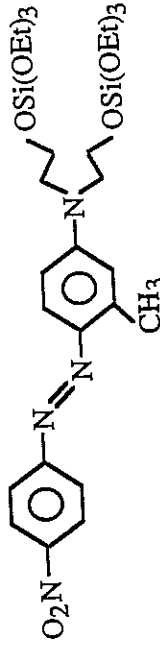
$\chi^{(2)}$ vanishes in centrosymmetric systems

ORGANIC NLO CHROMOPHORES GRAFTED TO HYBRID SOL-GEL MATRICES

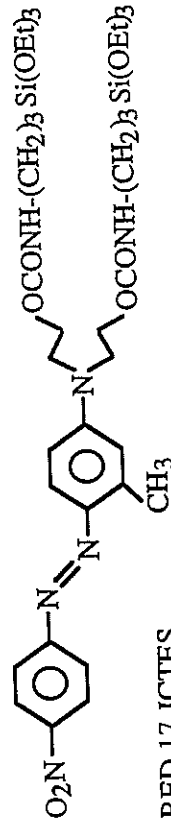
TSDP



RED 17-TES



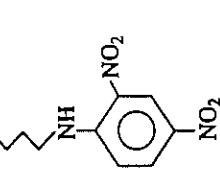
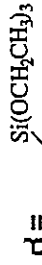
RED 17-ICTES



(E. TOUSSAIRE, J. ZYSS, P. GRIESMAR, C.SANCHEZ), Non Linear Optics, 1991, 3.
(B. LEHEAU, J. MAQUET, C.SANCHEZ, E. TOUSSAIRE, R. HIERLE, J. ZYSS), J. Mater Chem, 1994
(B. LEHEAU, C. GUERNEUR, C.SANCHEZ), J. Mater Ceramics Through Chemistry VI, 1994

NLO PROPERTIES OF HYBRID SILOXANE-OXIDES

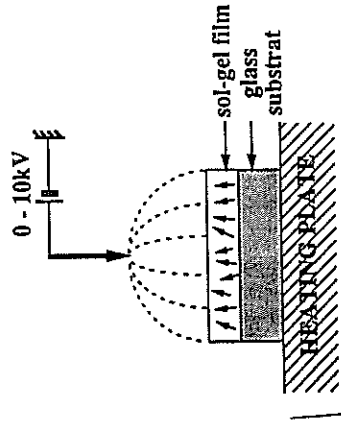
Hydrolysis and co-condensation of



FILMS

Thickness = 2 μm
Refractive index = 1.62

ORIENTATION by CORONA POLING



SHG = 2-10 pm/V

(E. TOUSSAERE, J. ZYSS, P. GRIESMAR, C.SANCHEZ), Non Linear Optics, 1991, 3.
(B. LEBEAU, J. MAQUET, C.SANCHEZ, E. TOUSSAERE, R. HIERLE, J. ZYSS), J. Mater Chem, 1994, 4, 1155, (1994)

HYBRID SILOXANE- SILICA COPOLYMERS

Hydrolysis and co-condensation of



T units

$\text{H}_2\text{O}(\text{H}^+)$

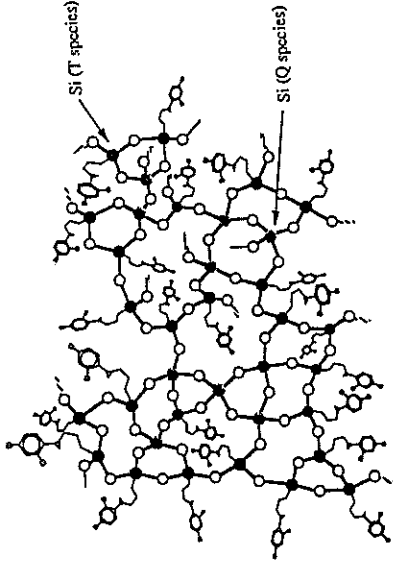
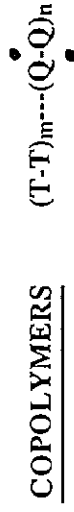


Q units

Compatibility between tetrahedral coordinences of T and Q units

Relatively stable Si-O-Si bonds (hydrolysis ratio)

Control the cocodensation (^{17}O NMR)



Liquid and solid ^{13}C , ^{29}Si NMR, SAXS, DSC, EXAFS

**POLING OF ORGANIC MOLECULES
CHEMICALLY BONDED TO HYBRID GELS**

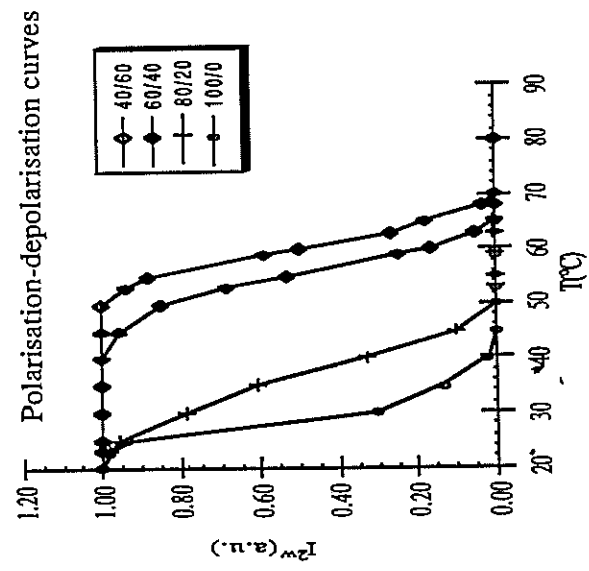
(B.LEBEAU, J. MAQUET, C.SANCHEZ, E. TOUSSAERE, R. HIERLE, J. ZYSS)
[J. Mater Chem, 1994]

Second strategy → hydrolysis TSDP with H⁺

NLO dye TSDP (100-20%)
Crosslinker Si(OMe)₄ (0-80%)

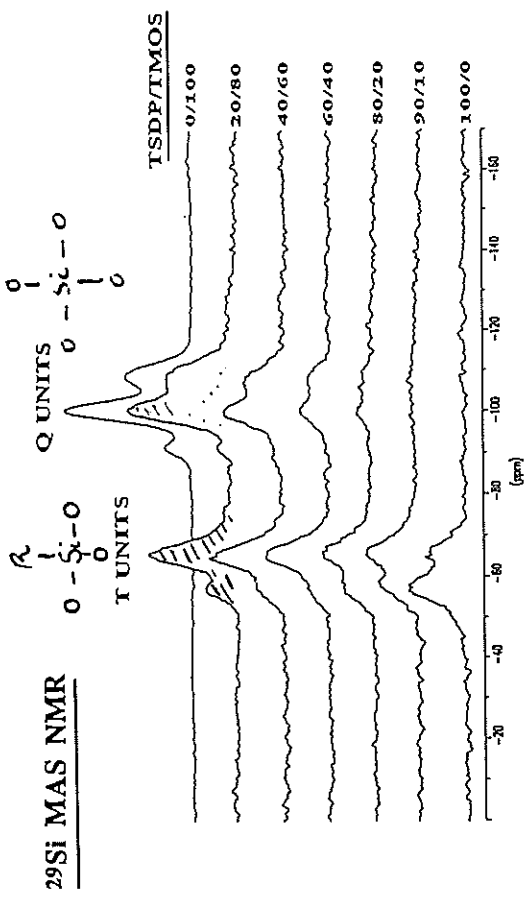
Spin coated films
Thickness = 3-4 μm
Refractive index = 1.6-1.65

Higher SHG response (10 pm/V) and less relaxation

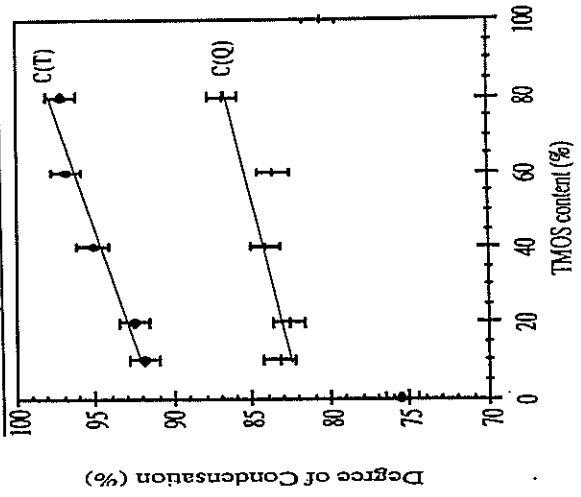


Transition temperature increases with the crosslinker content (TMOS)

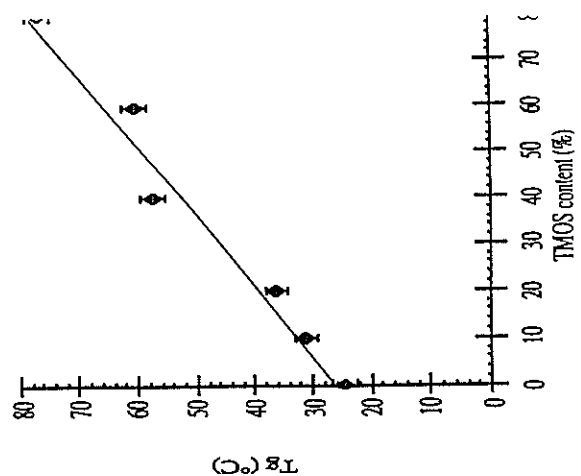
RIGIDITY OF HYBRID SOL-GEL MATRICES (T+Q)



Mean degree of condensation

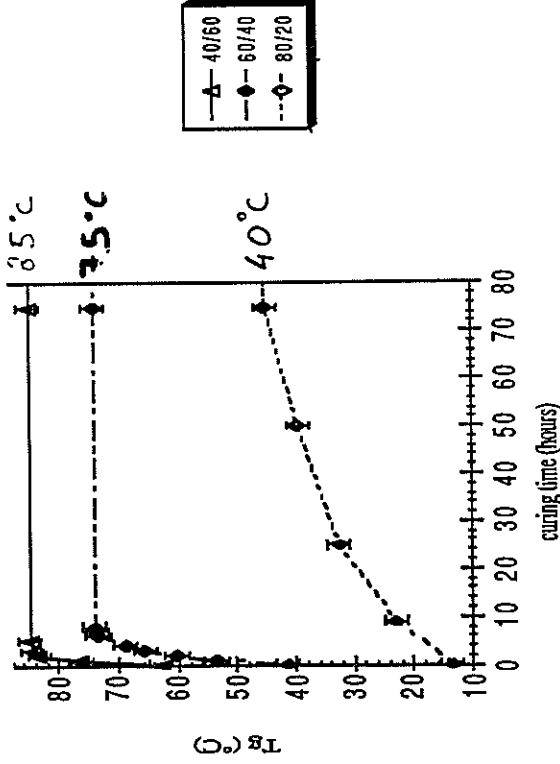


Glass transition temperature T_g



EFFECTS OF CURING

→ Glass Transition Temperature T_g increases upon thermal curing



→ THE TIME TO GET THE PLATEAU DECREASES WITH TMOS CONTENT

STRONG EFFECT OF THE ELECTRICAL FIELD POLING

→ THERMAL CURING UNDER ELECTRICAL FIELD POLING AFFECTS

THE MEAN DEGREE OF CONDENSATION
THE SEQUENCING: $(T-T)_m \sim (Q-Q)^n$

→ THE POLING EFFICIENCY DEPENDS ON :

AGEING TIME OF THE SOL
AGEING OF THE COATING BEFORE POLING
COATING THICKNESS

1-Siloxane based hybrids (Si-C bonding)

General examples (alkoxysilanes + metal alkoxides)

Copolymers or nanocomposites ?

Specific examples of hybrid materials:

NLO (grafted organic chromophores)

→ Emission (Rare-earth, Nd^{3+} , Dy^{3+} etc...)

Redox (V^{4+} ... V^{5+}), Photochromic (W^{5+} ... W^{6+})

Electronic and dielectric (polypyrrole- V^{4+} ... V^{5+})

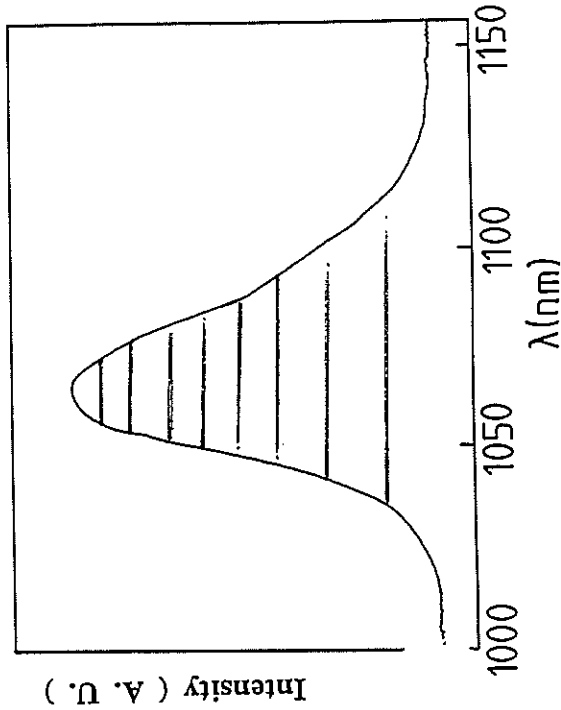
NEODYMIUM DOPED HYBRID ORGANIC-INORGANIC COATINGS WITH LUMINESCENT PROPERTIES

Hydrophobicity of siloxane polymers -----> solvent removal minimise OH (FTIR)

Zirconium oxo crosslinkers
Zr-OH is more acid than Si-OH
Zr accommodate the high coordination required by the rare earth

Emission spectra of Nd³⁺ inside hybrid coatings

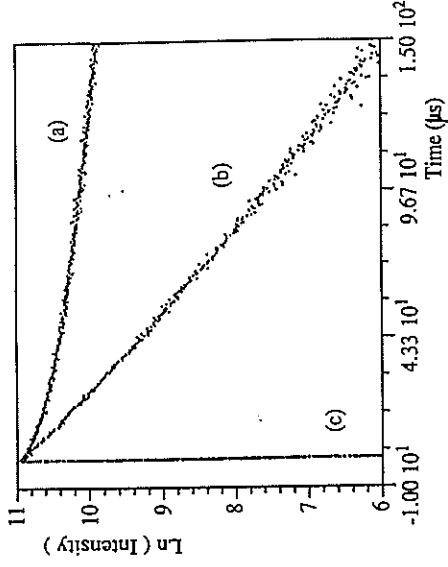
$4F_{3/2} \rightarrow 4I_{11/2}$



Nd concentration from $4 \cdot 10^{18}$ atm/cm³ to $4 \cdot 10^{21}$ atm/cm³

LIFE TIME OF Nd EMISSION INSIDE HYBRID SILOXANE -OXIDE COATINGS

The initial parts of the decays are non exponential



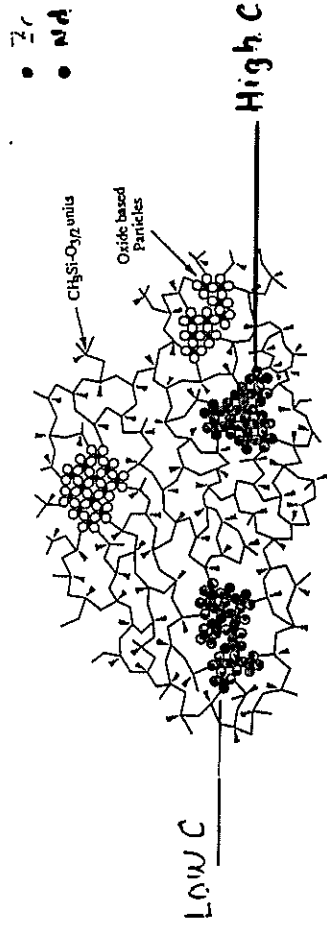
At low Nd³⁺ concentration ($4 \cdot 10^{18}$ atm/cm³) $\tau = 160 \mu s$

Emission is governed by Nd-Nd cross relaxation

At high Nd³⁺ concentration ($4 \cdot 10^{21}$ atm/cm³) $\tau = 200 ns$

Emission is governed by :

by the presence of OH
 by Nd-Nd cross relaxation



**HYBRID ORGANIC-INORGANIC COATINGS CONTAINING
LANTHANIDES**

EMISSION WAS RECORDED FOR MANY OTHERS RARE EARTH

Lanthanides : Ln (III): Ln = Nd, Sm, Gd, Dy, Er, Tm, Lu

Ln(III)	excitation (nm)	Strongest emission Red and NIR	Mean emission (nm)	$\tau(\mu\text{s})$
Nd	798	$4F_{3/2} \rightarrow 4I_{9/2}$	880	0.2-160
Sm	532	$4G_{5/2} \rightarrow 6H_{7/2}$	610	27
Dy	355	$4F_{9/2} \rightarrow 6H_{13/2}$	577	14
Er	355	$4S_{3/2} \rightarrow 4I_{13/2}$	580	13
Tm	785	$4H_{4-} \rightarrow 3H_6$	800	150

References: N. Kostova, B. V. ANNA, C. SANCHEZ J. Mater. Chem. 1(3) 111, (1993)
B. V. ANNA, N. KOSTOVA, P. ASCHENLOVE, C. SANCHEZ
J. Mater. Chem. (in print)

1-Siloxane based hybrids (Si-C bonding)

General examples (alkoxysilanes + metal alkoxides)

Copolymers or nanocomposites ?

Specific examples of hybrid materials:

NLO (grafted organic chromophores)

Emission (Rare-earth, Nd³⁺, Dy³⁺ etc..)

Redox (V⁴⁺...V⁵⁺), Photochromic (W⁵⁺...W⁶⁺)

Electronic and dielectric (polypyrrole-V⁴⁺...V⁵⁺)

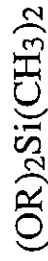


MOLECULAR DESIGN OF SILOXANE-OXIDE HYBRID NETWORKS

(C. Sanchez, E. Alonso, F. Regert, J. J. SST 1994)
2, (1, 2, 3), 161 (1994)

Hydrolysis and co-condensation of Alkoxysilanes
and Metal Alkoxides with redox properties

chain former



+



Crosslinker and catalyst

(H₂O, pH= 1)

or

(H₂O, pH= 7)

SOL

RT DRYING

XEROGEL

Opalescent Green Coatings

**FAST REDUCTION OF V⁵⁺
Acidic**

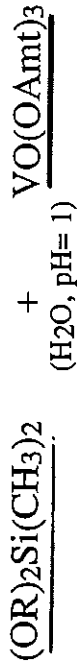
Transparent coatings

**SLOW REDUCTION OF V⁵⁺
neutral**

Reduction of V⁵⁺ into V⁴⁺ by organics (ROH) and light

V⁴⁺ is not commonly found in a tetrahedral oxygen environment

REDOX BEHAVIOR OF SILOXANE-VANADIUM OXIDE HYBRIDS



⁵¹V NMR
²⁹Si NMR

V-O-Si bonds are formed in the liquid state

⁵¹V NMR

Vanadium in tetrahedral coordination
Vanadium in square pyramidal coordination

EPR

Clusters of V⁴⁺ in square pyramidal coordination

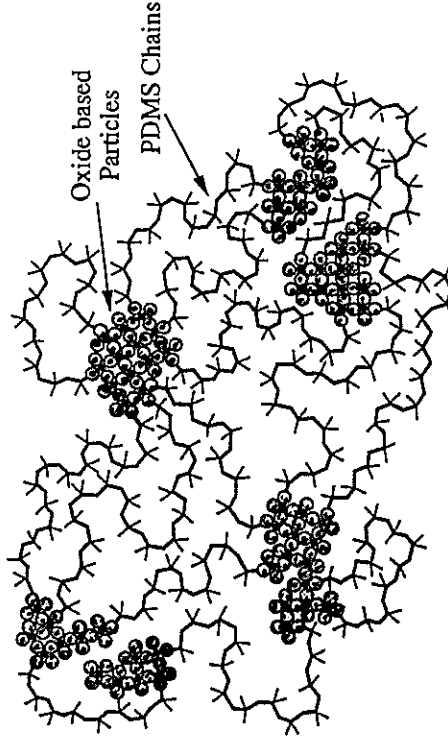
V-O-Si bonds are not stable in acidic media

No efficient sequestering

hydrophilic vanadium oxo-polymers (V-OH) tend to segregate

Vanadium can increase its coordination

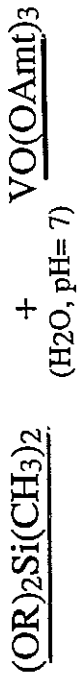
Bad tuning of the hydrophilic/hydrophobic balance



EASY REDUCTION OF V⁵⁺

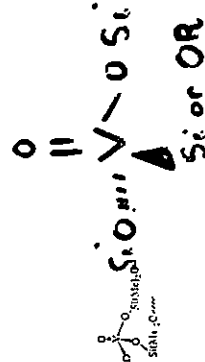
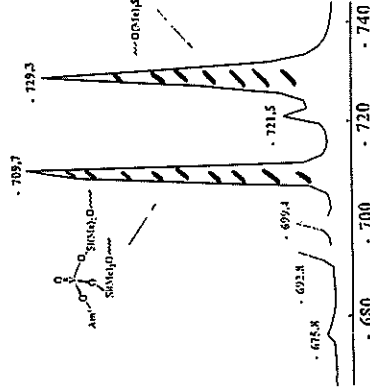
opalescent green coatings

REDOX BEHAVIOR OF SILOXANE-VANADIUM OXIDE HYBRIDS

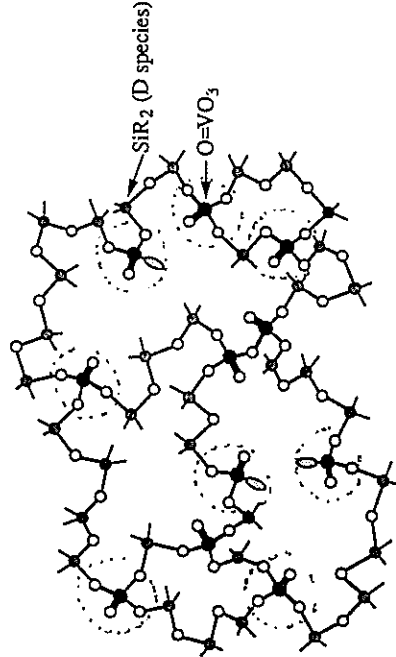


29Si NMR, 51V NMR V-O-Si bonds are formed in the liquid state

51V NMR MAS Spectra of the xerogel

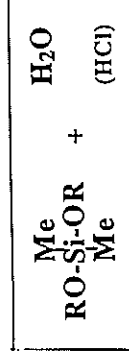


V-O-Si bonds are conserved in the gel
Vanadium is in a tetrahedral coordination
Vanadium is sequestered by siloxane units
Well tuned hydrophilic/hydrophobic balance



DIFFICULT REDUCTION OF V5+ Transparent coatings

PHOTOCHROMIC HYBRID GELS



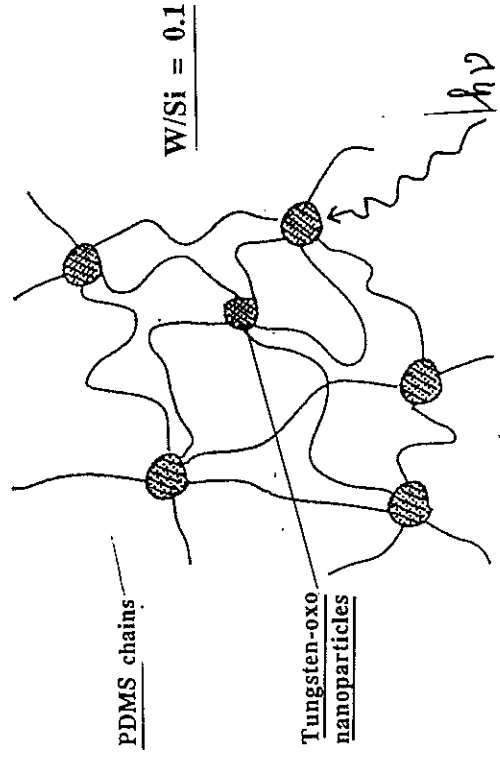
Siloxane oligomers



SOL

FILM (30μm)

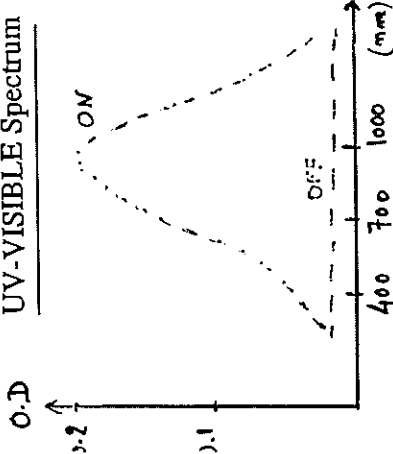
PDMS chains crosslinked with tungsten-oxo nanoparticles



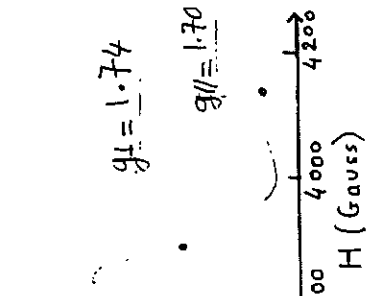
**CHARACTERIZATION OF PHOTOCHROMIC
HYBRID COATINGS**

Upon UV exposure ($\lambda = 365\text{nm}$) coatings are blue in a few minutes

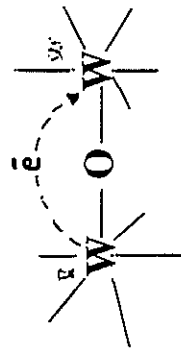
UV-VISIBLE Spectrum



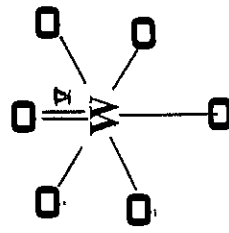
E.P.R. Spectrum (4K)



Intervalence Band Transfert
($\lambda_{\text{max}} = 960\text{nm}$)



W5+ in axial symmetry



Complete bleaching (oxydation of W 5+) occurs in 2 hours

**1-Siloxane based hybrids
(Si-C bonding)**

General examples (alkoxysilanes + metal alkoxides)

Copolymers or nanocomposites ?

Specific examples of hybrid materials:

NLO (grafted organic chromophores)

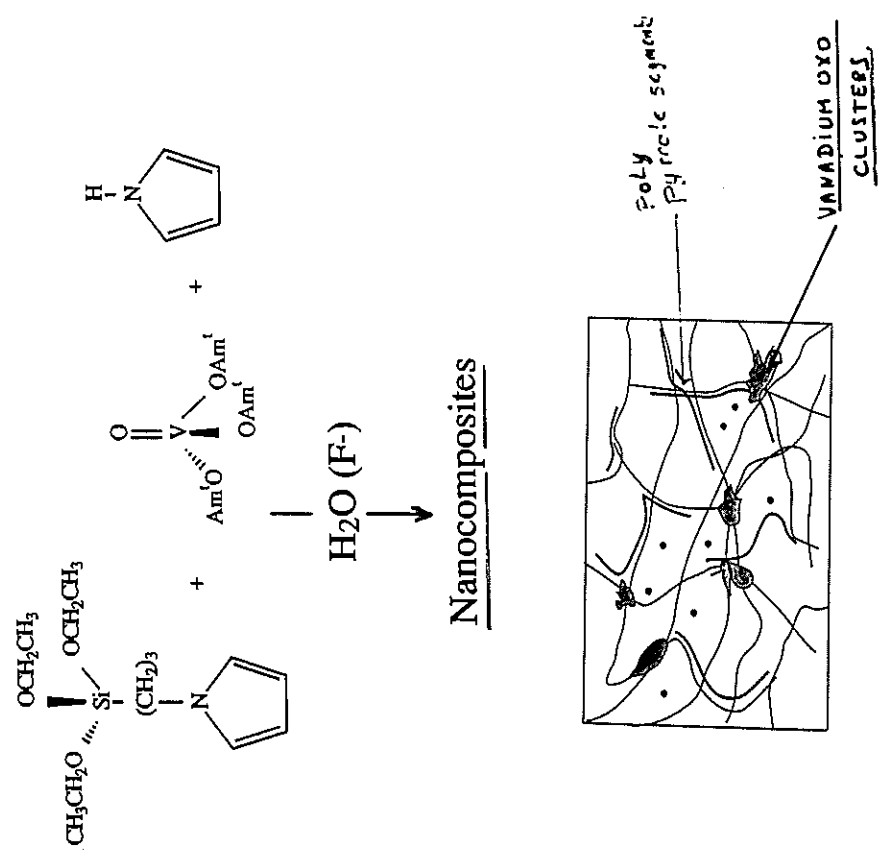
Emission (Rare-earth, Nd³⁺, Dy³⁺ etc..)

Redox (V⁴⁺---V⁵⁺), Photochromic (W⁵⁺---W⁶⁺)

→ Electronic and dielectric (polypyrrole-V⁴⁺---V⁵⁺)

HYBRID MATERIALS WITH ELECTRONIC PROPERTIES

2- Tin-oxo based hybrids (stable Sn-C bonds)
 Tin-oxo-hydroxo clusters crosslinked via:
 dicarboxylic ligands (class III hybrids)
 Polybutenyl chains (class II hybrids)



Conductivity = $10^{-2} - 10^{-4} \Omega^{-1}\text{cm}^{-1}$
 $\epsilon = 5 - 6$

(E. Potiron, J.C Badot, C. Sanchez)

CLASS II HYBRIDS: ORGANIC-INORGANIC COMPONENTS ARE CHEMICALLY BONDED

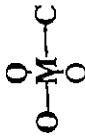
→ 1- Silanes et stananes



2- Transition metals: bonding via silicium



3- Transition metals (M= TiIV, ZrIV, CeIV, VV, WVI..)



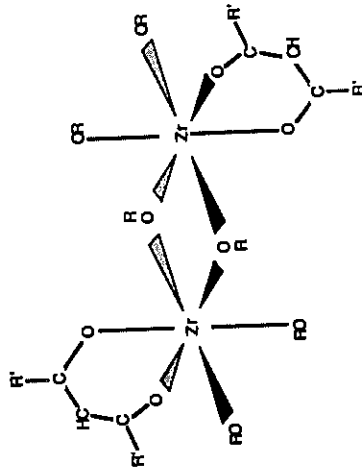
CHEMICAL MODIFICATION OF THE TRANSITION METAL ALKOXIDE



M-OX is a new molecular precursor

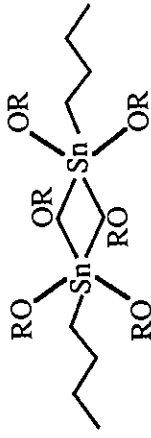
OX = Strong complexing ligands

(β-dicétones, α-hydroxy acids and derivatives)



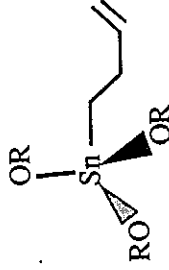
Heterofunctional Tin Alkoxides Precursors

● $\text{CH}_3 - (\text{CH}_2)_3 - \text{Sn}(\text{OPr}^i)_3$: BSP

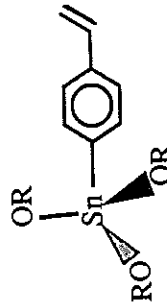


● $\text{CH}_3 - (\text{CH}_2)_3 - \text{Sn}(\text{OAm}^t)_3$: BSA

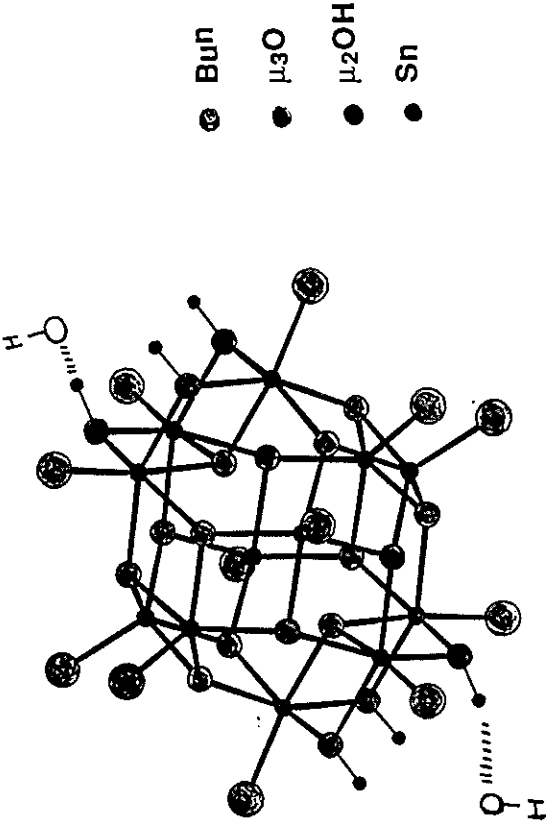
● $\text{CH}_2 = \text{CH} - (\text{CH}_2)_2 - \text{Sn}(\text{OAm}^t)_3$: BySA



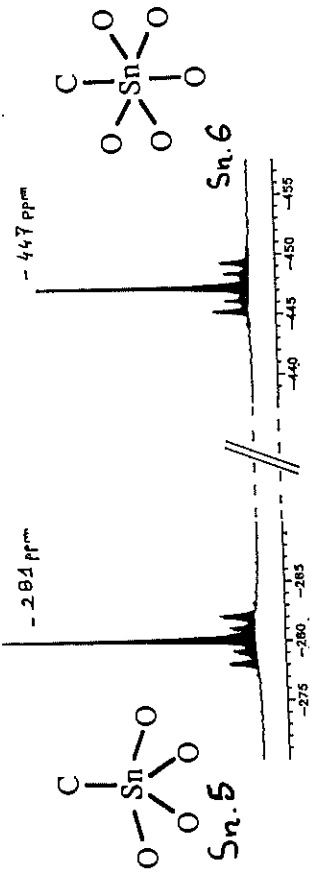
● $\text{CH}_2 = \text{CH} - \text{C}_6\text{H}_4 - \text{Sn}(\text{OAm}^t)_3$: SySA



**HYBRID NETWORKS FROM FUNCTIONALIZED
TIN OXO NANO BUILDING BLOCK**

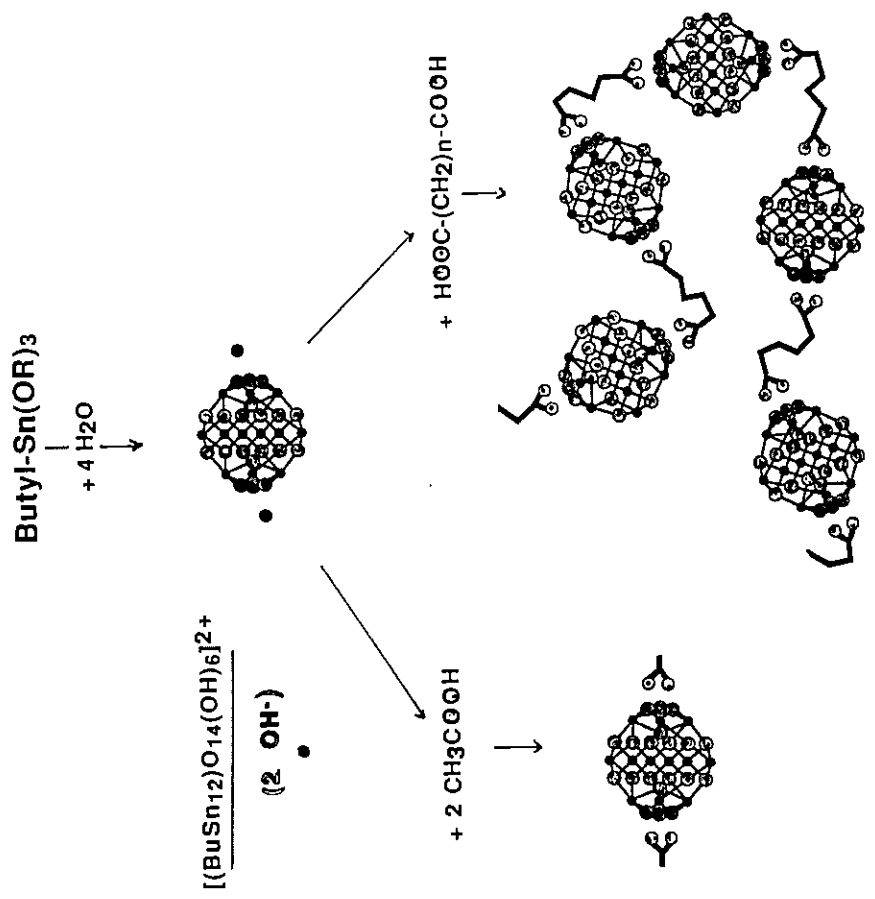


→ ¹¹⁹Sn NMR (liquid and solid): The cluster structure is preserved



F.BANSE, F.RIBOT, C.SANCHEZ, MRS Proceedings, 271, 45, 1992.
F.BANSE, F.RIBOT, J.MAQUET, P.TOLEDANO, C.SANCHEZ, Inorg Chem.

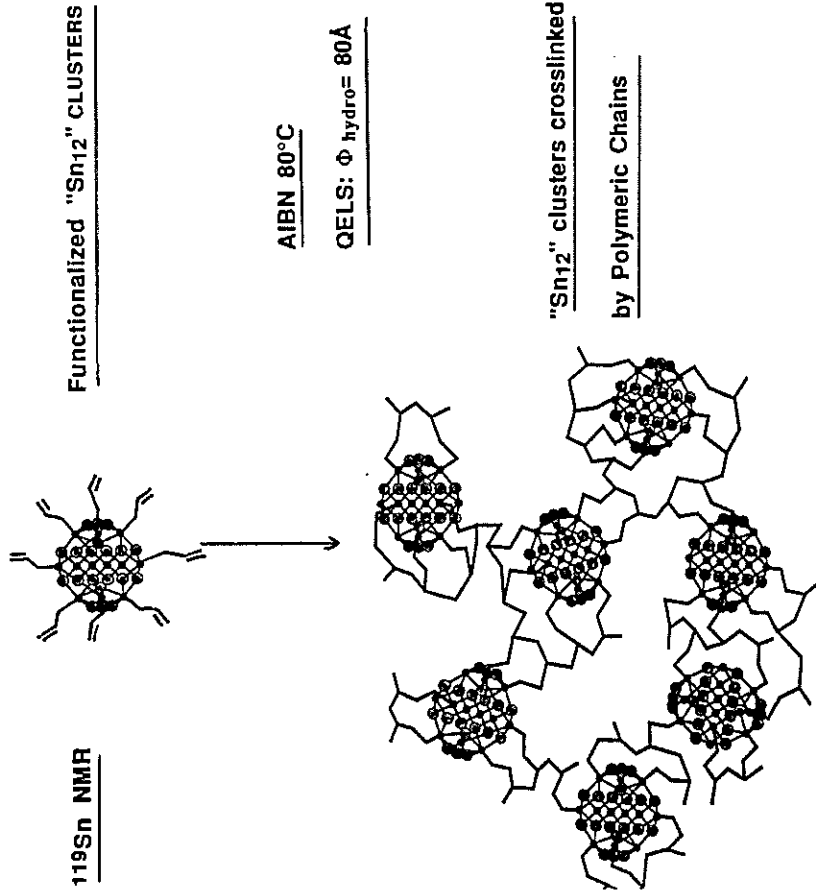
**SUPRAMOLECULAR HYBRID ASSEMBLIES FROM
TIN OXO NANO BUILDING BLOCK**



¹¹⁹Sn NMR (liquid and solid): The cluster structure is preserved
FTIR: ionic carboxylates

F. RIBOT, F. BANSE, F. DITER, C.SANCHEZ, New Journal of chemistry, 1995

**HYBRID NETWORKS FROM FUNCTIONALIZED
TIN OXO NANO BUILDING BLOCK**



¹¹⁹Sn NMR (liquid and solid): The cluster structure is preserved

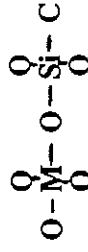
F.RIBOT, F.BANSE, C.SANCHEZ, MRS Proceedings, 346, 121, 1994
F.BANSE, F.RIBOT, C.SANCHEZ, M.LAHICINI, B.JOUSSEAUME, Advanced Materials
J. Sol. Gel Sci Techn., 1995

**CLASS II HYBRIDS: ORGANIC-INORGANIC
COMPONENTS ARE CHEMICALLY BONDED**

1- Silanes et stananes



2- Transition metals: bonding via silicium



3- Transition metals (M= Ti^{IV}, Zr^{IV}, Ce^{IV}, V^V, W^{VI}..)



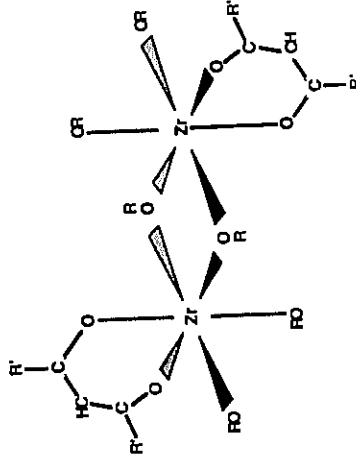
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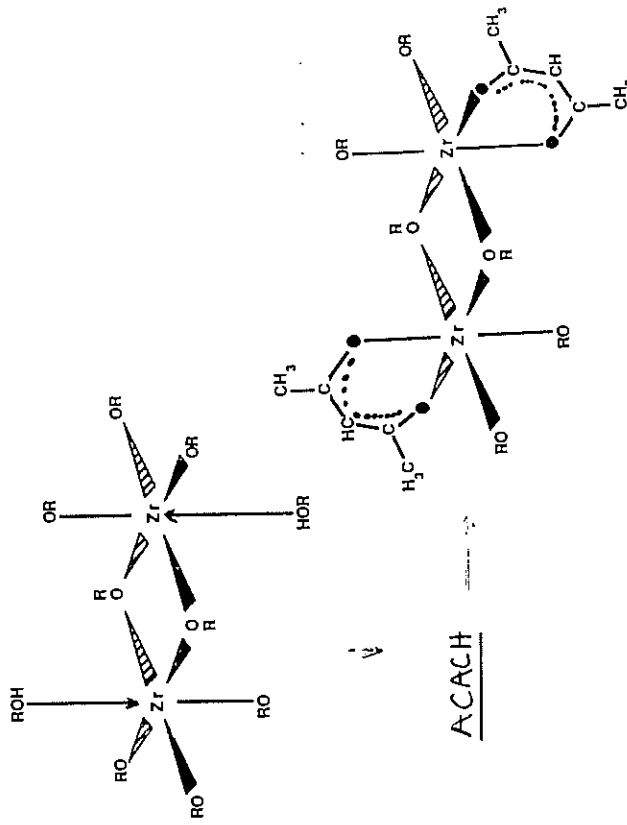
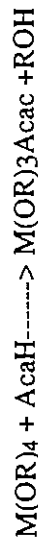
OX = Strong complexing ligands

(β -dicétones, α -hydroxy acids and derivatives)



Complexation of metal alkoxides with β -diketones or allied derivatives

β -diketones are chelating ligands often used to control the reactivity of metal alkoxide



3- Transition metal oxide based organic-inorganic hybrids (class II)

→ - Complexing ligands as particle size controllers
(Complexing ligands as network modifiers)

- Copolymers zirconium oxo-PMMA

(Organically polymerizable Complexing ligands as network formers)

NMR, IR, XANES, EXAFS, X-Ray Diffraction

Ti (A. Leautic, F. Babonneau, J.Livage, Chem Mat, 1,240,1989)

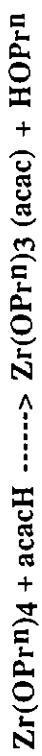
Ti (C.Sanchez, F. Babonneau, S.Docuff, A. Leautic, Mat.Res. Soc. Proc, 121,137, 1988)

Ce (F.Ribot, P.Toledano, C.Sanchez,Chem Mat, 1991)

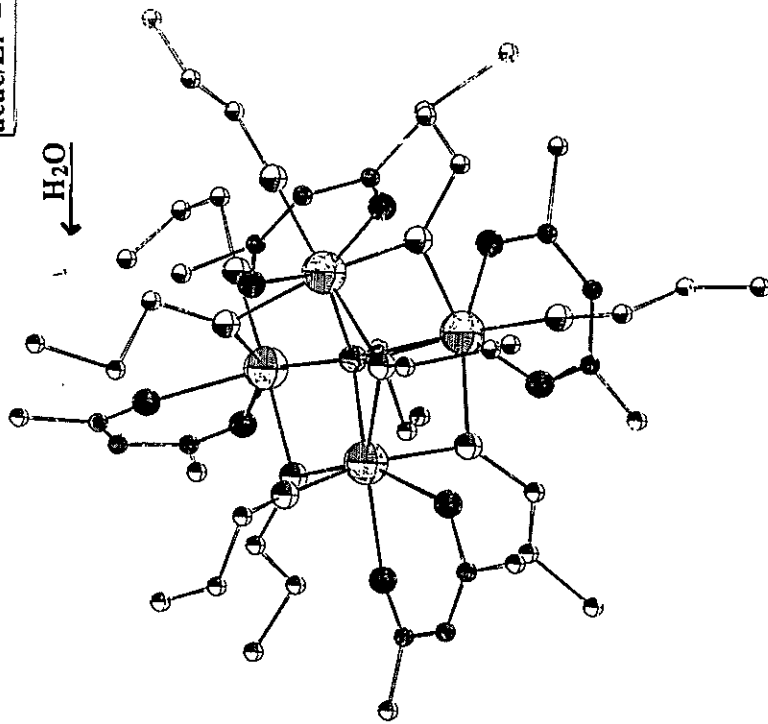
Zr (M.In, P.Toledano, C.Sanchez,C. R. Acad SCI, Paris,1990)

Sn (D.C.Chandler,G.D.Fallon,A.J.Koplick, B.O.West, Aust. J. Chem.,40,1477,1987)

**β -DIKETONES AND ALLIED DERIVATIVES AS
COMPLEXING LIGANDS**



$acac/Zr = 1$



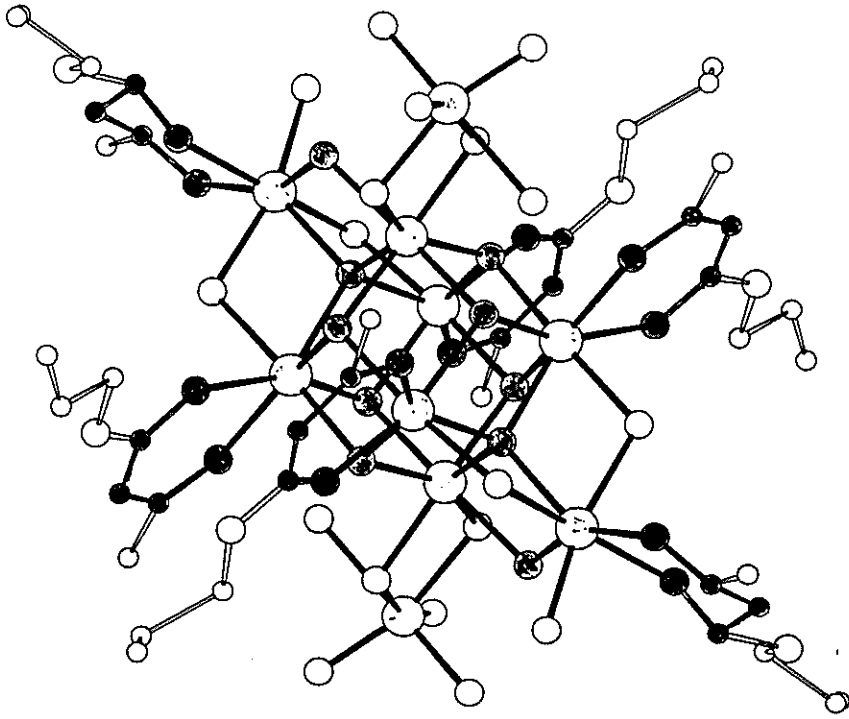
$acac/zr = 1$

P.Toledano, M.In, C.Sanchez, (C.R.Acad.Sci. Paris, 311,1161,1990)

**β -DIKETONES AND ALLIED DERIVATIVES AS
COMPLEXING LIGANDS**

Modification of the complexation ratio

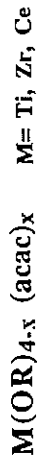
$[Allylacetatoacetate(AA)/Zirconium]$ ratio = 0.6



$Zr_{10}(\mu_4-O)_2(\mu_3-O)_4(\mu_3-OH)_4(\mu_2-OPr^n)_{10}(OPr^n)_{10}4(AA)_6$

93, 68 (1992)

HYDROLYSIS OF β - DIKETONE MODIFIED TRANSITION METAL ALKOXIDES



Metal oxo-polymers

Size depends on the complexing ratio

$X = \text{Acac} / \text{Metal}$

$M(OPr^i)_{4-x} (acac)_x$	$X = \text{Acac} / \text{Metal}$	QELS	H. diameter in Å
$M(OPr^i)_4$	0.15		450
	0.25		170
	0.50		30
	0.75		15

F. Ribot, P.Toledano, C. Sanchez (Chem. Mat., 3, 759, 1991)
M. In, P.Toledano, C. Sanchez (C.R.Acad.Sci.Paris, 1991)

TRANSPARENT T.M.O GELS

Hydrolysis of $M(OR)_4$ in presence of acac

(M= Ti, Zr, Ce, Sn..)

Metal oxo particles surrounded by Acetylacetonone

Acac inhibits surface sites

Acac/M is the key parameter

$0.6 < \text{Acac}/Zr$

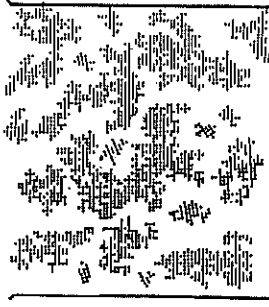
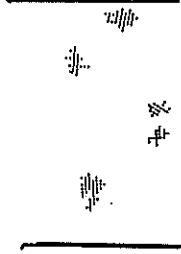
CLUSTERS
SOLS

$0.2 < \text{Acac}/Zr < 0.6$

GELS

$\text{Acac}/Zr < 0.2$

PRECIPITATES



(F.Ribot, P. Toledano, C. Sanchez, Chem. Mater., 2, 759 1991)
(C.Sanchez, M. In, P. Toledano, P. Griesmar, Mat. Res. Soc. Proc., 211, 669, 1992)

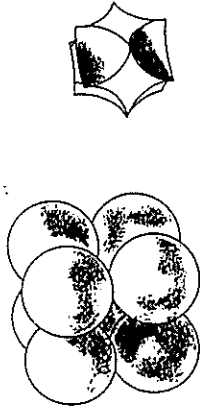
Nanoporous Zirconia Membranes

C. Guizard *et al.*, J. Sol-Gel Science and Technology, 2 (1994) 483

The preparation of nanoporous membranes requires

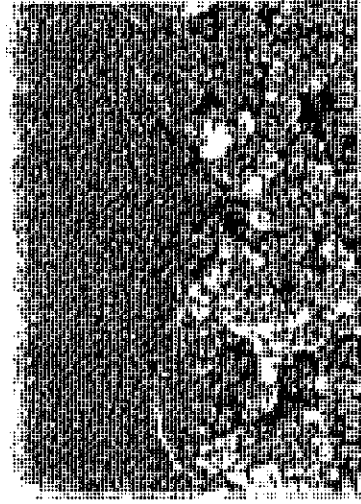
particles smaller than 100 Å
no aggregation in the sol state

particle size ↔ pore size

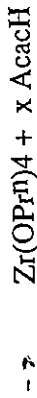


pore size after heating at 600°C

x	0	1	2	3
d(Å)	84	72	68	32



Stable sols containing ZrO₂ particles



10 H₂O (H⁺)

(T=80°C)

↓
- nanometric particles (30-50Å)



Characterization by QELS, TEM, IR, DTA-GTA

nanometric particles of hexagonal ZrO₂ surface capped by acac

- 1) (M. Chatry, M. In, M. Henry, C. Sanchez) patent N° 11623 (1994)
- 2) M. CHATRY *et al* J. Sol Gel Sci Techno. 1, 733, (1994).

3- Transition metal oxide based organic-inorganic hybrids (class II)

- Complexing ligands as particle size controllers
(Complexing ligands as network modifiers)

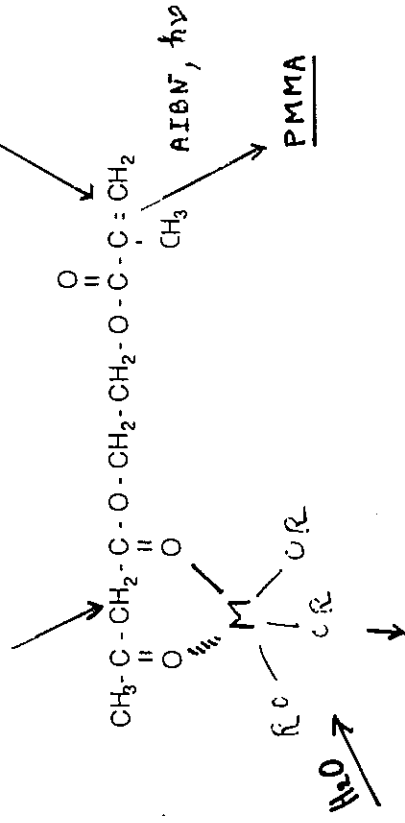
→ - Copolymers zirconium oxo-PMMA
(Organically polymerizable Complexing ligands as network formers)

DESIGN OF LIGANDS FOR THE SYNTHESIS OF ORGANIC INORGANIC COPOLYMERS WITH TRANSITION METAL ALKOXIDES

Hybrid ligands

Strong Complexing part + Reactive organic part

Acetoacetoxyethylmethacrylate (AAEM)



Metal oxo polymers

**HYBRID ORGANIC-INORGANIC COPOLYMERS
ZIRCONIUM-OXO- Poly AAEM**

INFRARED PAAEM bonded to Zr; Zr-O-Zr network

¹³C CP MAS NMR AAEM polymerization, PAAEM bonded to Zr

Light Scattering Size of inorganic network depends on x=AAEM/Zr
Inorganic and organic growths are interdependent

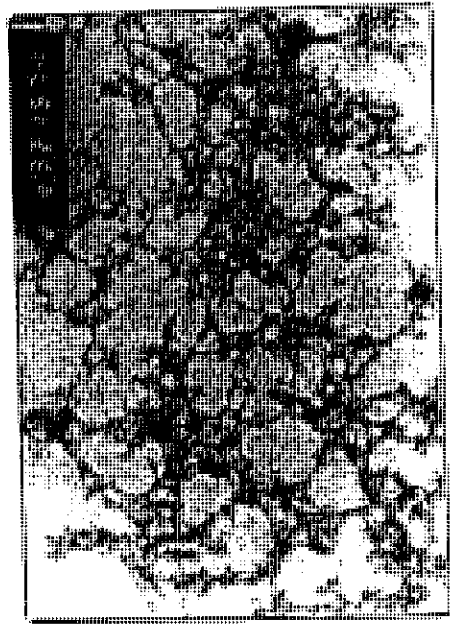
DTA-GTA % ZrO₂/ PAAEM depends on x=AAEM/Zr ratio
(between 60% and 40% for x= 0.25 to 0.75)

XANES Zr is likely sevenfold coordinated

EXAFS Zr-O (1.9Å, 2.1Å, 2.2Å) and Zr-Zr (3.53Å)distan

SAXS Slope Ln (Scattered intensity) versus Ln (Q) =2.7
(bushy scatterers)

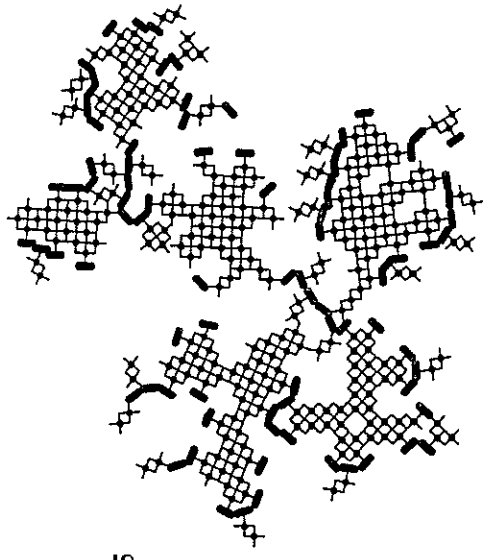
TEM Bushy polymers



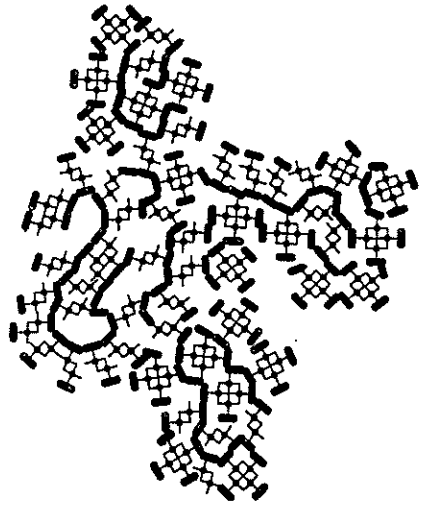
(C. Sanchez, M. In, JNCS, 1992)
167.148 0-1 (1992)

**HYBRID ORGANIC INORGANIC COPOLYMERS
Zirconium-oxo-PAAEM Nanocomposites**

CARTOON



AAEM/ Zr= 0.25

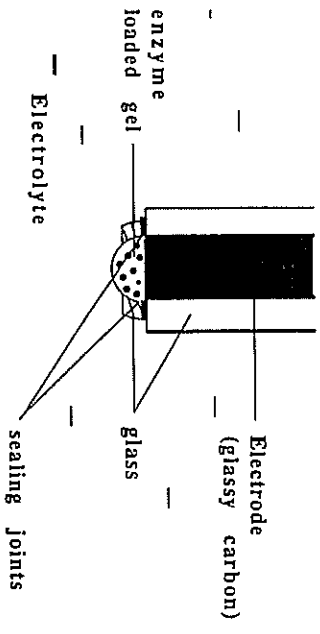


AAEM/Zr= 0.75

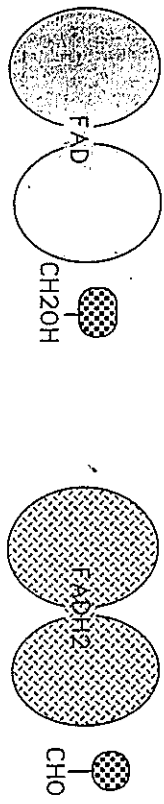
+ NiO₂
 - strong chelating ligand
 + modified alkoxide precursor

ENCAPSULATION OF THE GLUCOSE OXYDASE IN SILICA GELS

(P. Audebert, C. Demaille, C. Sanchez, Chem Mat, 1993) 5, 9/1
 (P. Audebert, C. Sanchez, T. S. J. Sci. Tech. Ser. 1994, 2(1), 23)
 P 309



Electrochemical detection: redox site of GOD = Flavine (FAD)



CONCLUSION

APPLIED INTEREST:

- Room temperature
- Métallo-organic precursors
- Organic solvents

→ SYNTHESIS CONDITIONS:

The compatibility between organic and inorganic chemistrys opens a land of opportunities for the processing of new multifunctional materials

MATERIALS LOCATED AT A MULTIPLE INTERFACE

(Optical, electrical, sensors, membranes, catalysis, etc..)

FUNDAMENTAL INTEREST:

→ Understand the chemistry and growth of these systems
 Organisation (weak and strong bonds and intercatons)
 Bypass or control the degree of phase separation(nanocomposites)

- Mechanical properties
- Transparency
- Hydrophilic/hydrophobic balance
- Porosity
- Stability and Photostability?

ACKNOWLEDGEMENTS

Permanent Researchers

François Ribot and Sylvie Doeuff

PHD students

Sol-gel chemistry of metal alkoxides and hybrids

Martin In

Frédéric Banse

Juliette Blanchard

Nathalie Steunou

Bruno Alonso

Optical properties of hybrids

Bénédicte Lebeau

Céline Guermeur

Pascal Griesmar

Collaborations and discussions

B. Viana, J. Maquet (URA 1466)

P. Toledano, F. Babonneau (URA 1466)

E. Toussaere and J. Zyss (CNET)

M. Lahcini and B. Jousseume(U. Bordeaux)

P. Audebert (U. Besançon)

**CNRS, MRT, Rhône-Poulenc, and DRET for their interest
in this research and for their financial support**

OPTICAL APPLICATIONS OF ORGANIC/INORGANIC HYBRID MATERIALS

Bruce Dunn

Department of Materials Science and Engineering
University of California, Los Angeles

Faculty Collaborators:

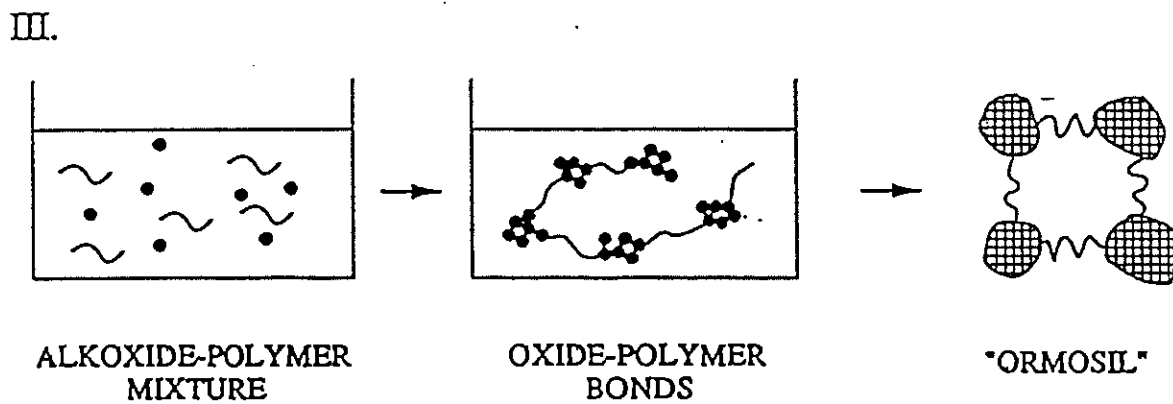
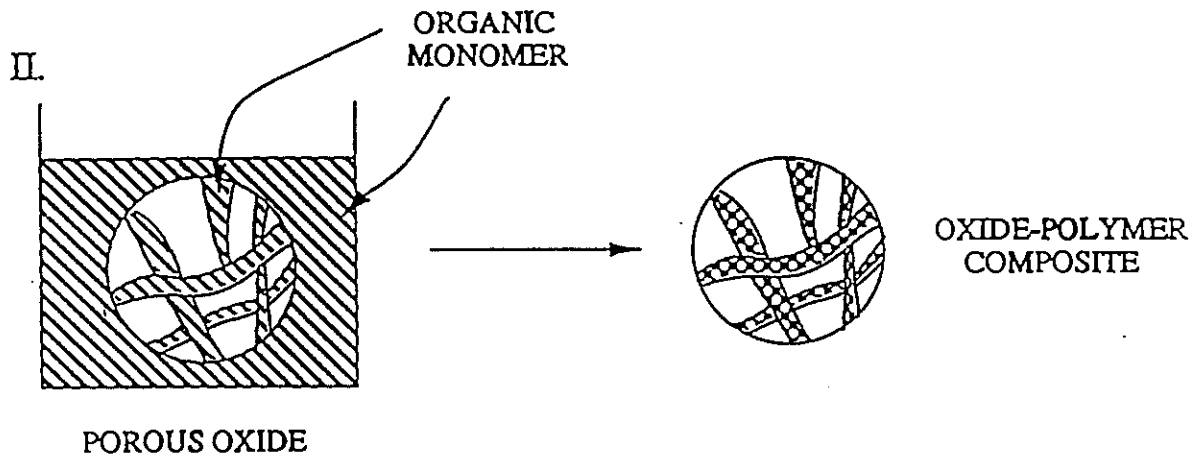
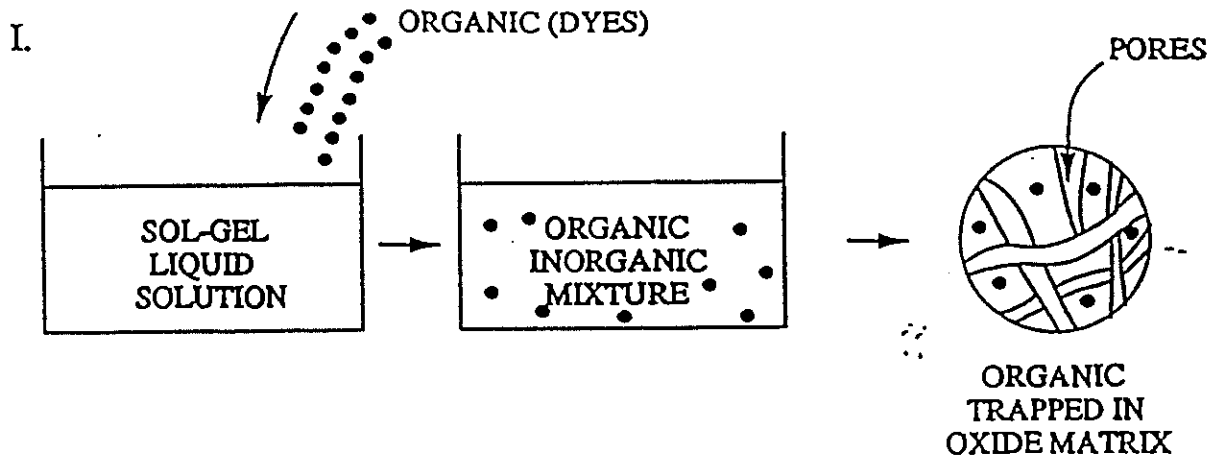
Joan Valentine and Jeffrey Zink, Department of Chemistry and Biochemistry

Co-Workers

T. Allik, J. Altman, K.E. Chung, B.C. Dave, L. Ellerby, T. Faltens,
P. Fuqua, A. Hutchinson, E. Knobbe, E. Lan, J. McKiernan, J. Miller,
F. Nishida, R. Toda, S. Yamanaka

OUTLINE

- Materials Synthesis
- Sol-Gel Dye Lasers
- Luminescent Probes of Sol-Gel Chemistry
- Biomolecules as Dopants in Sol-Gel Matrices

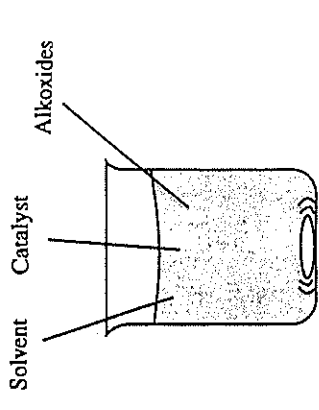


Stages of the Sol-Gel Process

ORGANIC DOPED SOL-GEL MATRICES

AS SOLID-STATE OPTICAL MATERIALS

- Numerous organics possess interesting optical properties
 - optical gain and laser action
 - photochromism
 - nonlinear optical effects
- Organic polymers lack the necessary thermal and mechanical properties, photostability, chemical stability, optical uniformity
- Inorganic glasses offer superior properties; the organics cannot withstand the thermal conditions of conventional glasses
- Sol-gel techniques are room temperature methods for synthesizing amorphous materials which are essentially inorganic
- Sol-gel approaches for ORMOSILS (organically modified silicates) produce molecular level composites



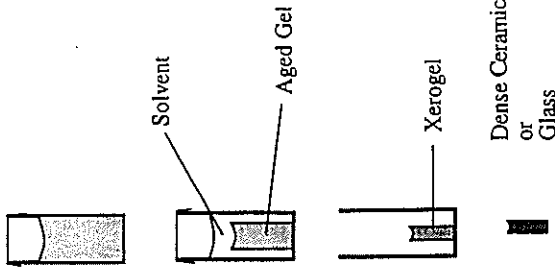
1. Sol Formation

2. Gelation

3. Aging

4. Drying

5. Firing



OPTICAL MATERIALS BASED ON ORGANIC-DOPED SOL-GEL GLASSES

- Tunable lasers
- Nonlinear optics (optical limiting)
- Photochromics
- Chemiluminescence
- Phosphorescence
- rhodamines, pyromethenes
- phthalocyanines
- spiroopyrans
- luminol
- 4-biphenyl carboxylic acid

The development of non-porous materials is generally important for applications

Oxide Polymer Network Formation

1) Hydrolysis:



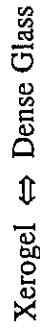
2) Condensation:



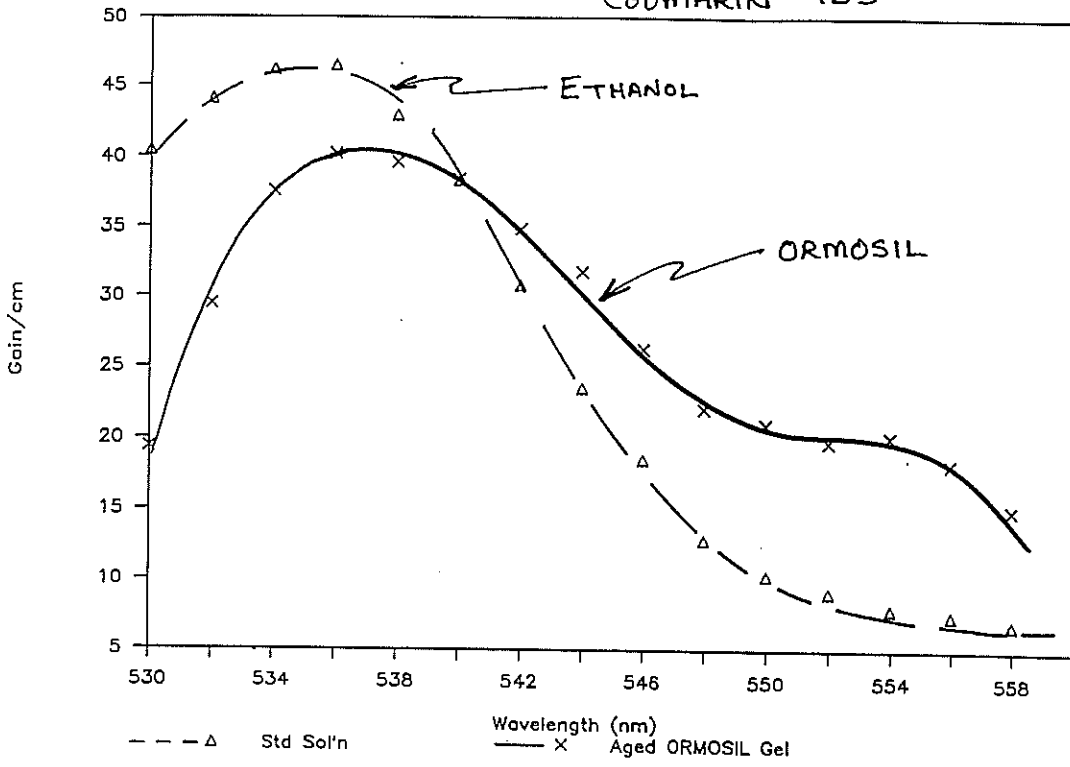
3) Drying:



(4) (Densification:)



Coumarin 153



Properties of ORMOSIL

OPTICAL

- low absorption
- low scattering coefficient
- excellent film adhesion to various substrates
- high resistance to thermal and chemical degradation

MATERIAL

- low thermal expansion coefficient
- high modulus of elasticity
- excellent chemical resistance

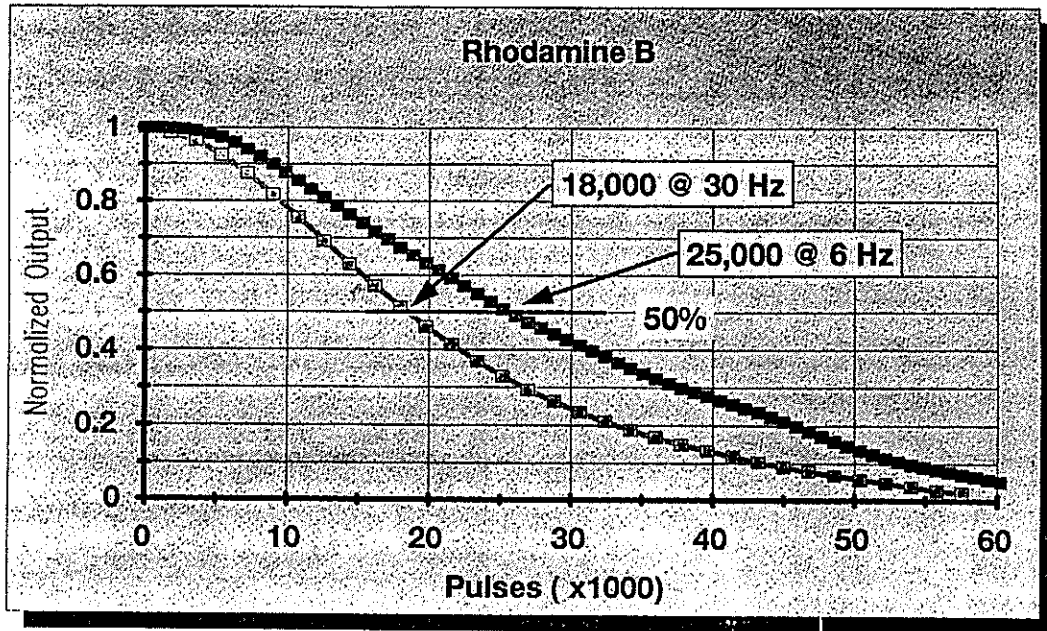
MECHANICAL

- good thermal stability
- excellent mechanical strength
- excellent adhesion to various substrates

GENERAL

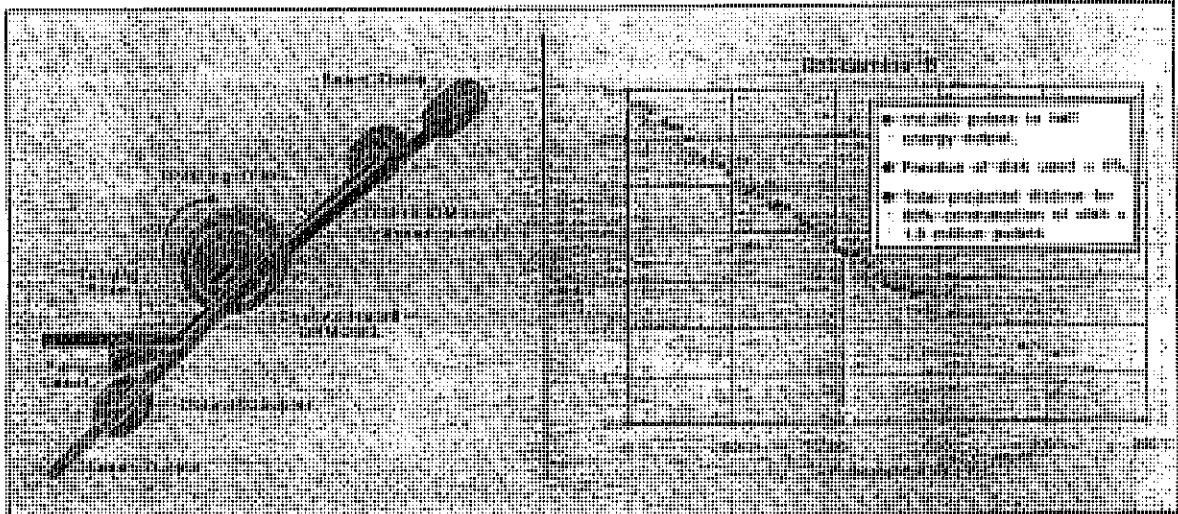
- excellent film-forming properties
- easy to process

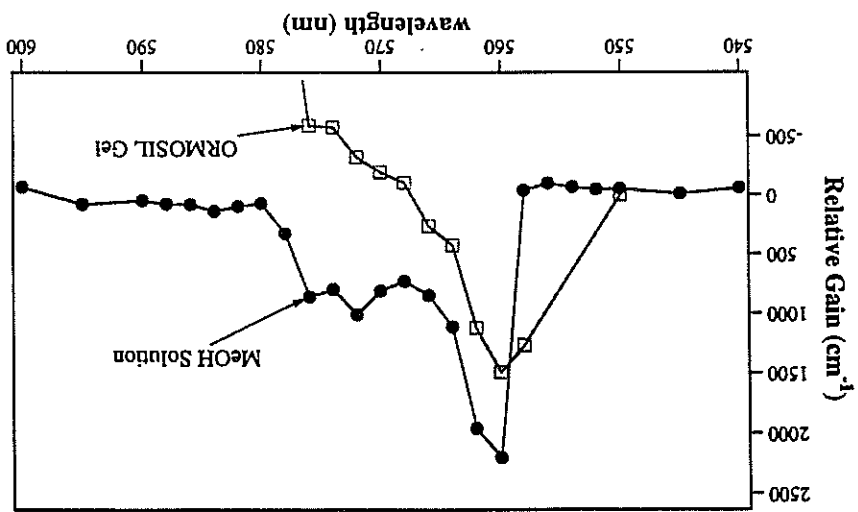
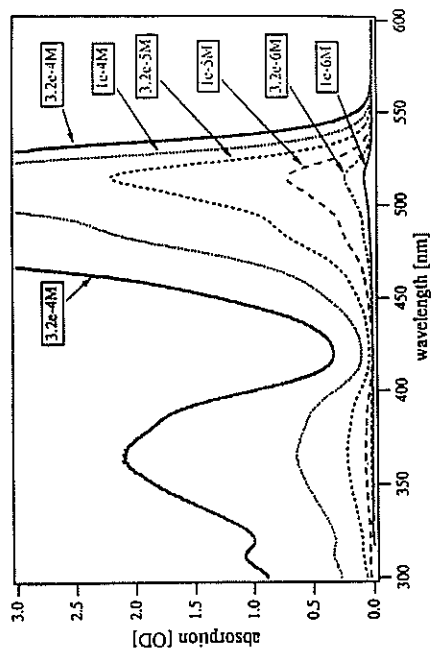
Slab Laser Lifetimes

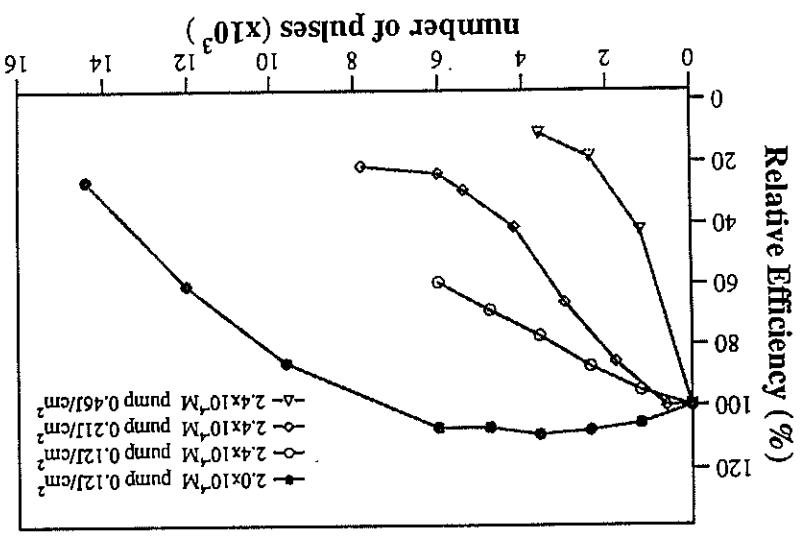
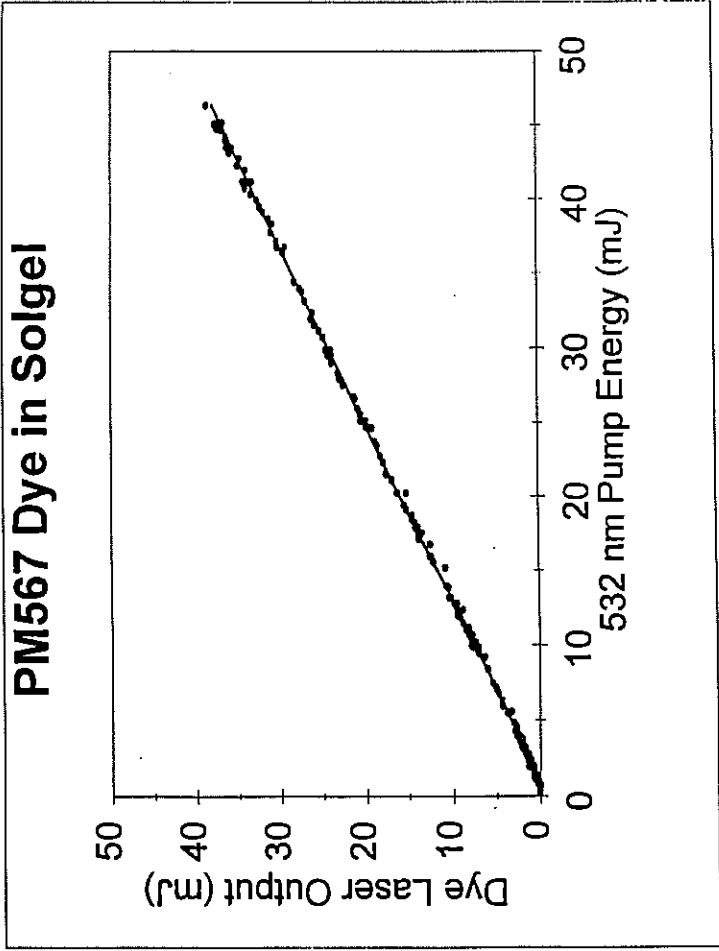


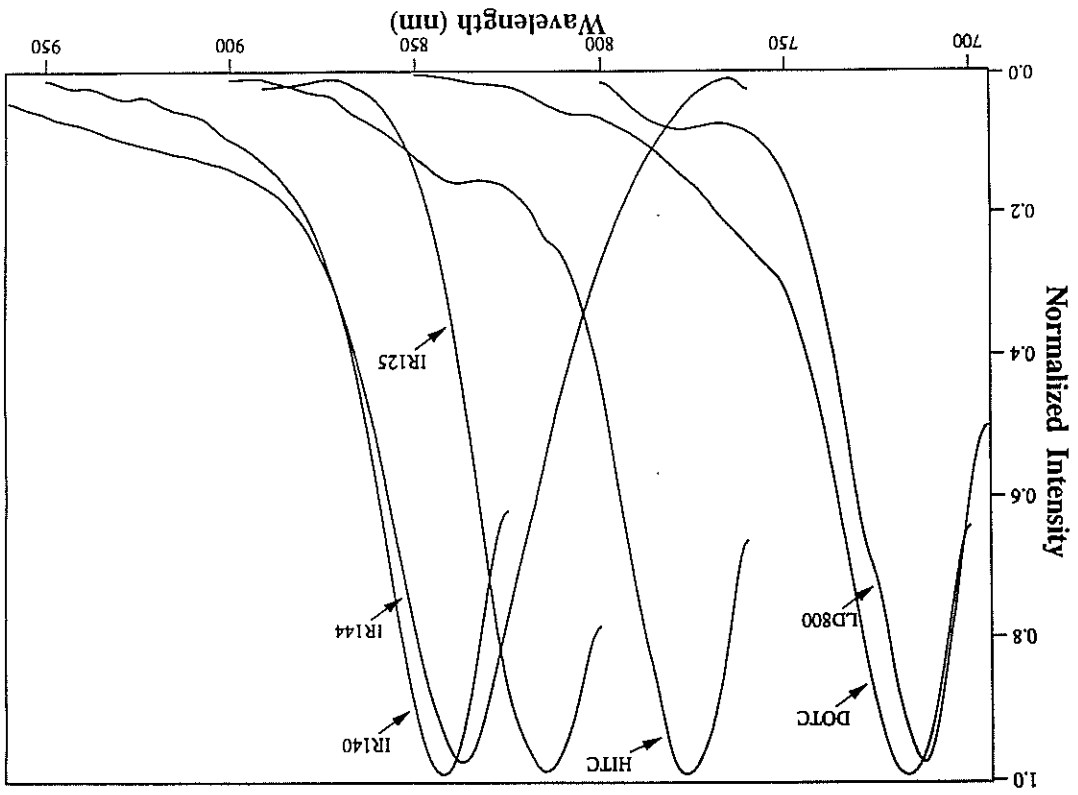
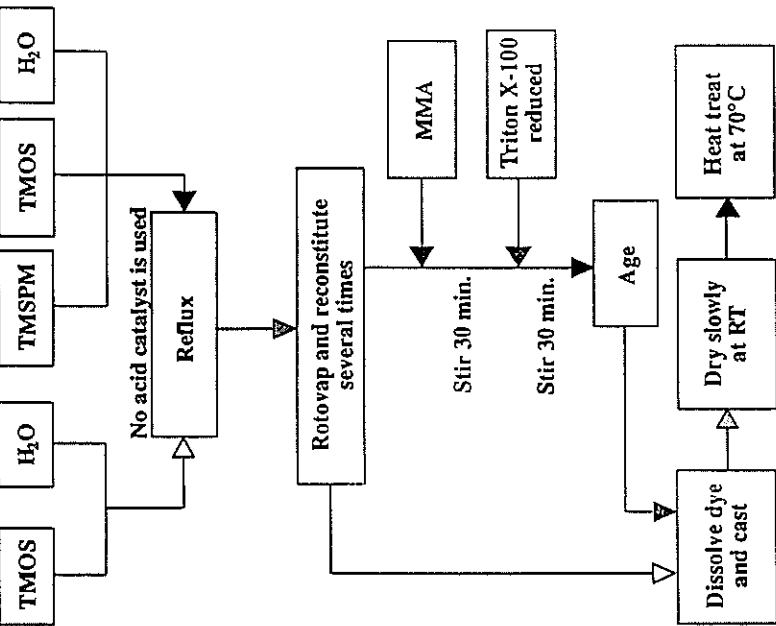
Long Life Laser Employing a Rotating Disk

- Rotating disk design permits larger volume of dye to be consumed in the laser cavity thereby increasing the effective lifetime,
- Disks could be quickly swapped permitting easy replacement of gain elements and rapid dye changes.



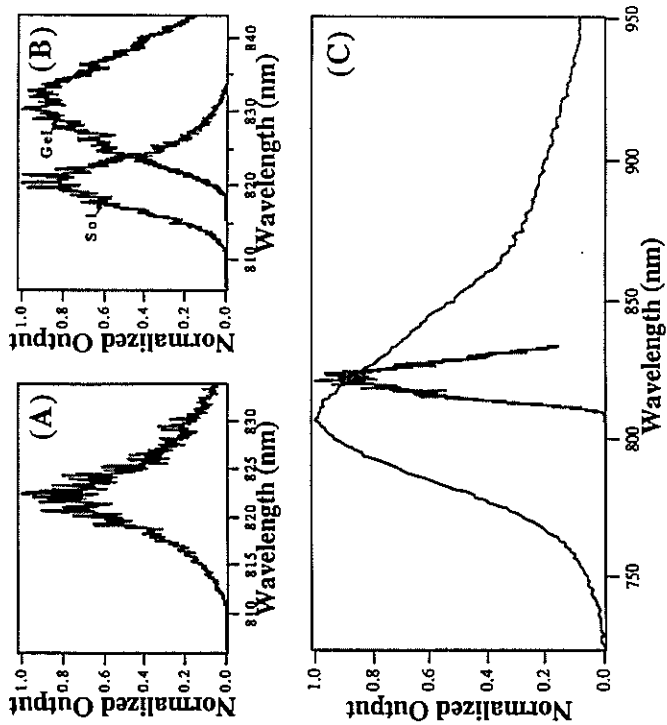






SUMMARY

1. The sol-gel process has been used to encapsulate a variety of laser dyes in different sol-gel matrices
2. The dyes exhibit their characteristic luminescence, optical gain and laser behavior in the solid state matrix
3. The ability to tailor the synthesis conditions enables the chemical environment to be compatible with dopants; even 'delicate' dyes can be incorporated. Sol-gel lasers extended into the near IR.
4. Pyrrromethene (567) in ORMOSIL exhibits extremely high slope efficiency and output energy for sol-gel lasers. Photostability/laser longevity is still a major concern; need to achieve better process control and to customize the matrix.

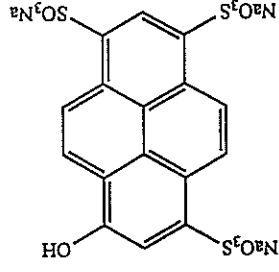


ORGANIC DOPED SOL-GEL MATRICES -

OPTICAL PROBES OF THE SOL-GEL PROCESS

- Solution chemistry serves as a reference to interpret spectroscopic response
- Information obtained on local chemical and structural changes during sol-gel process
- Examples:
 - 1) Water/alcohol variations during gelation, aging, drying
 - 2) Water consumption/generation to map hydrolysis/condensation reactions
 - 3) Local pH changes during processing
 - 4) Local polarity changes during processing
 - 5) Rigidochromic effect to determine local rigidity
 - 6) Fluorescence depolarization to measure local viscosity

Pyrimine (trisodiumtrisulfonatehydroxypyrene)



Emission depend on protonation/deprotonation
Deprotonated in water \Rightarrow Green emission (515 nm)
Protonated in alcohol \Rightarrow Blue emission (430 nm)

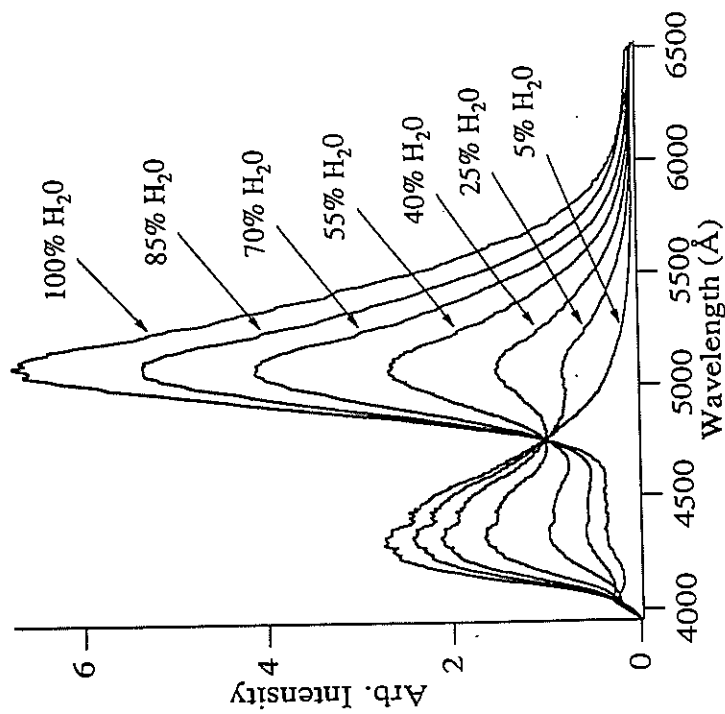
IN-SITU FLUORESCENCE IMAGING OF SOL-GEL THIN FILM DEPOSITION

F. Nishida*, J.M. McKiernan[‡], B. Dunn*, J.I. Zink[‡],
C.J. Brinker† and A.J. Hurdt†

* Department of Materials Science and Engineering, UCLA, Los Angeles, CA

[‡] Department of Chemistry and Biochemistry, UCLA, Los Angeles, CA

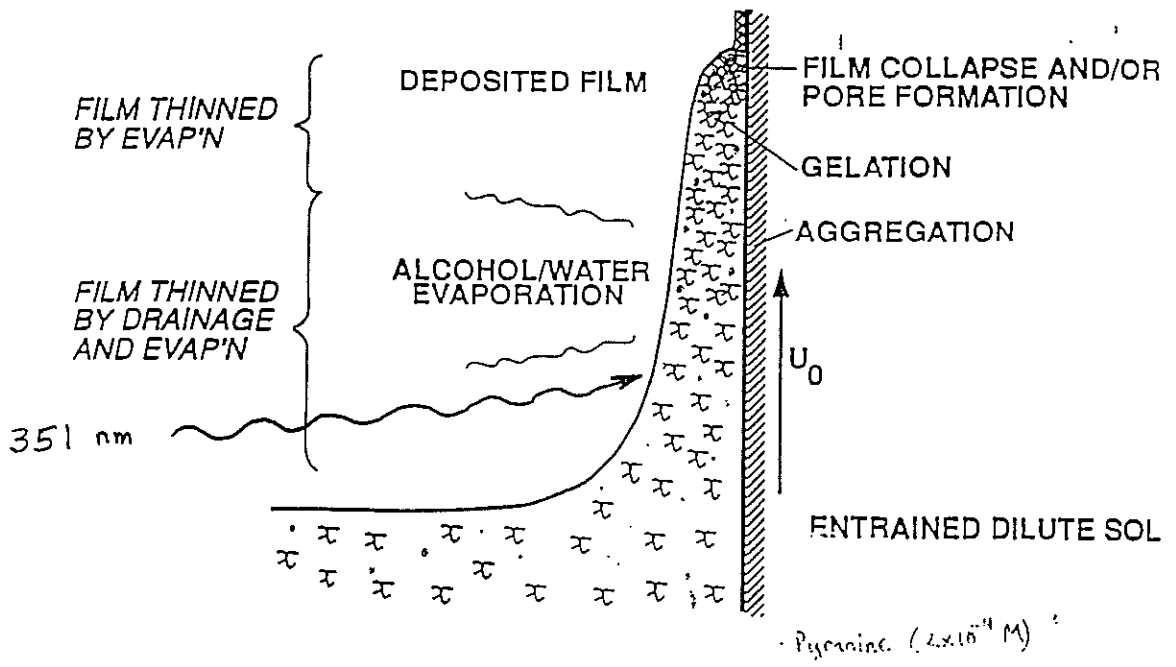
† Sandia National Laboratories, Albuquerque, NM



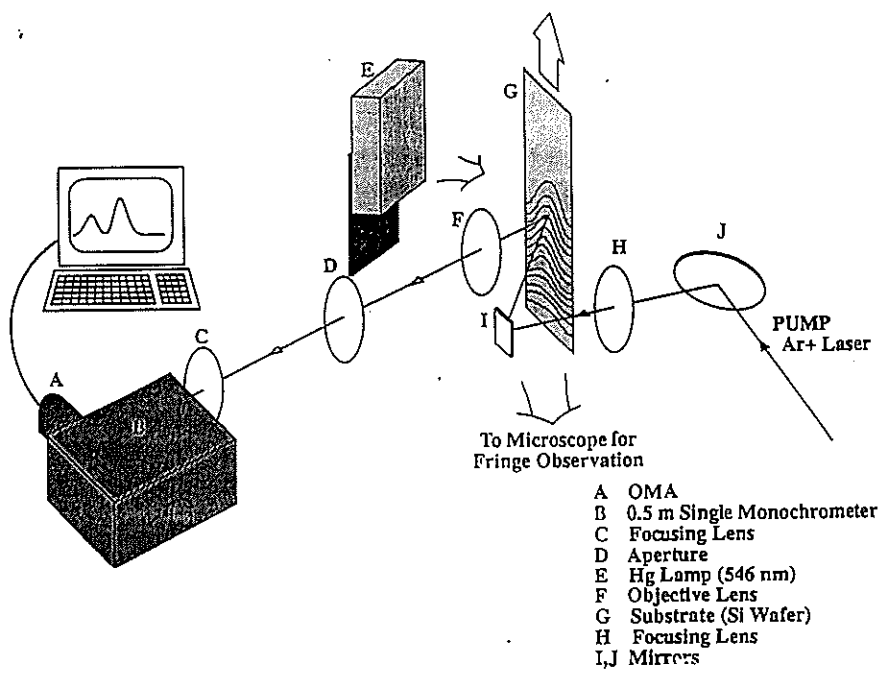
Can optical probe techniques be applied to thin films?

Potential Advantages:

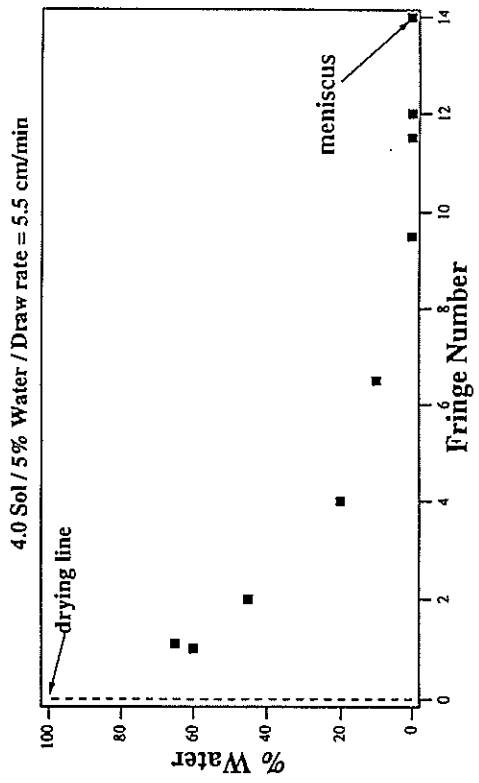
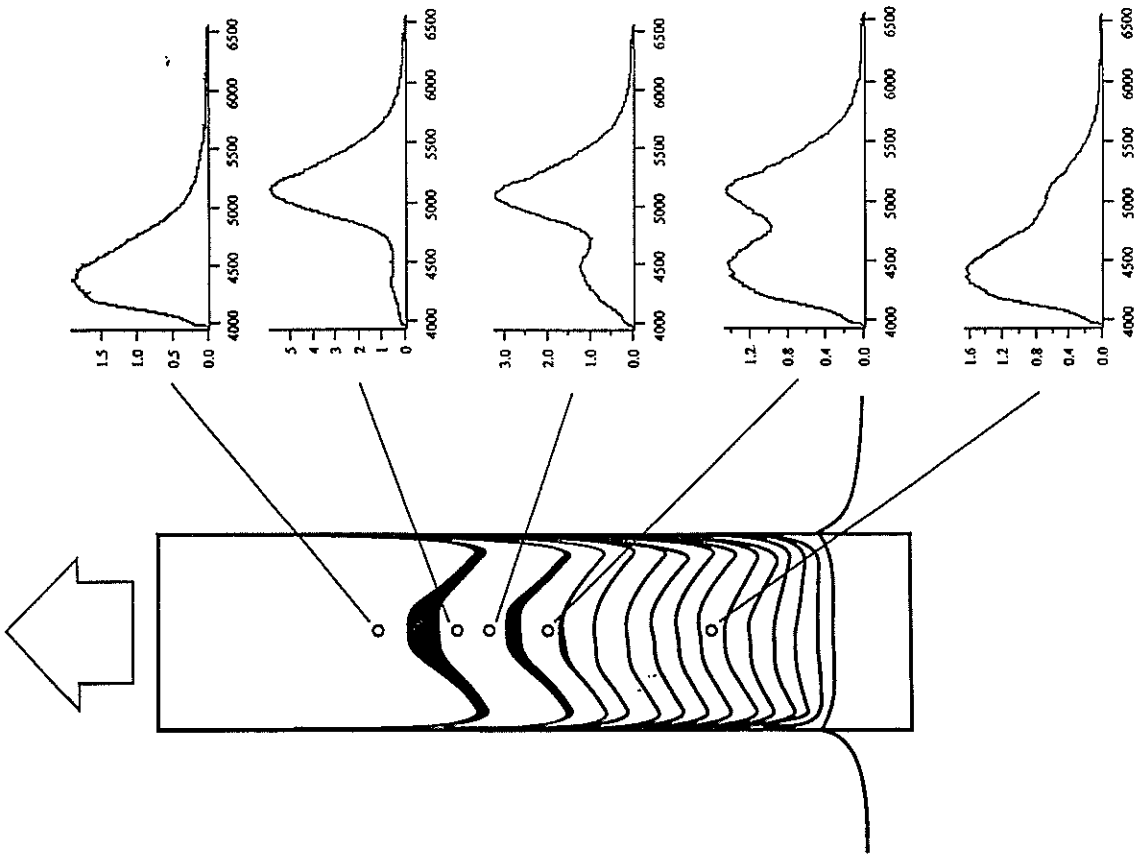
- In-situ monitoring of chemical/structural changes
- Better control of film properties and microstructure
- Real time observations amenable to automated control



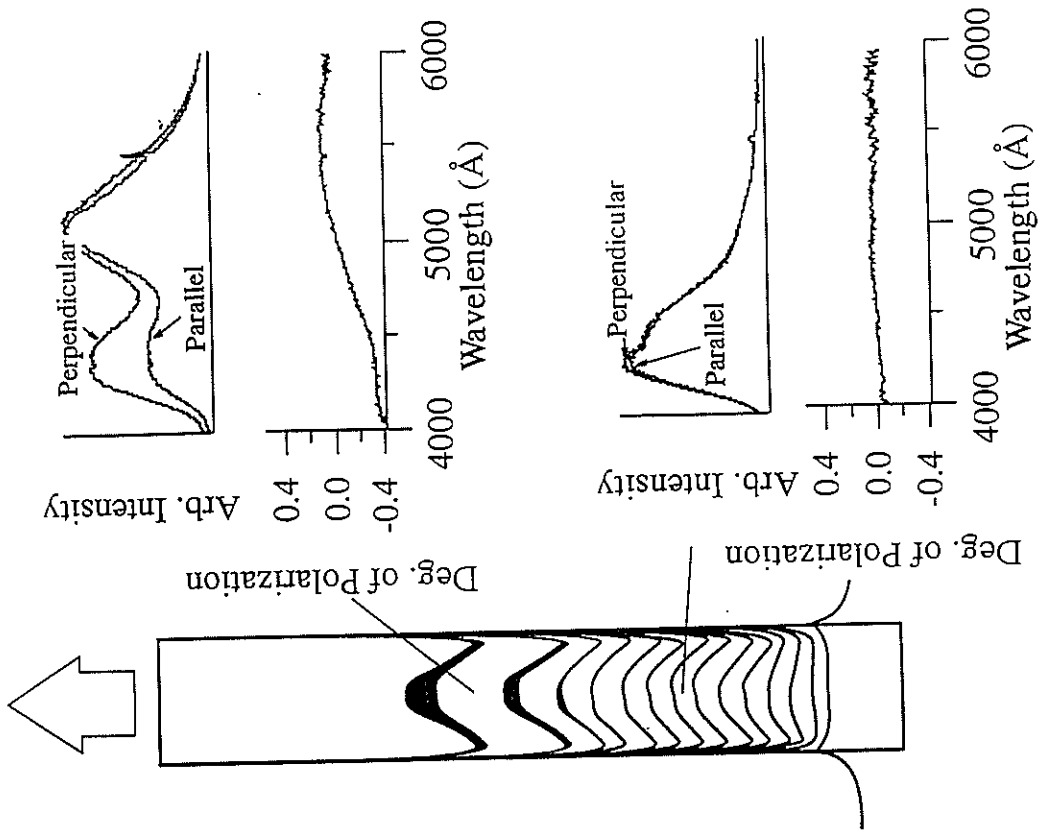
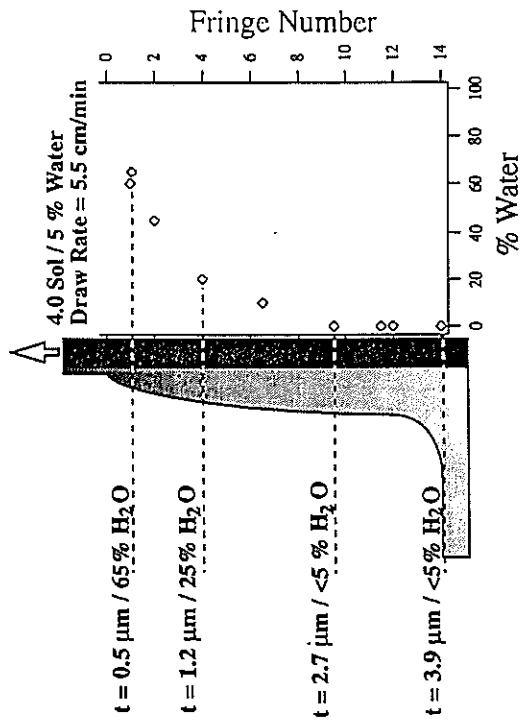
EXPERIMENTAL ARRANGEMENT



SOLVENT COMPOSITION DURING FILM DEPOSITION



SOLVENT COMPOSITION PROFILE



UNIQUE FEATURES OF SOL-GEL MATERIALS CONTAINING DOPANT MOLECULES

Sol-Gel Materials Doped with Enzymes and Other Proteins

- Extension of previous studies where organic molecules are encapsulated in sol-gel matrix
- Intrinsic Problem ;

Sol-gel synthesis conditions are generally too harsh for enzymes and proteins

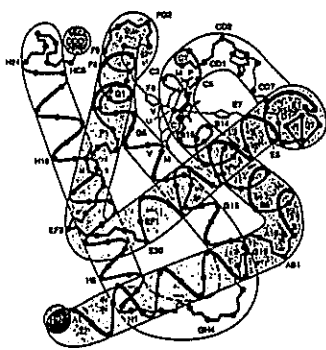
High acidity and high alcohol concentration destabilizes protein structure

1. Physical encapsulation of molecules in porous matrices
 - Molecules including proteins retain their characteristic reactivities and spectroscopic properties
 - Inorganic matrix offers stable host environment
 - Controlled porosity allows transport of small molecules into and out of the glass
 - Room temperature synthesis
 - Films, fibers, monoliths
2. Optical Transparency
 - Near UV to near IR
 - Absorption, luminescence and Raman spectroscopies
 - Photochemical reactions in the pores

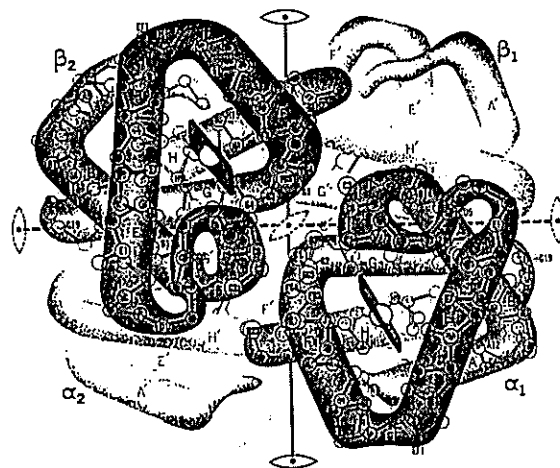
ENCAPSULATION OF BIOMOLECULES IN SOL-GEL MATRICES

Glucose Oxidase	Catalase	Oxalate Oxidase
Alcohol Dehydrogenase	Peroxidase	Acid Phosphatase
Alkaline Phosphatase	Aspartase	Nitrate Reductase
Cytochrome C	Urease	Cu-Zn Superoxide Dismutase
Myoglobin	Trypsin	Hemoglobin
Bacteriorhodopsin	Ferritin	

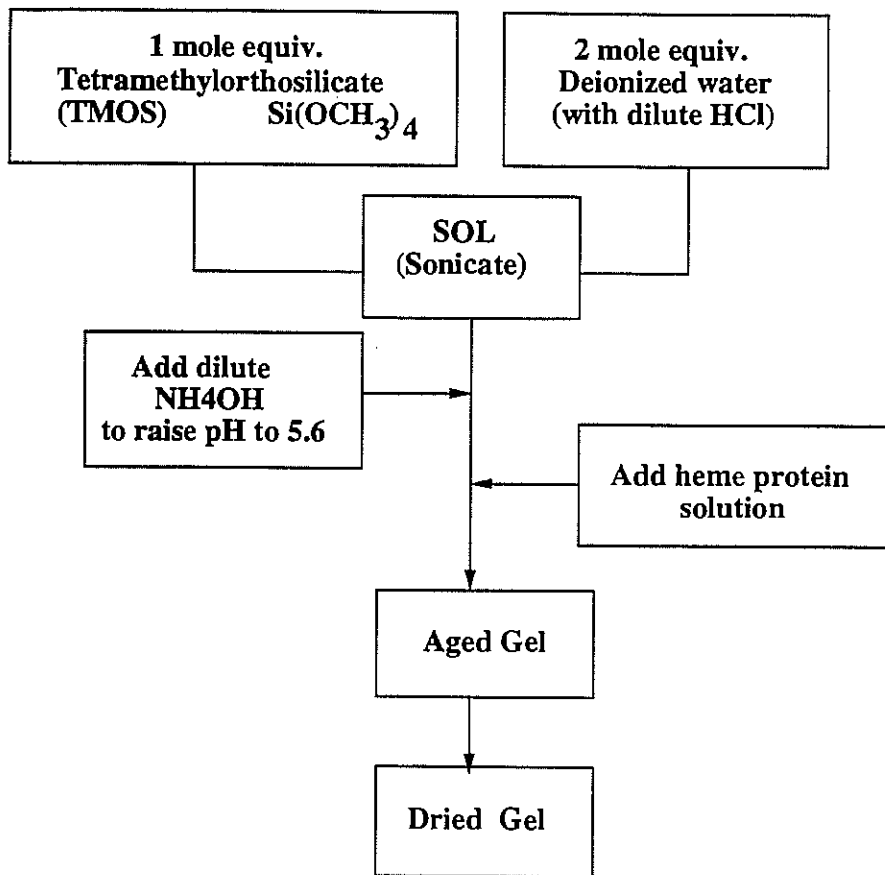
- Hb and Mb are heme proteins: Mb with molecular weight of 16,900
Hb with molecular weight of 64,500**
(Reference: G. Zubay, Biochemistry, Addison-Wesley, Reading, 1983)



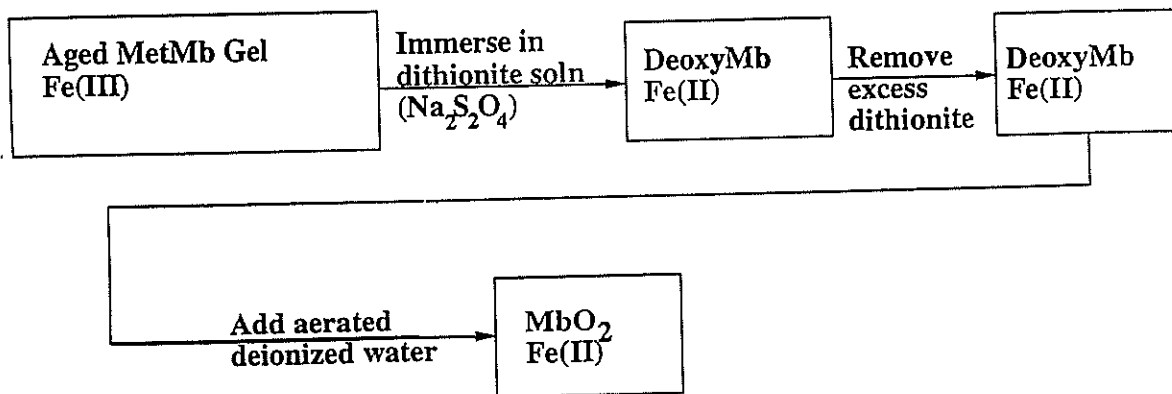
Mb: 44Å x 35Å x 25Å
(Reference: L. Stryer, Biochemistry, W. H. Freeman, San Francisco, 1981)

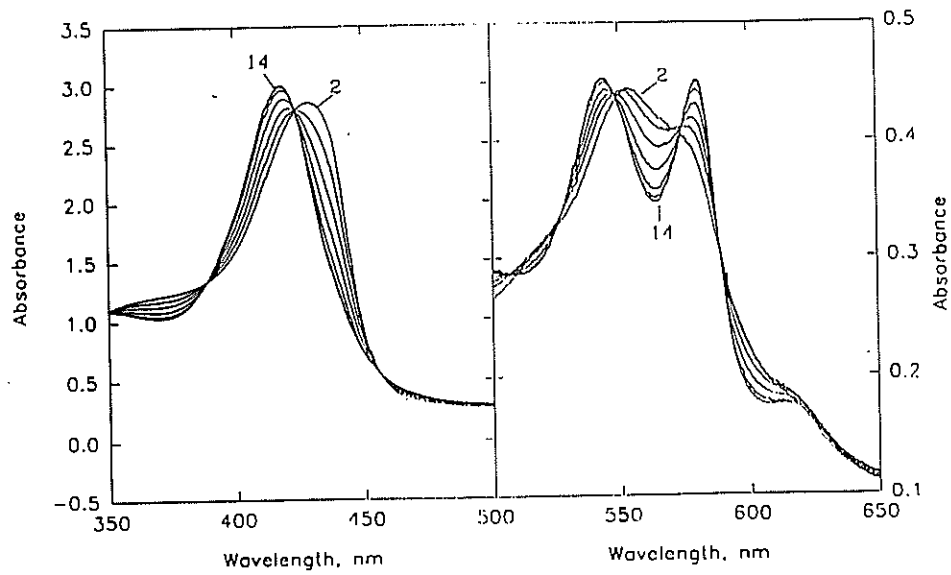
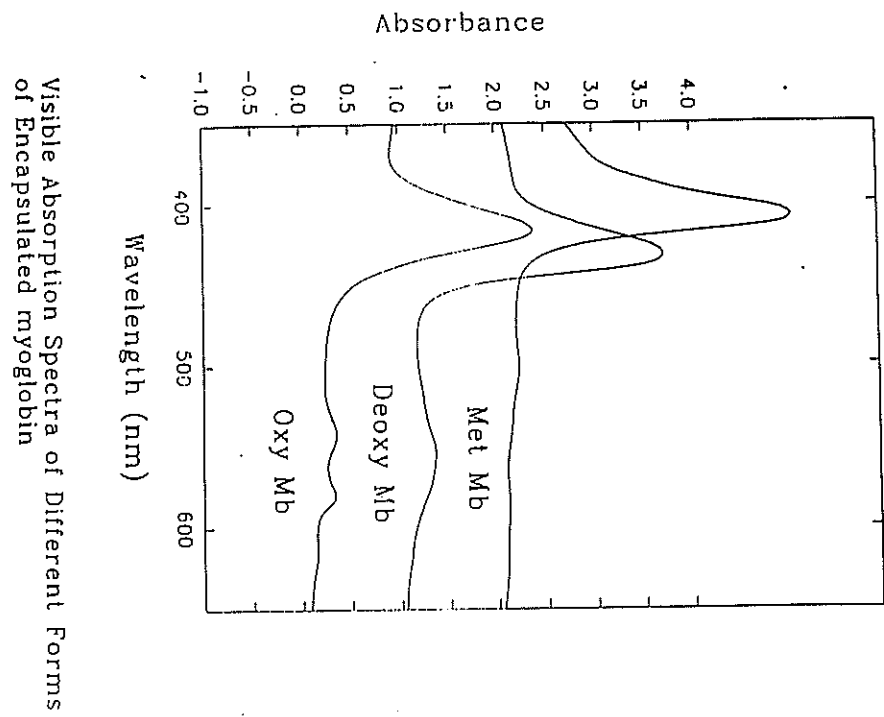


Hb: 65Å x 55Å x 50Å
(Reference: C. Branden & J. Tooze, Introduction to Protein Structure, Garland Publishing, NY 1991)

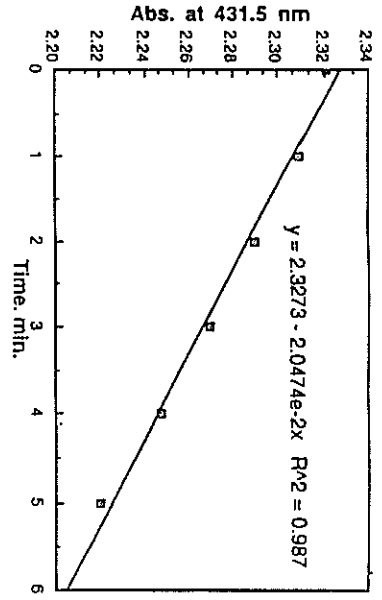


Reaction with O₂:

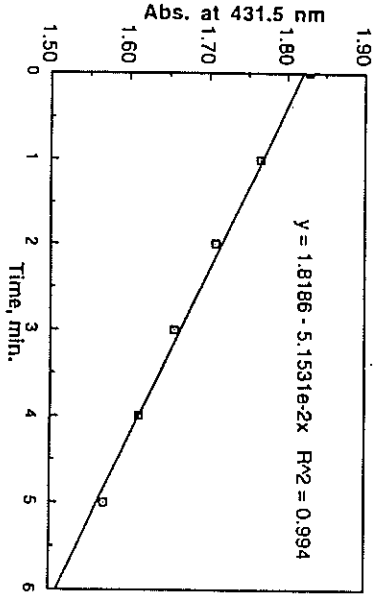




Absorbance Change with Time
After Exposing to Saturated Water

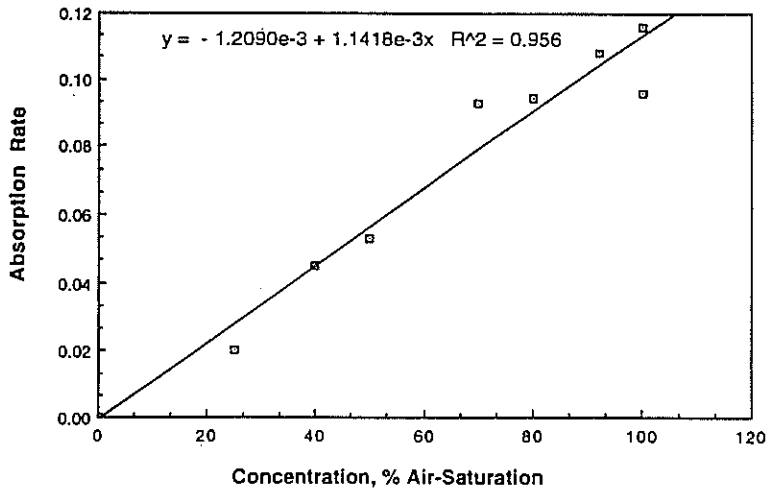


Absorbance Change in 5 minutes After Exposing to 40% Saturated Water

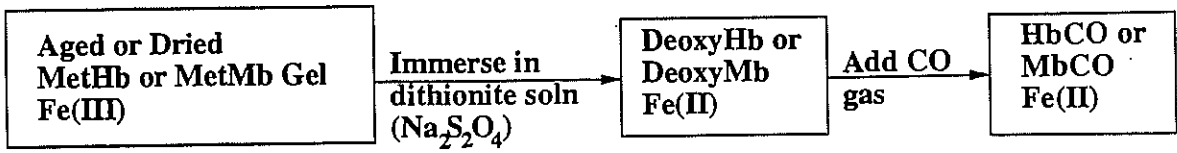


Absorbance Change in 5 Minutes After Exposing to 80% Saturated Water

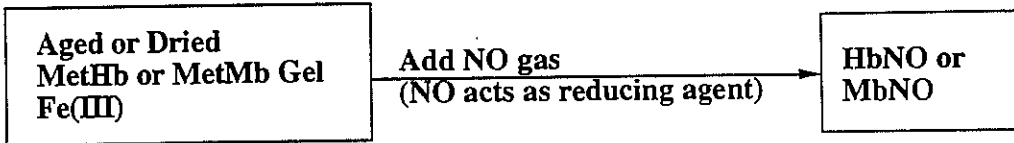
Absorption Rate at 436 nm



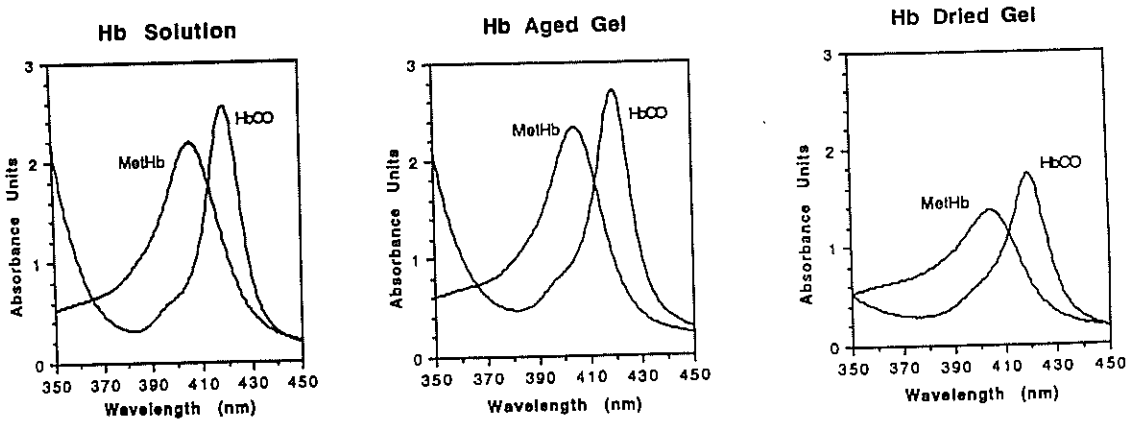
Reaction with CO:



Reaction with NO:



Absorption spectra of HbCO in silica gels vs. in liquid buffer

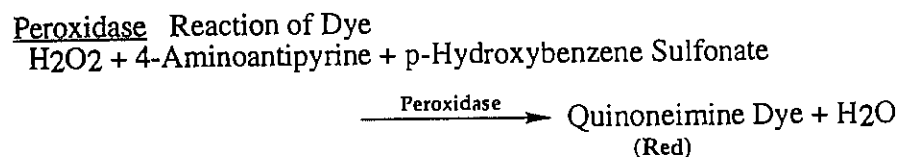
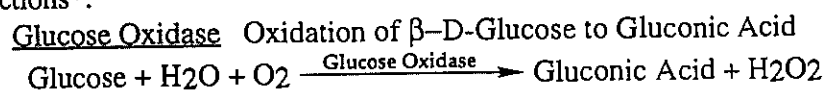


● Excellent correlation between absorption spectra observed

GLUCOSE OXIDASE and PEROXIDASE

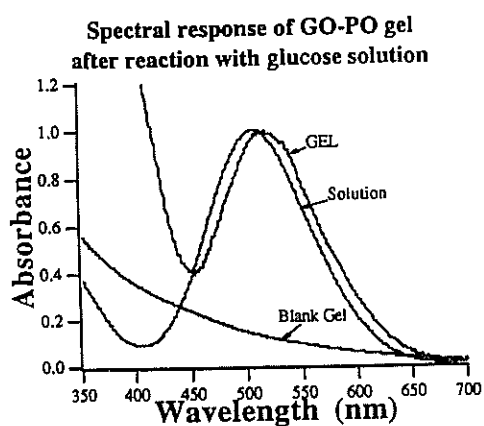
- No visible absorption
- Glucose oxidase and peroxidase are enzymes

Reactions*:



* Sigma Diagnostics, Glucose (Trinder)

Results: Glucose Oxidase and Peroxidase*



	K _m (M)	K _{cat} (s ⁻¹)
Aged Gel	0.075 ± 0.025	250 ± 80
Solution	0.028 ± 0.003	251 ± 25
Literature†	0.026	293

K_m: binding constant (dissociation constant of enzyme-product complex)

K_{cat}: turnover number (number of substrate turned into product by an enzyme)

* Yamanaka et al., Chem. of Mat. **4** (1992) 495.

† Bright et al., J. Biol. Chem. **242** (1967) 994.

GELS CONTAINING ENCAPSULATED PROTEINS AND ENZYMES

- Modification of sol-gel chemistry to provide friendly environment for biomolecules
- Biomolecules retain characteristic reactivities and spectroscopic properties
- Porosity allows transport of small molecules into and out of the glass
- Chemical reactions monitored by means of changes in optical spectra

OPTICAL APPLICATIONS OF ORGANIC/INORGANIC HYBRID MATERIALS

- Hybrid materials consisting of a wide range of dopant molecules (organic, organometallic, biological) encapsulated in sol-gel derived matrices have been prepared
- The molecules retain their optical properties and chemical functions in the solid state
- The ability to tailor the synthesis conditions enables the chemical environment to be compatible with dopant molecules
- Optical materials are prepared by the deliberate doping of specific molecules
- Results:
 - Solid state optical materials
 - Luminescent probes of sol-gel chemistry and processing
 - Optically based chemical sensors which exploit the sensitivity/selectivity of enzyme and protein chemistry

**ORGANIC/INORGANIC AEROGELS: CONTROLLING
STRUCTURE AT THE NANOMETER SCALE**

**R.W. Pekala and L.W. Hrubesh
Lawrence Livermore National Laboratory
Livermore, CA 94550**

**J. Fricke
Physikalisches Institut der Universität Würzburg
97074 Würzburg, Germany**

Aerogel compositions and properties



Composition

Inorganic

- silica
- titania
- tantalum
- zirconia
- mixed metal oxides

Organic

- resorcinol-formaldehyde
- melamine-formaldehyde
- phenolic-furfural
- carbon

Properties

- high surface area ($> 400 \text{ sq.m./g}$)
- ultrafine cell/pore size ($< 50 \text{ nm}$)
- low thermal conductivity ($\sim 0.015 \text{ W/m-K}$)
- low sound velocities ($20\text{-}300 \text{ m/s}$)
- mechanical properties depend on bulk density ($E \sim \rho^n$ where $n = 2.8\text{-}3.8$)
- low dielectric constant ($\epsilon < 2$)

Structural model

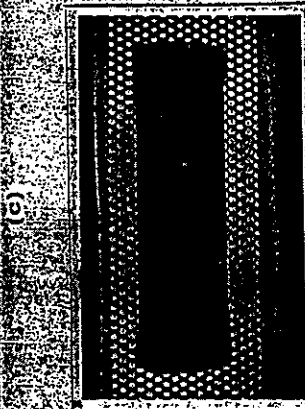
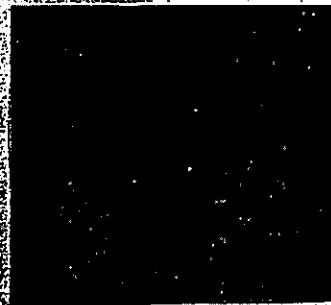
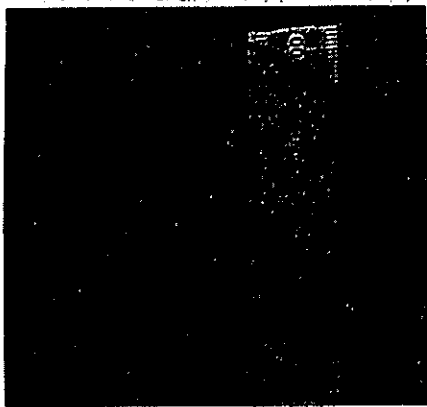
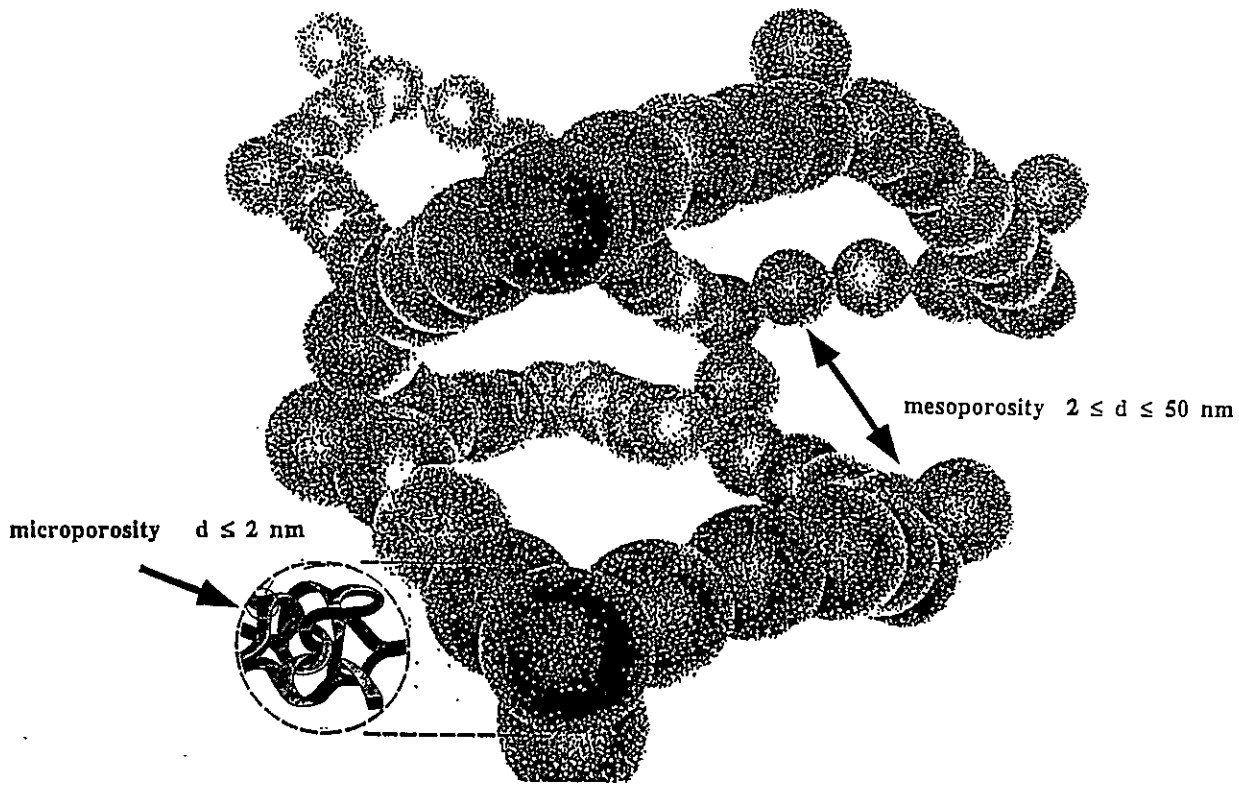


Figure 1. Photographs of various porocels: (a) ultra-low density silica (0.013/g/cc), (b) base catalyzed silica (0.10 g/cc), (c) ethylene-formaldehyde (6.15/g/cc), (d) carbon (0.05 g/cc), and (e) resorcinol-formaldehyde (0.05 g/cc).

	0.0001	0.001	0.01	0.1	1	10	100	1,000	10,000
Equivalent Sizes									
Common Atmospheric Dispersoids									
Typical Particles and Gas Dispersoids									
Filters									
Polymeric Structures									
Analytical Techniques									

← Aerosols →

(1 mm)
1,000
100
10
1
0.1
0.01
0.001
0.0001

60
40
20
12
8
5
3
U.S. Screen Mesh
100
50
30
16
9
4

Heparin
 Random Coil
 $(\frac{5}{2} \frac{L}{\eta} \text{ or } [\eta] M)$
 Maximum extended length
 Crystal lamellae
 Latexes
 Spherulites
 Separated phases in blends
 domains (crystalline and amorphous)
 Small-angle X-ray, electron diffraction
 Wide-angle X-ray, electron diffraction
 Neutron diffraction
 Light scattering
 Optical microscopy
 Electron microscopy
 Aerosols
 Smog
 Clouds and Fog
 Rain
 Fertilizer
 Ground Limestone
 Pulverized Coal
 Carbon Black
 Sulfuric Acid
 Contact
 Paint Pigments
 Zinc Oxide Fume
 Insecticide Dust
 Cellulose
 Slits
 Alkali Fume
 Ground Talc
 Pollens
 Milled Flour
 Bacteria
 Atmospheric Dust
 Viruses

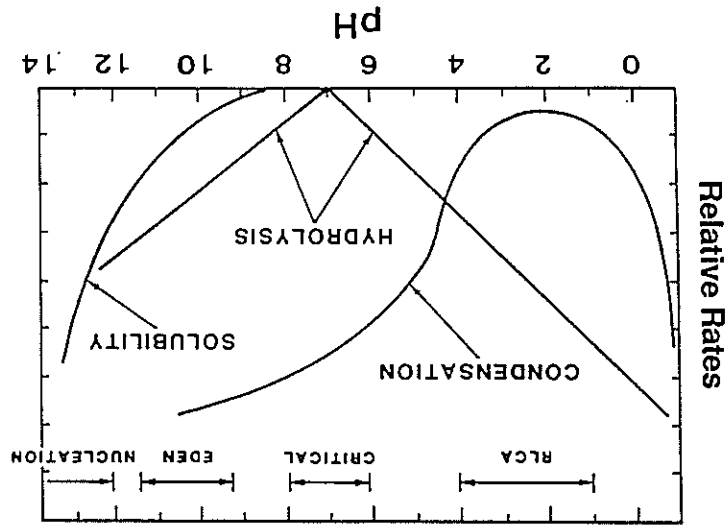
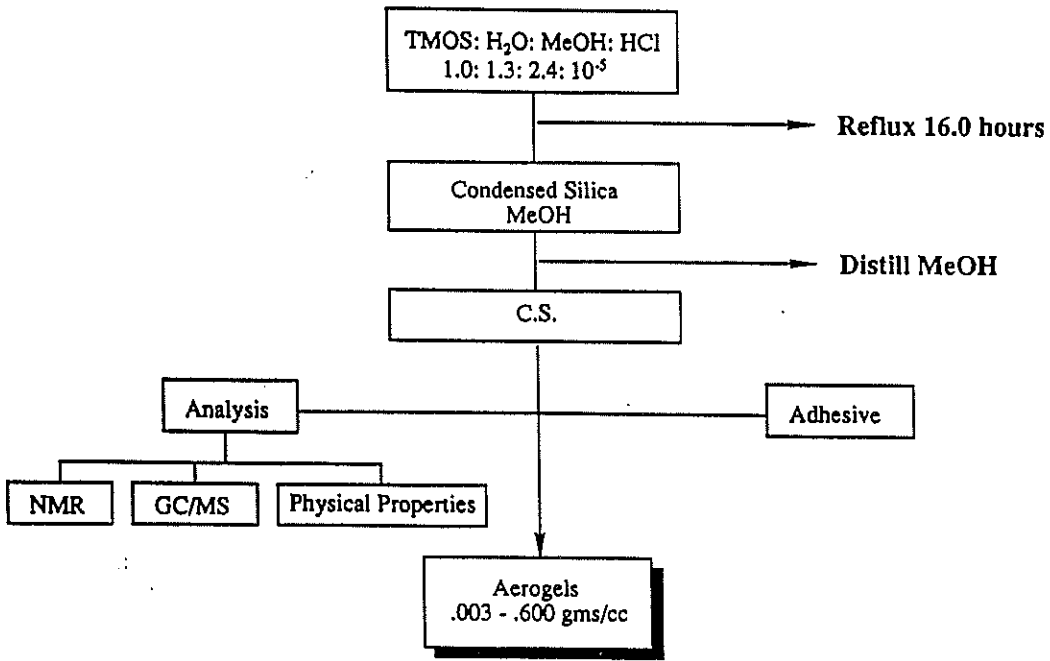
Silica chemistry



Factors influencing reaction:

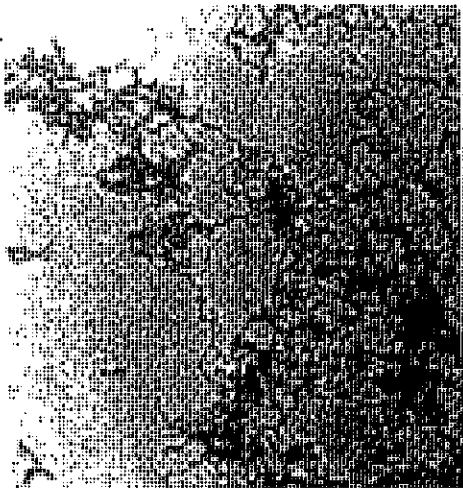
- Catalyst -- Acid vs. Base
- Temperature
- [Water]/[TMOS] ratio
- One step vs. two step polymerization

Schematic Flowchart of the C.S. Method



Growth mechanism depends upon polymerization conditions

Comparison of TEMs Shows Chain-like vs. Colloidal Microstructures



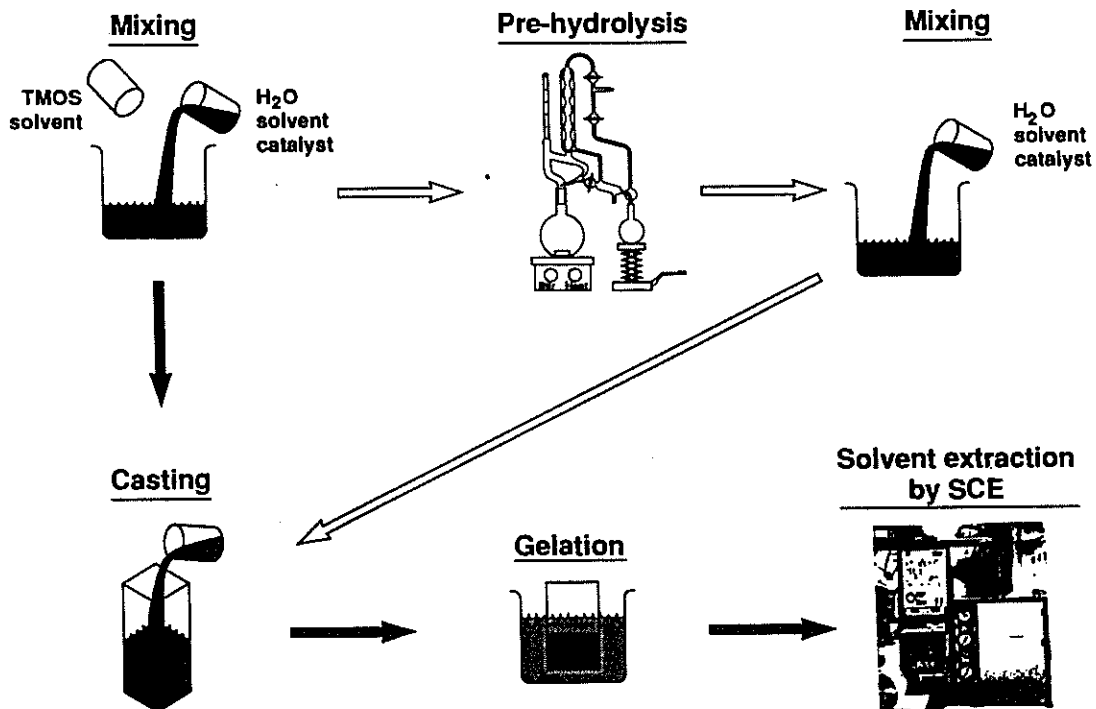
TEM of two-step aerogel (0.008 g/cm^3)

200 Å

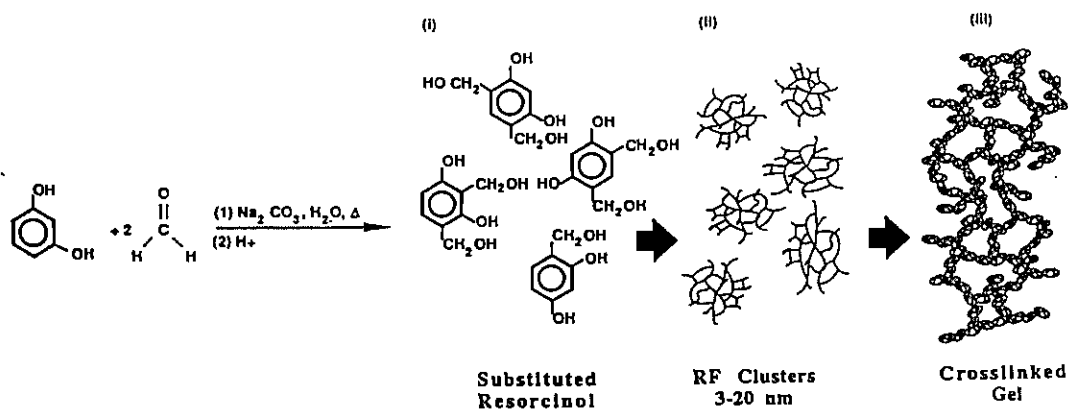


TEM of conventional aerogel (0.04 g/cm^3)

Two Sol-Gel Routes for Producing Aerogels



A synthetic route for the production of organic aerogels has been developed



Requirements for organic sol-gel polymerizations

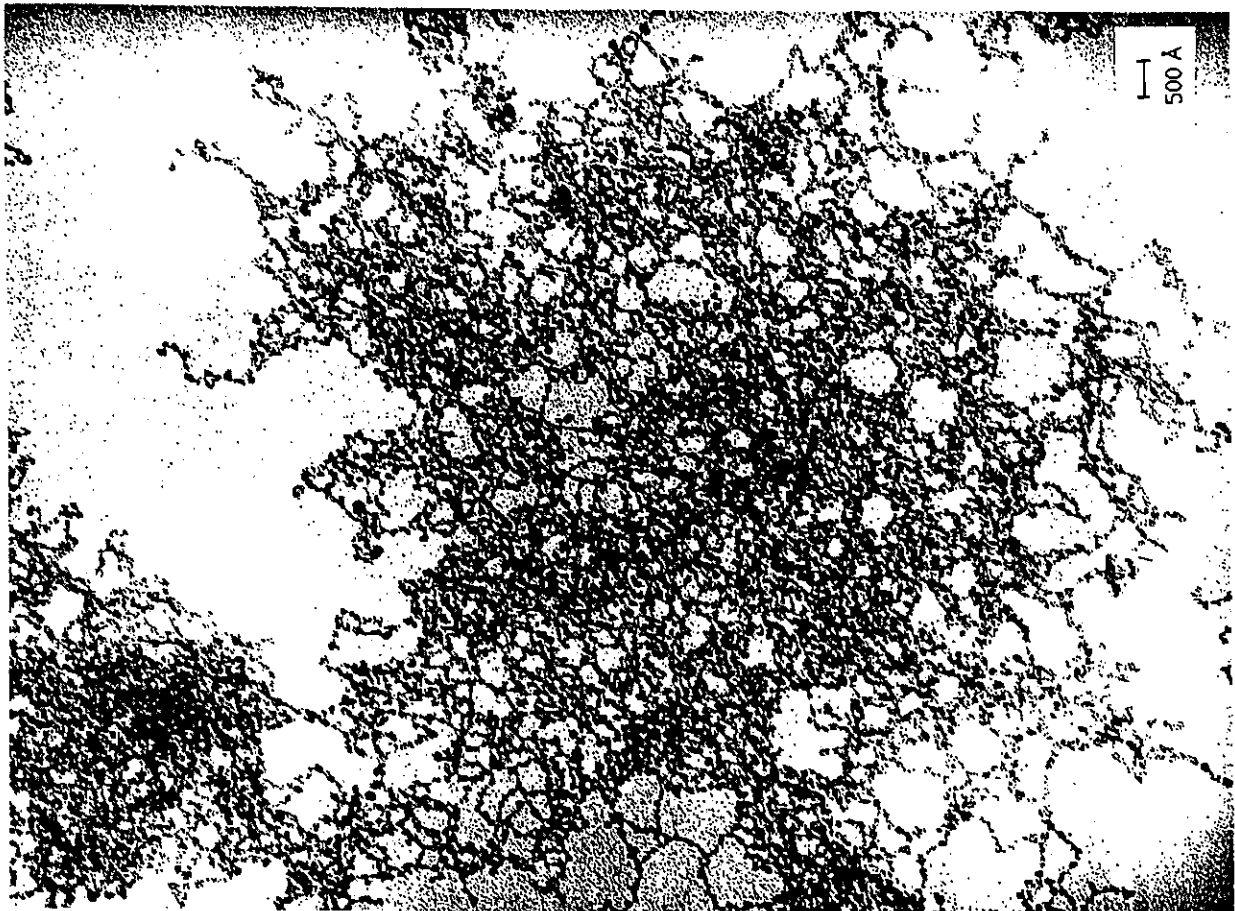


- **Multifunctional monomers**
- **High degree of crosslinking**
- **Surface functional groups**
- **Particle stabilization**
 - electrostatic
 - polymer / solvent interactions

Solution chemistry dictates the structure and properties of RF aerogels



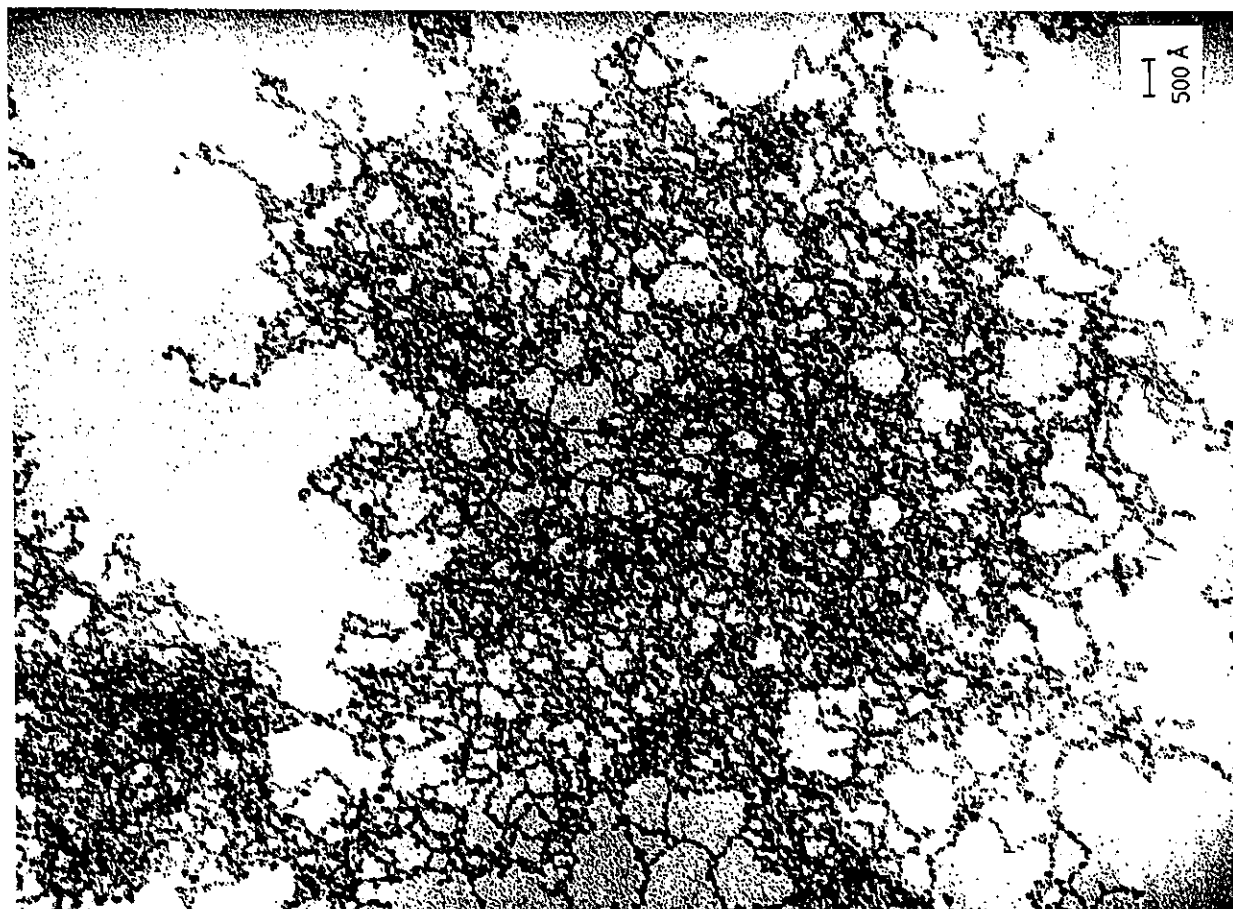
High Catalyst Conditions R/C=50	Low Catalyst Conditions R/C=300
<ul style="list-style-type: none">• small particle size ~ 30 Å• high surface area ~ 900 sq.m./g• more shrinkage upon drying• polymeric; interconnected fibers• higher compressive modulus	<ul style="list-style-type: none">• large particle size ~200 Å• low surface area ~ 350 sq.m./g• less shrinkage upon drying• colloidal; interconnected particles• lower compressive modulus



Solution chemistry dictates the structure and properties of RF aerogels



High Catalyst Conditions R/C=50	Low Catalyst Conditions R/C=300
<ul style="list-style-type: none">• small particle size ~ 30 Å• high surface area ~ 900 sq.m./g• more shrinkage upon drying• polymeric; interconnected fibers• higher compressive modulus	<ul style="list-style-type: none">• large particle size ~200 Å• low surface area ~ 350 sq.m./g• less shrinkage upon drying• colloidal; interconnected particles• lower compressive modulus



Organic modifications of the traditional sol-gel approach



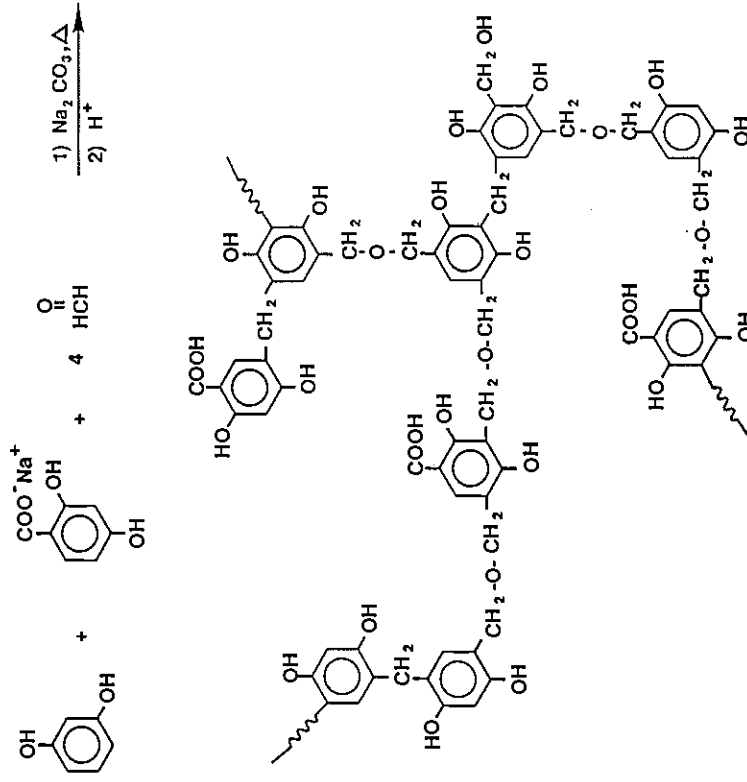
- **Reaction of hydroxy terminated polymers with metal alkoxides**
 - Ormosils
 - Ormocers
 - Ceramers
- **Formation of a crosslinked inorganic gel followed by free radical polymerization of an organic monomer in the pores**
- **Swelling of a crosslinked rubber with a metal alkoxide followed by a sol-gel polymerization**

TEM reveals the interconnected bead morphology of a carbonized aerogel

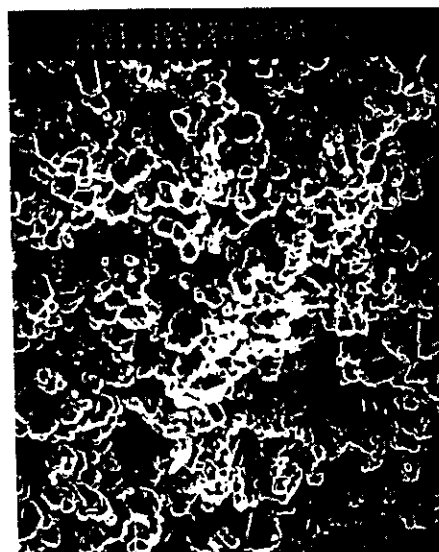
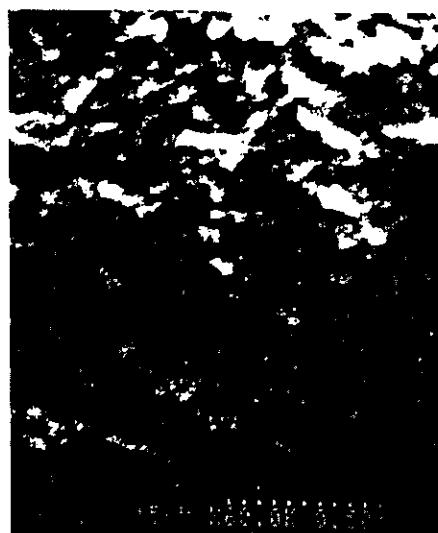
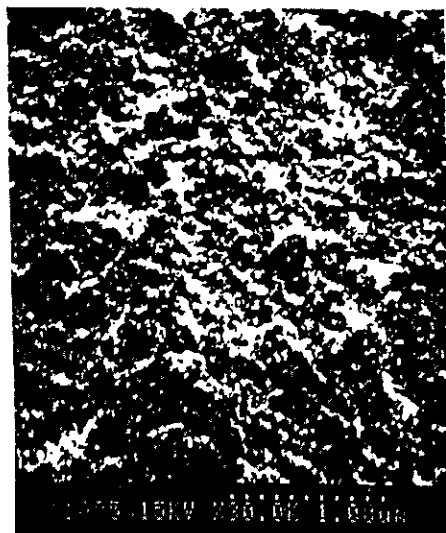


- Sol-gel polymerization of multifunctional organic monomers having ion exchange capacity
 - resorcinol + 2,4-dihydroxy benzoic acid + formaldehyde
- Copolymerization of metal alkoxides with an organic sol
 - tetramethoxysilane + resorcinol-formaldehyde
 - tetramethoxysilane + melamine-formaldehyde
- Copolymerization of an inorganic colloid with an organic sol
 - silica + resorcinol-formaldehyde
 - gold + melamine-formaldehyde
 - titania + carbon

RF chemistry can be modified to provide carboxyl groups for ion-exchange



Crosslinked Ion-exchange resin



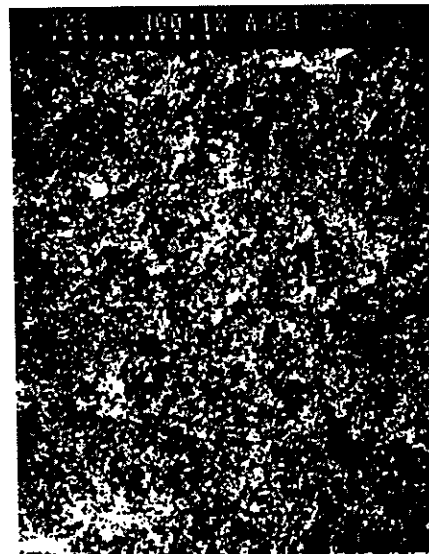
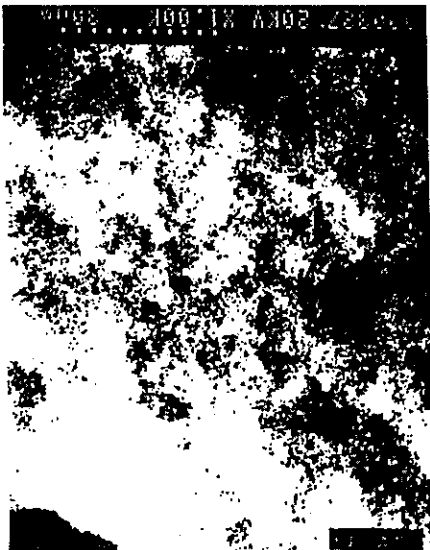


Aerogel Forms

- monoliths
- microspheres
- thin films
- composites

Synthesis

- improved gel strength
- elimination of solvent exchange step
- improved drying times





Approach

- induce additional crosslinking in gel through aging or chemistry (i.e., improve gel matrix strength)
- modify surface chemistry to change contact angle of pore fluid with respect to the skeleton
- solvent evaporation from appropriate fluid

UNM and SNLA have demonstrated the feasibility of ambient pressure drying for silica aerogels; however, the approach still suffers from multiple solvent exchanges. In addition, the density must be lowered to achieve the lowest thermal conductivity.

Keys to successful drying



- Surface chemistry (control contact angle)
capillary pressure: $\Delta P = -\gamma \cos(\theta) / r$
- Pore morphology (size & uniformity)
capillary pressure: $\Delta P = -\gamma \cos(\theta) / r$
permeability: $K = K' (1 + \alpha r/P)$
- Gel strength (to withstand drying forces)
stress to crack: $\sigma \approx [10 \alpha^2 / (1 + 4\pi\alpha)]$; $\alpha = [(2/k)(P/\tau)(\Pi/D')\eta r^2]$
- Selection of solvent (low surface tension; low viscosity)
e.g., carbon dioxide
- Geometry (reduce differential stress)
 $\sigma_{\text{sphere}} < \sigma_{\text{cylinder}} < \sigma_{\text{plate}}$

Use chemistry to control four of the five above

Motivation



Although aerogels were first developed in the 1930s, only limited commercial applications exist for this fascinating material. Monolithic aerogels have very low thermal conductivities (i.e., 0.012-0.016 W/m-K), yet these materials are not as cost-competitive or user-friendly as standard foam insulation. A potential solution to the above problems is the synthesis of aerogels in a granular form. While BASF (Ludwigshafen, Germany) has demonstrated the production of silica aerogel granules, our research is focused on new methods for the production of *organic aerogel* microspheres or powders.

Potential Advantages

- decreased diffusion times since microspheres are only 10-200 μm in diameter
- faster processing, semi-continuous operation
- lower cost
- minimized material handling

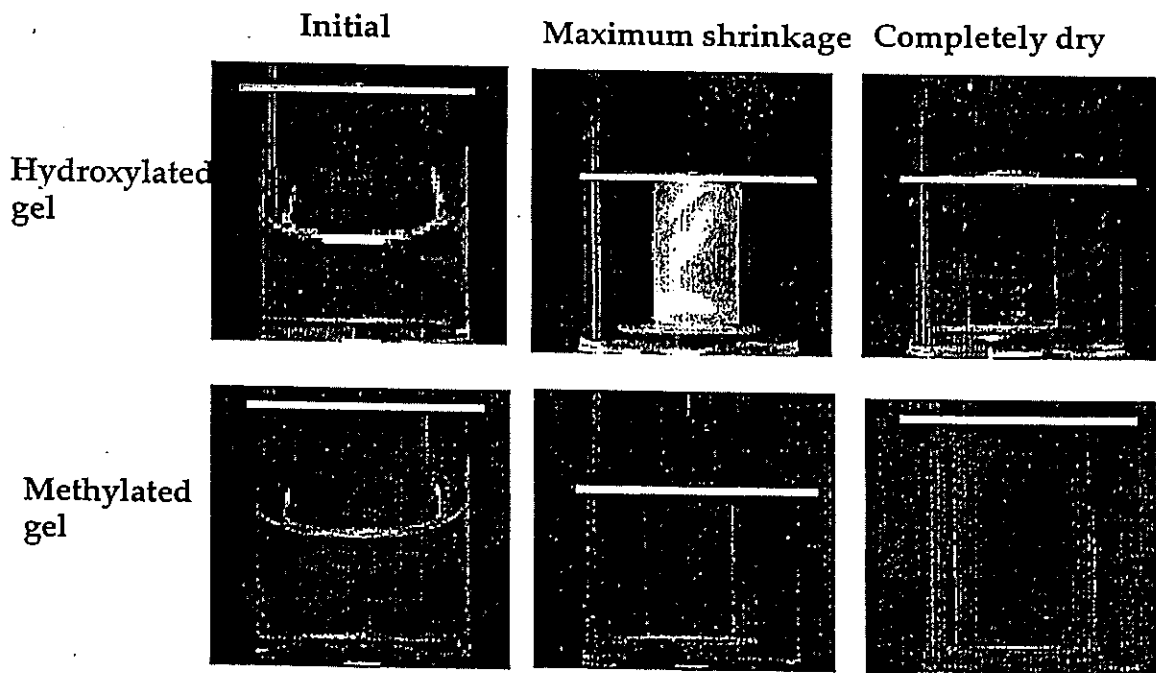
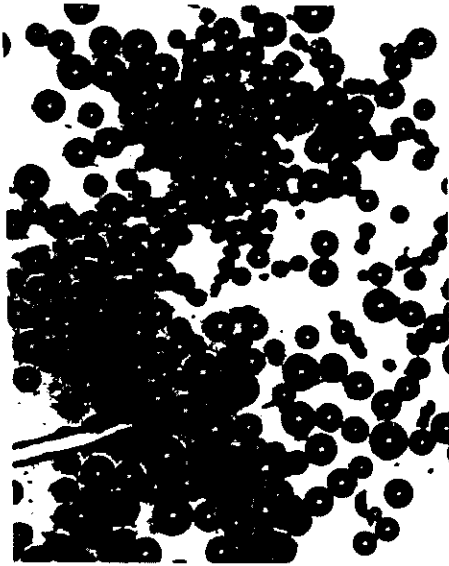
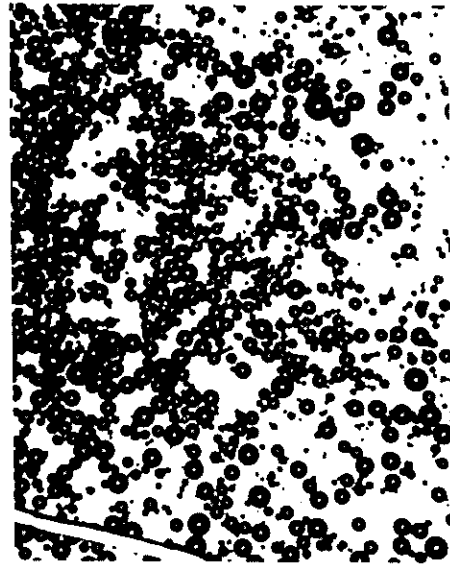


Figure 10 In-situ video images of silica gel with either a hydroxylated or methylated surface at various stages of drying.

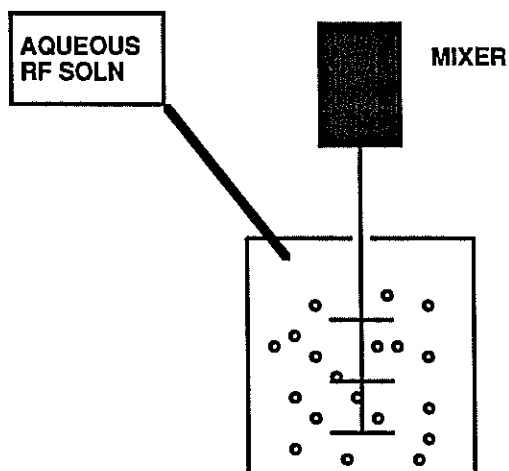


Resorcinol-formaldehyde



Carbon

Inverse emulsion polymerization can be used to form organic aerogel microspheres

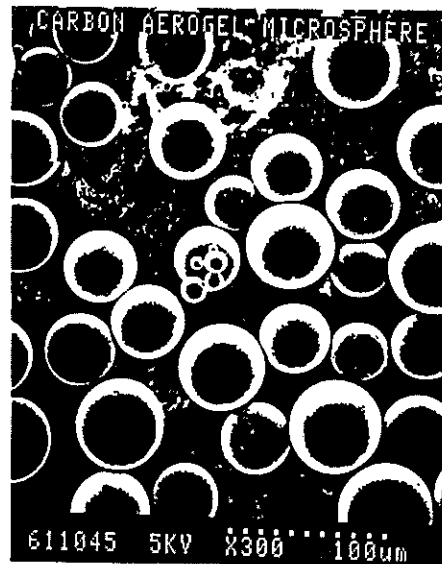
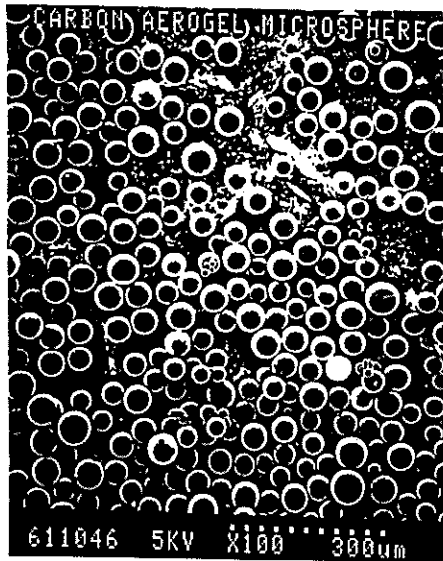


- 1) add RF solution to immiscible solvent (e.g. cyclohexane)
- 2) agitate and heat
- 3) filter crosslinked gel spheres
- 4) dry
- 5) pyrolyze at 1050 °C



- Optical
- Thermal
- Acoustic
- Electrical
- Mechanical

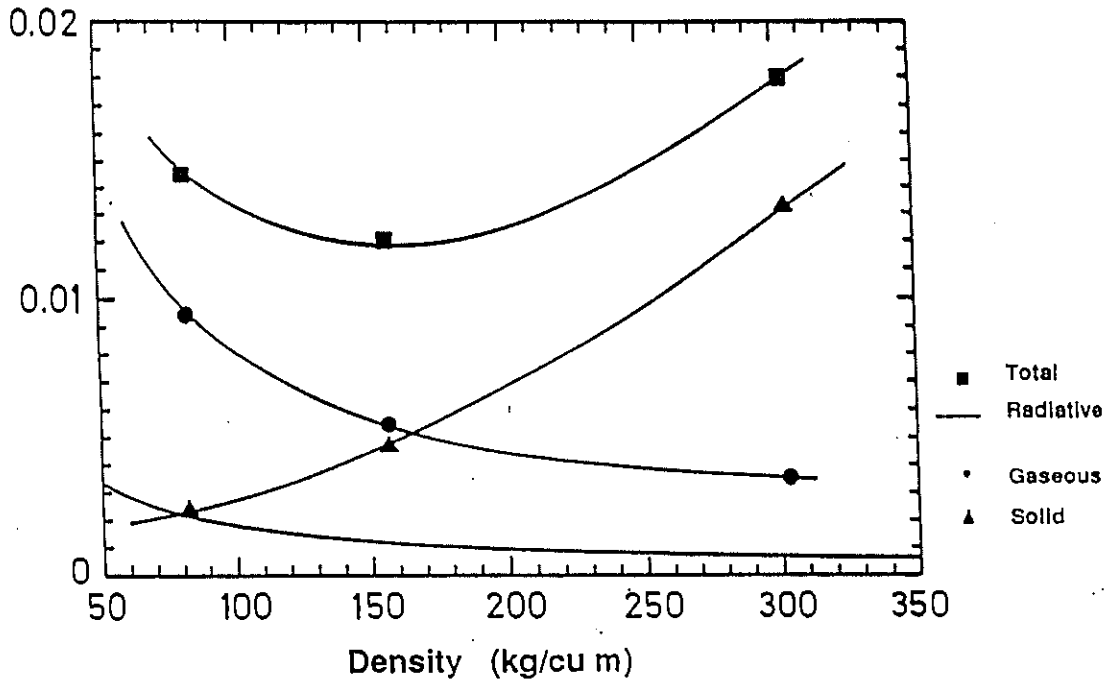
Controlling structure at the nanometer scale has a dramatic effect upon the properties of porous materials



Total thermal conductivity of RF aerogel monoliths as a function of density



Thermal conductivity
(W/m·K)



Minimum conductivity occurs at an optimum aerogel density



The total thermal conductivity of aerogel is the sum of the contributions:

$$\lambda_t' = \lambda_s' + \lambda_g' + \lambda_r'$$

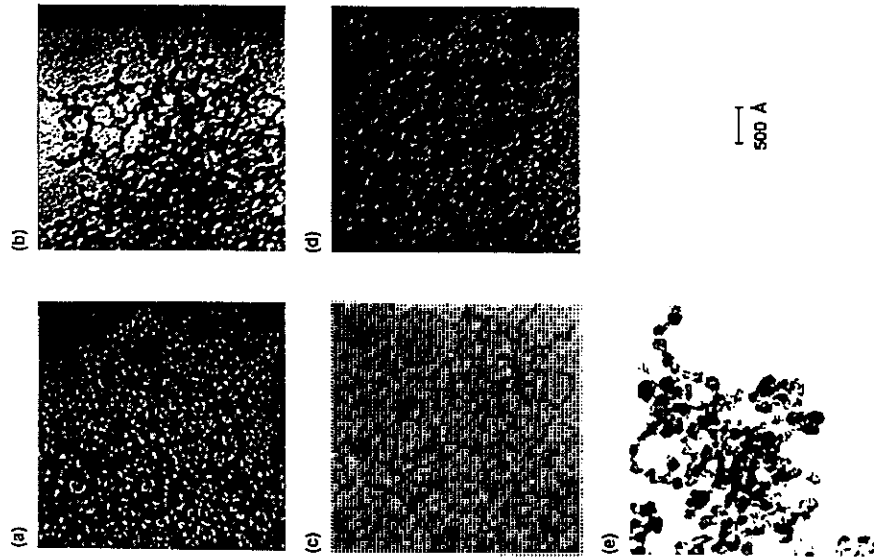
All contributions are density dependent.

The optimum density for minimum thermal conductivity can be calculated from:

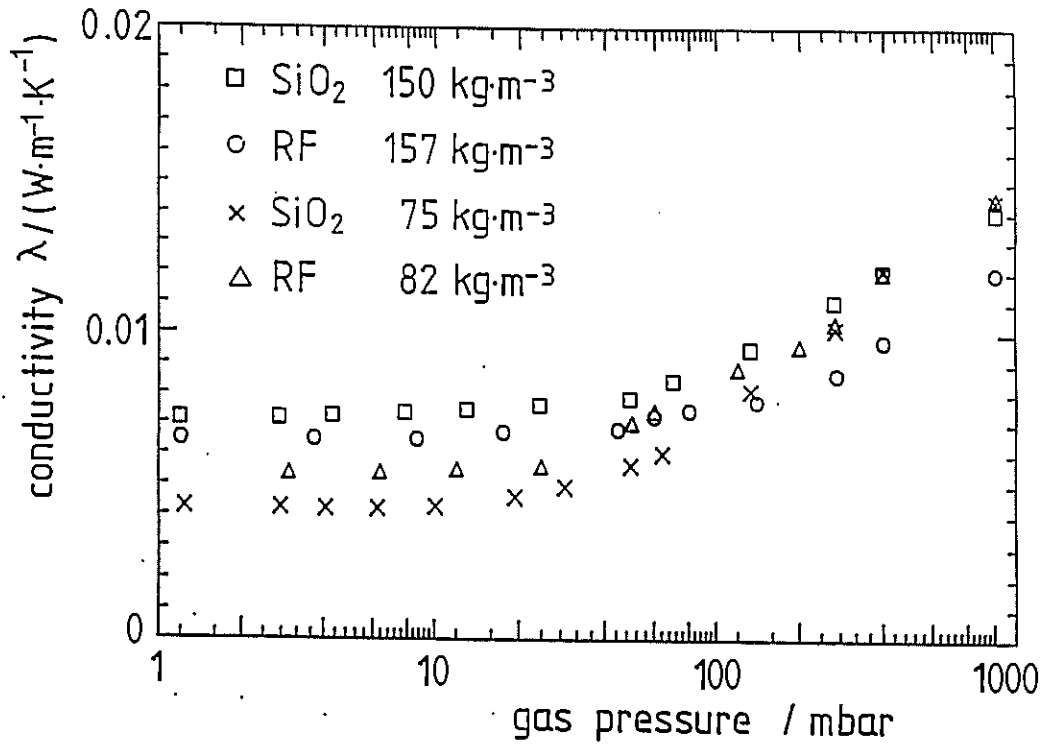
$$\rho'_{opt} = 10^x$$

where

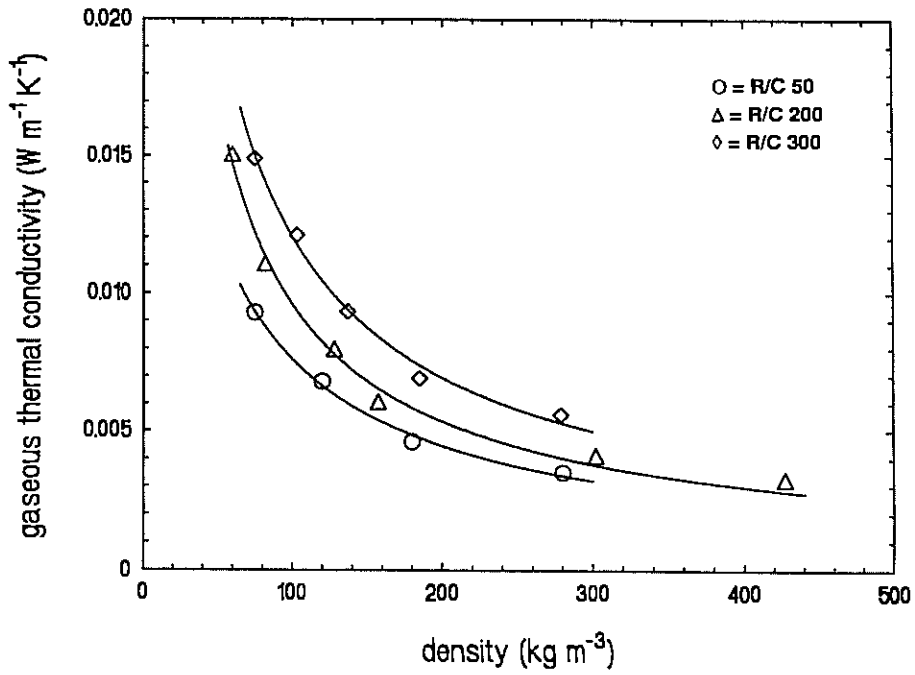
$$x = (0.347) \{ \log (0.025 + 882/K_s) - \log (91.4 \cdot \lambda_s) \} + \log \rho_s$$



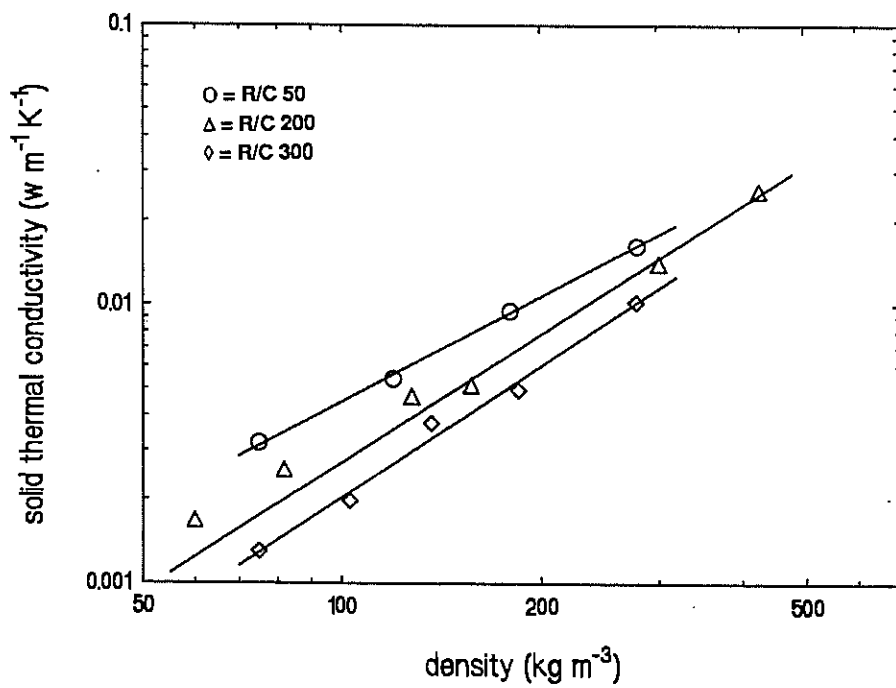
Thermal conductivity of evacuated aerogels



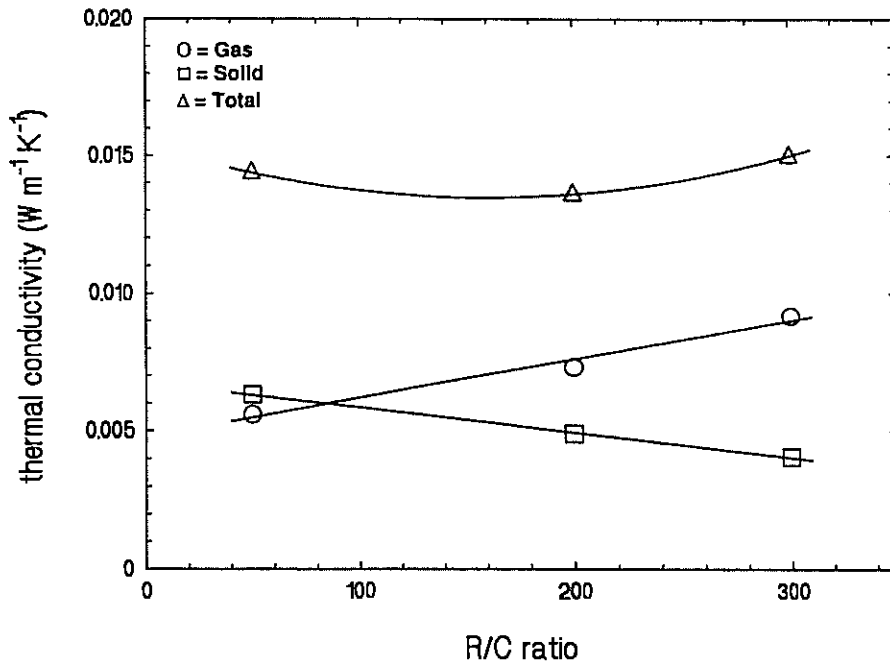
Gaseous conductivity vs. density for RF aerogels having different nanostructures



Double log plot of solid conductivity vs. density for RF aerogels having different nanostructures

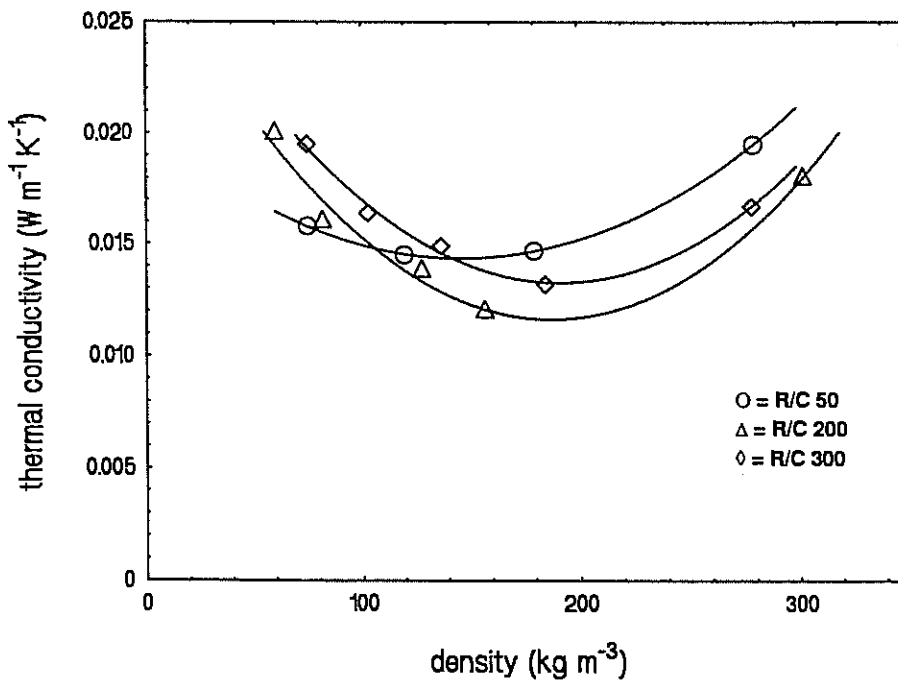


Variation in gaseous, solid, and total thermal conductivity as a function of the R/C ratio



* Aerogel bulk density equals 137 kg/m³ for all samples

Total thermal conductivity vs. density for RF aerogels having different nanostructures



Foam structure-property relationships



- Typical observation...

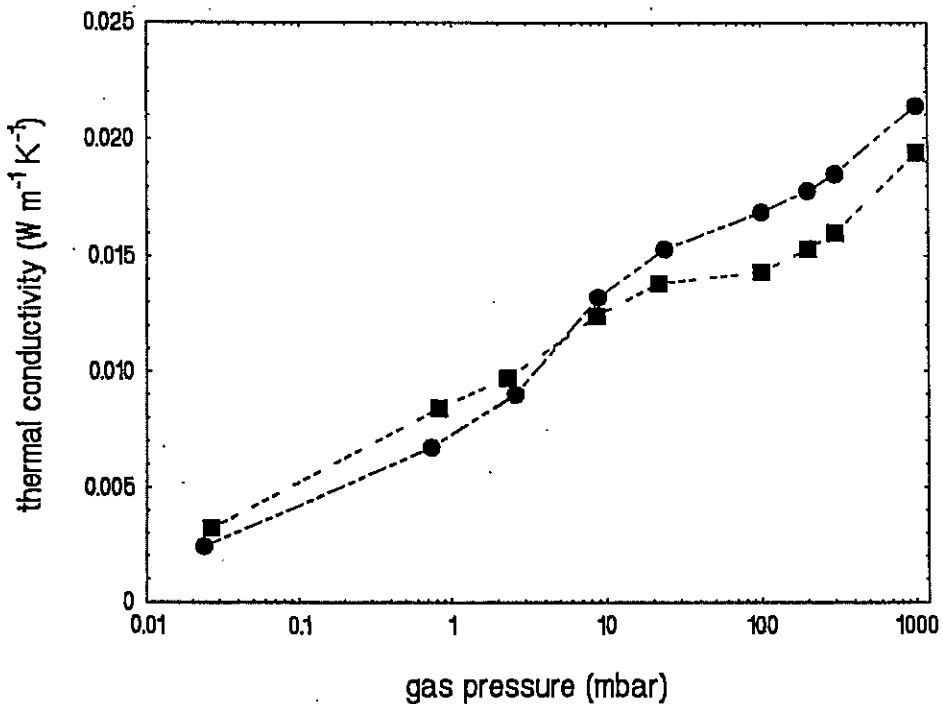
$$E \propto \rho^n$$

where E = Young's Modulus
 ρ = foam density
 n = scaling exponent

- Comments...

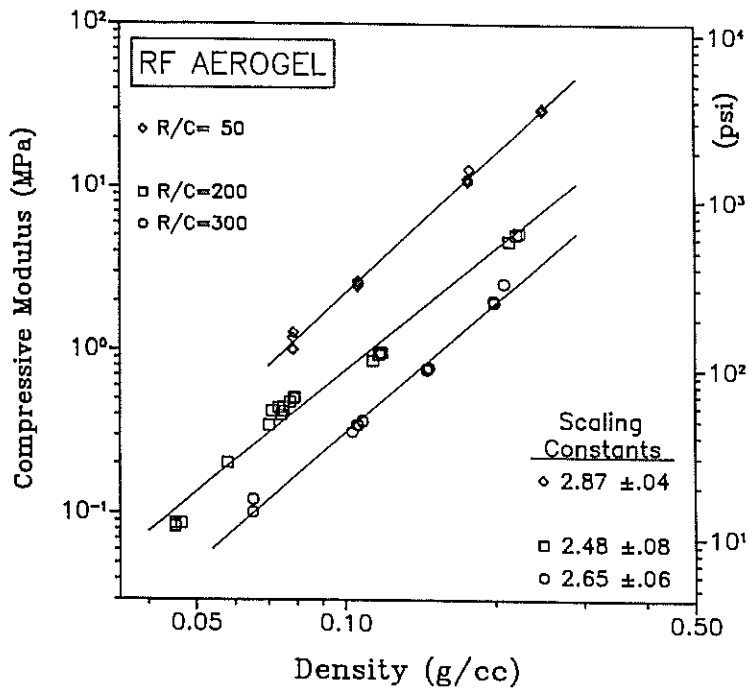
- For most foams $2 \leq n \leq 4$
- For silica aerogels $n \sim 3.7 \pm 0.5$
- The empirical relationship is predicted by a variety of structural models at the limit of low density.
- The empirical relationship does not account for variations in foam structure.

Thermal conductivity of loosely packed RF microspheres as a function of gas pressure

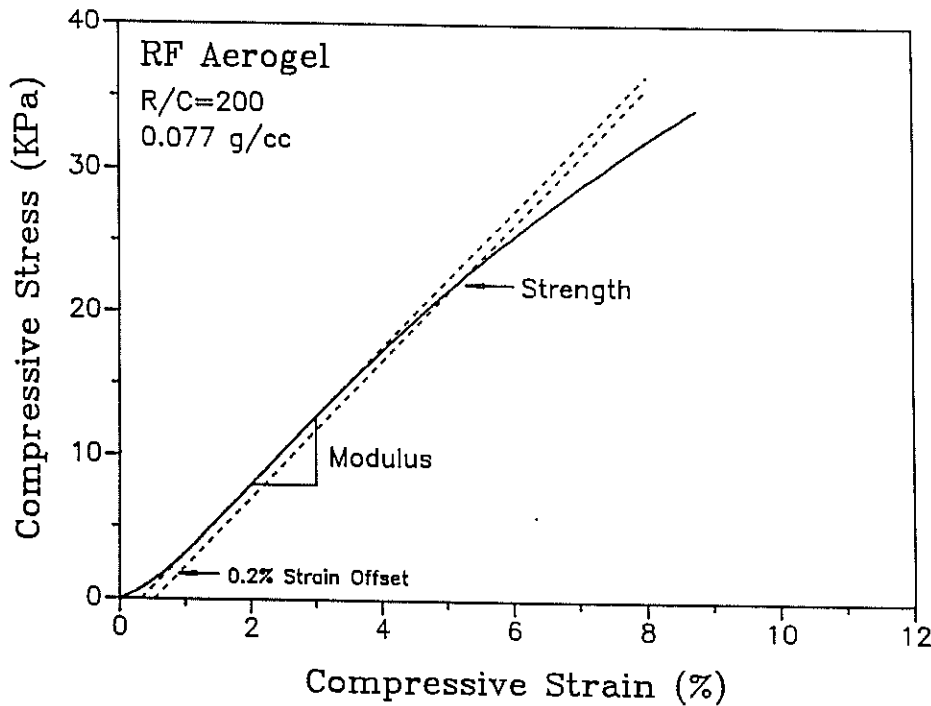


- 45 μm; 109 kg/m³
- 85 μm; 91 kg/m³

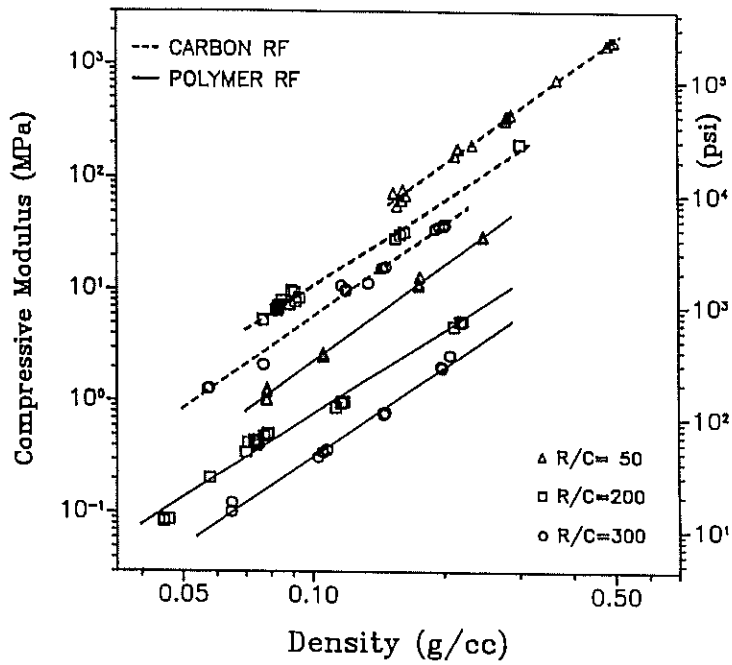
The mechanical properties of RF aerogels depend upon synthetic conditions



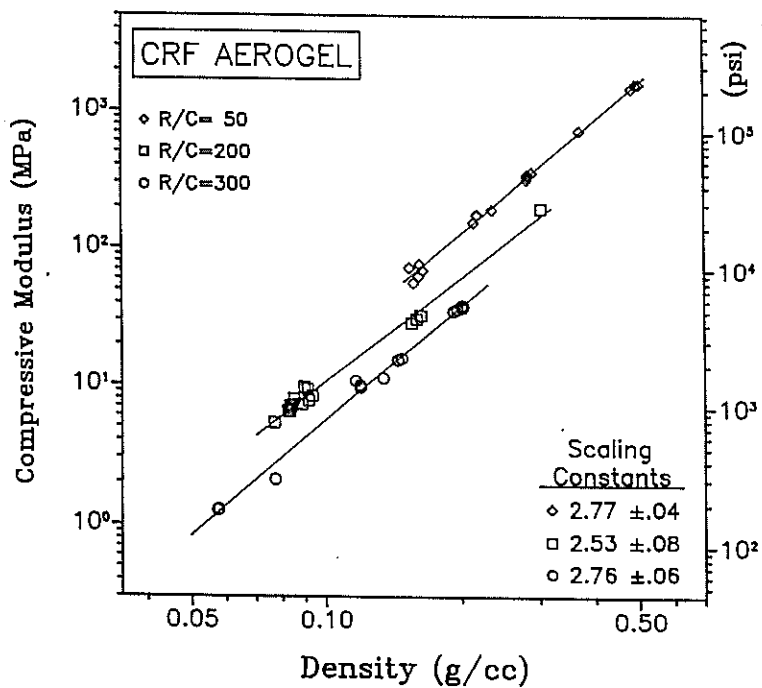
Stress-strain curve for an RF aerogel



Compressive modulus data for RF and carbon aerogels



The mechanical properties of carbon aerogels depend upon synthetic conditions



Aerogel applications and research opportunities

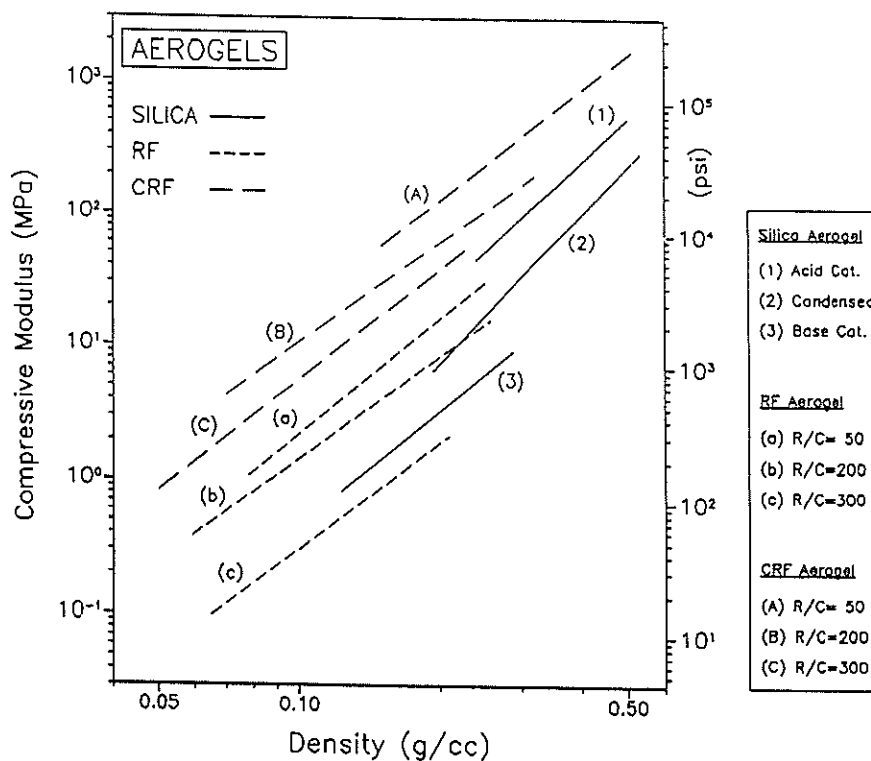


• Potential applications

- superinsulators
- Cerenkov detectors
- battery electrodes
- supercapacitors
- permselective membranes
- thin film dielectrics
- acoustic impedance matching devices
- chemical sensors
- cosmic dust collection
- lightweight mirrors
- catalyst supports
- gas storage
- capacitive deionization

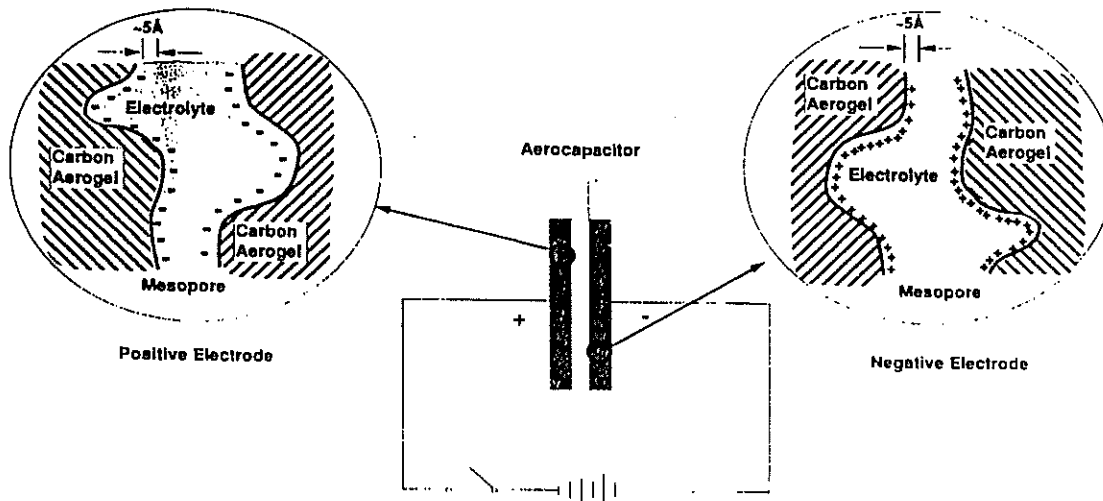
The aerogel nanostructure is responsible for the unique optical, thermal, acoustic, mechanical, and electrical properties of these porous materials

Mechanical property comparison for RF, carbon, and silica aerogels



What is an Aerocapacitor?

- A high power and energy capacitor based on the voltage induced separation of charge (double layer) at an electrolyte/solid interface
- The amount of double-layer space-charge effect (capacity) scales directly with the amount of usable electrode surface area
- The Aerocapacitor uses LLNL high surface area carbon Aerogels as both the positive and negative electrodes

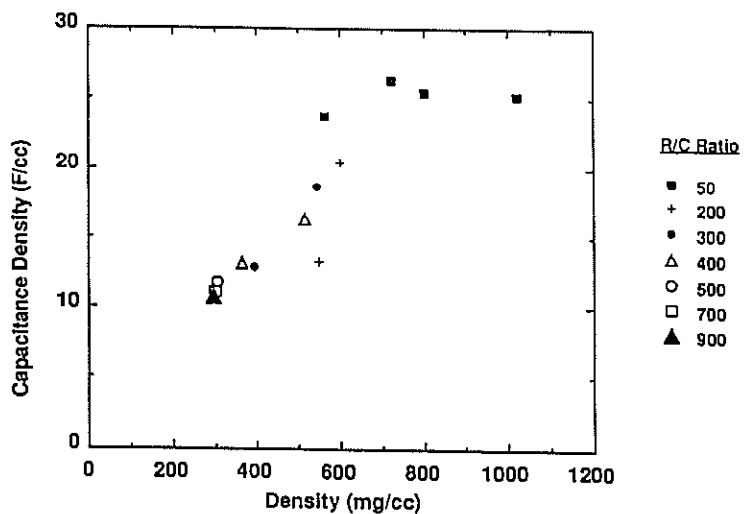


Carbon aerogels are being tailored for supercapacitor applications

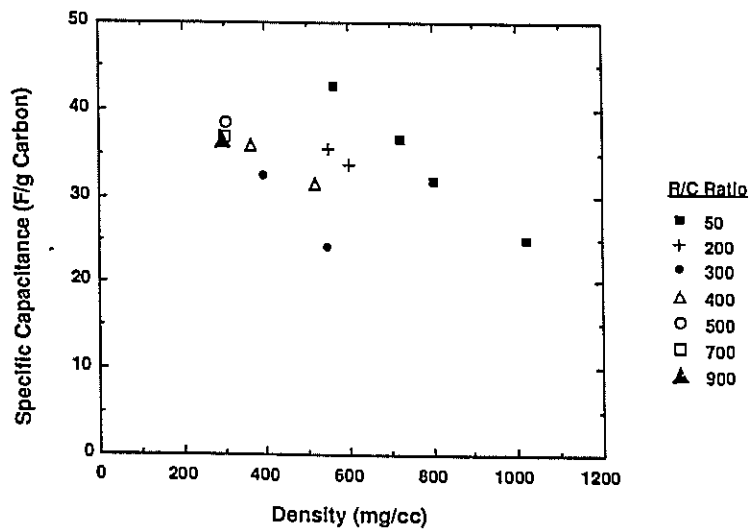


- pore size distribution
- surface area
- surface groups (e.g. -COOH, -OH)
- carbon structure (amorphous vs graphitic)
- electrical conductivity
- incorporation of selected dopants

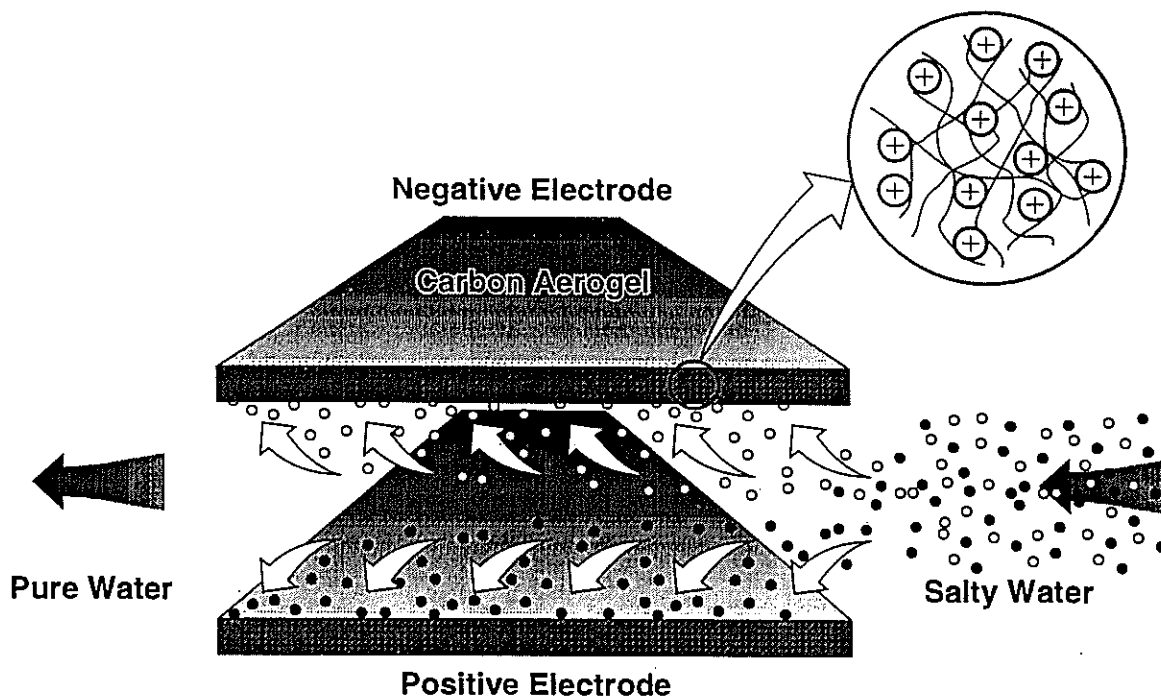
Capacitance Density vs. Density



Specific Capacitance (Dry) vs. Density



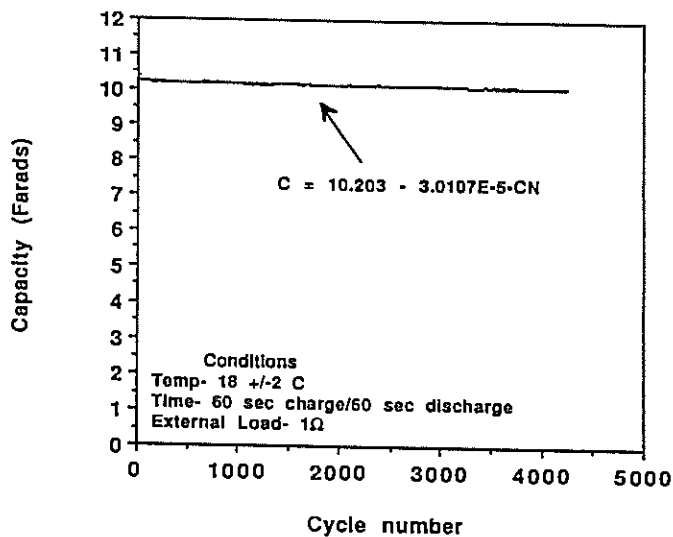
Capacitive Deionization

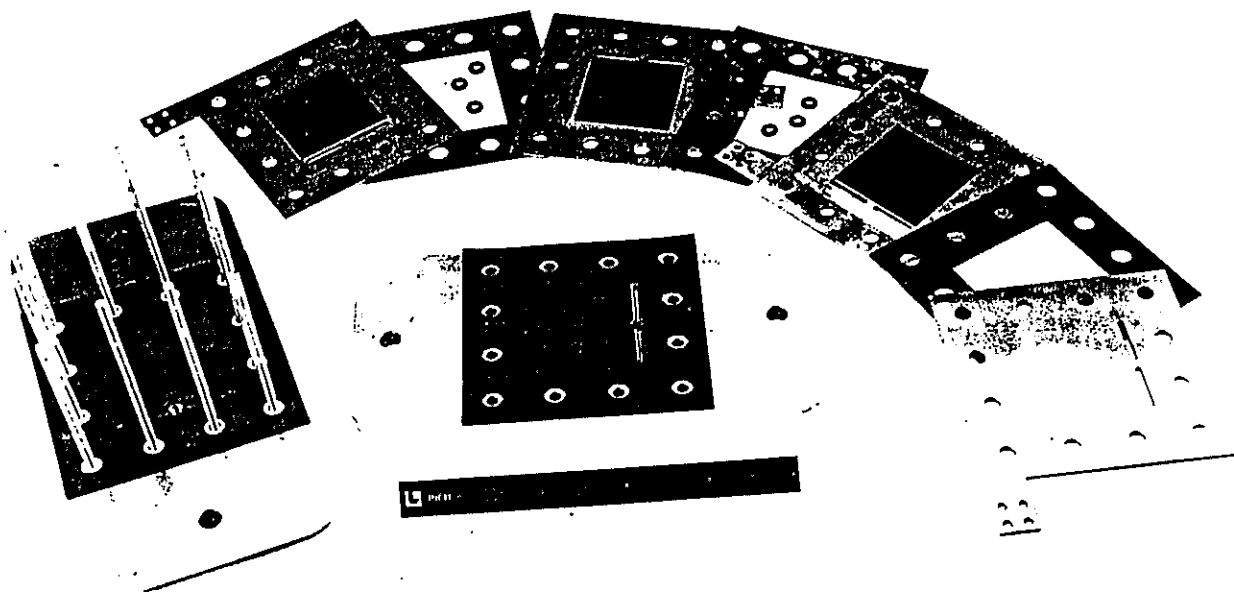
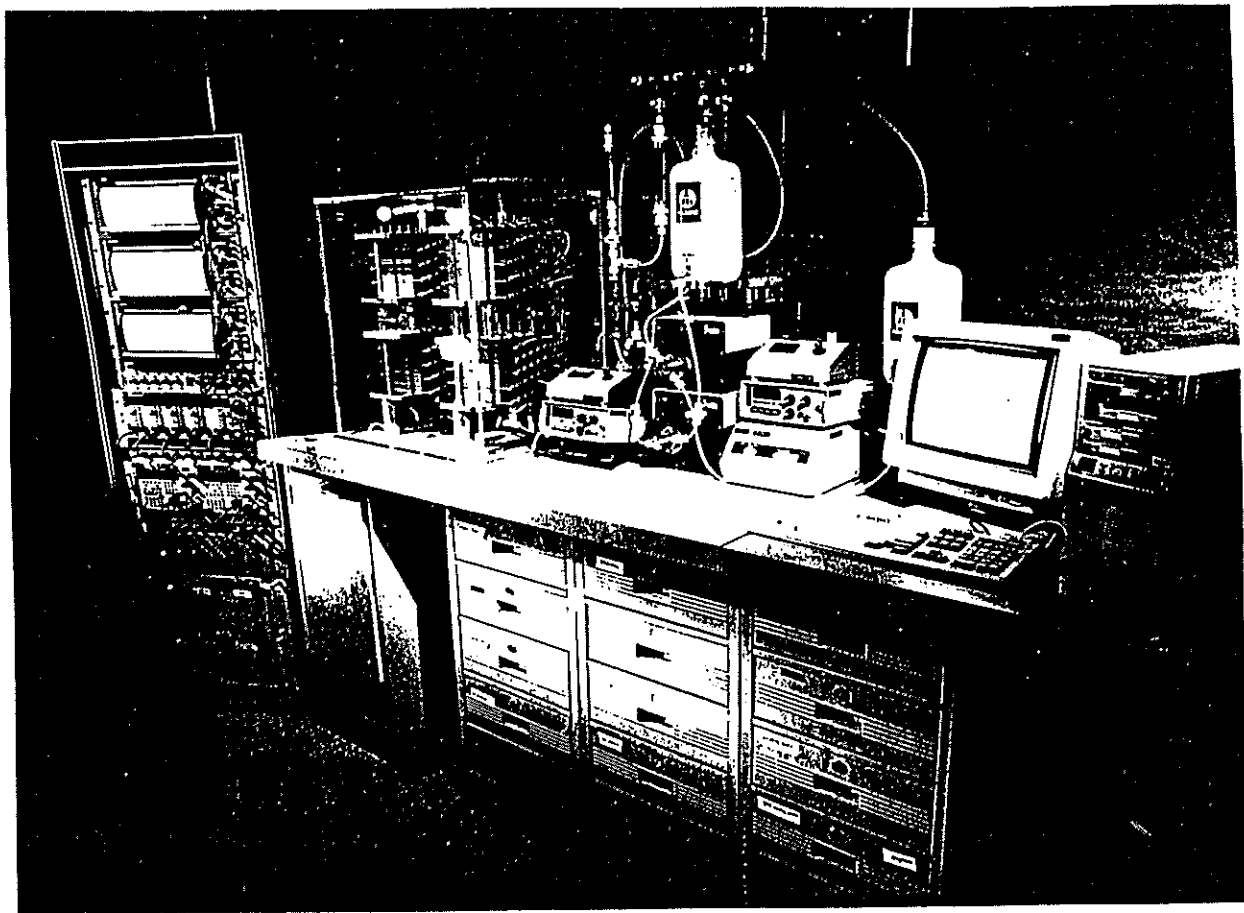


The aerocapacitor is projected to have 85% of its original capacity after 100 K cycles



Cycle Life Data of An Aerocapacitor "Button" Cell



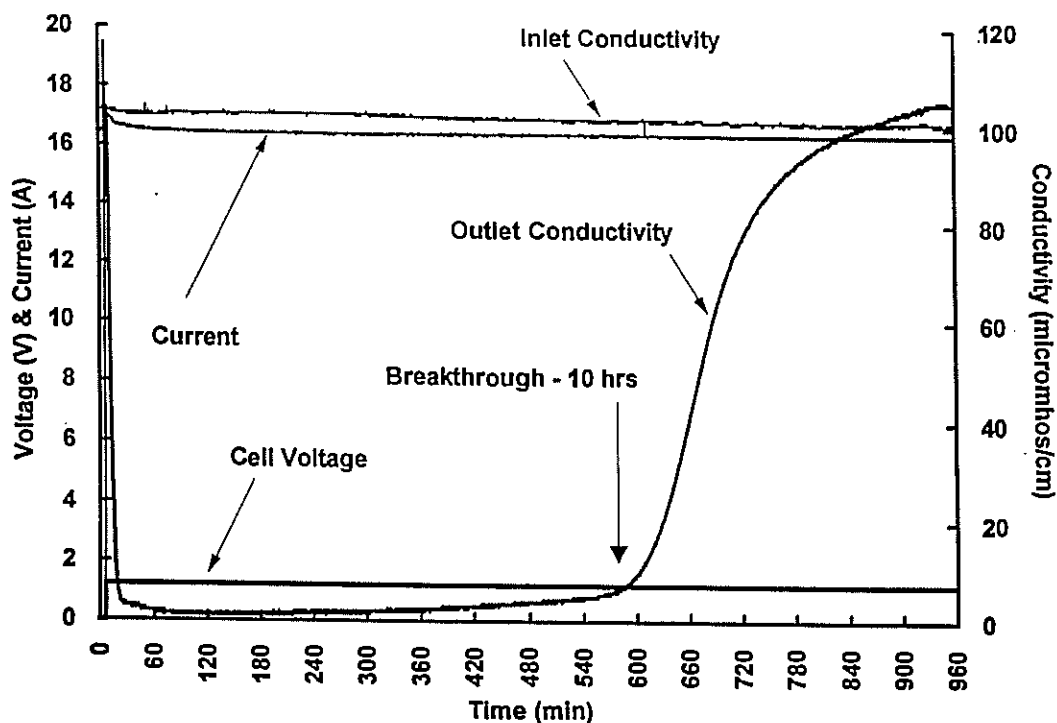


Summary



- Aerogels are a special class of open-cell foams that have unique optical, thermal, acoustic, mechanical, and electrical properties.
- Sol-gel chemistry can be used to tailor the structure and properties of aerogels at the nanometer scale.
- Alternative processing methods have been demonstrated to produce both inorganic and organic aerogels as microspheres, powders, thin films, and composites
- New inorganic/organic hybrid aerogels have been synthesized and show promise as ceramic precursors.
- Commonalities between inorganic and organic aerogels suggest that a universal model might be developed for the sol-gel polymerization of multifunctional monomers in dilute solution.

Single pass experiment with NaCl solution



Acknowledgment



Personnel

- C.T. Alviso
- T. M. Tillotson
- Dr. J. Farmer
- Dr. X. Lu

Funding

- OBES - Division of Advanced Energy Projects
- ARPA - Technology Reinvestment Program

Organic/Inorganic Hybrid Polymers

- | | |
|--------------------|---|
| Michael Michalczyk | Star Gels: New Single Component Organic/Inorganic Network Materials |
| Barry Arkles | Commercial Production of Inorganic/Organometallic Hybrids |
| Frank J. Feher | Rational Synthesis of Si/O and Si/O/M Frameworks |
| Helmut Schmidt | Inorganic/Organometallic Hybrid Polymers: Routes to Industrial Applications |

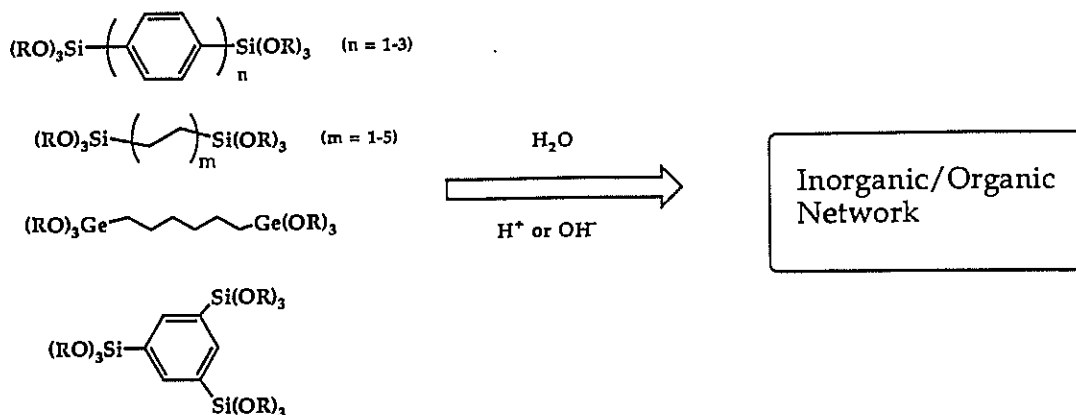
Star Gels: New Single Component Organic/Inorganic Network Materials

Mike Michalczyk
Ken Sharp

DuPont Central Research

February 13, 1995

Single Component Inorganic/Organic Hybrid Materials



K. Shea, D. Loy, O. Webster, *Chem. Mater.*, (1989), 1, 572
 R. Corriu, J. Moreau, P. Thepot and M. Man, *Chem. Mater.*, (1992), 4, 1217
 H. Oviatt, K. Shea, J. Small, *Chem. Mater.*, (1993), 5, 943
 G. Jamison, D. Loy, K. Shea, *Chem. Mater.*, (1993), 4, 1193
 B. Tamami, C. Betrabet, G. Wilkes, *Polymer Bulletin*, (1993), 30, 39

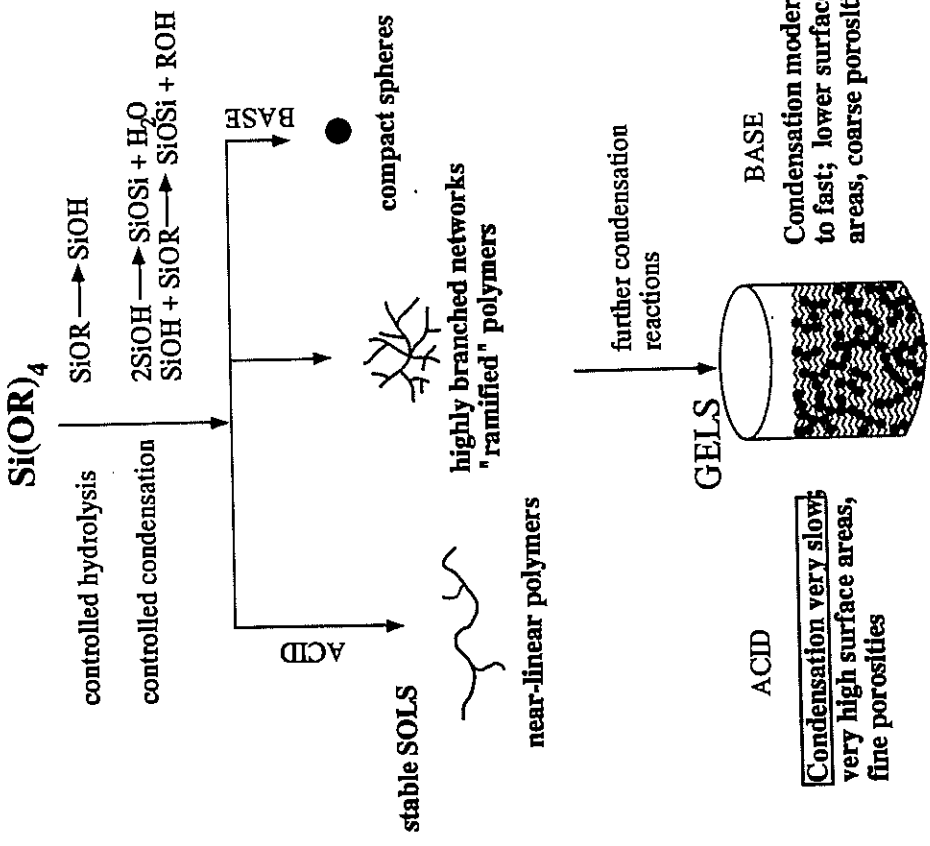
Inorganic/Organic Hybrid Materials

- Interpenetrating networks (IPN's) (polymers + silica)
- Organically modified silicates (Ormosils, Ceramers)
- Single component inorganic/organic hybrids (Star-gels, aryl and alkyl silsesquioxanes)

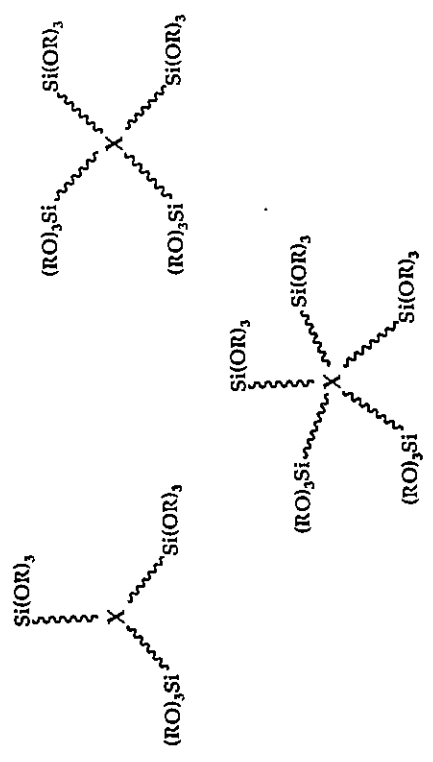
Why? To obtain new, unique materials and properties that can not be obtained by either the inorganic or organic phases alone, or by just mixing.

Review: B. M. Novak, *Adv. Mater.*, (1993), 5, 422
 C. Sanchez, F. Ribot, *New J. Chem.*, (1994), 18, 1007

ACID AND BASE-CATALYZED SOL/GEL



Star Sol-Gel Precursors (Star Gels)

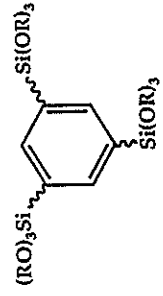
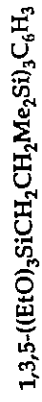
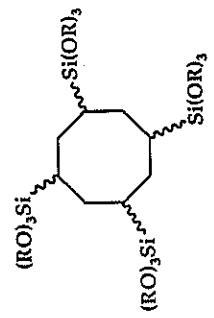
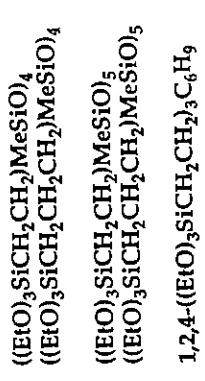
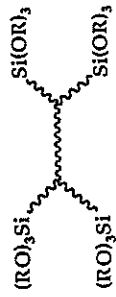
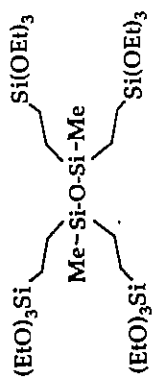
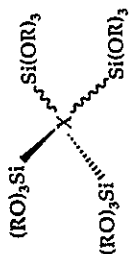
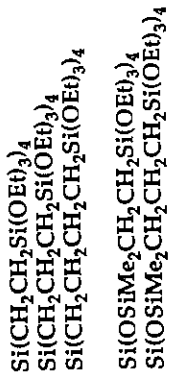


where: X = star core (atomic •, linear < or cyclic ◻)

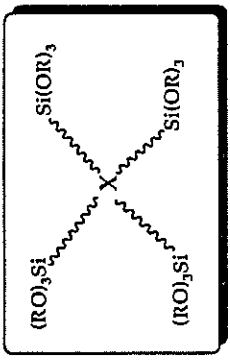
~~~~~ = flexible arm (-CH<sub>2</sub>CH<sub>2</sub>- or -CH<sub>2</sub>CH<sub>2</sub>CH<sub>2</sub>-)



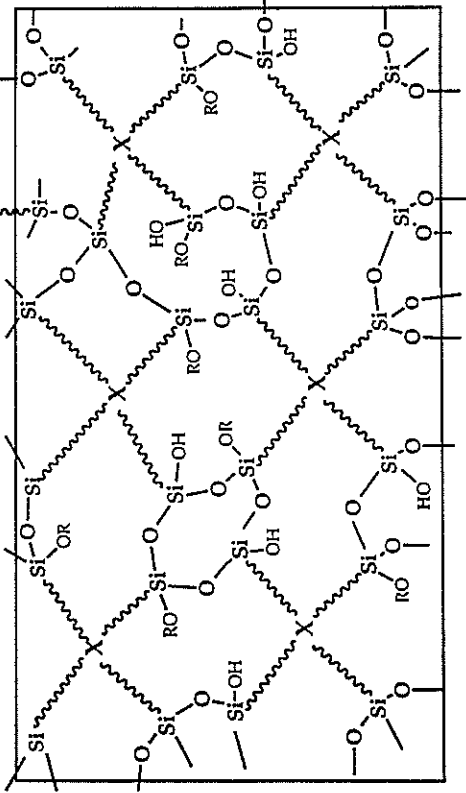
# Synthesized Star-Gel Precursors



# Formation of a Star-Gel Network



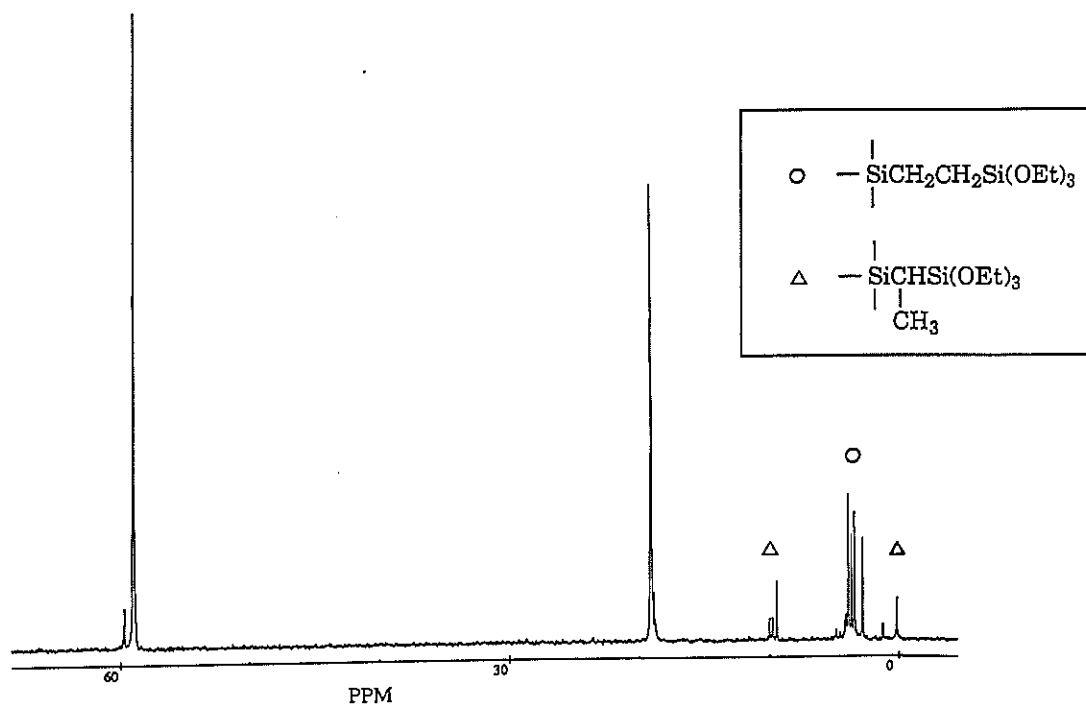
acid or base catalyst  
-ROH



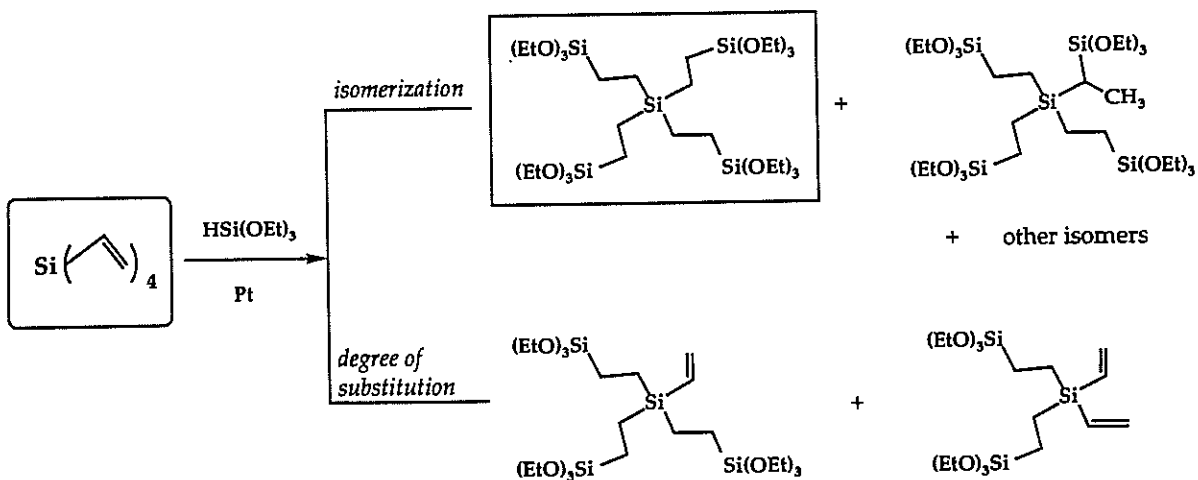
X = star core  
 ~~~~~ = star core to polysilicate  
 (molecular shock absorbers)
 flexible arm connecting

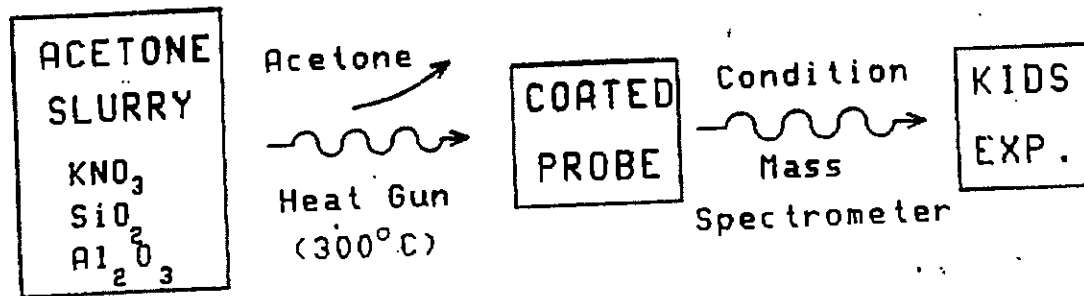
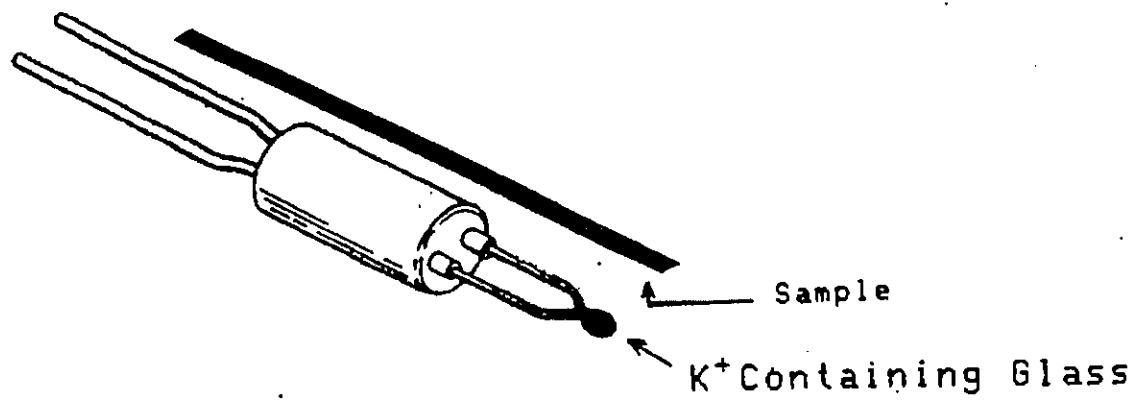
^{13}C NMR Spectrum of $\text{Si}(\text{CH}_2\text{CH}_2\text{Si}(\text{OEt})_3)_4$

(from $\text{HSi}(\text{OEt})_3$)



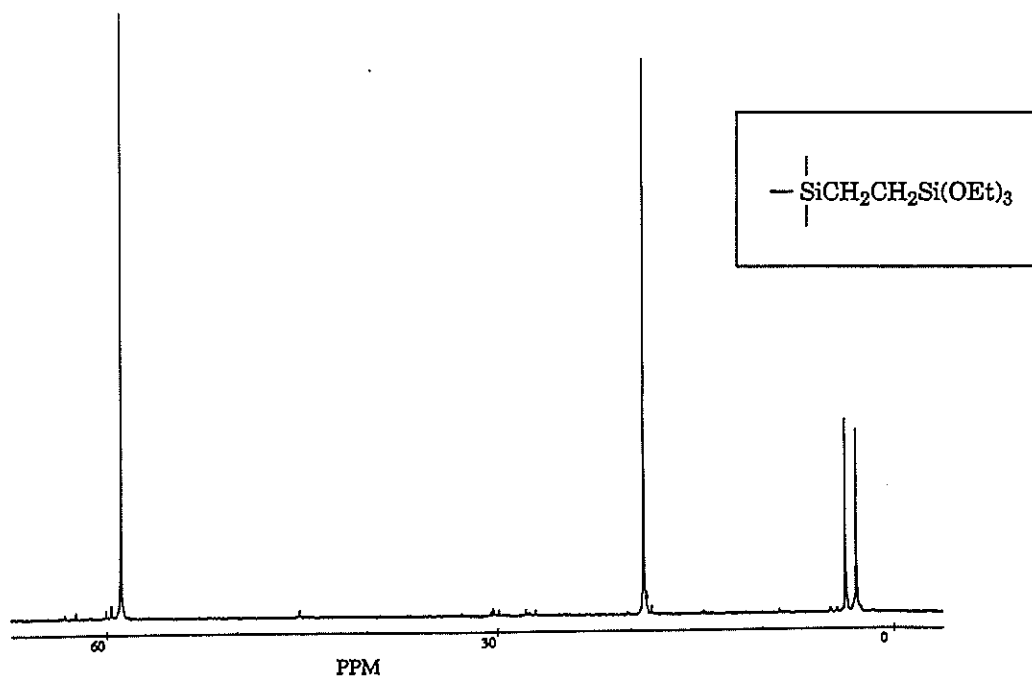
Synthetic Problems



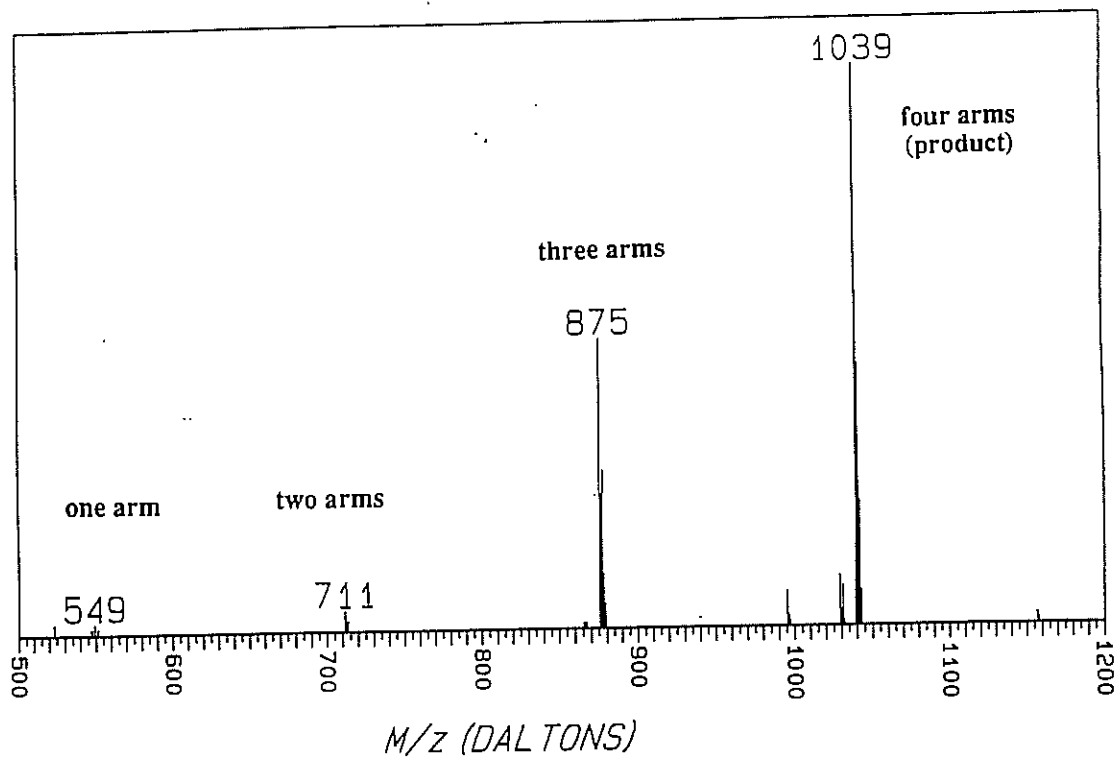
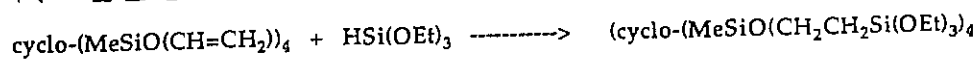


^{13}C NMR Spectrum of $\text{Si}(\text{CH}_2\text{CH}_2\text{Si}(\text{OEt})_3)_4$

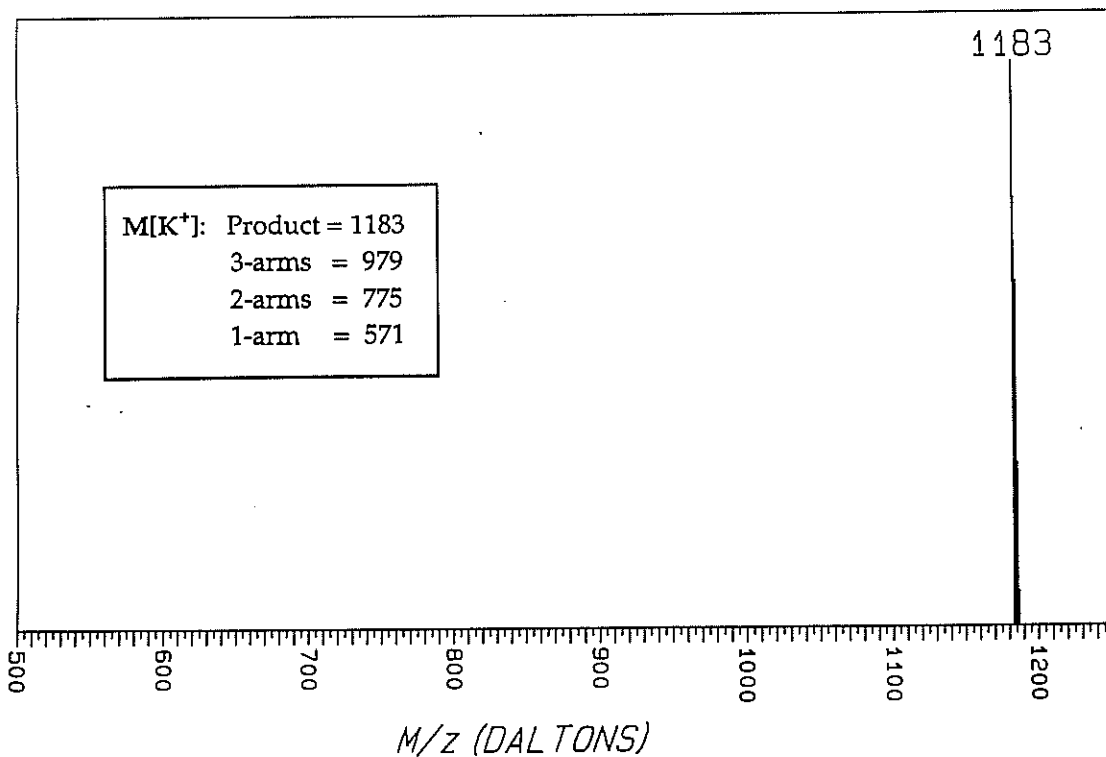
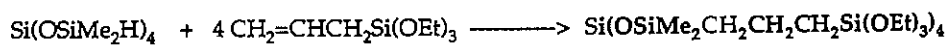
(from $\text{HSiCl}_3/\text{THF}$)



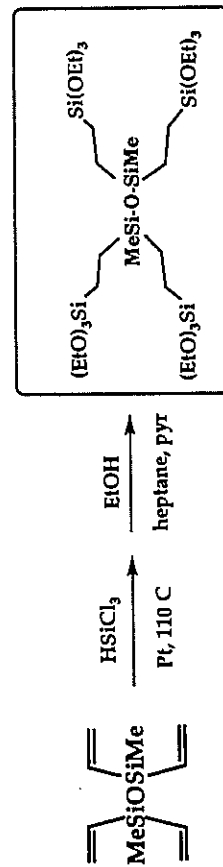
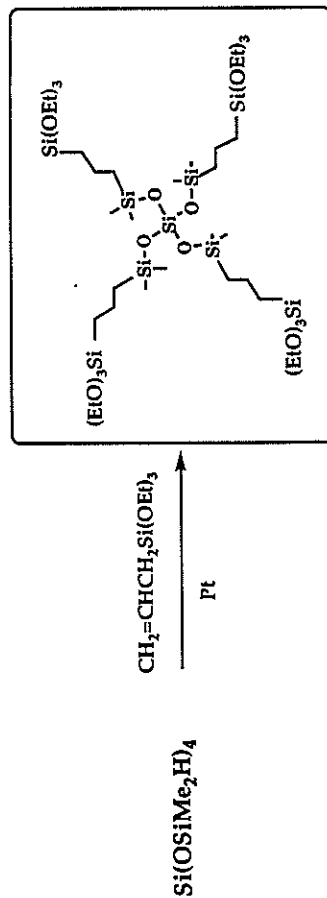
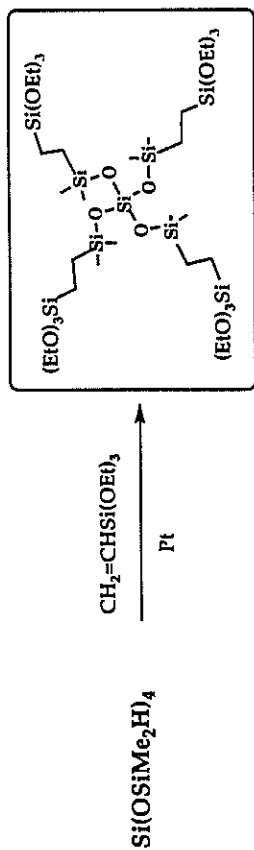
K⁺IDS MASS SPECTRUM



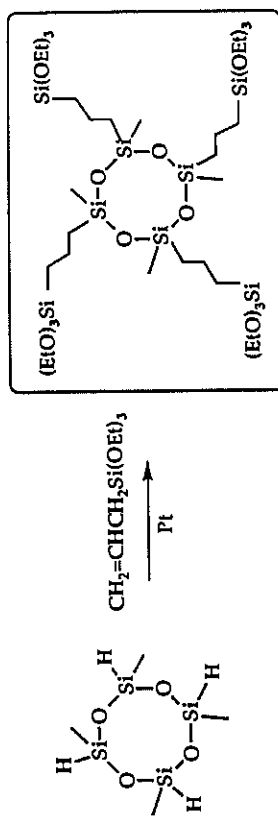
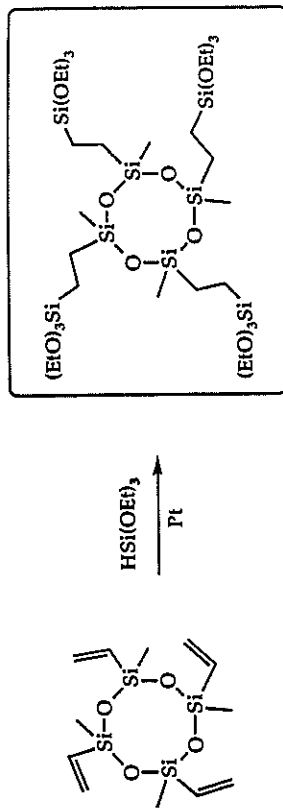
K⁺IDS MASS SPECTRUM



Tetra-Functional Stars



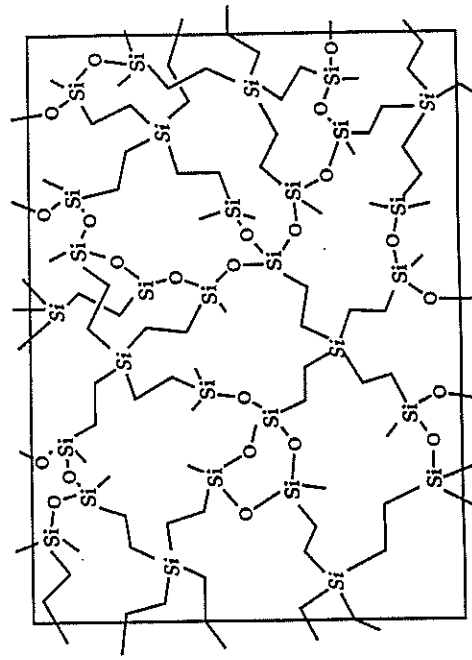
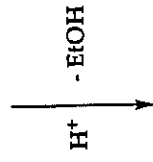
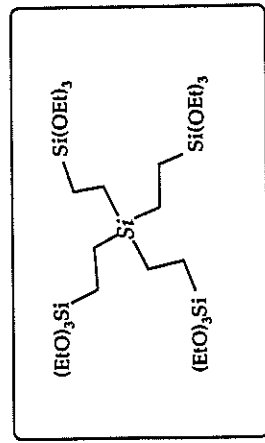
Cyclic Stars



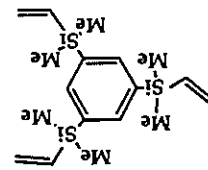
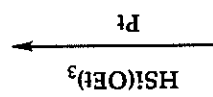
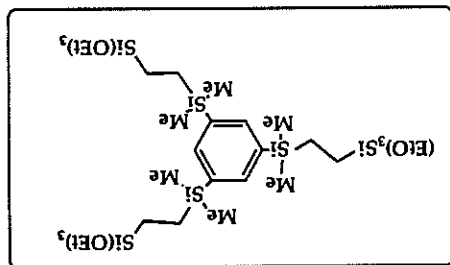
• Cyclopentasiloxane systems have also been synthesized

References: R. P. Superant, US Patent 4,461,867 (7/24/84)
 E. P. Flueddemann, US Patent 4,698,085 (8/25/87)
 I. Ona, et. al., Euro Patent Appl. 0,183,533 (11/27/85)

Formation of Star-Gel Network

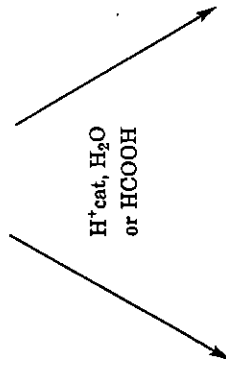


Aryl Stars



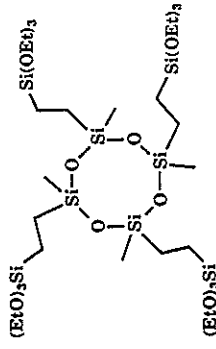
Formation of Star-Gel Materials

Star-gel Precursors

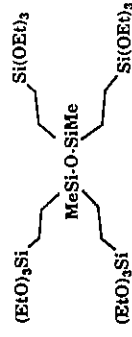
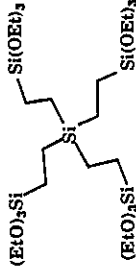


Star-gel Networks
and glasses

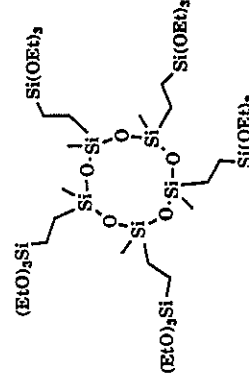
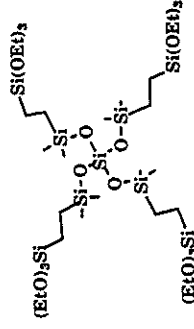
Organic/Inorganic
hybrid coatings and
adhesives



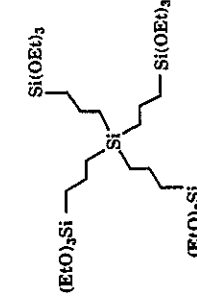
Star 1



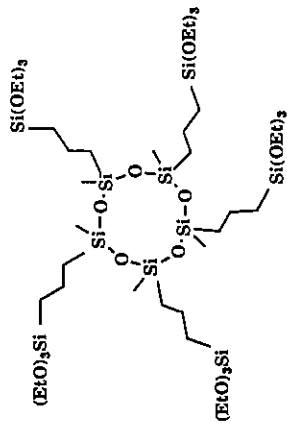
Star 3



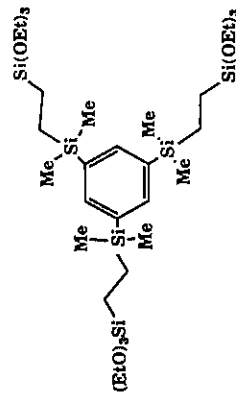
Star 11



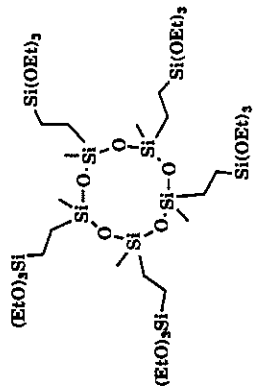
Star Glass from



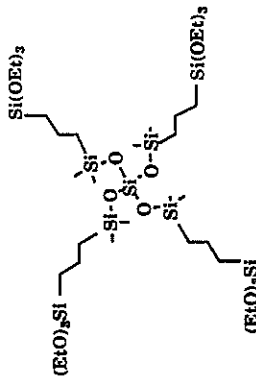
Star 13



Star 19



Star 12



Star 14

STAR GELATION PHENOMENOLOGY

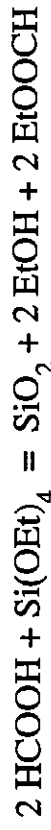
TWO COMPONENT, NON-AQUEOUS ROUTE TO SILICA GEL

Via formic or other strong carboxylic acids



leads to rapid hydrolysis and gelation of silane
without additional water or catalyst

- Form transparent gels in as little as 25 min. at 25° -- 100-500x faster than "normal" acid catalysis
- System creates its own water for hydrolysis
- Acid serves as solvent, water source and catalyst for both hydrolysis and condensation
- Limiting reaction



+ HCOOH

"Immiscible" -- nearly instant gelation!
Attenuate reaction with THF cosolvent

+ water/EtOH/H⁺

Clear gels over entire pH range
Rapid gel at pH 7!

+ water/EtOH/NH₄OH

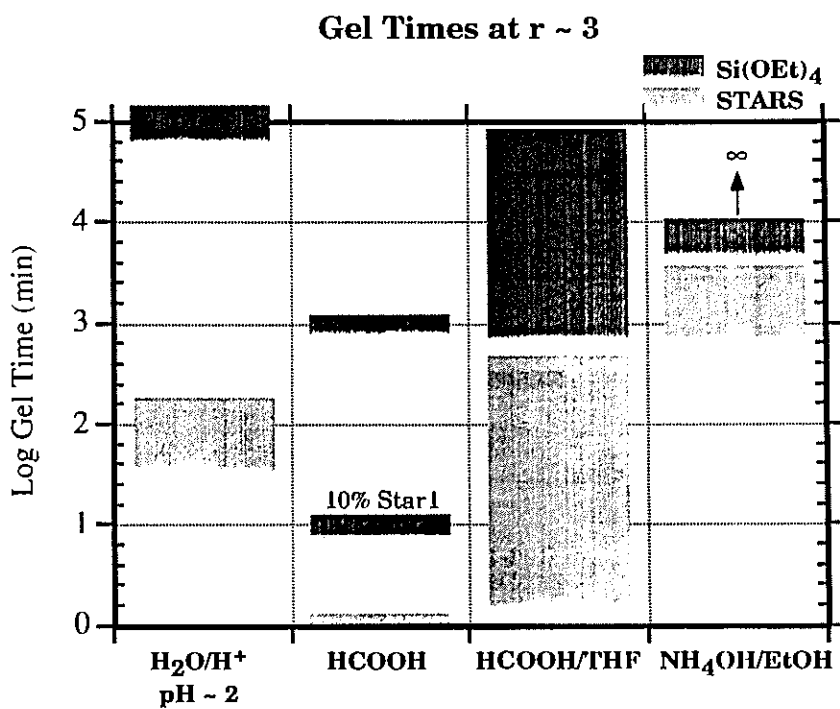
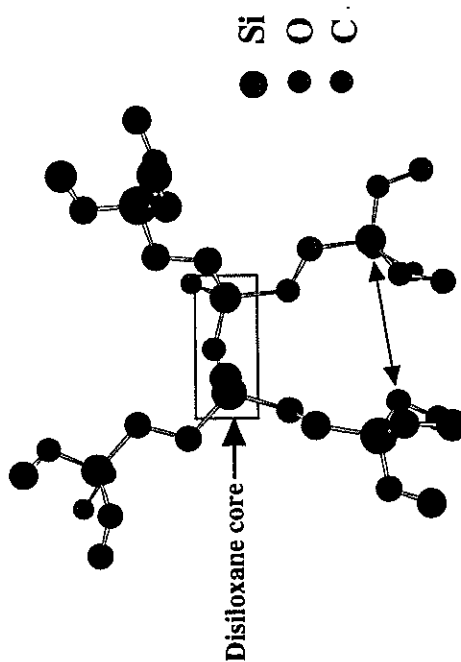
Some problems with miscibility

+ water/EtOH/F⁻

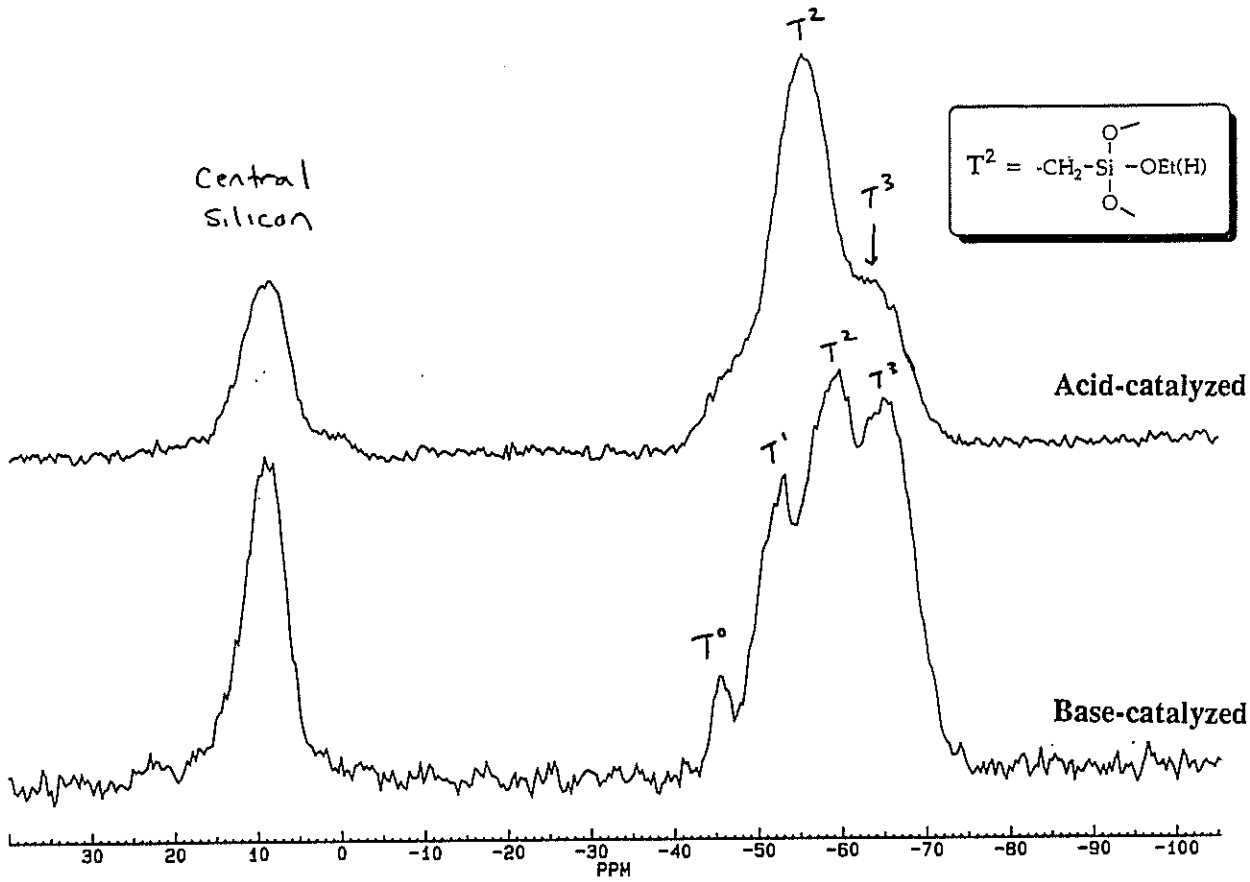
Only small increase in gel rate rel. to OH⁻

NETWORK-FORMING (CONDENSATION) CHEMISTRY

For Stars with long, flexible arms,
will *intramolecular* condensation
be a major factor?



²⁹Si CP/MAS NMR of Dry Gels from Si(CH₂CH₂Si(OEt)₃)₄

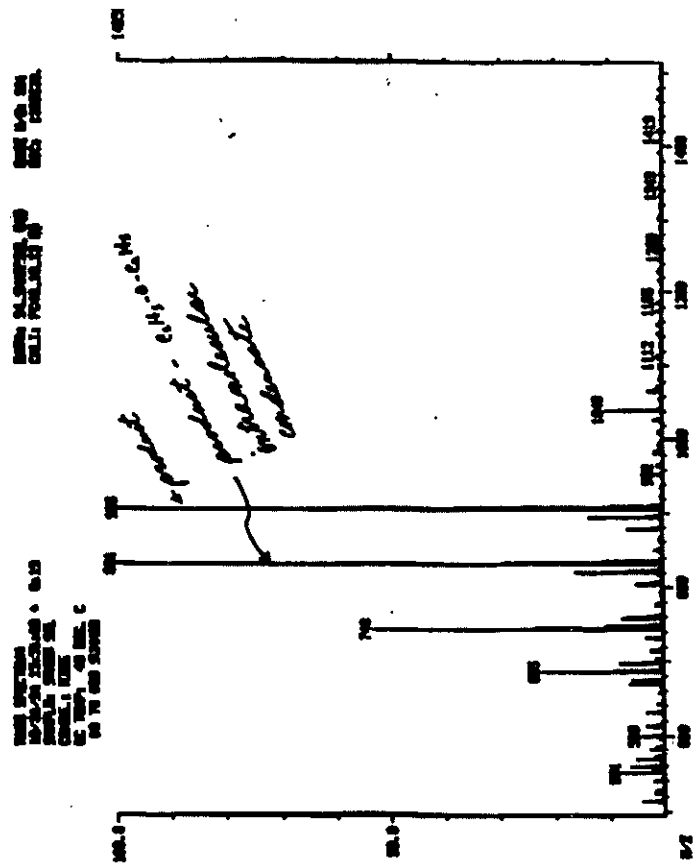
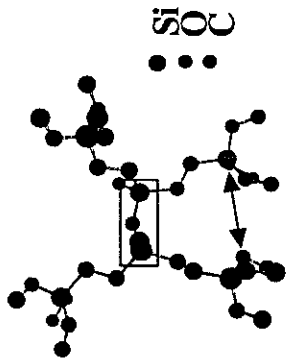


INTRAMOLECULAR CONDENSATION

Si NMR isn't much help -- insufficient chem. shift distinction

Use KIDS mass spec on partially reacted sol

Shows appreciable amount in intramol. "dimer"



HETEROGENEOUS GELS

Stars can be combined with $\text{Si}(\text{OR})_4$ in (apparently) any proportion to give mixed T/Q Gels

Properties are far from rule-of-mixtures predictions

TEOS + 10% Star 1

Porosity *increases* 10%

Gel time decreases 100x!

Toughness - ?

Si NMR best used to verify network incorporation efficiency. Should not use CP for Q^4 structures

POROSITY OF DRY GELS

Three techniques used to look for open porosity

Nitrogen adsorption isotherms (77 °K)

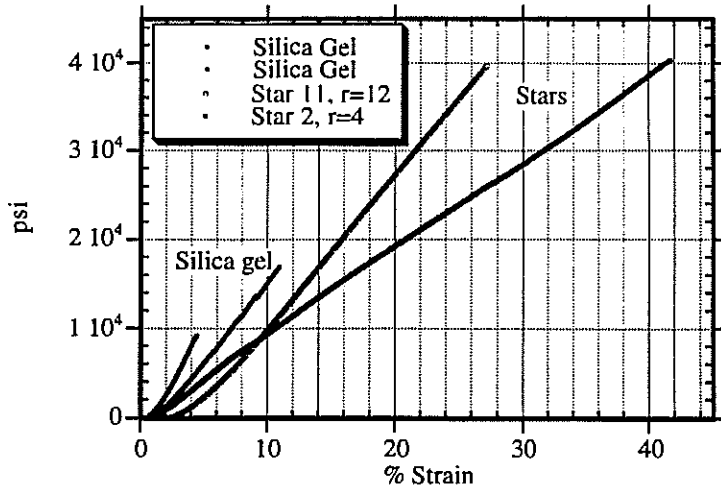
Bulk vs skeletal density (He pycnometry)

Behavior on water immersion

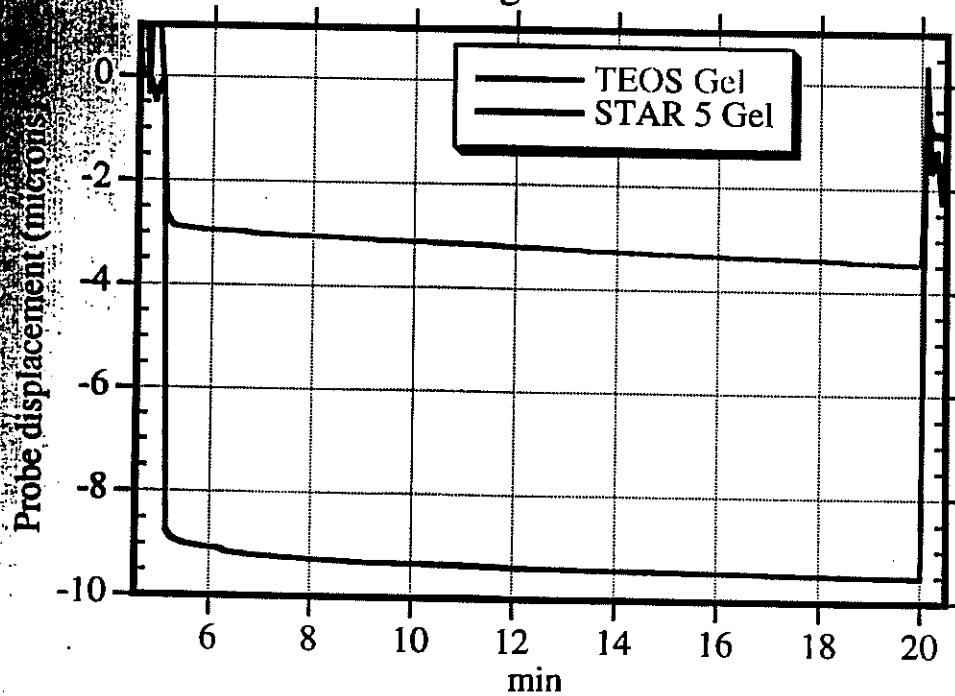
All have shown dried Star gels to be non-porous

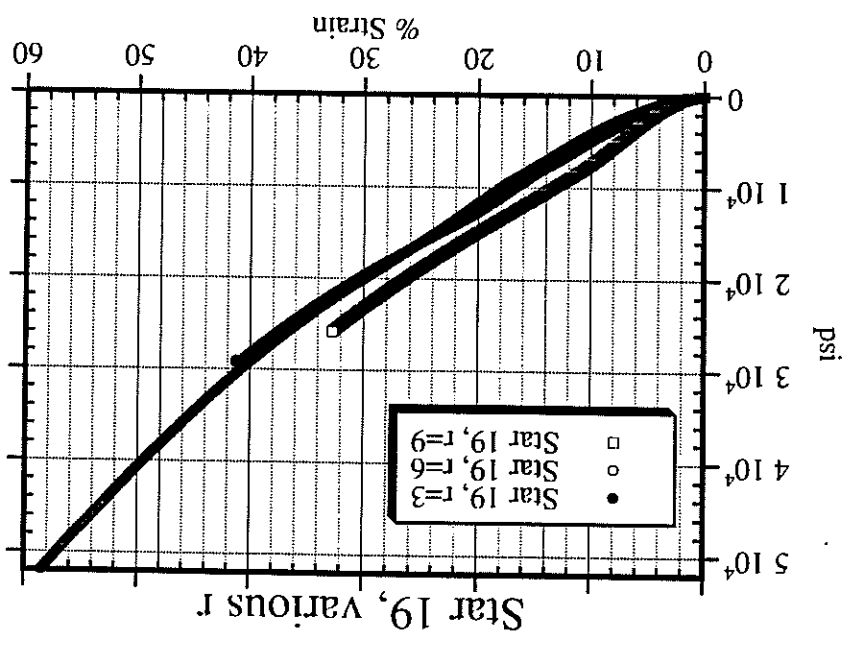
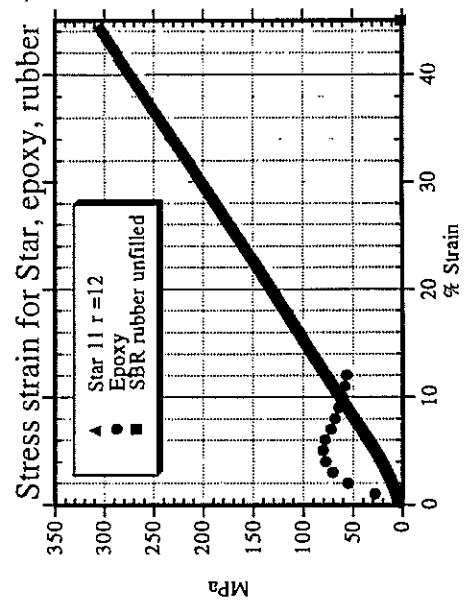
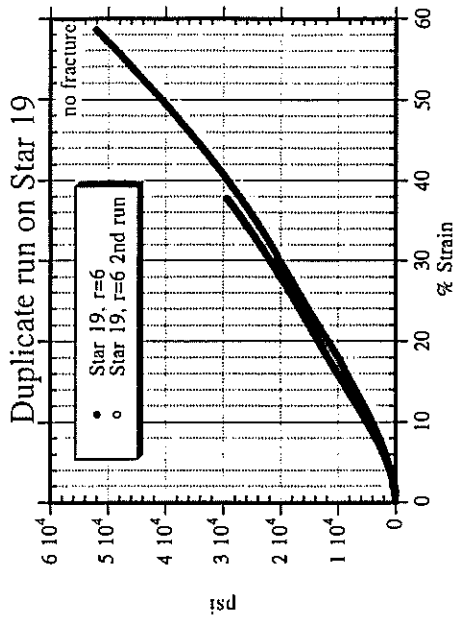
but base-catalyzed gels not yet explored. . .

Compressive Stress/strain Stars vs. Silica Gel



Thermomechanical Behavior of Dry Gels 50 g. load





SWELLING BEHAVIOR

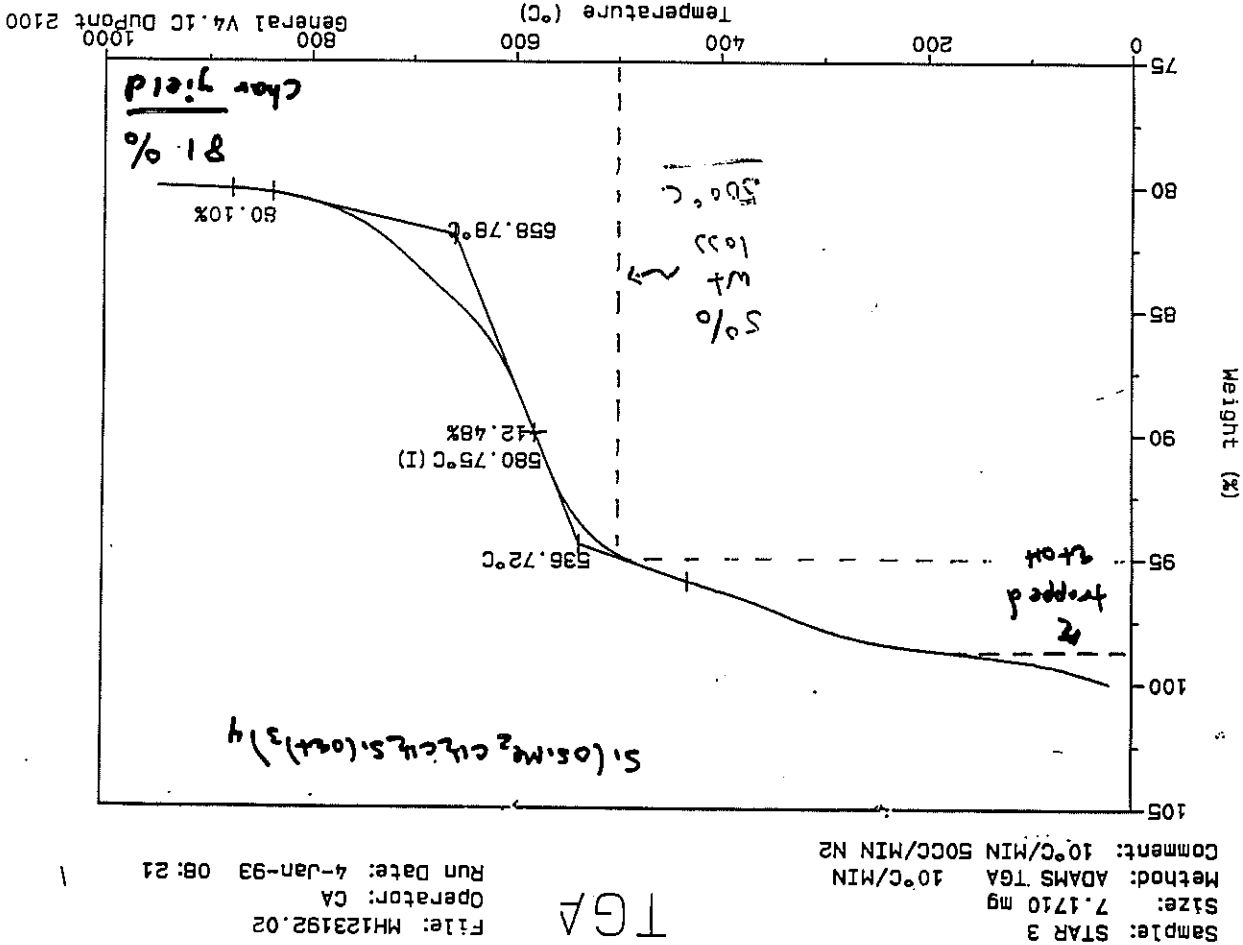
For fully dried Star glasses:

No significant swelling observed in either THF or methylene chloride

IMPACT RESISTANCE

Measured crudely by dropping weight from various heights onto disks of silica gel or Stars

Stars definitely show enhanced impact resistance



TGA

ABRASION RESISTANCE

When combined with silane-functional polymers, Stars show superior abrasion resistance to simple alkyl(trialkoxo) silanes

A Taber abrader was used to assess abrasion resistance

AEROGELS

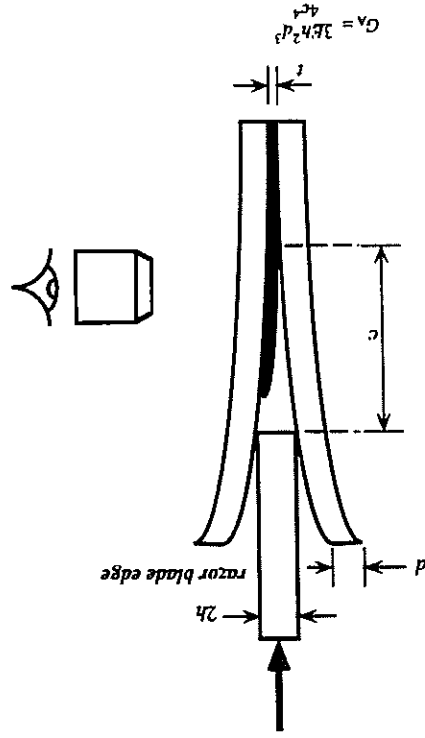
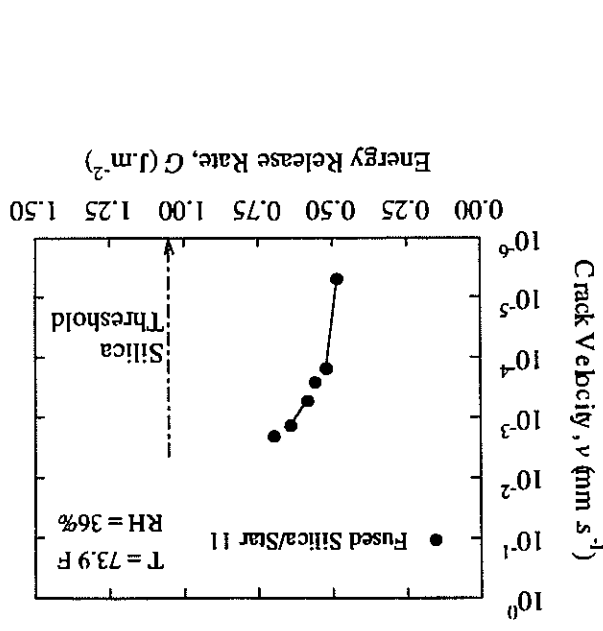
Aerogels are very low density porous solids generated by supercritical fluid drying

They are extraordinarily good acoustic and thermal insulators, but are very fragile

Stars could be useful precursors to tough low-density solids

Preliminary experiments using supercritical CO₂ show good compatibility, although some shrinkage occurred during drying.

Glass Adhesion via Double Cantilever Beam Test



SUMMARY

- Star Gel precursors with flexible cores and arms and up to 15 reactive alkoxy groups have been synthesized in high yields
- Cores can be atomic, linear segments or cyclics
- Gelation rates can be extremely high, but can be attenuated with solvent
- Star Gels show appreciable toughness in compression, impact and abrasion resistance
- Co-networks with tetraalkoxysilanes or silane-functional polymers are possible
- Stars offer prospect of tough aerogels

Commercial Hybrid Inorganic-Organic Polymers

Barry Arkles

Gelest, Inc.
612 William Leigh Drive
Tullytown, PA 19007
(215) 547-1015

Crosslinkable Polyethylene (XLPE) Through Siloxane Bond Formation

Applications:

Wire & Cable Insulation - Telephone,
Medium Voltage Power Cables
Heat-Shrinkable Tubing
Compression Resistant Foam

Crosslinkable Polyethylene - Graft

Sioplas® Process

H. Scott US Pat. 3,646,155 1972

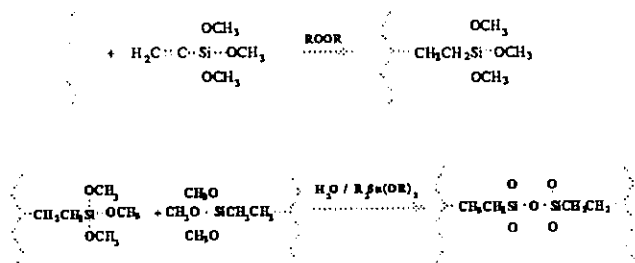
2-step Post-Polymerization

Monosil® Process

P. Swarbrick US Pat. 4,117,195 1978

1-step Post-Polymerization

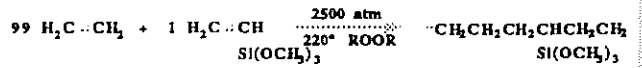
Crosslinkable Polyethylene



Crosslinkable Polyethylene - Graft

Manufacturers: Quantum
 Cable Processors: BICC, Alcan, Okonite

Crosslinkable Polyethylene - Copolymer Mitsubishi US Pat. 4,413,066 1983



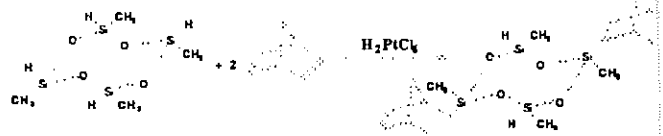
Crosslinkable Polyethylene - Copolymer

Applications:
 Wire & Cable, Crosslinked Foam, Composites,
 Heat-Shrink Tubing

Manufacturers:
 Neste Polymers (Finland) - Visico®
 AT Polymers (Canada) - Aqua-Link®
 Union Carbide (US) - Si-Link®
 Allied-Signal (US) -
 LDPE/Methacryoxypropyltrimethoxysilane

Diene-Hydrosiloxane Resins

R. Liebfried US Pat. 4,900,779 1990



Diene-Hydrosiloxane Resins

Manufacturer:

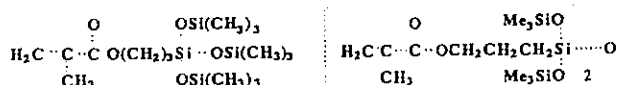
Hercules - Sycar®

Applications:

Printed Circuit Boards (PCBs)
Liquid Encapsulants (Glob-Top)
for Chips on Board (COB)
Die-bonding Adhesive

Methacrylates with Silicon in Pendant Group

Contact Lens N. Gaylord US Pat. 3,808,178
1974



Typical Formulation:

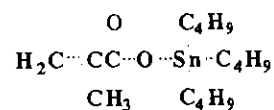
silane monomer - 10-40%
silane cross-linker - 5%
hydrophilic monomer - 2-5%
methylmethacrylate - balance

Methacrylates with Silicon in Pendant Group

Pilkington Barnes-Hind - Polycon®, Paraperm®
Bausch & Lomb - Boston Lens®
Others: Wohlk (Germany), Menicon (Japan),
Permeable Technologies (US)

Methacrylates with Tin in Pendant Group

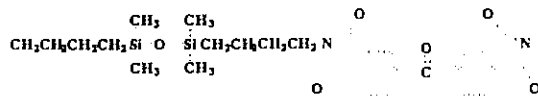
A. Milne US Pat. 4,021,392 1977



15-35% Tributyltinmethacrylate -
65-85% Methylmethacrylate Copolymer
Anti-Foulant Marine Coatings
Manufacturer: Atochem - Biomet® 300

Silicone Polyimides

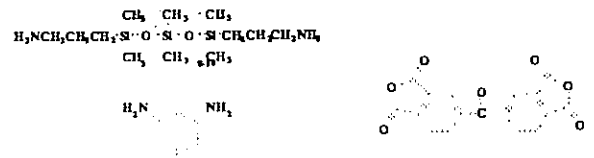
Thermoset A. Berger US Pat. 3,274,155
1966



Applications: Planarization/Dielectric
Die-bonding adhesive
Manufacturers: National Starch / Ablestick
Relyamid®, Tab-Coat®, Conductimer®

Silicone Polyimides

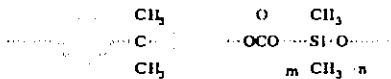
Thermoplastic J. Cella US Pat. 4,808,686 1989



~ 40% Dimethylsiloxane
GE Siltem® Wire Insulation, Enamels

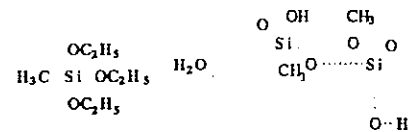
Silicone Polycarbonate

H. Vaughn US Pat. 3,189,662 1965



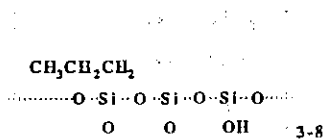
Manufacturer: GE Copel® LR Resin
Applications:
Aerospace Canopies
Bullet-Proof Glazing Interlayer
Oxygen Enrichment Membranes

T-Resins (Silsesquioxanes) Un-Modified



Applications:
Resistor Coatings
Planarizing Coatings for Microelectronics
Manufacturers: NEC (former O-I) Glassrock®

T- Resin (Silsequioxane) Modified Alkyds & Polyesters

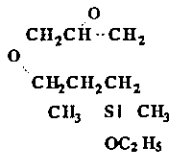


Typical High Solids Formulation

| | |
|-------------------------|------|
| Pentaerythritol | 9.7 |
| Glycerol | 1.8 |
| Phthalic Anhydride | 12.7 |
| Soya Fatty Acid | 24.3 |
| Methyl Amyl Ketone | 16.5 |
| PhenylPropyl T-Siloxane | 25.0 |

Coil Coating, High Temperature Finishes

Modified T-resins Ormosils

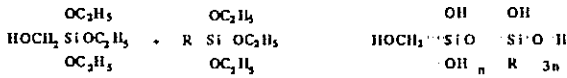


Abrasion Resistant Coatings, Heat Resistant Resins
Dow-Corning, GE, Wacker, Shin-etsu
Scratch, High Refractive Index Coatings for Eyewear
Gentec, Essilor, American Optical

G. Phillip, H. Schmidt US Pat. 4,746,366 1988
K. Mori et al, US Pat. 4,895,767 1990
E. Yajima et al, US Pat. 5,165,992 1992

T-Resins via Stabilized Silanol Solutions

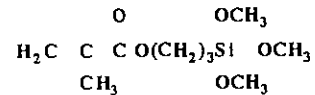
B. Arkles US Pat. 5,371,262 1994



Architectural Water-repellents
Gelest / The Weather Company Weatherex®

Copolymers with Alkoxysilanes

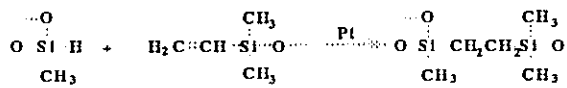
I. Hazan US Pat. 5,162,426 1993



Clear Topcoats for Automotive
DuPont Generation 4®
PPG, Kaneka

Silicone Semi-Interpenetrating Networks

B. Arkles US Pat. 4,500,668 1985



Silicone Semi-Interpenetrating Networks

Injection Molded Applications

Precision Optics Transport


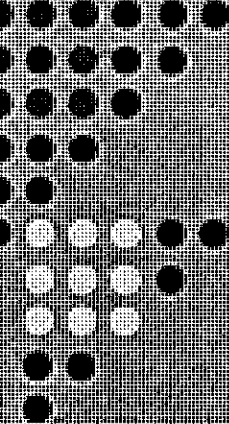
High Speed Paper, Tape Transport

Extrusion Applications

Medical Catheters

Manufacturers:

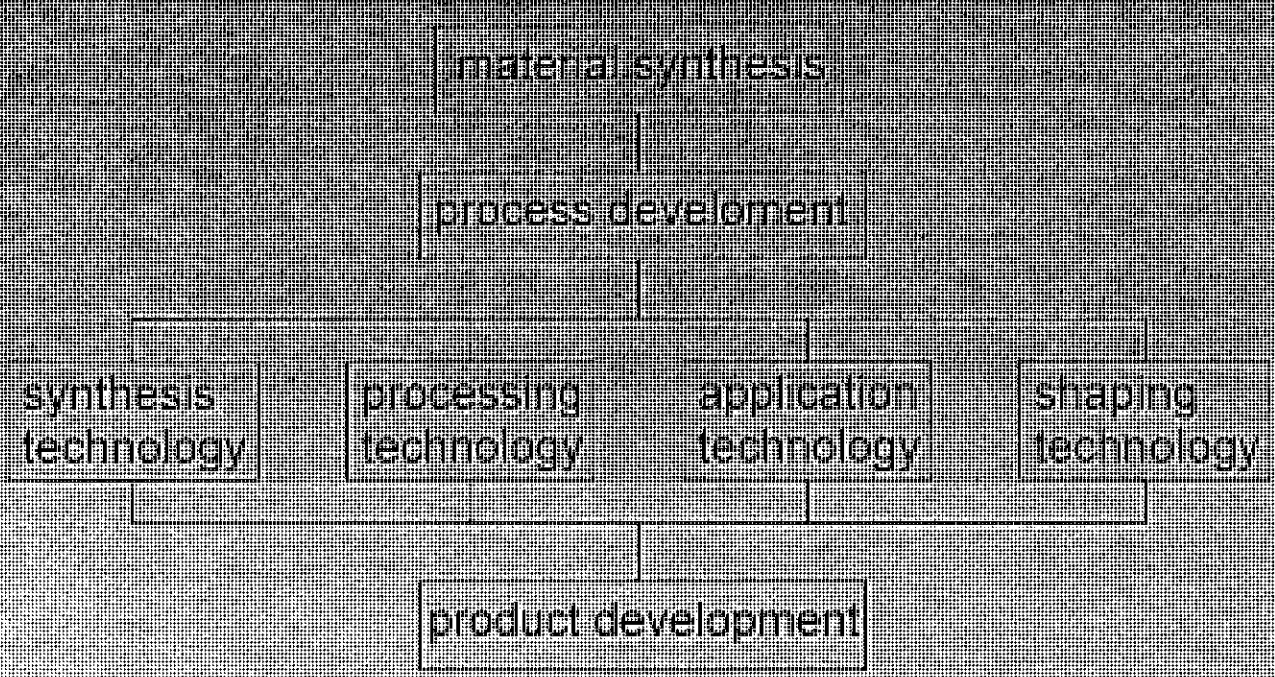
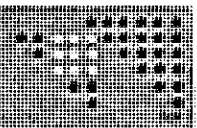
LNP Engineering Plastics / ICI Advanced
Materials



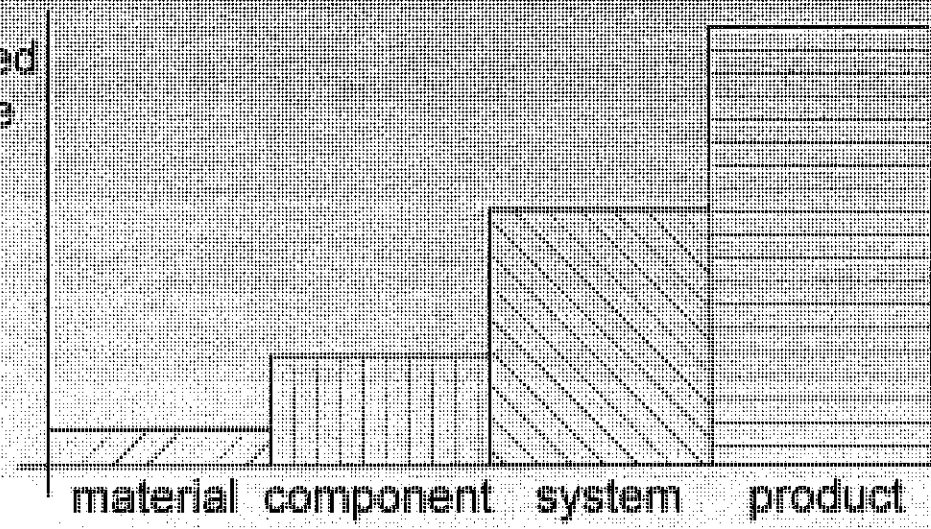
Inorganic / Organic Hybrid Polymers: Routes to Industrial Applications

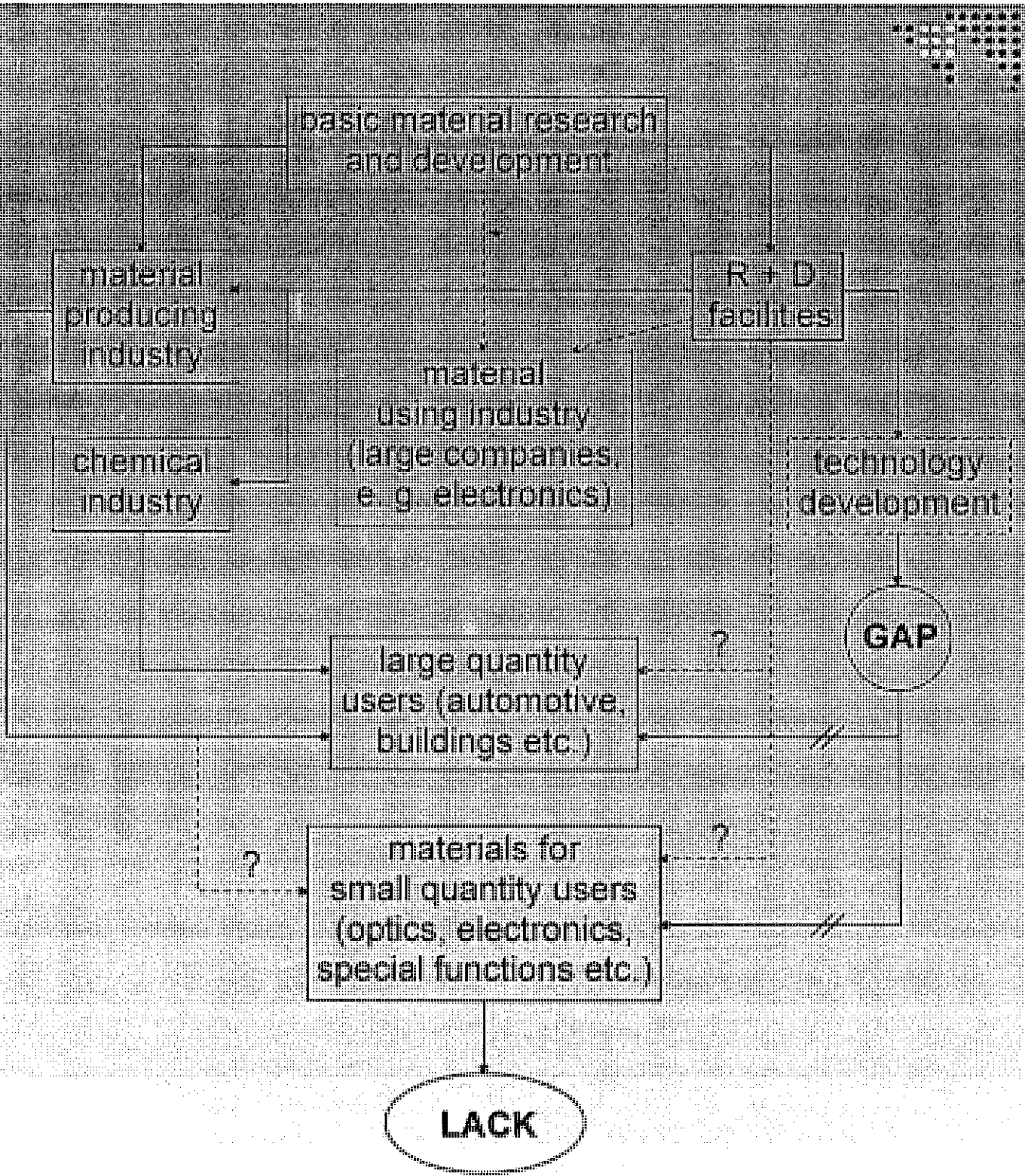
H. Schmidt

Institut für Neue Materialien gem. GmbH
Saarbrücken, FRG



Added value

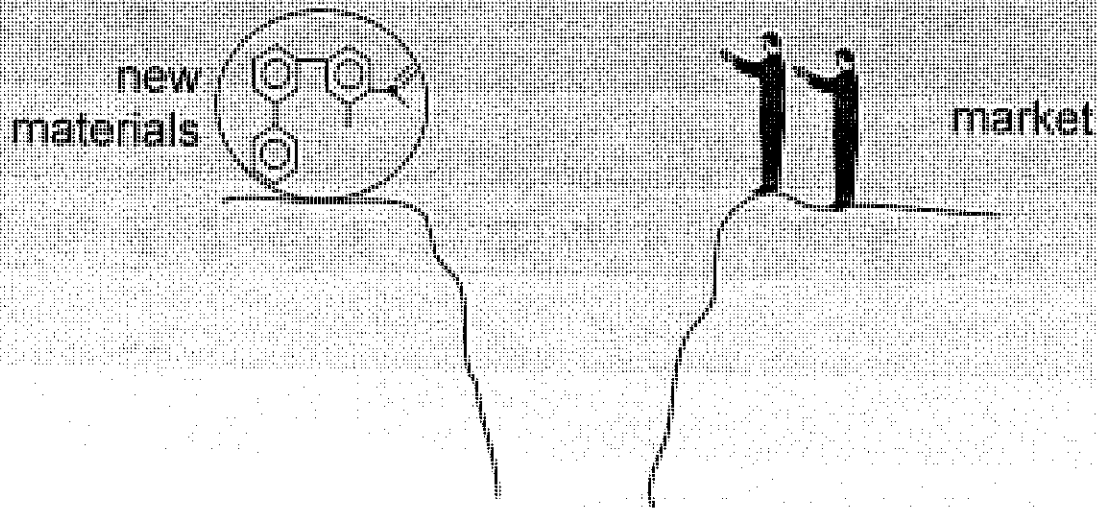




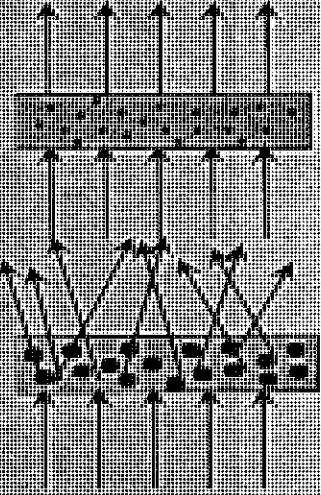
* depending on type of company and type of material technology

Chemistry as a key technology for innovative materials

- extremely high "stock" of data, results
 - even larger potential
 - "new chemistry" is produced permanently
 - use of this potential is close to zero
- ⇒ long return of investment times
- ⇒ "separation" between material developers and users
- ⇒ lack of tailor made technologies for users
- overdominating technology drive
 - market pull becomes not effective due to lack of bridges



Nano composites



$$T = \exp [(-\gamma_{ext} + \gamma_{int})d]$$

$$\gamma_{ext} = f(c_p, n_p, R^3/\lambda^4)$$

c_p = filler volume fraction

n_p = difference of refractive index

R = particle diameter

λ = wavelength

"small" particles >
suitable for optics

○ passive "functions": reactive index (n_E)

mechanical properties

interface properties

absorptive properties

○ active "functions": semiconductor properties

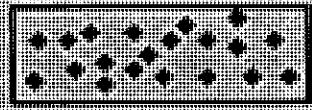
(band gap, intermediate electron location)

⇒ NLO

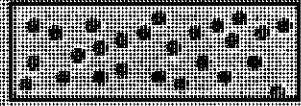
plasmon properties

photochromic, electrochromic properties

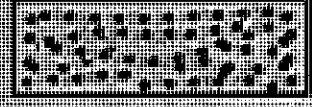
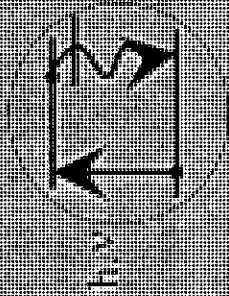
Optical Properties



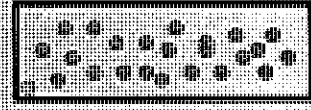
◆ = Oxide
(passive, n_0)



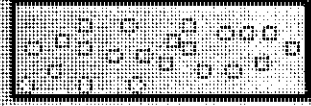
● = Semiconductors
(active, χ^2)



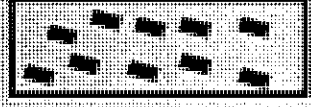
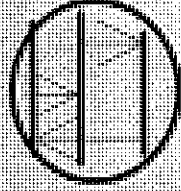
◆ = Dyes
(χ^2 , photochromic)



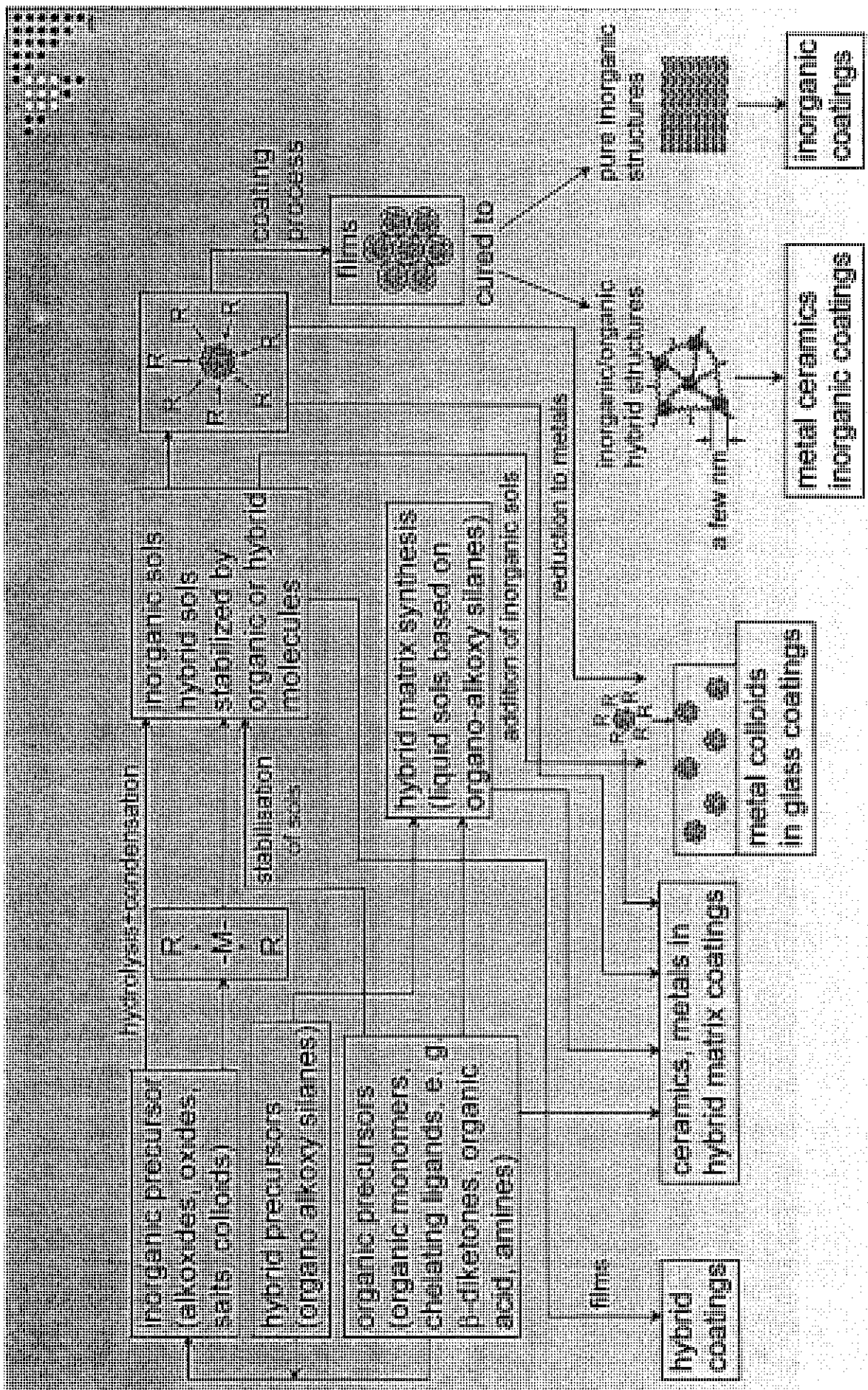
● = Metal
(active: χ^3
passive: E)

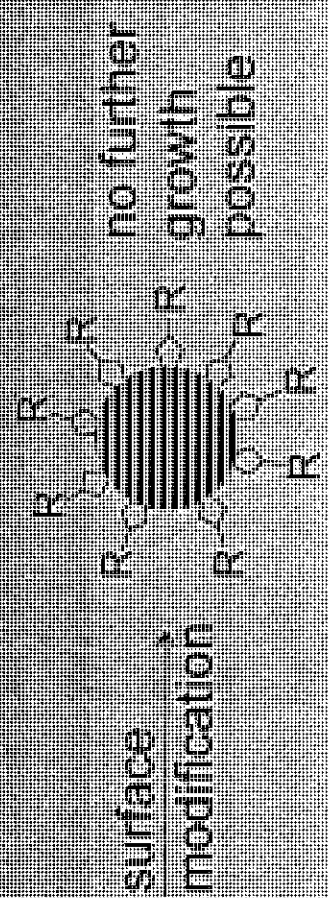
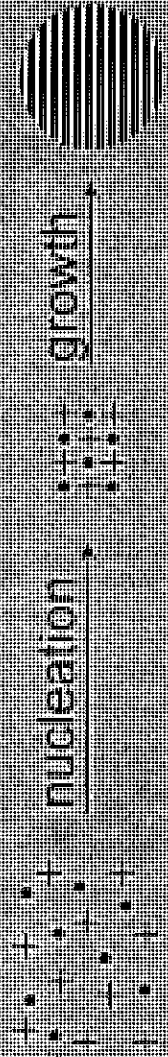


○ = Laser
(active)



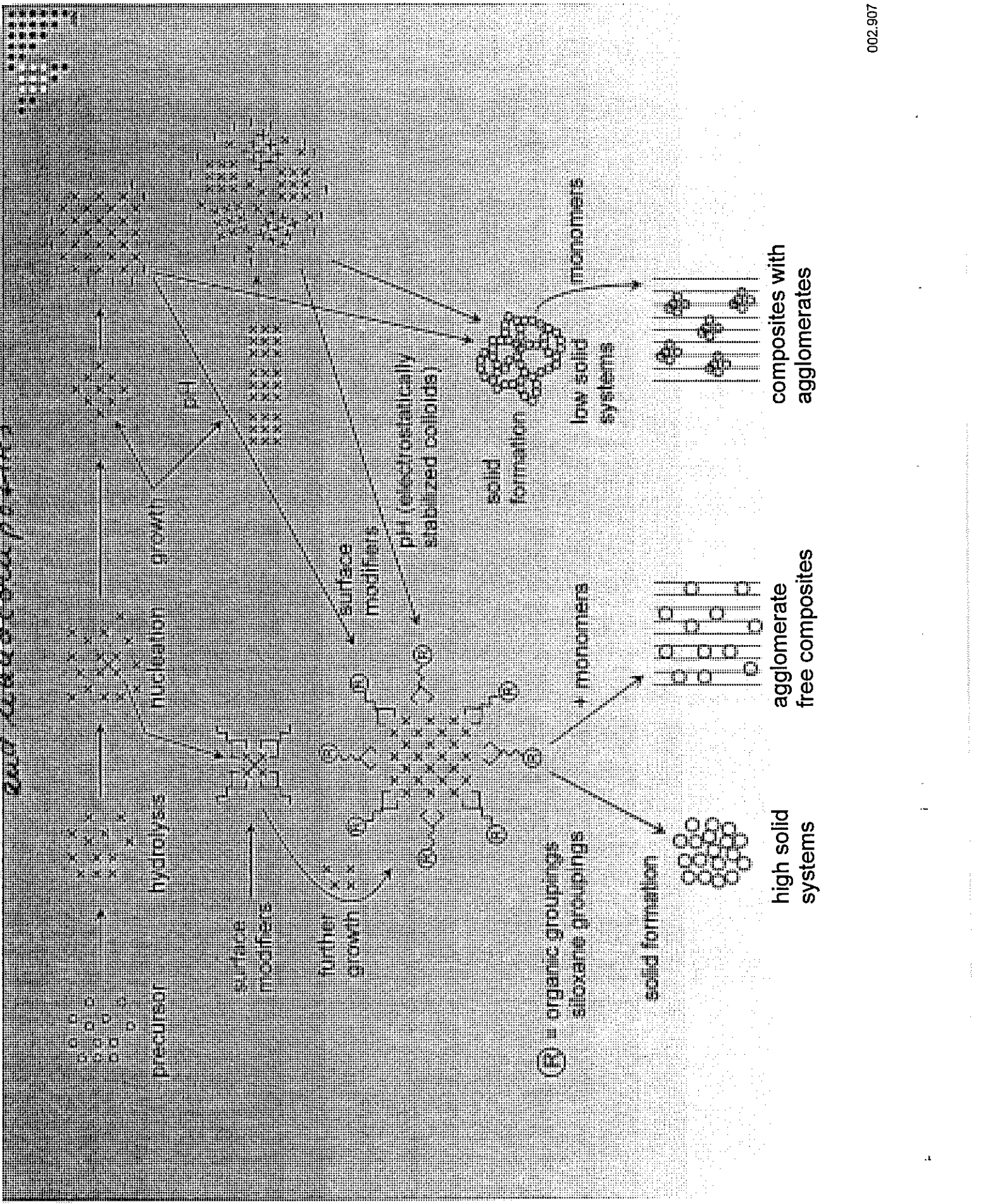
◆ = Ag Halogenides
photochromic



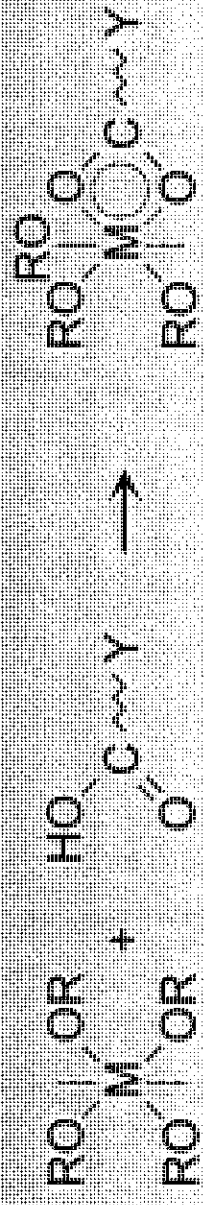
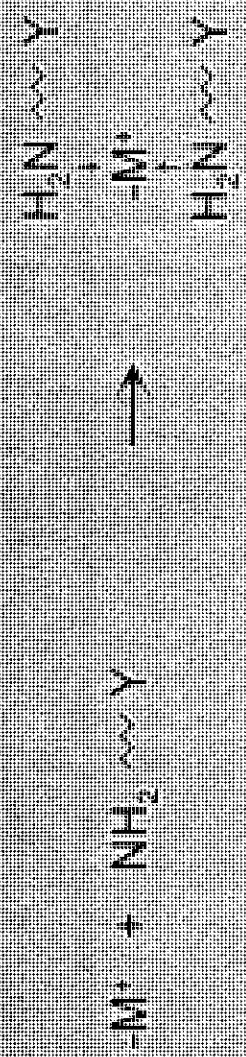
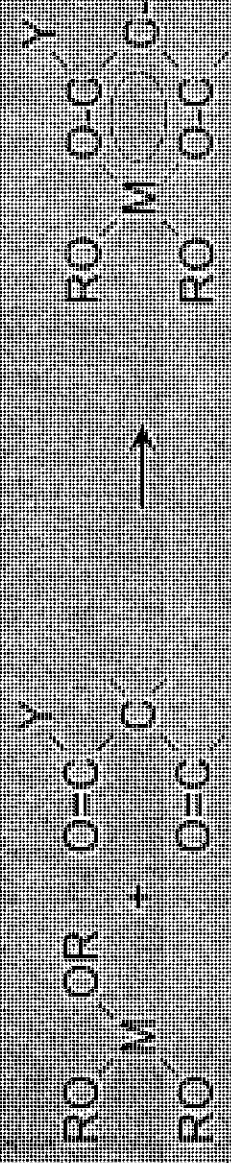
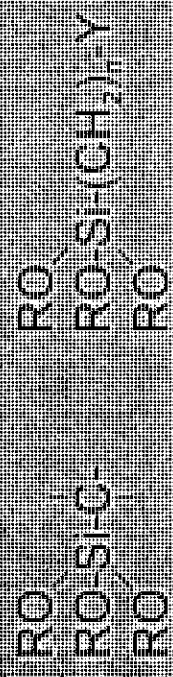


- R:
- a: inert grouping like alkyl or aryl
 - b: functional groupings like acids or bases
 - c: reactive groupings like polymerisable or "sol-gel" groupings, e.g. $\text{Si}(\text{OR})_3$

2007-06-06 09:00:00



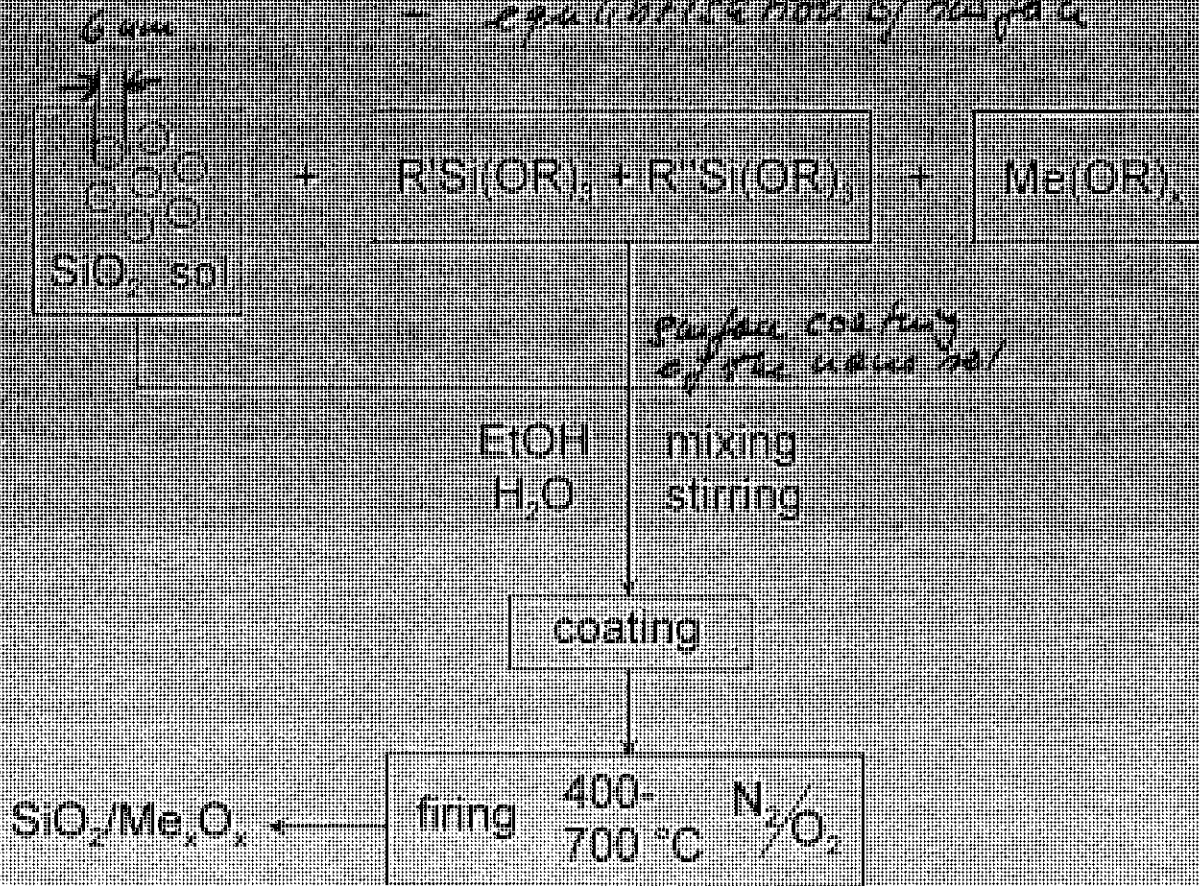
Basic cleavage / principles for hydrolytic degradation



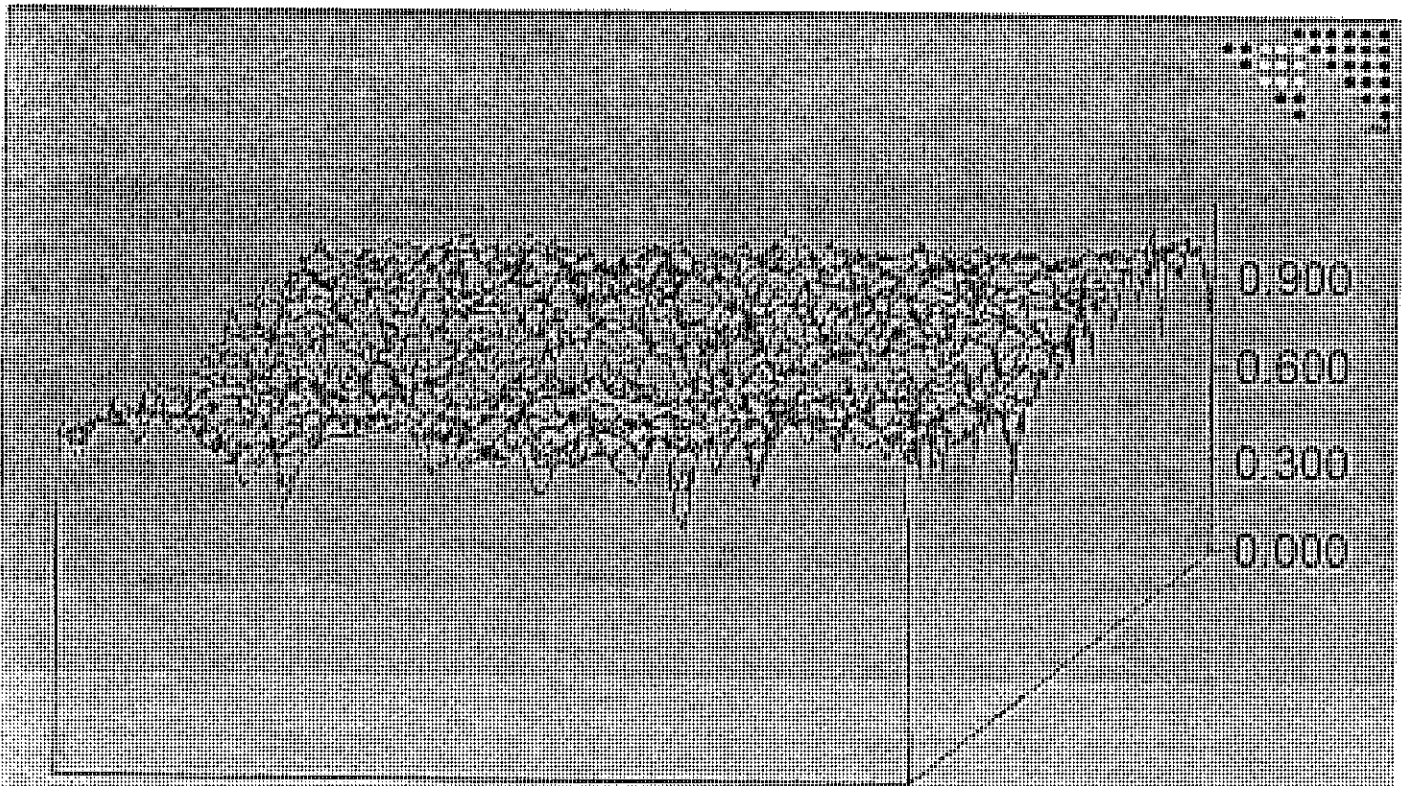
Y = base, acid, epoxides, vinyl, methacrylates and others
 OR = alkoxy grouping to form inorganic networks by hydrolysis and condensation
 M = metal ion

SiO₂ layers for

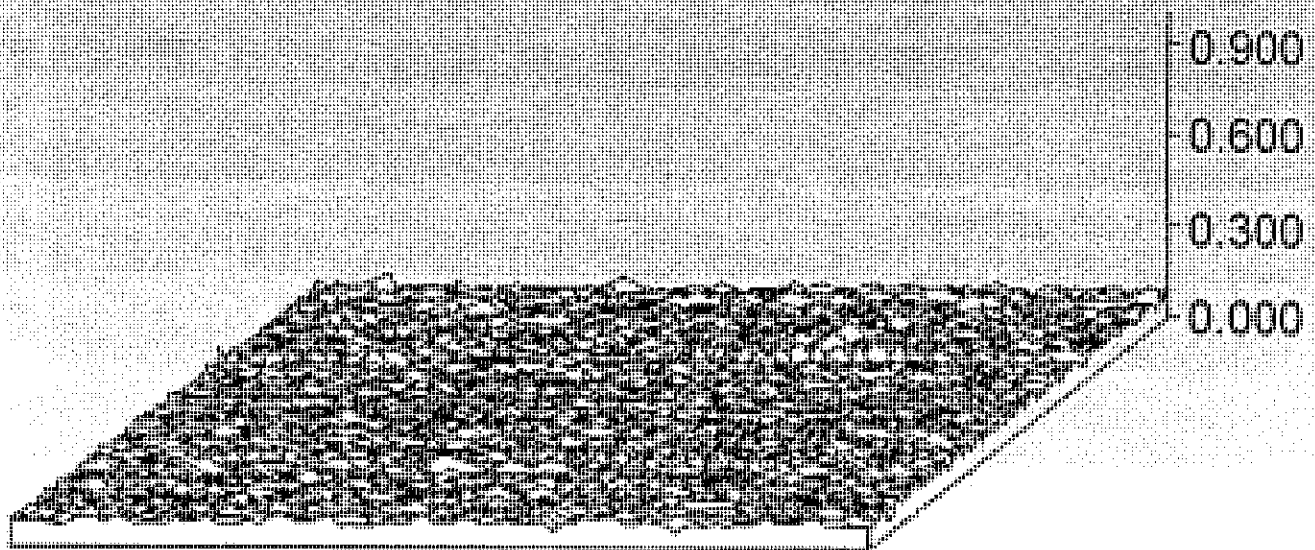
- scratch resistance on metals
- high temperature corrosion resistance
- equilibration of surface



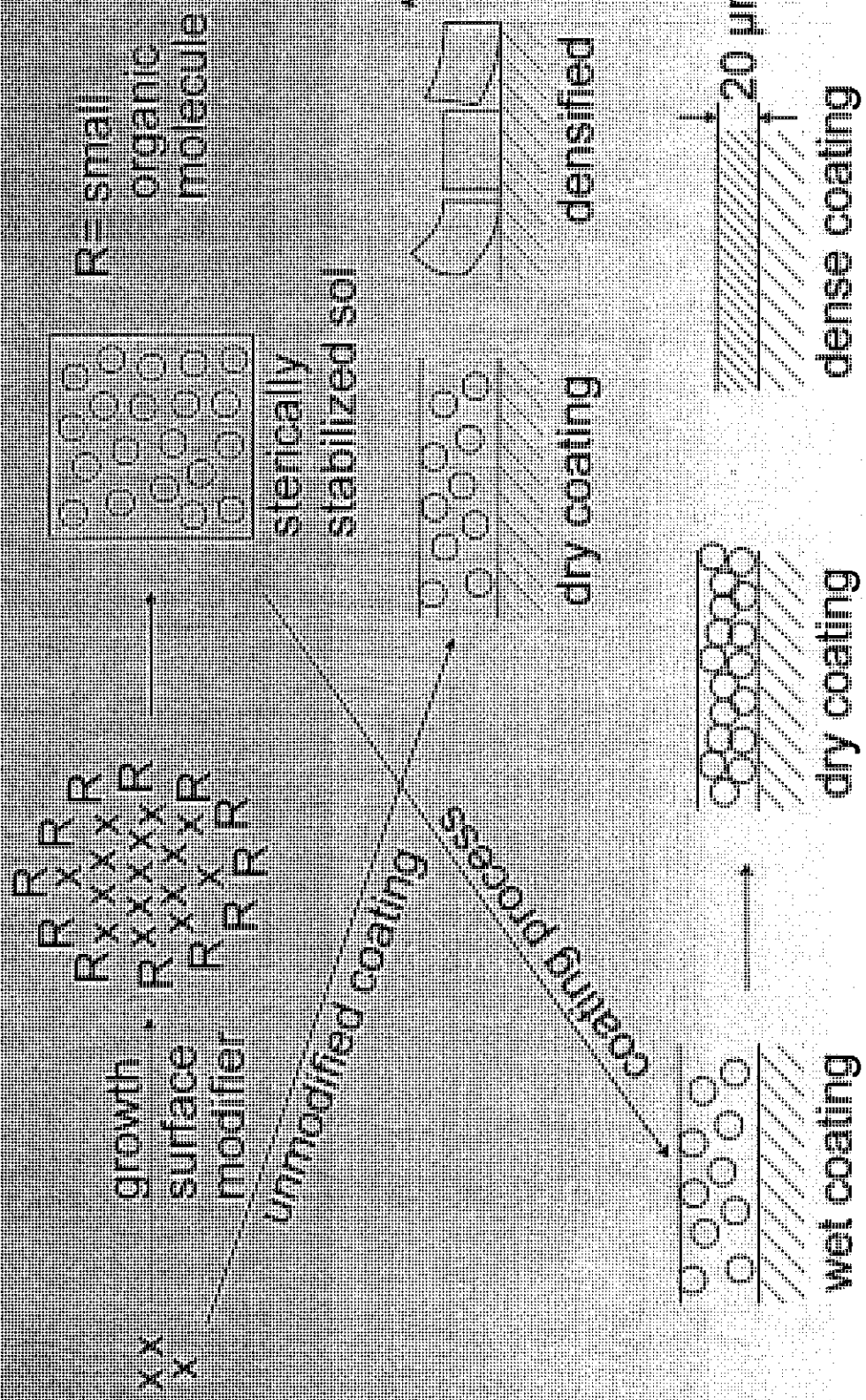
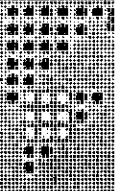
- dense layers
- 3-5 mm thickness
- transparent
- equilibrizing 1,5 μm → 15 nm



Stainless steel, uncoated ($R_a = 35 \text{ nm}$)



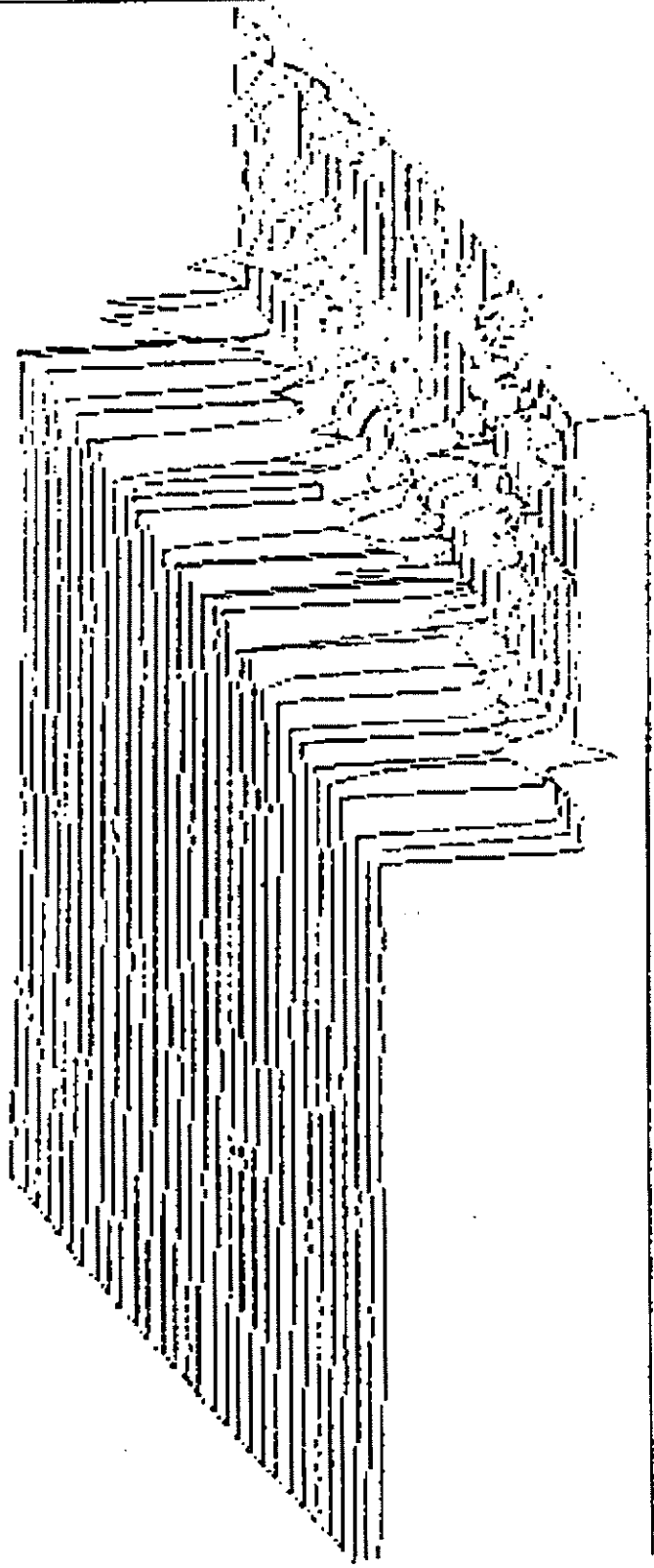
SiO₂ glass coating (700 °C) on stainless steel ($R_a = 10 \text{ nm}$)

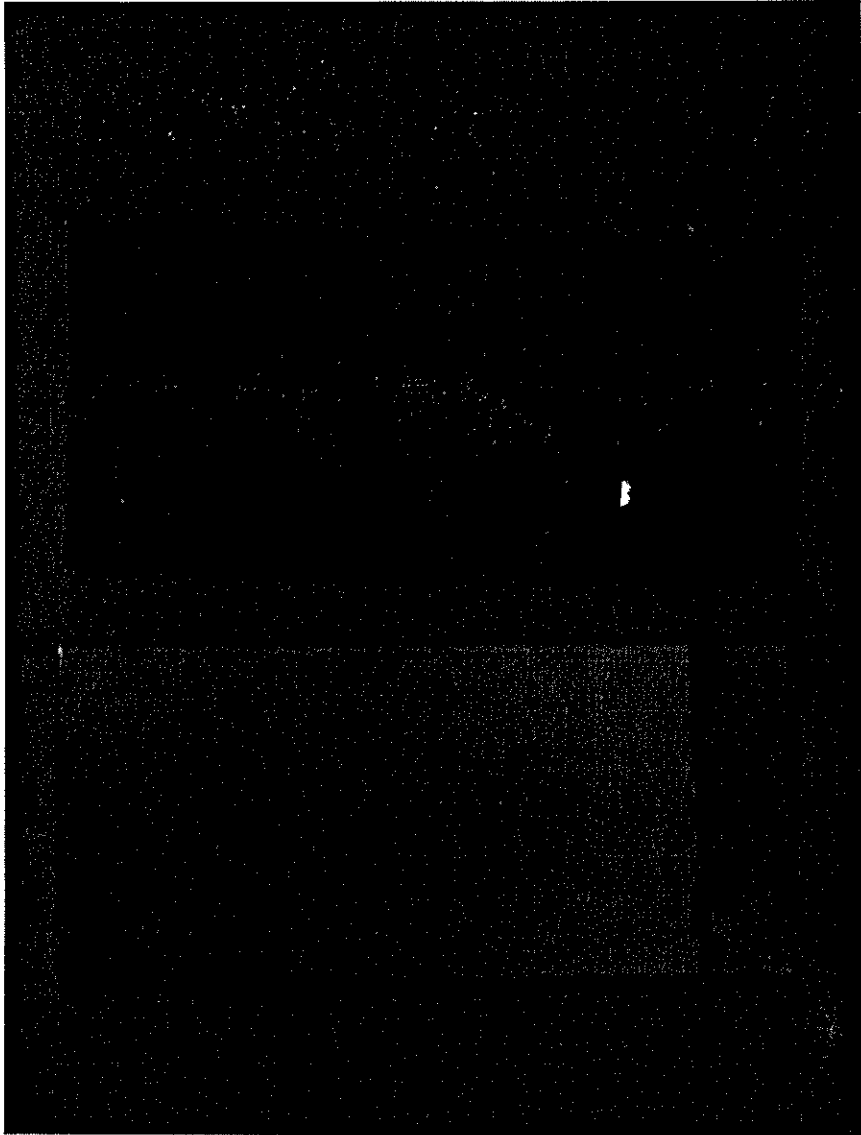


* thin coatings only $\leq 1 \mu\text{m}$ in one step processes

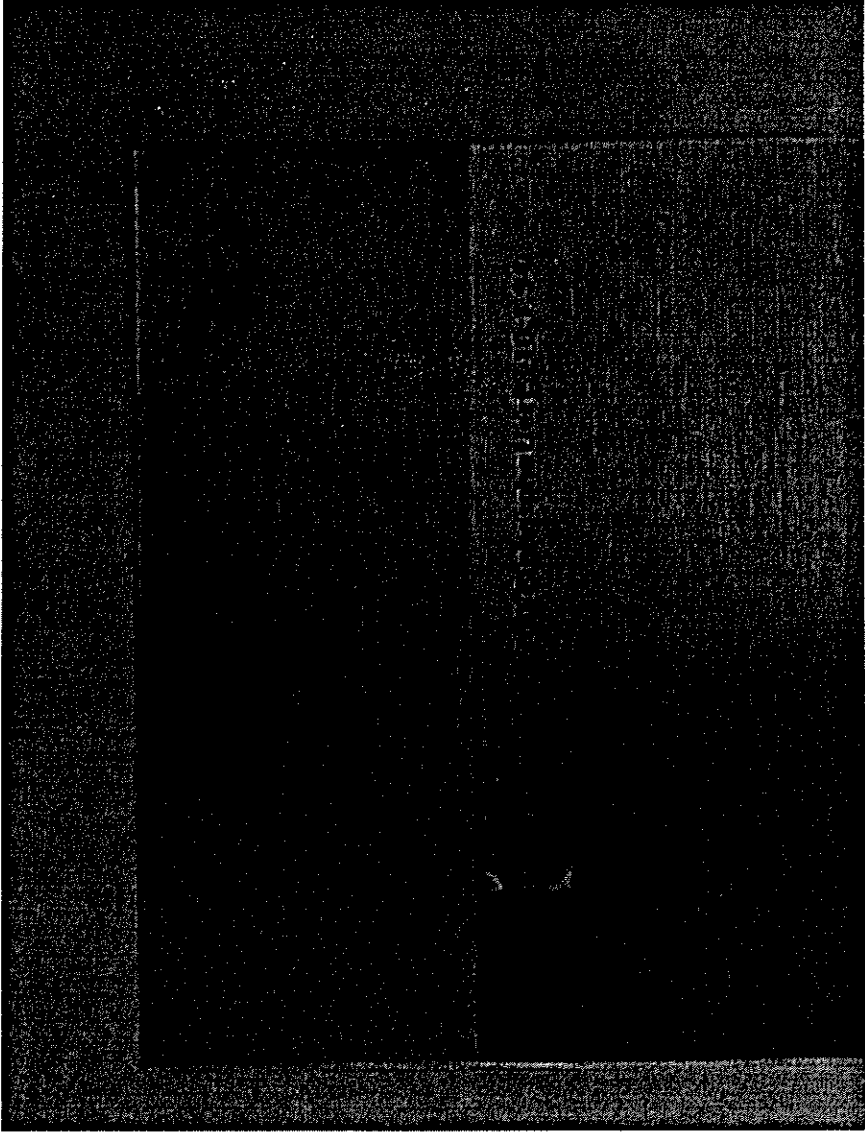
SiO₂ - layer (500°C)
98,8 % dense

μm
16.00
12.00
8.00
4.00
0.00

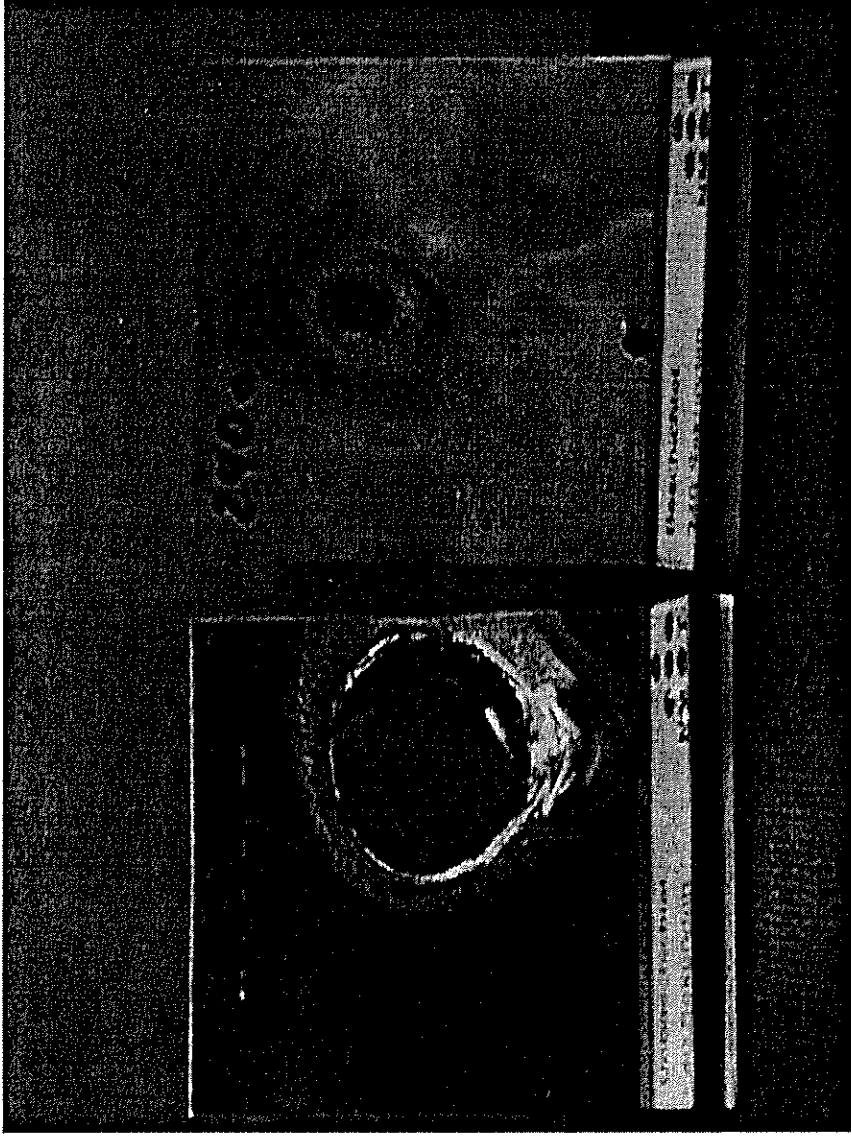




(23) Copper with SO_2 coating (10g)
after high T treatment



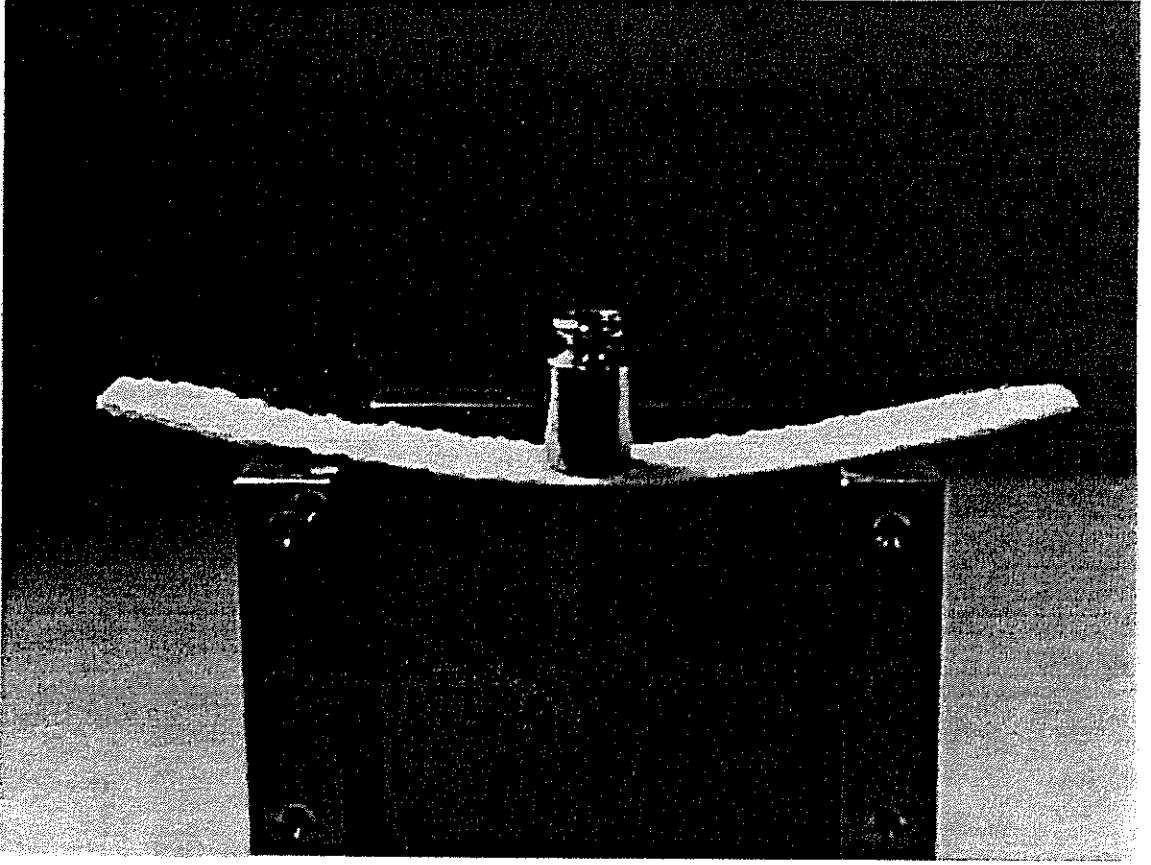
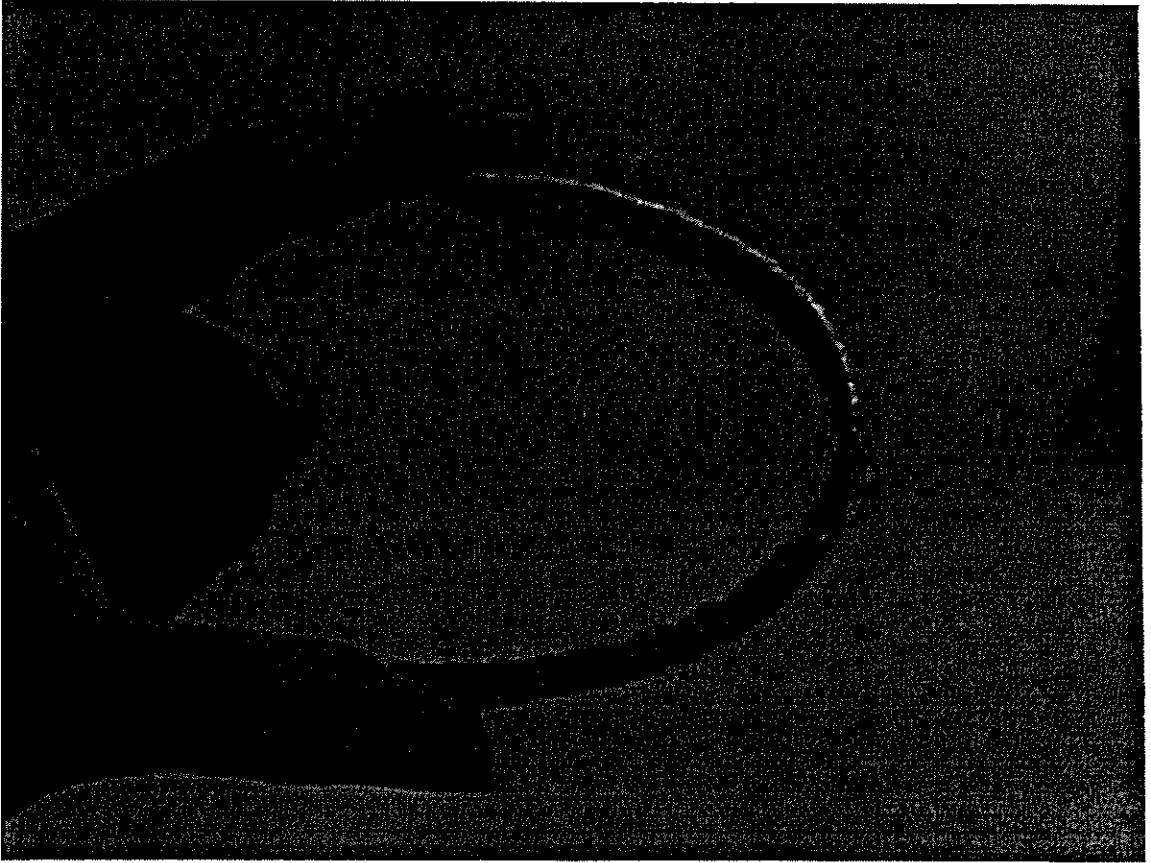
Stainless steel with Cr_2O_3
coating after $700^\circ C$ heat treatment
lower part: coated

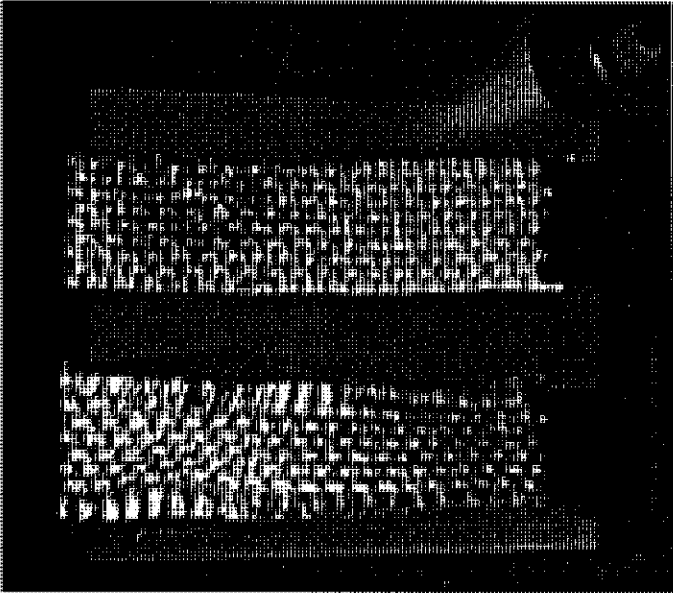


All with glass coating;
gas burner test: left: uncoated, after 60 sec
right: coated, after 240 sec

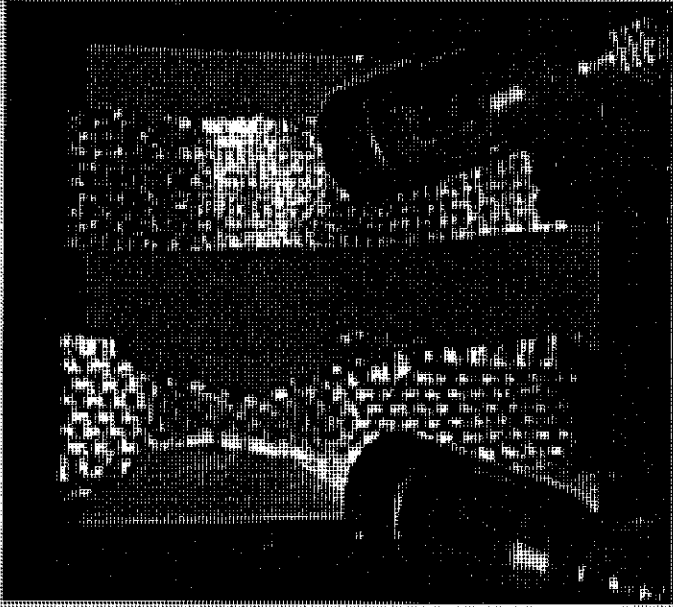
Weavings:

- Flexibility of the coated weave





a



b

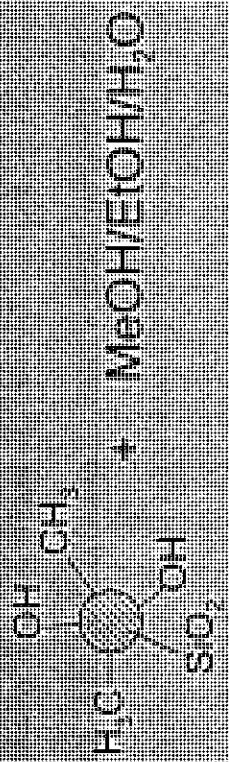


c

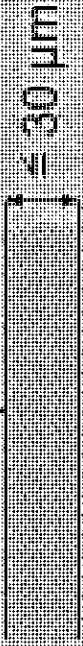
Temperature treatment of glass fiber fabrics
left : uncoated
right : cooked , 1050°C

Aqueous or alcoholic SiO_2 sol

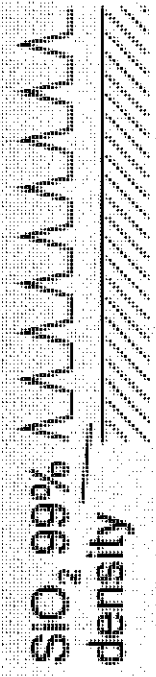
+ $(\text{CH}_3)_2\text{Si}(\text{OR})_2$



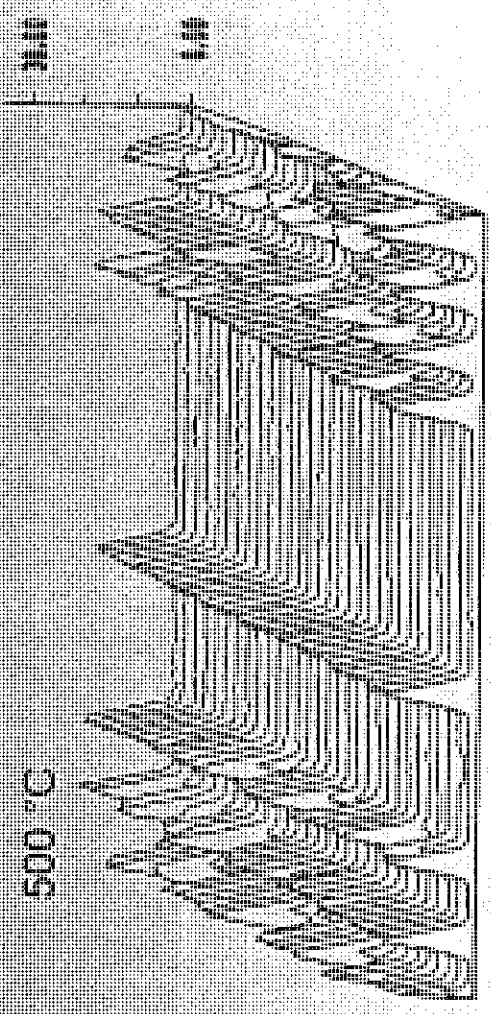
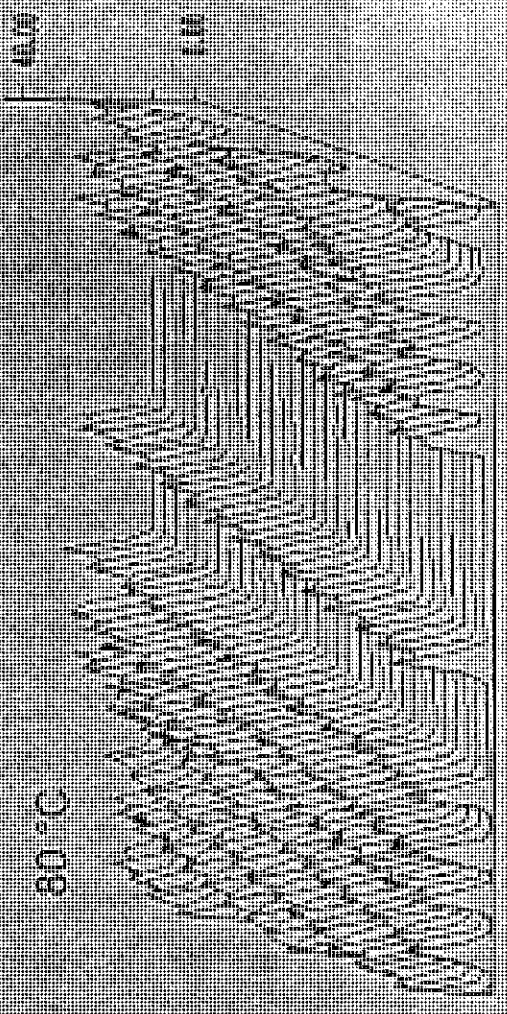
coating



embossing
+ firing

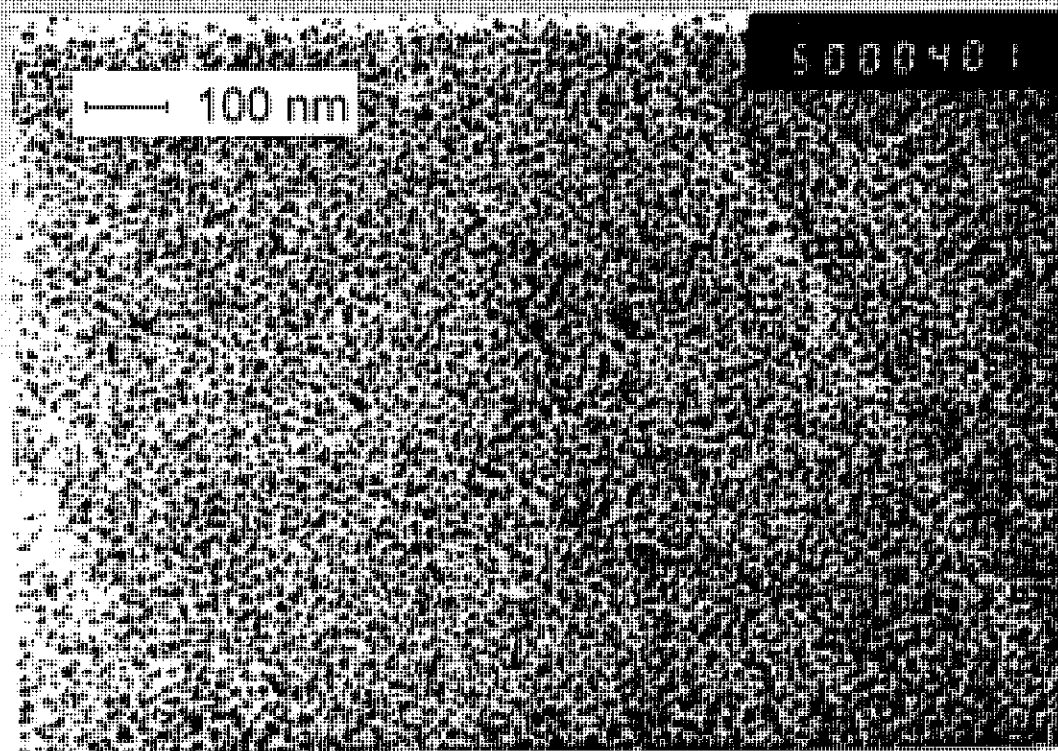
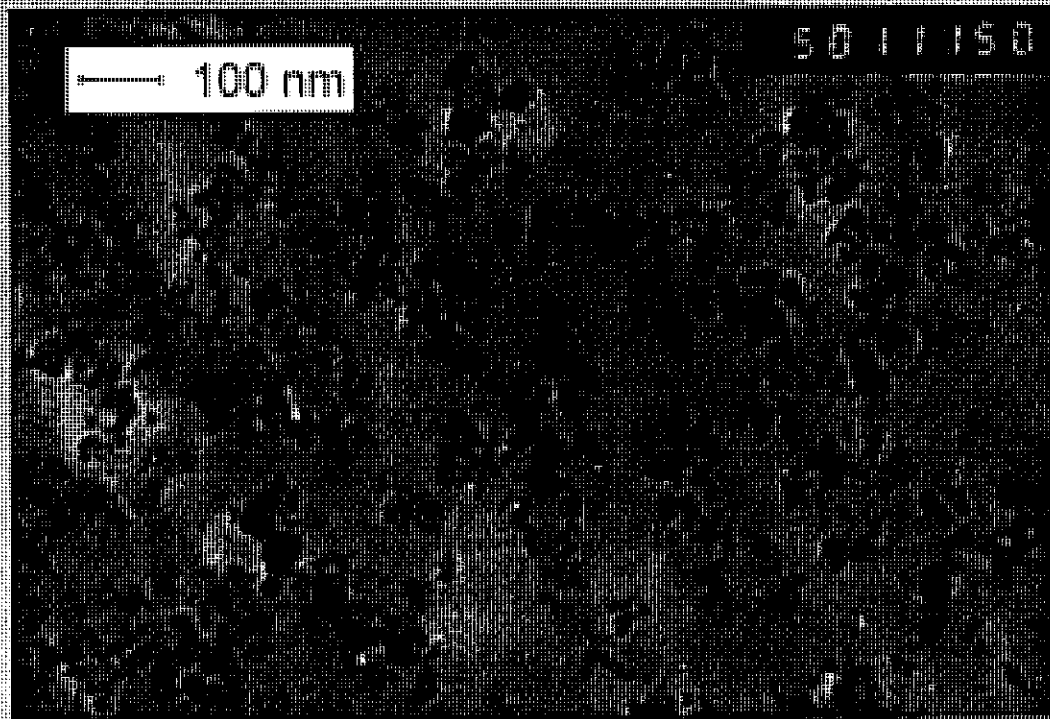


a



b

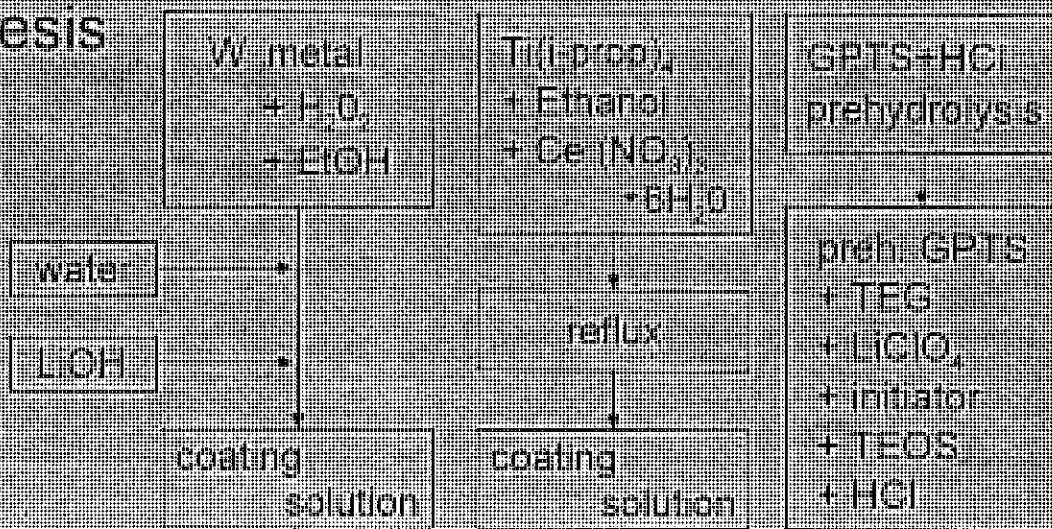
SiO₂ particles in an UV-cured, epoxy based adhesive for optical applications



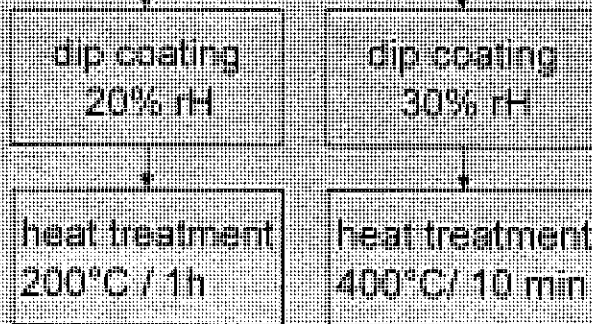
nanocomposite as low shrinkage low
TEC adhesive

a) without appropriate surface treatment
b) with appropriate surface treatment

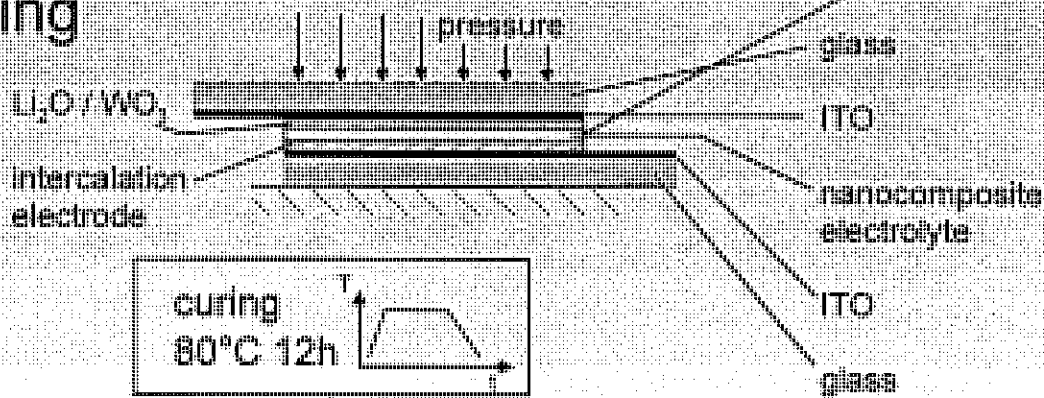
synthesis



coating



mounting

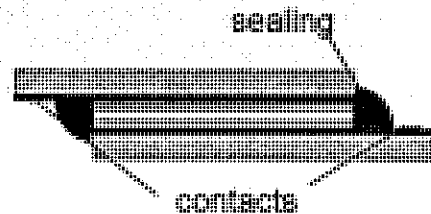


curing

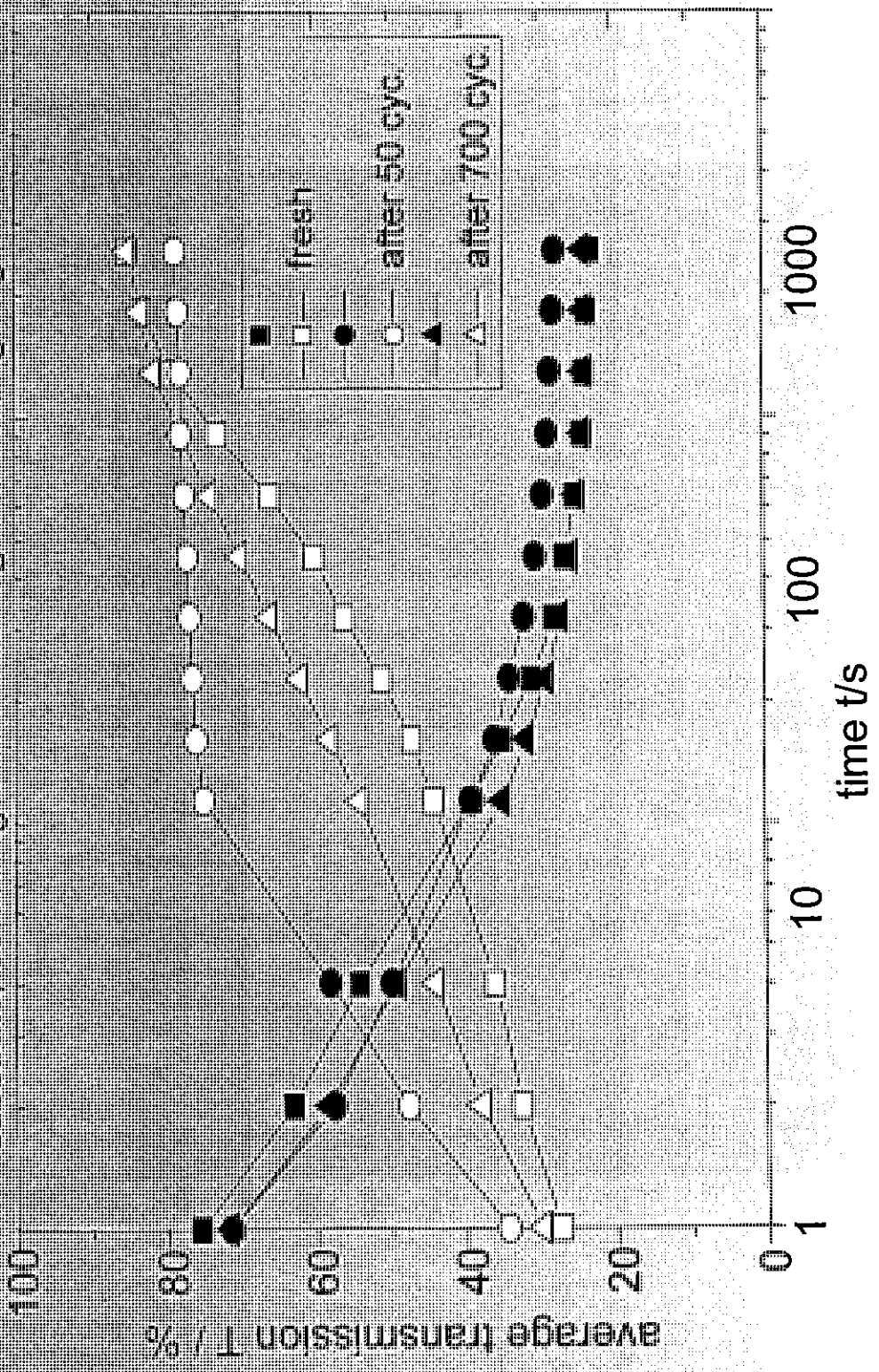


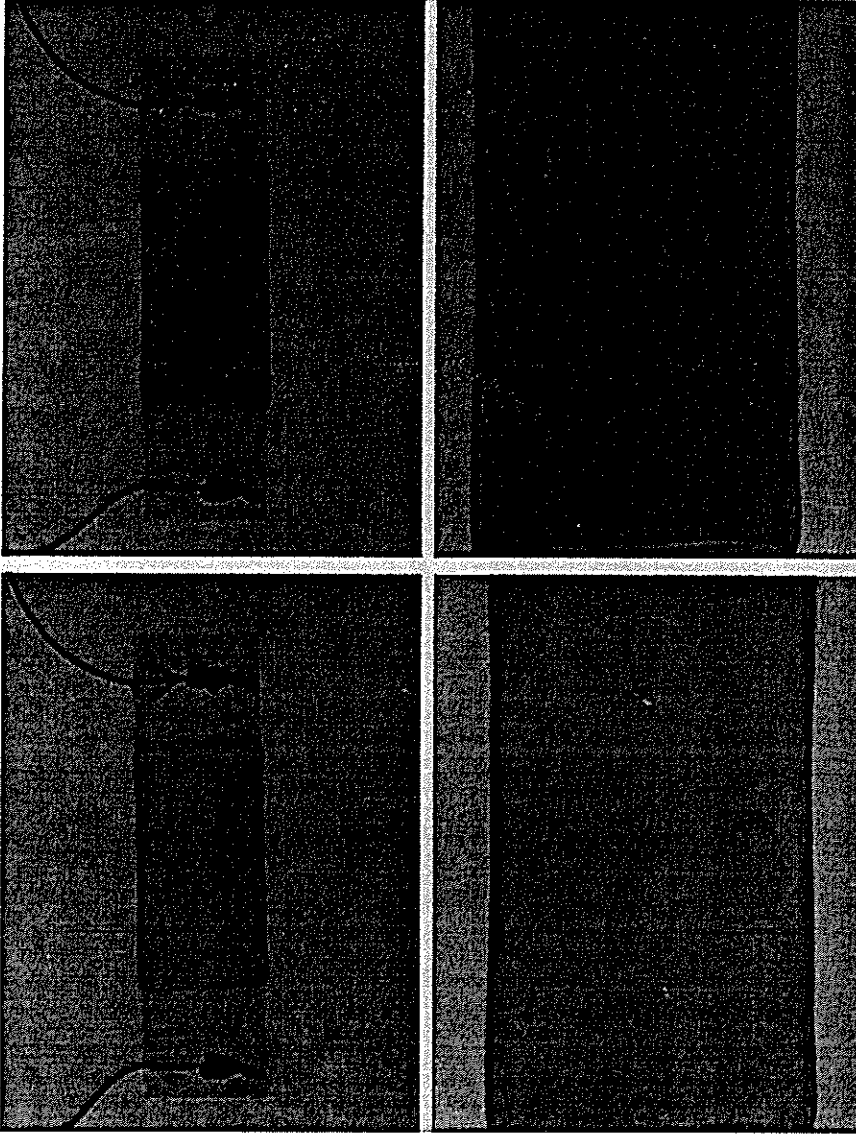
sealing (epoxy)

contact



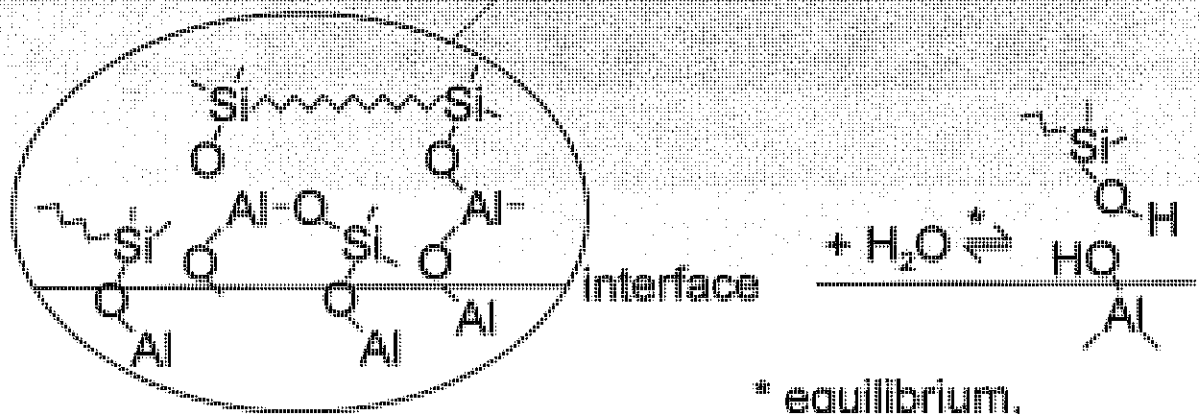
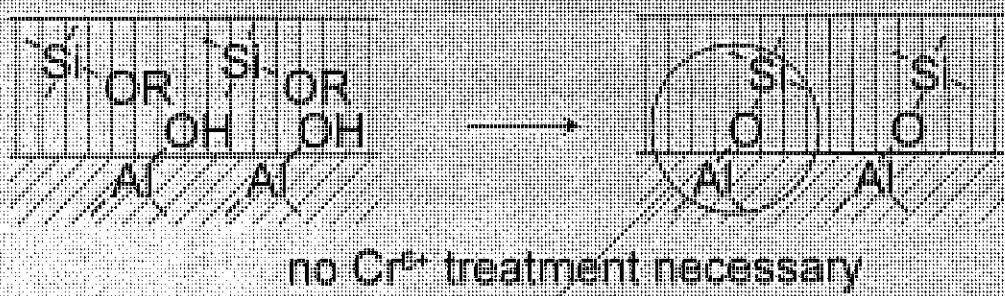
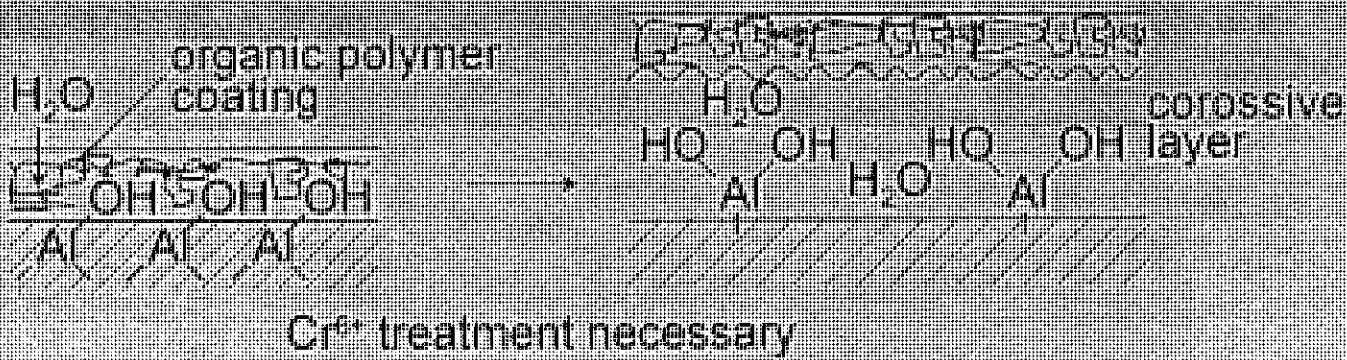
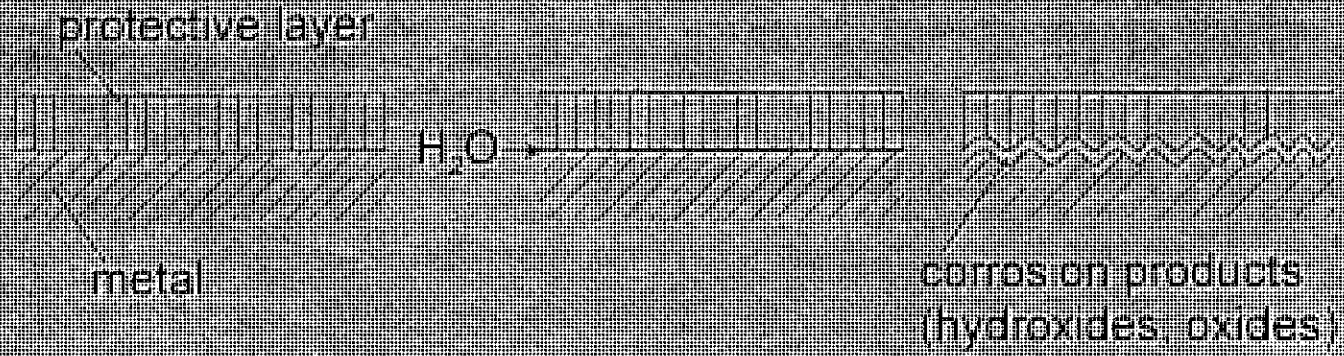
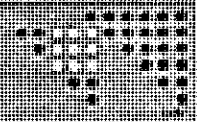
Average transmission (380–750 nm) of a WO₃ layer on ITO substrate, colouring and bleaching kinetics: ageing effects.





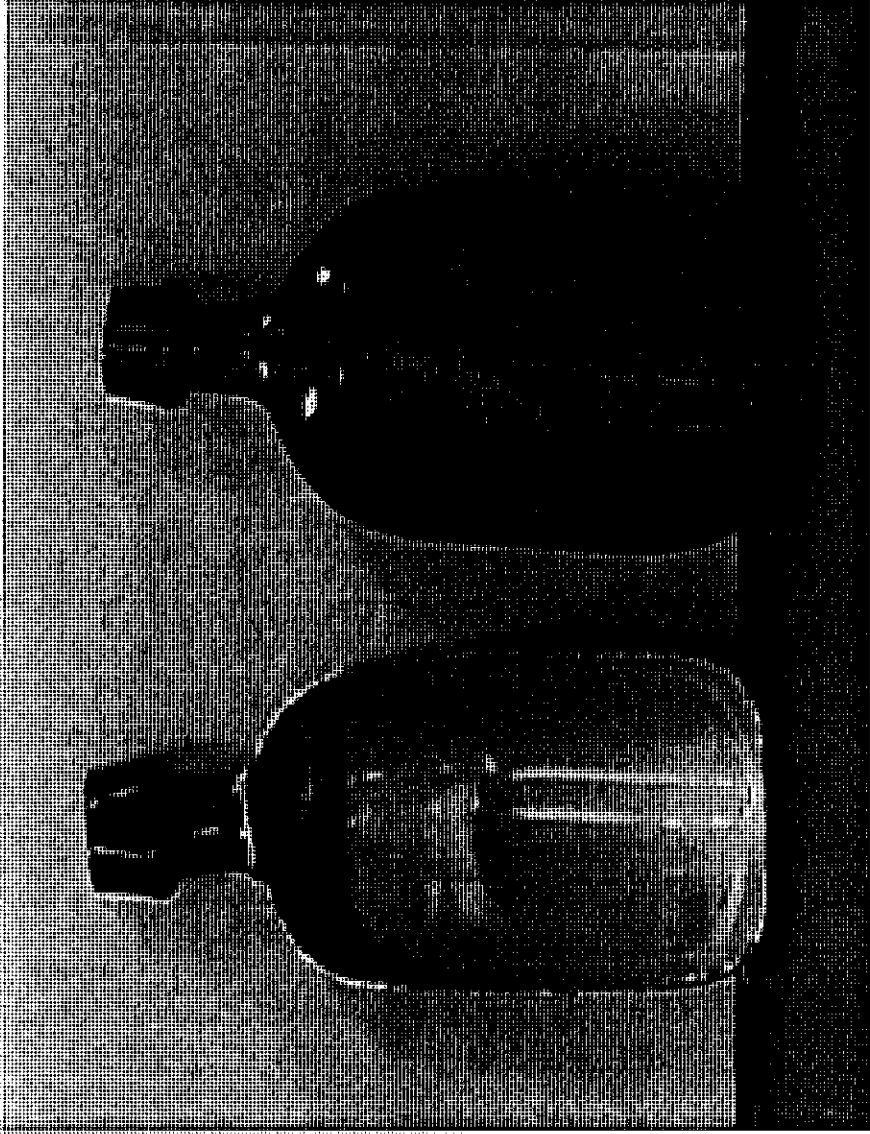
all sol-gel electrochromic system

Tailored interfaces for corrosion protection

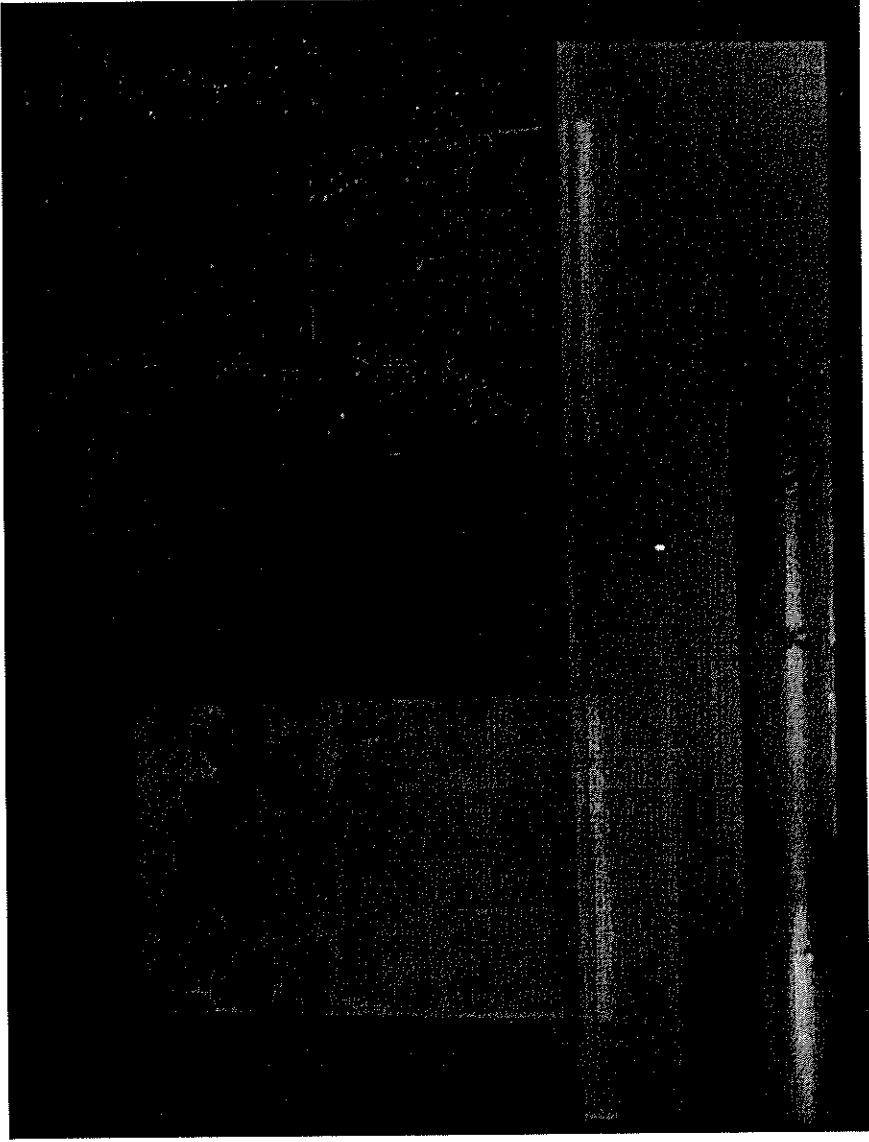


* equilibrium, since corrosion products cannot be removed

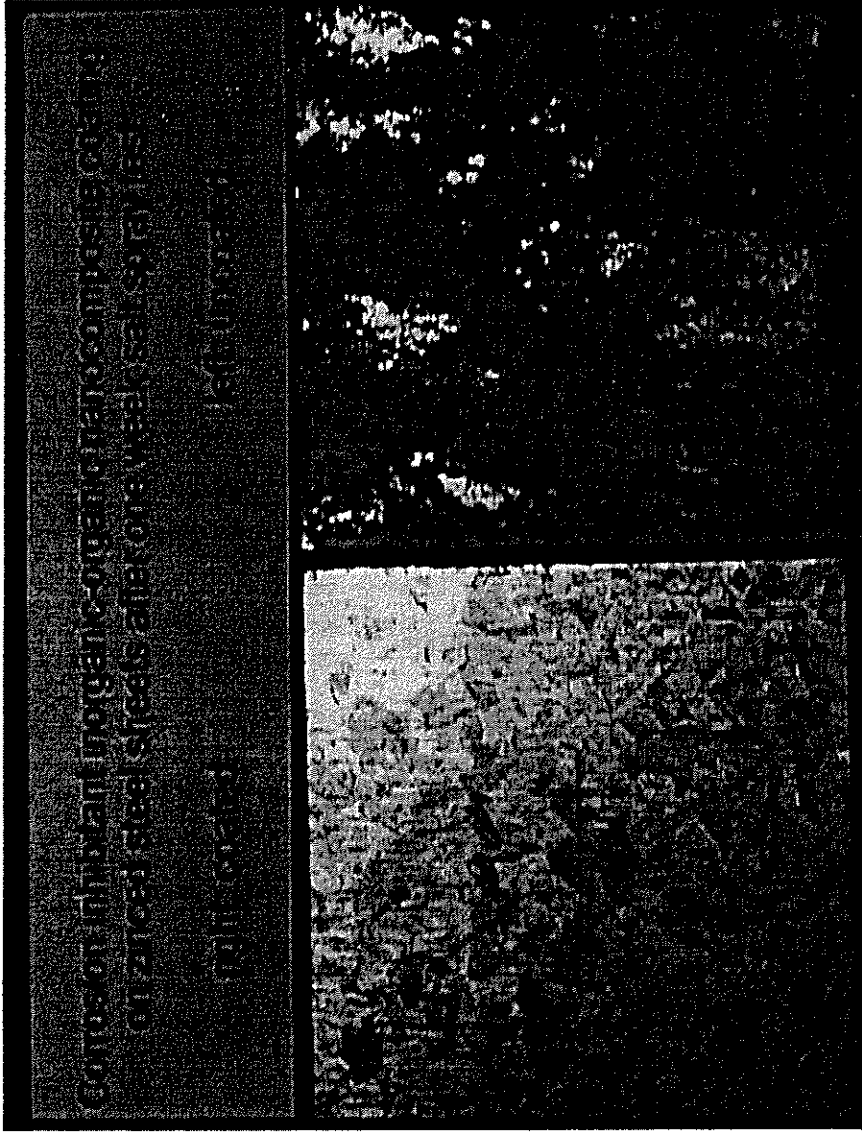
no pre treatment for Al necessary

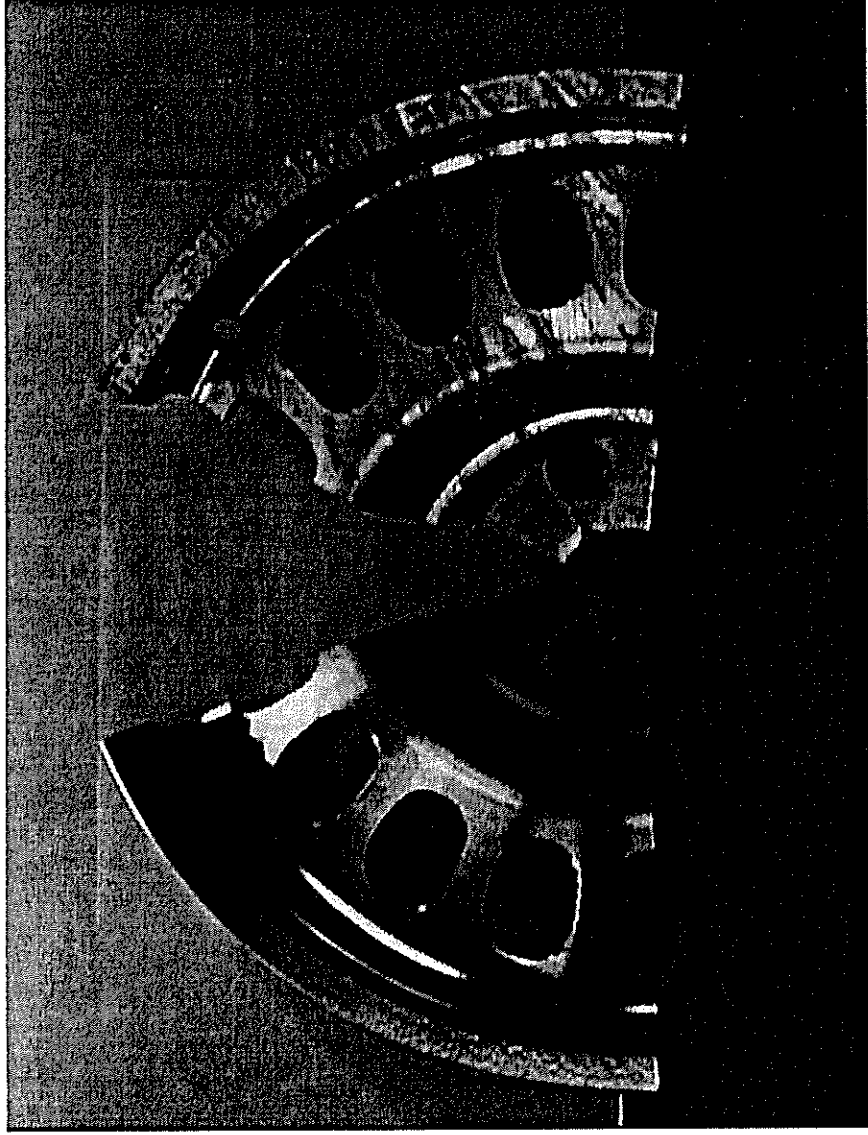


Al food containers with corrosion protection
anti soiling coating



corrosion protection of Al in the salt spray chamber
left: uncoated
right: coated with cross cut before test

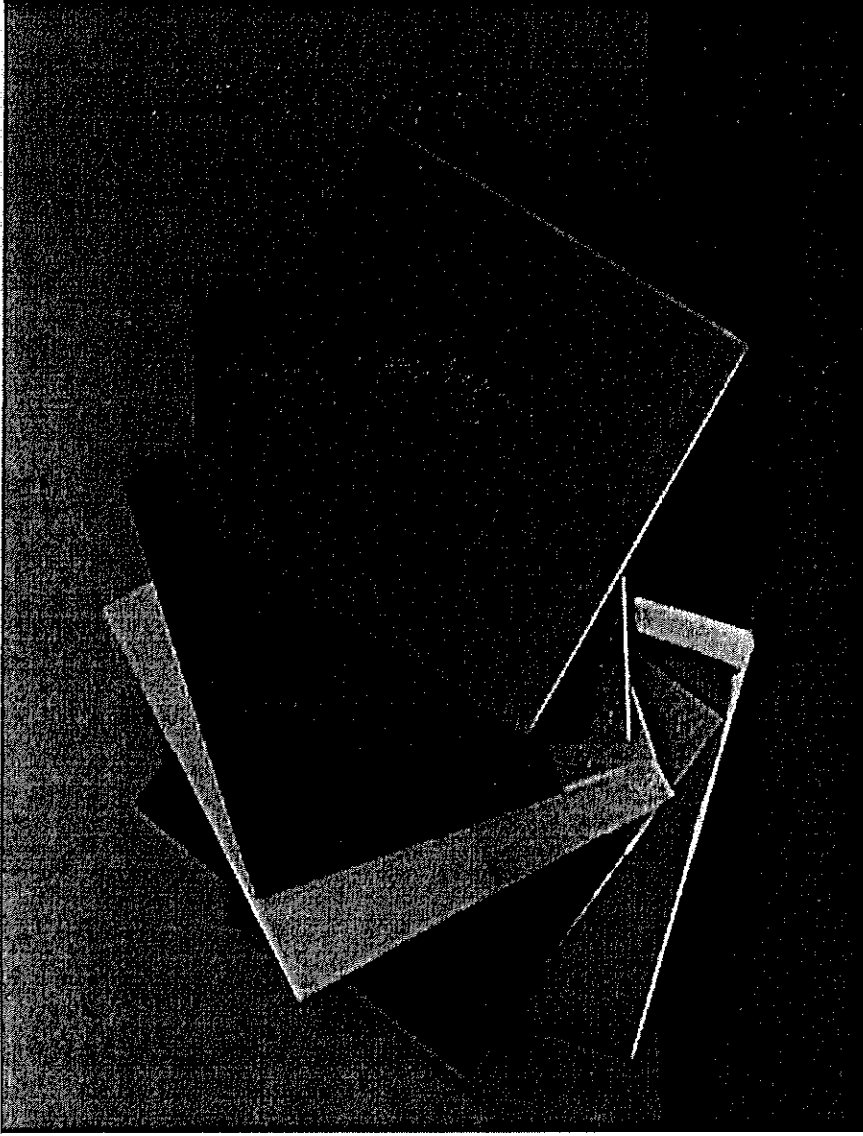




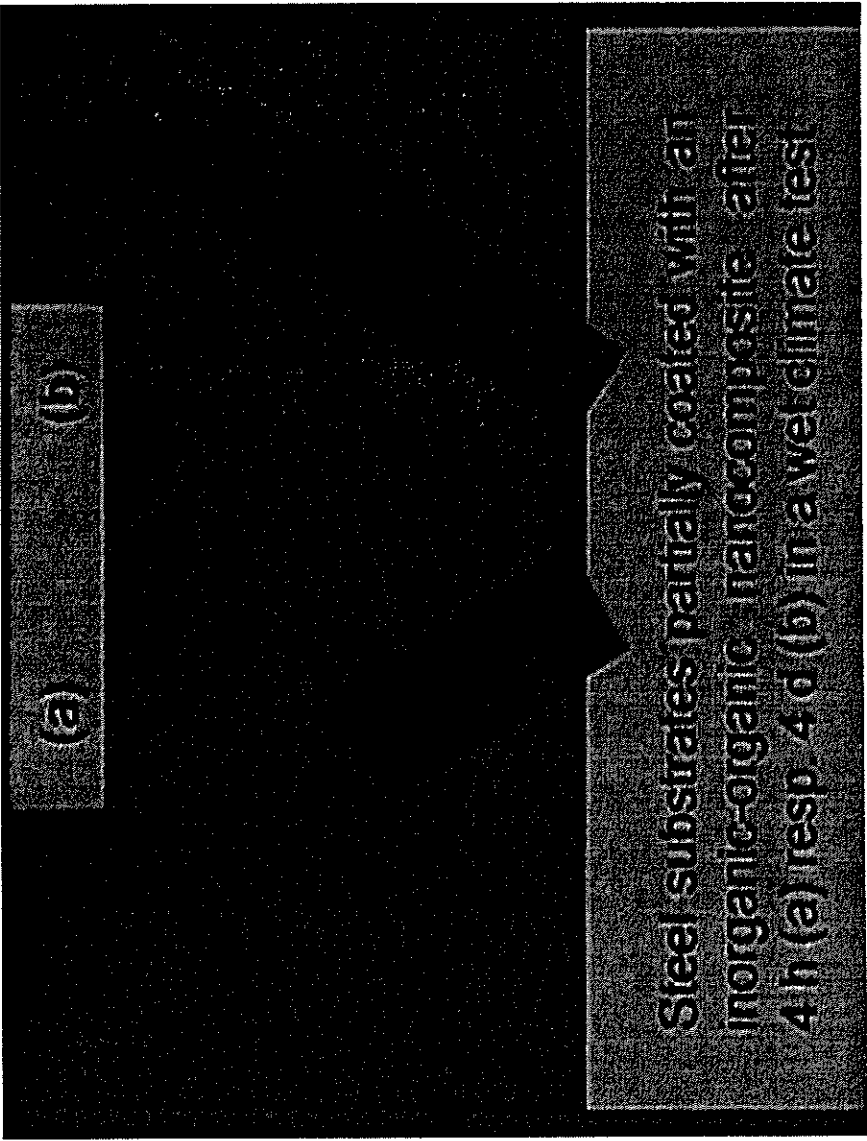
Al car wheel after corrosion test

left: coated

right: uncoated



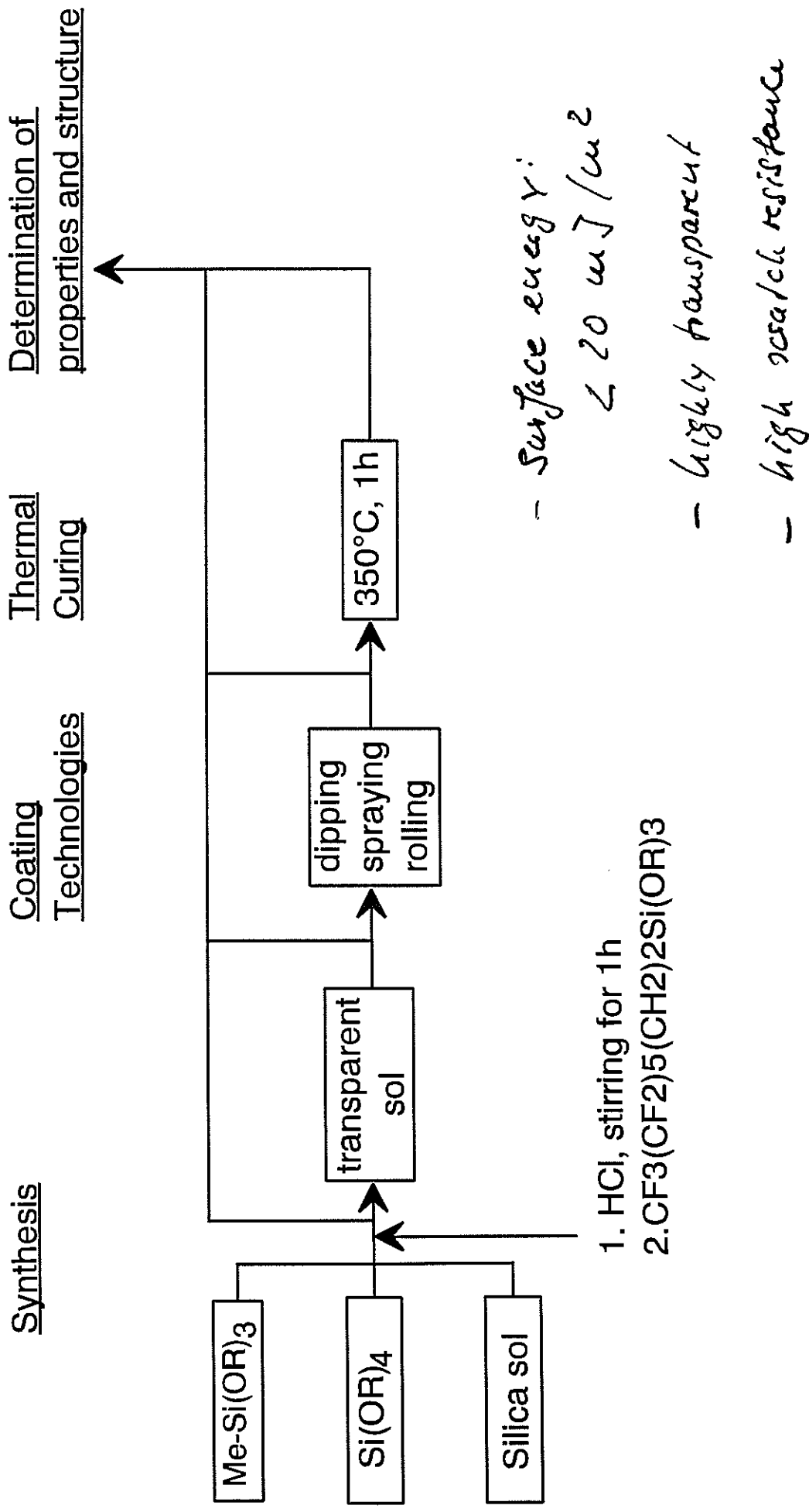
Al with various coloured
anti corrosion coatings



dark part: uncoated



High temperature low surface free energy coatings on glass (dust repellent properties)



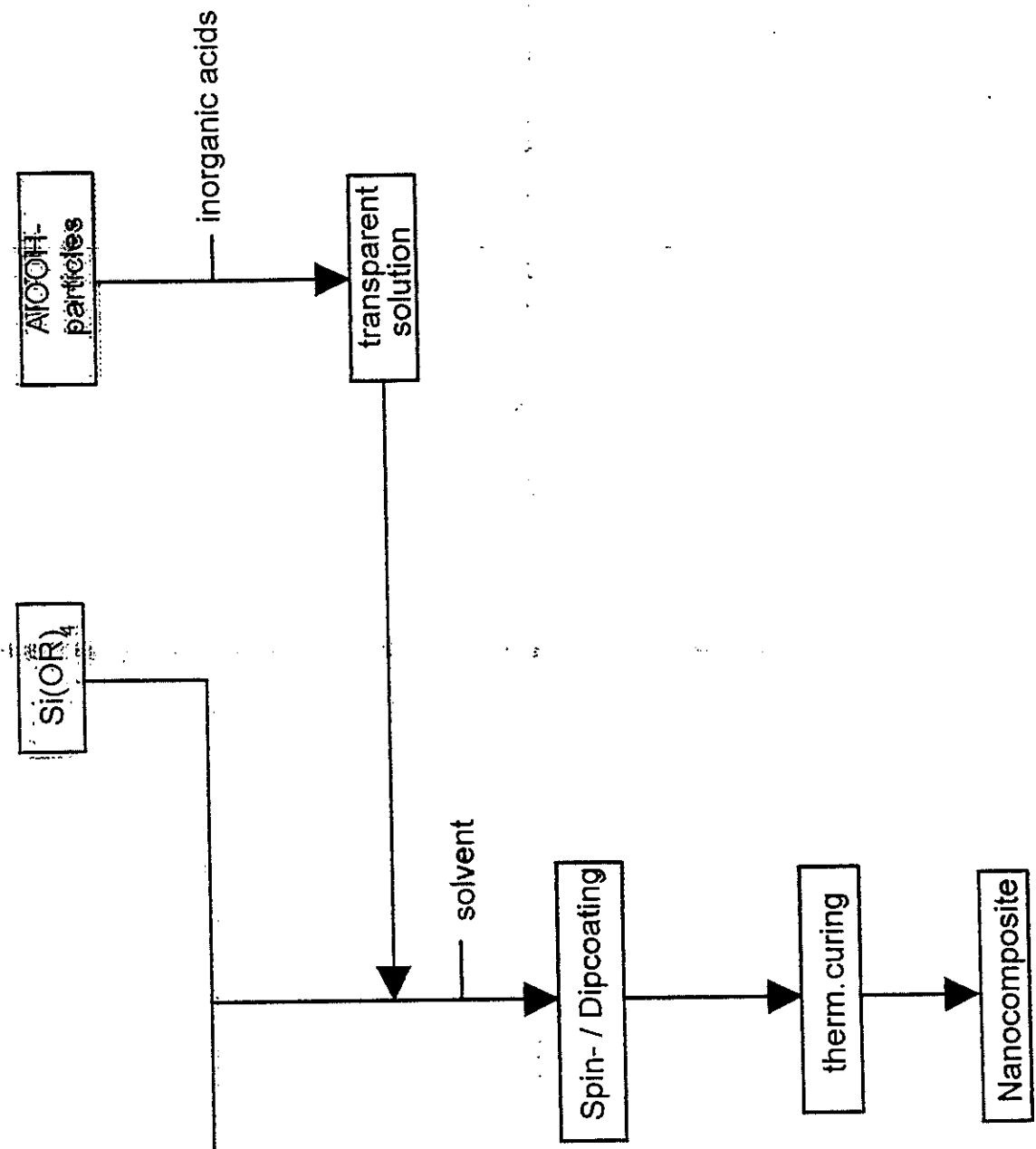
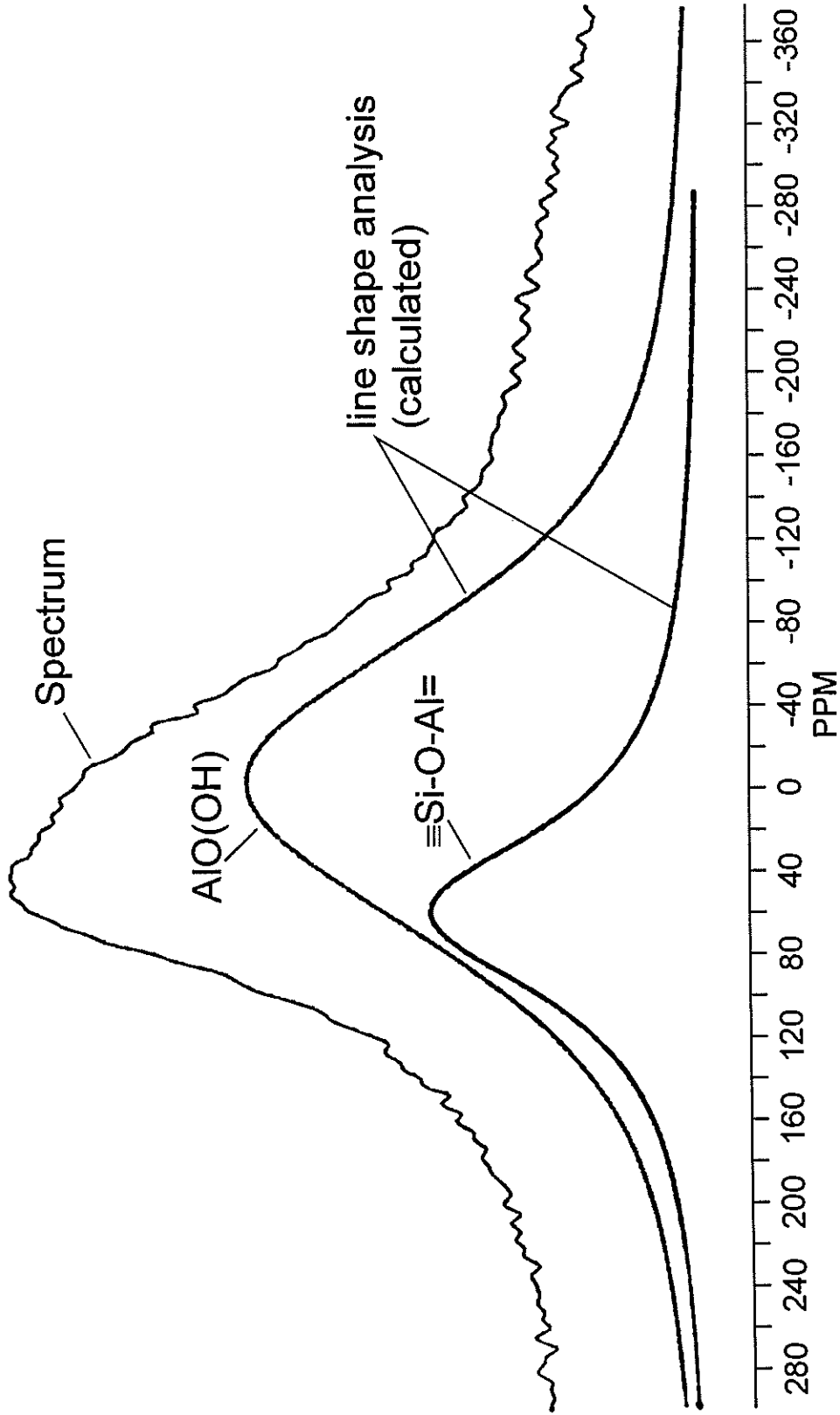
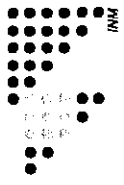


Figure 2: Synthesis of high scratch resistant Coatings



Formation of $\equiv\text{Si}-\text{O}-\text{Al}=\text{}$ bonds in

loading

150

100

50

observation through
microscope



diamond

scratch

nanocomposites

ormocer without
nanoparticles

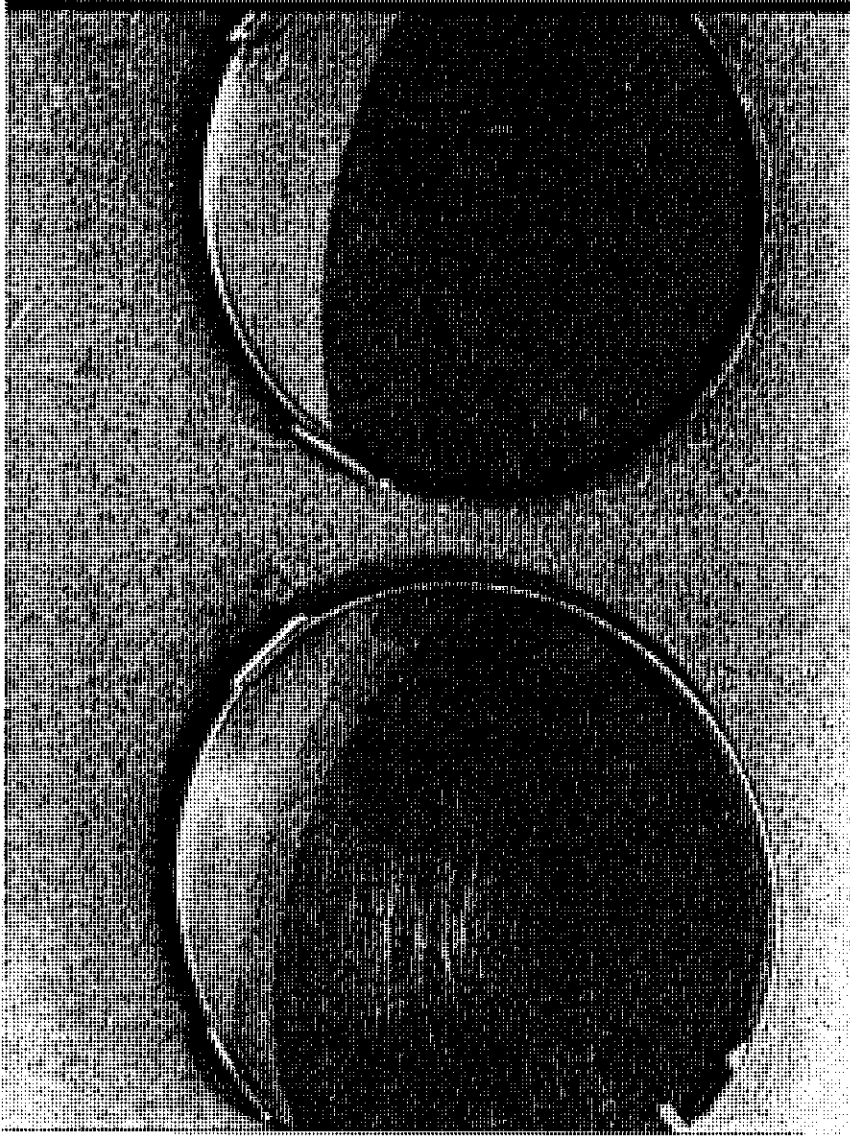
10

20

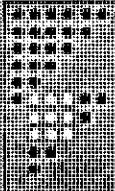
30

coating thickness in μm

scratch resistance of nanocomposite coatings



eye glass lenses after scratch test:
left: uncoated; right: coated



organic backbone

PEO chains

AlOOH particles

new nanocomposite

"filled polymer"
(SiO₂-filled polysiloxanes)

haze after test
arb. numbers

nanocomposite

Helioplast GH*

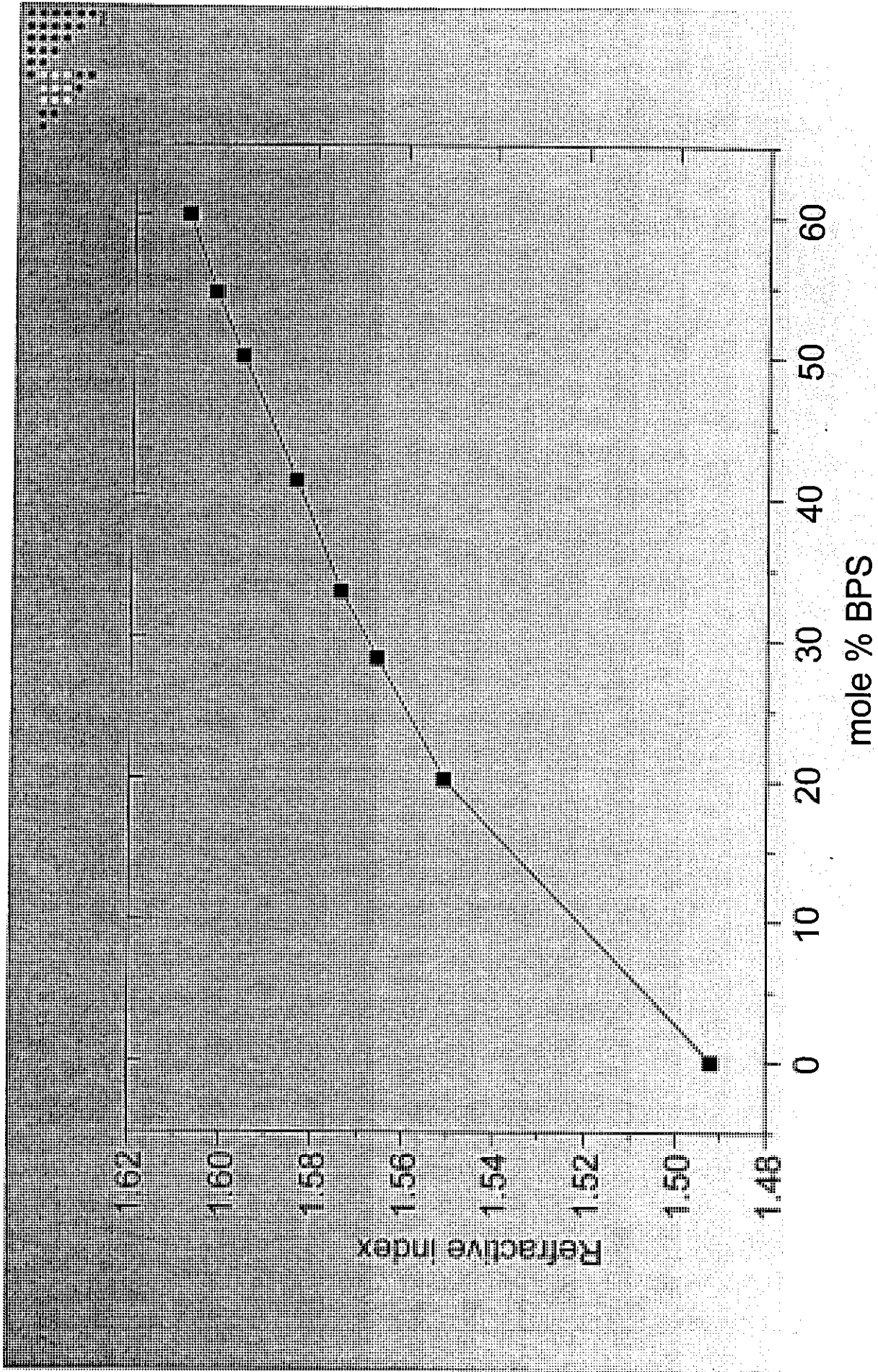
(conventional
ormocer)

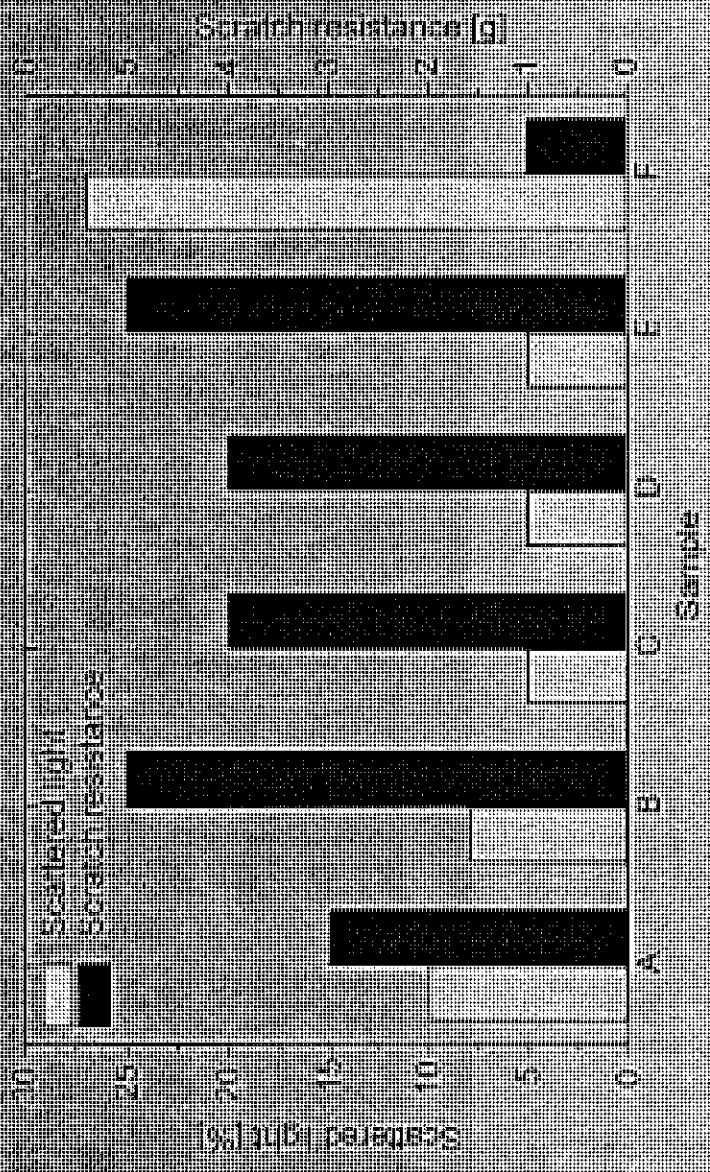
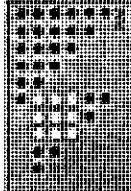
filled

polymer

CR³⁹

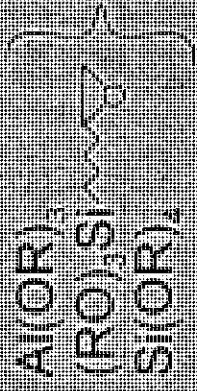
* commercial products



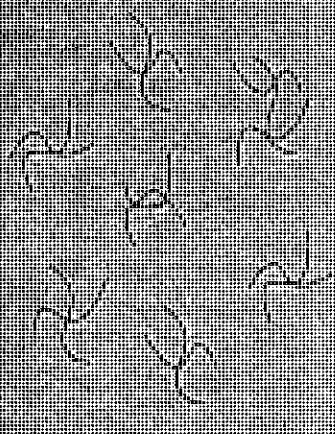


| Sample | GPTS | BPS | TEOS | PTEOS |
|--------|------------------------|-----|------|-------|
| A | 1 | 0.2 | 0 | 0 |
| B | 1 | 0.4 | 0 | 0 |
| C | 1 | 0.5 | 0 | 0 |
| D | 1 | 0.4 | 0.2 | 0 |
| E | 1 | 0.4 | 0 | 0.2 |
| F | uncoated polycarbonate | | | |

Controlled release systems
as drug delivery coatings



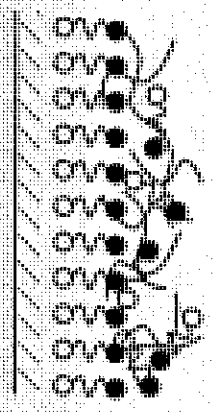
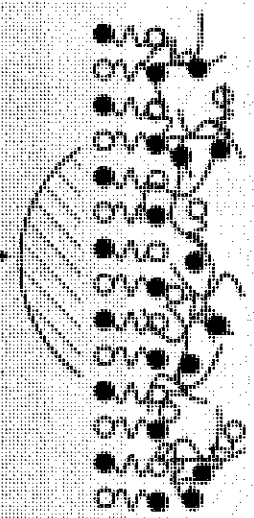
hydrolysis
condensation

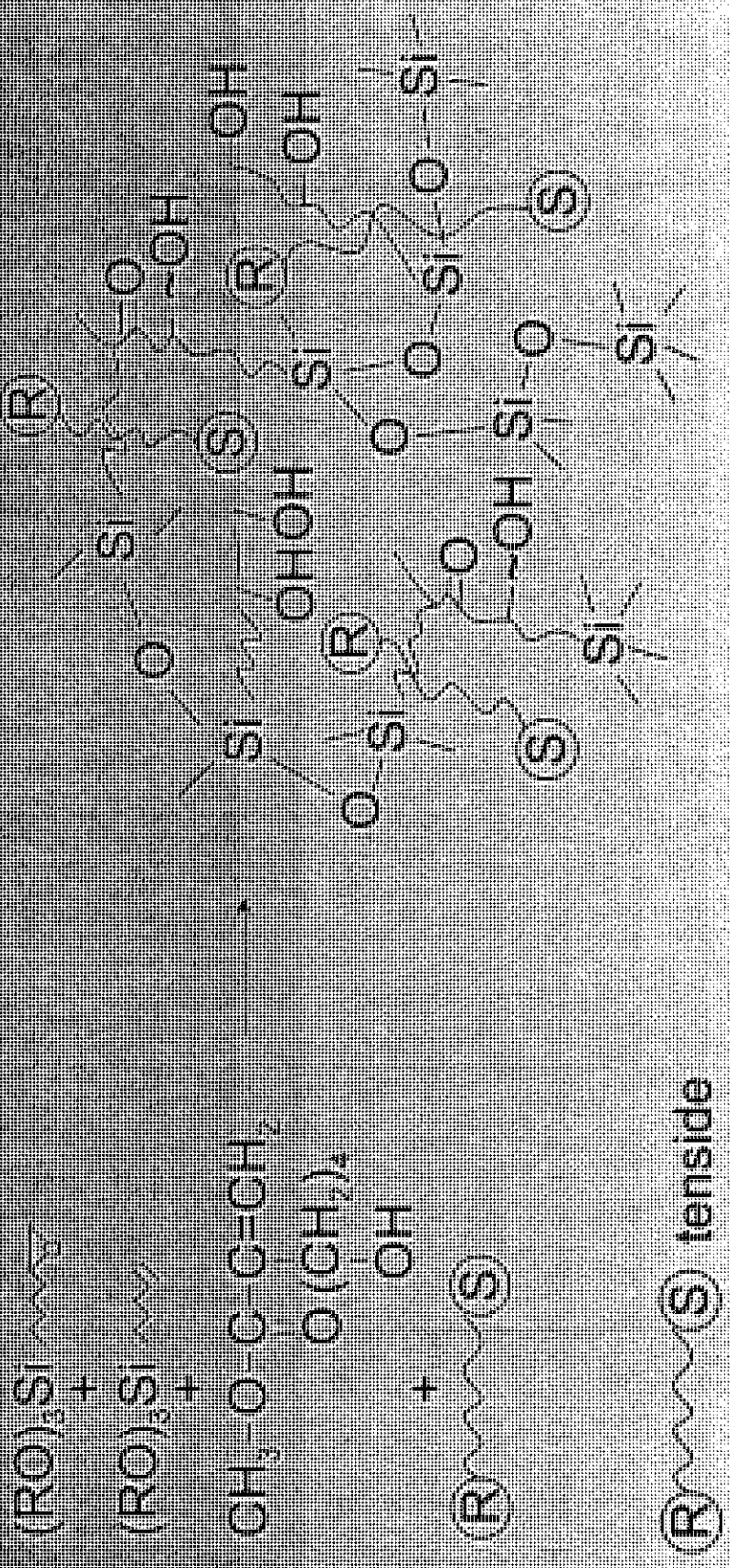


sol
detergents
 H_2O



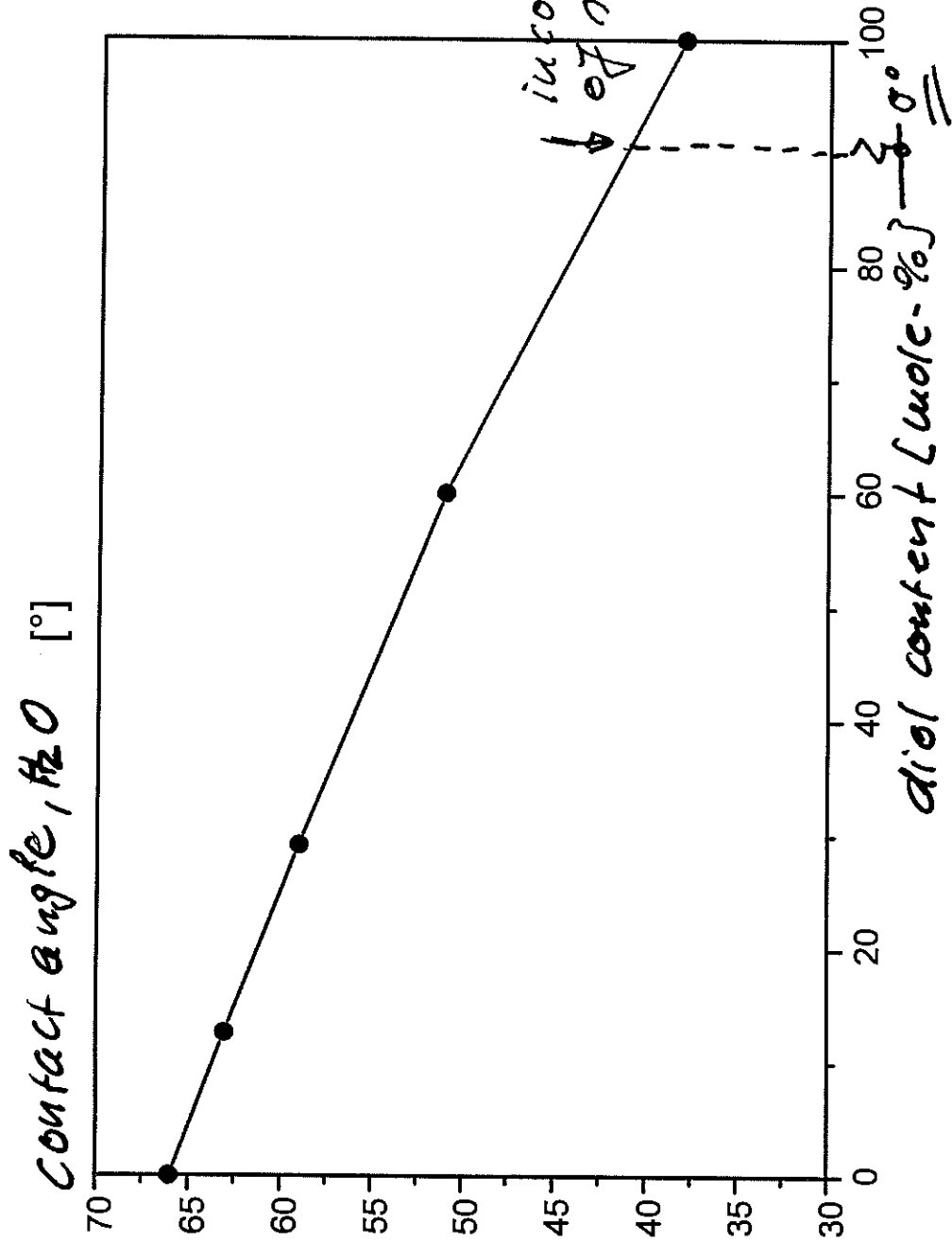
H_2O





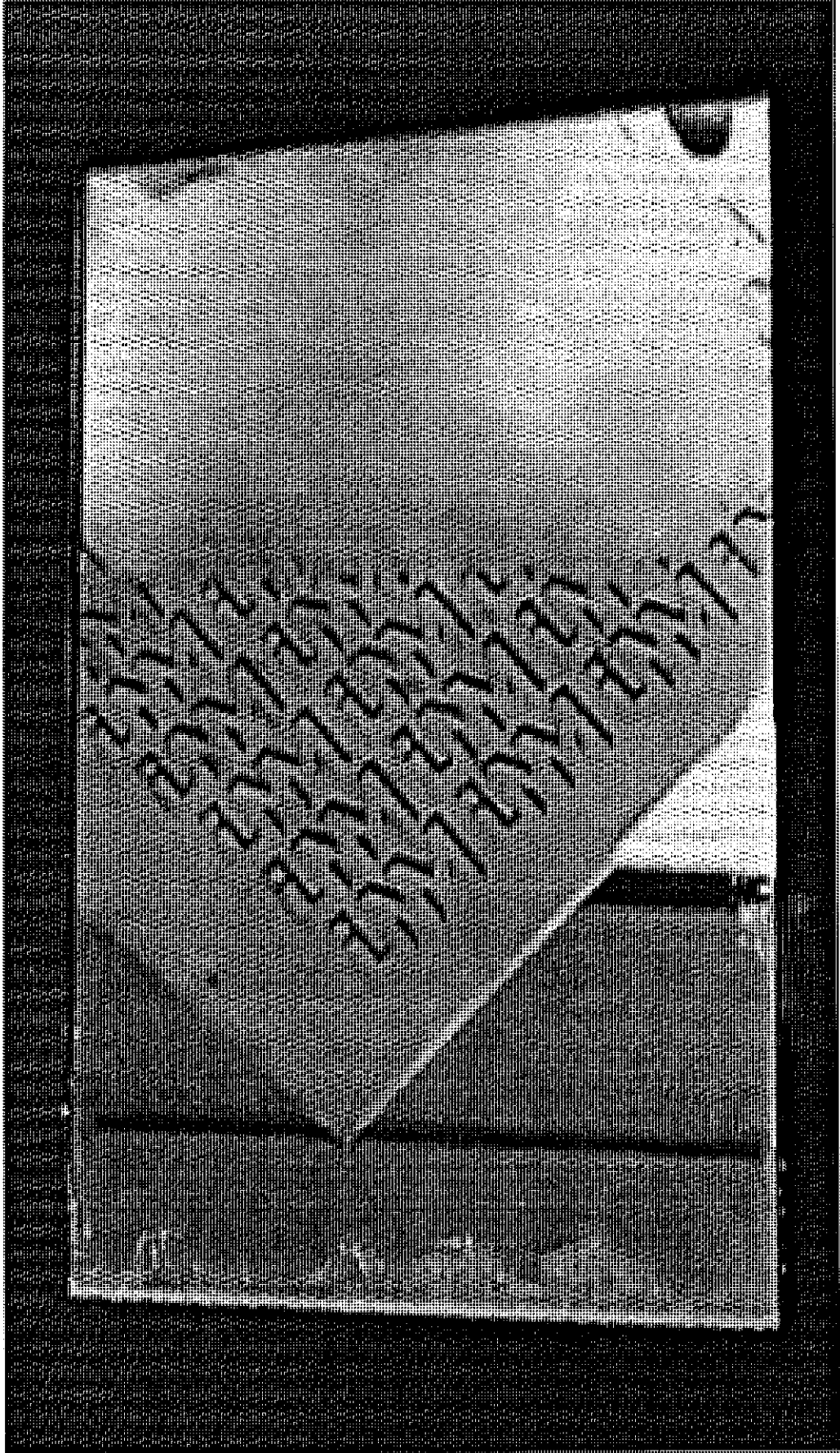
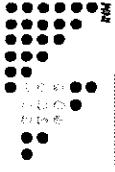
R = hydrophobic grouping
 S = hydrophilic grouping

Effect of this opening of the epoxy resin / A100K nano composite system



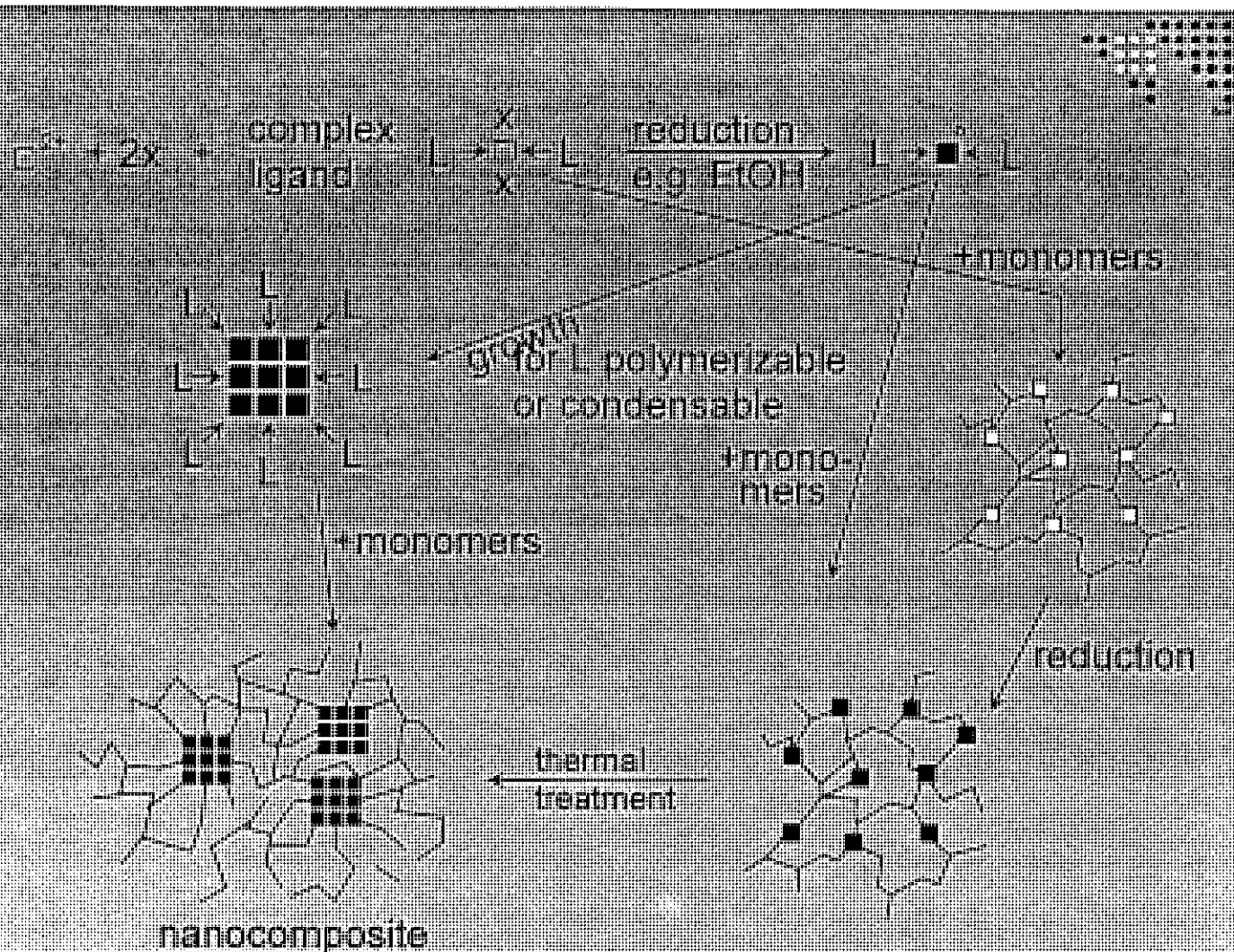
anti blocking effect

fogging experiment on glass



↑ coated side ↓ uncoated side

metal containing nanocomposites



monomers

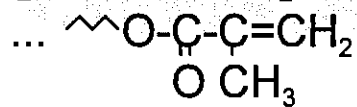
a: for polymerisation : e. g. olefins, acrylates

b: for sol-gel processing : alkoxides

c: for inorganic-organic sol-gel processing : e. g. alkoxy silanes with epoxy or methacryloxy groupings

L = amines with functional groupings (e. g. double bonds or alkoxy silanes) \blacksquare : e. g. Au, Ag, Pd, Pt, Cu

examples



Local electric field factor

$$\chi^{(3)} = p f_1^2 |f_1|^2 \chi^{(3)}_m$$

$\chi^{(3)}$ = third order nonlinear susceptibility of the composite

$\chi^{(3)}_m$ = third order nonlinear susceptibility of the colloid

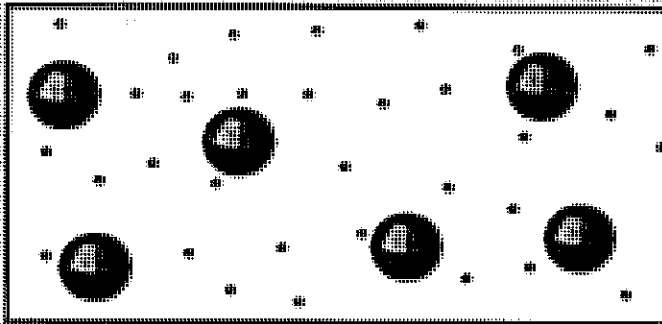
p = volume fraction of colloids

f_1 = local electric field factor

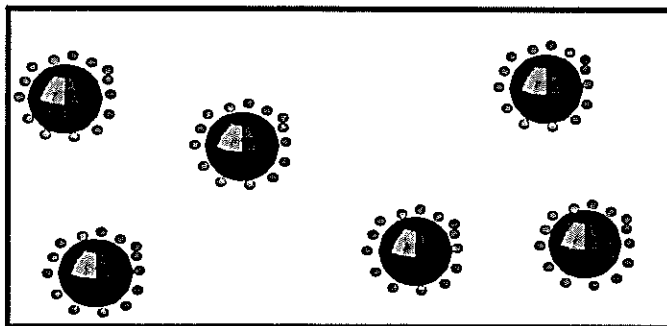
$$f_1 = \frac{3\epsilon_d}{2\epsilon_d + \epsilon_m}$$

ϵ_d = dielectric function of the matrix

ϵ_m = dielectric function of the metal



homogeneous matrix



tailored interface:
 - shell with large ϵ
 - ligands
 (e^- donor/acceptor)

Determination of nonlinear optical properties



$$\chi^{(3)} = \frac{8n^2c^2\varepsilon_0\alpha\sqrt{\eta}}{3\omega I_p(1-T)\sqrt{T}}$$

η = grating efficiency

n = refractive index

c = speed of light

α = linear absorption

ω = frequency of I_p

I_p = pump intensity

T = transmission of I_p

local field factor: $\chi^{(3)} = p f_1^2 |f_1|^2 \chi_m^{(3)}$

$$f_1 = f_1(\omega) = \frac{3\varepsilon_d}{\varepsilon_m(\omega) + 2\varepsilon_d}$$

$\varepsilon_m(\omega), \varepsilon_d$ = dielectric function of the metal and the matrix

Determination of ε_m'' (Hache et al.):

$$\frac{W}{W_\infty} = \frac{\varepsilon_m''}{\varepsilon_m''^\infty}$$

W_∞ = halfwidth, $\varepsilon_m''^\infty$ = dielectric function
in large particle size limit

Determination of the volume fraction p :

$$\alpha = p \frac{\omega}{n_d c} |f_1|^2 \varepsilon_m''$$

Ormocer sol:

$\text{CH}_2=\text{C}(\text{CH}_3)-\text{COO}-(\text{CH}_2)_3-\text{Si}(\text{OCH}_3)_3$ (MPTS)
prehydrolysis with HCl

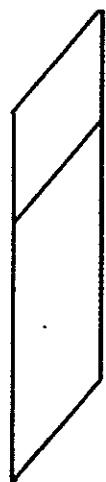
+
 $\text{Zr}(\text{OC}_3\text{H}_7)_4 + \text{CH}_2=\text{C}(\text{CH}_3)-\text{COOH}$ (1:1) (4 h, RT)
+
 H_2O (10 h, RT)

Au precursor:

$\text{HAuCl}_4 \cdot 3\text{H}_2\text{O}$ dissolved
ethanol + isopropanol + acetone (5 : 4 : 1)

+
 $\text{NH}_2(\text{CH}_2)_2\text{NH}(\text{CH}_2)_3\text{Si}(\text{OR})_3$ (DIAMO : Au = 1:1)

mixing, stirring 4 h, RT + photocatalyst



UV curing (Hg-Xe lamp, 1500 W)
thermal curing (200 °C)
1 minute !

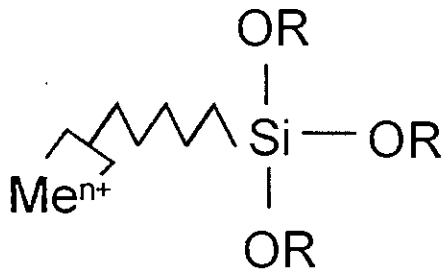


**simultaneous
colloid formation
+
matrix densification**



Synthesis of metal colloids in sol-gel matrices

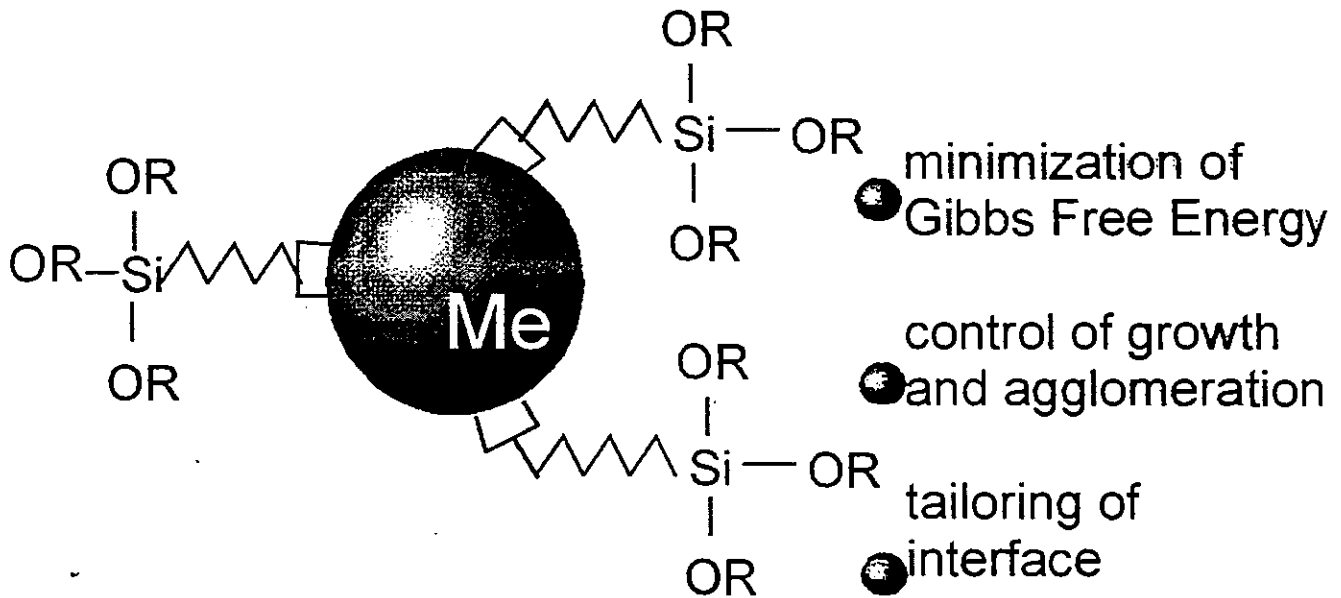
problem: spontaneous reduction of Me-ions in solutions
uncontrolled agglomeration of colloids



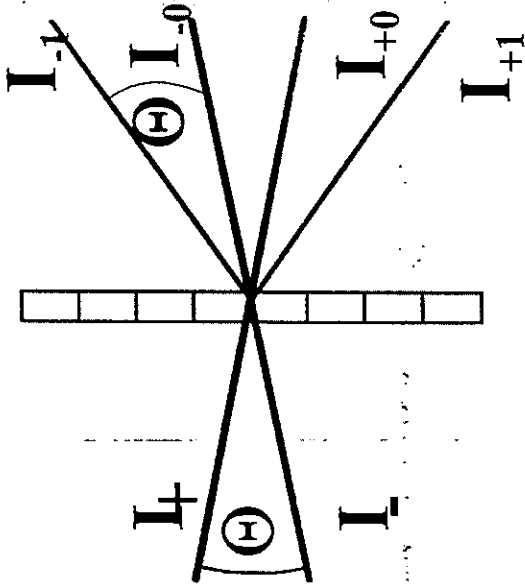
stabilization of Me-ions
by complex formation
with functionalized silanes



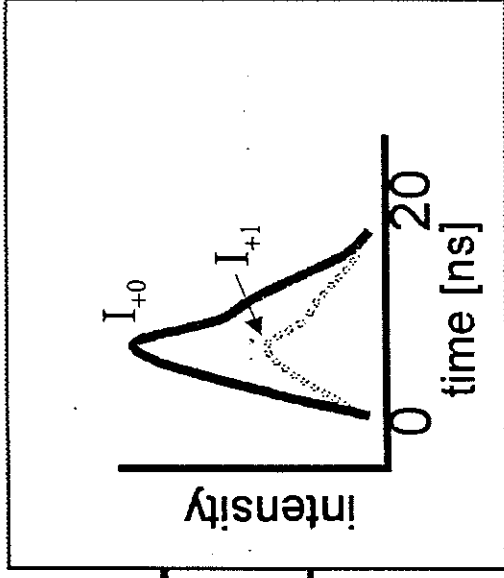
reduction step:
- UV-irradiation
- reducing agents
- thermal activation



Determination of λ^3 by self defraction experiment



fast oscilloscope

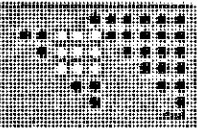


PD = fast photodiode

response time: < 7 ps

Au: $\chi_{Au}^3 = 2.6 \cdot 10^{-6}$

Cu: $\chi_{Cu}^3 = 1.5 \cdot 10^{-5}$

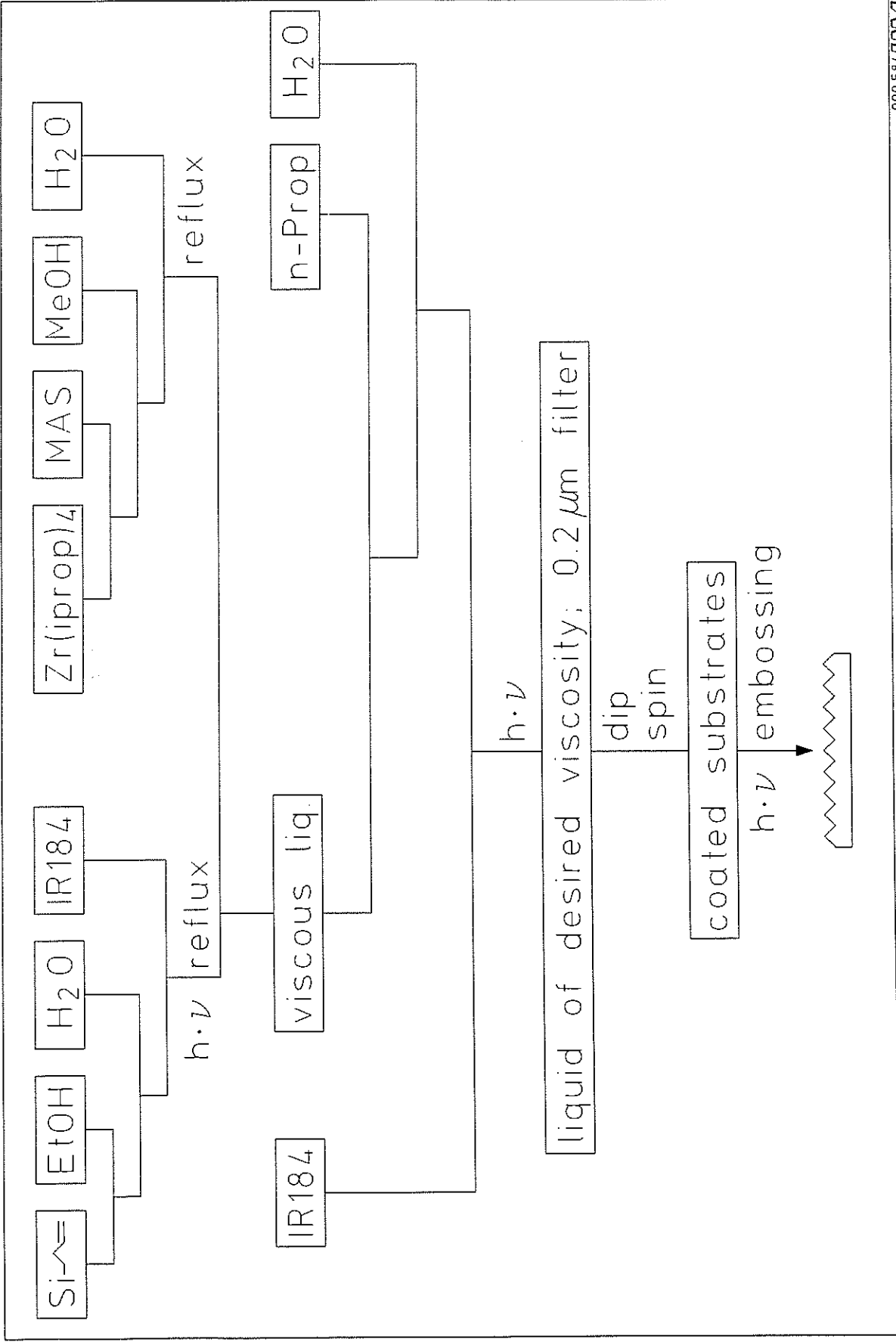


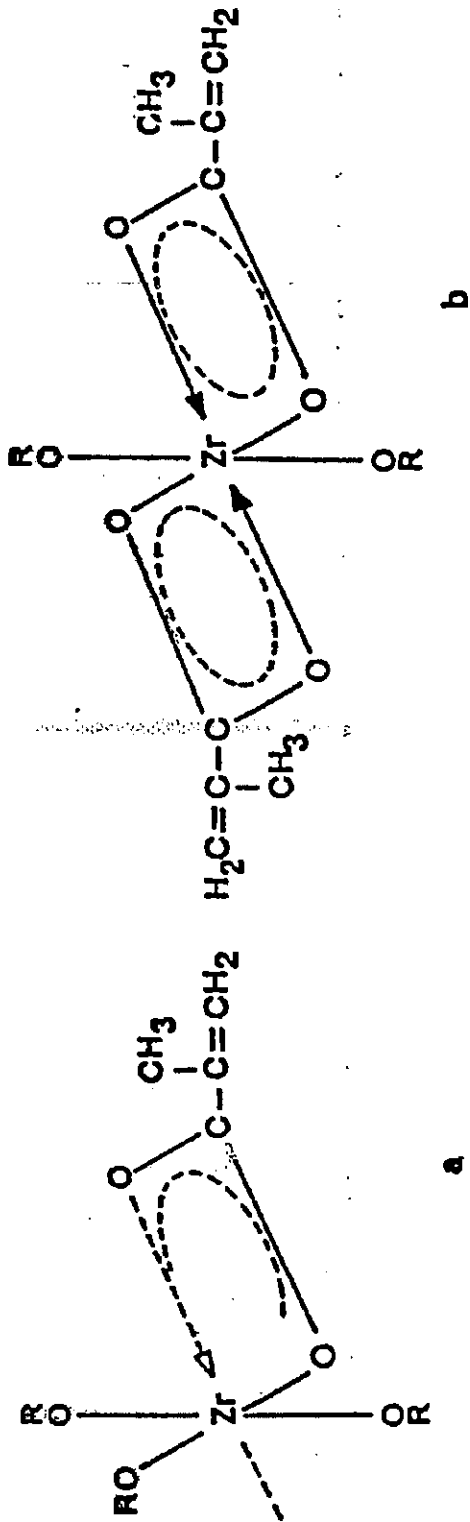
Results:

| Matrix | ligand | $\chi_m^{(13)}$ [esu] |
|------------------|------------------------------|------------------------|
| Ormocer | NH ₂ ⁻ | 2.0 · 10 ⁻⁶ |
| | SH- | 9.0 · 10 ⁻⁷ |
| SiO ₂ | NH ₂ ⁻ | 7.0 · 10 ⁻⁷ |
| | SH- | < 1 · 10 ⁻⁷ |

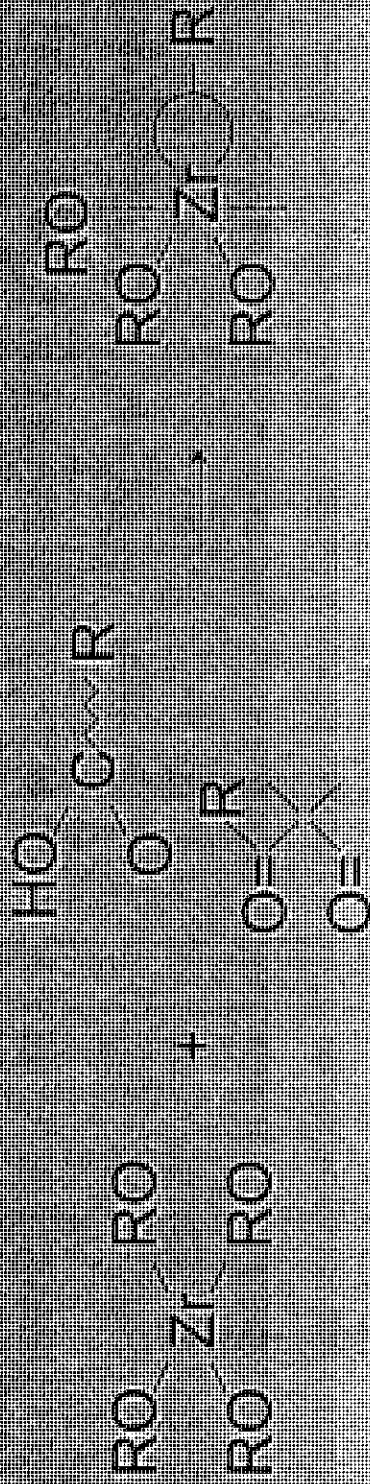
Au colloids in glass: 1...5 · 10⁻⁸ esu

2102 nano composite of UTLENIS
for optical materials



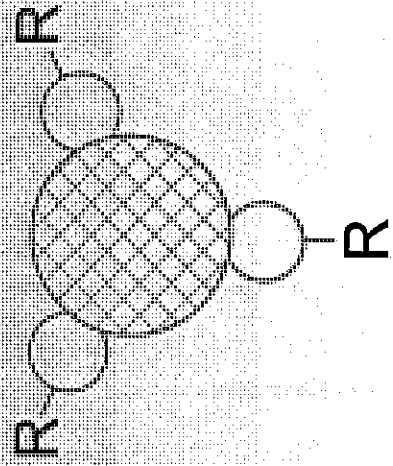
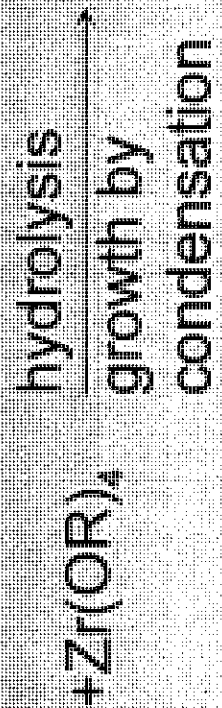


Models for the "chelating" type of bonds between Zr and methacrylic acid a: ZR/MA = 1:1; b: ZR/MA = 1:2



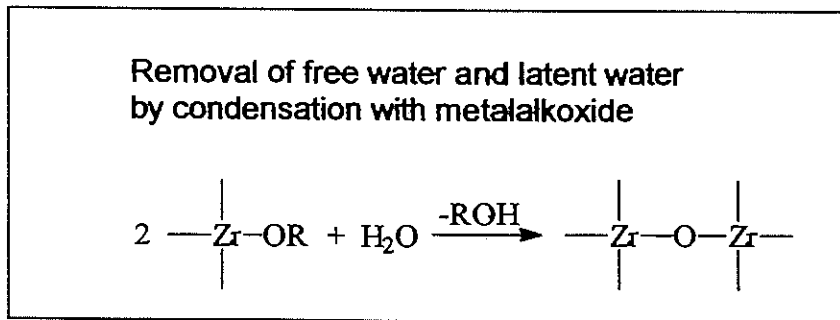
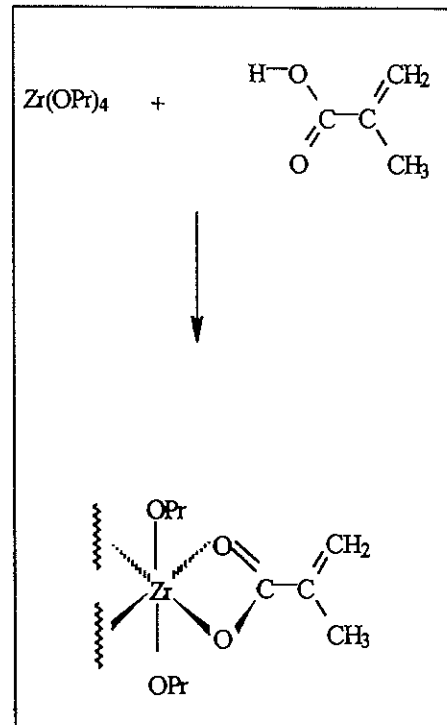
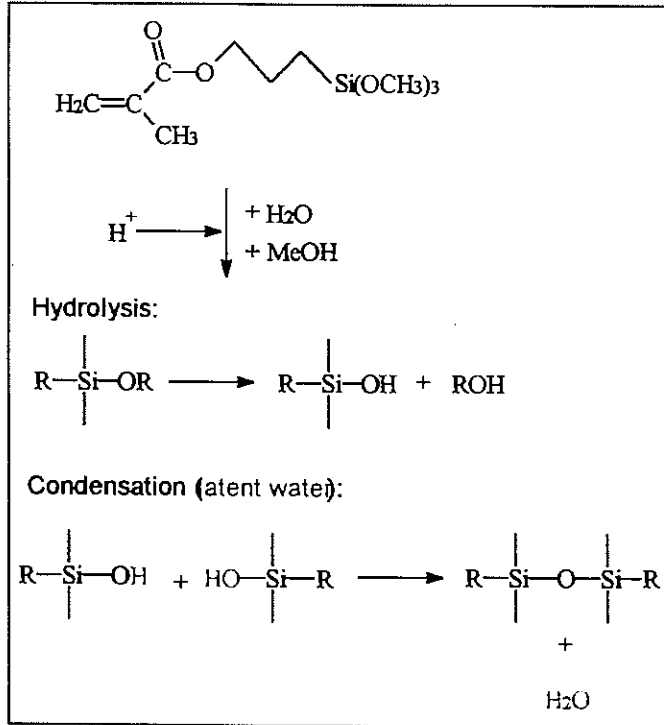
alkoxide

chelating ligand



MPTS

Zirconiumpropoxide MAS

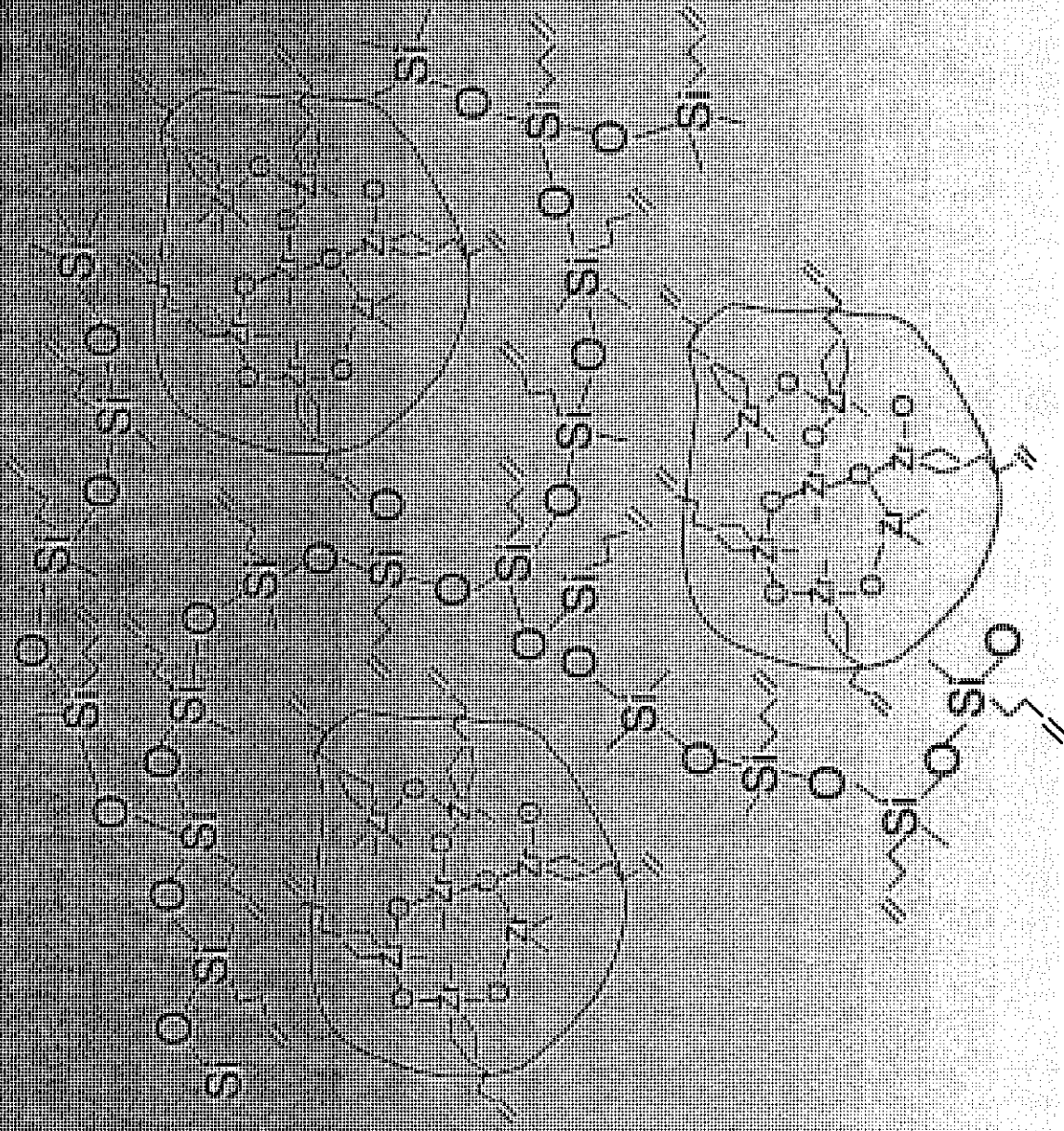


+ photoinitiator

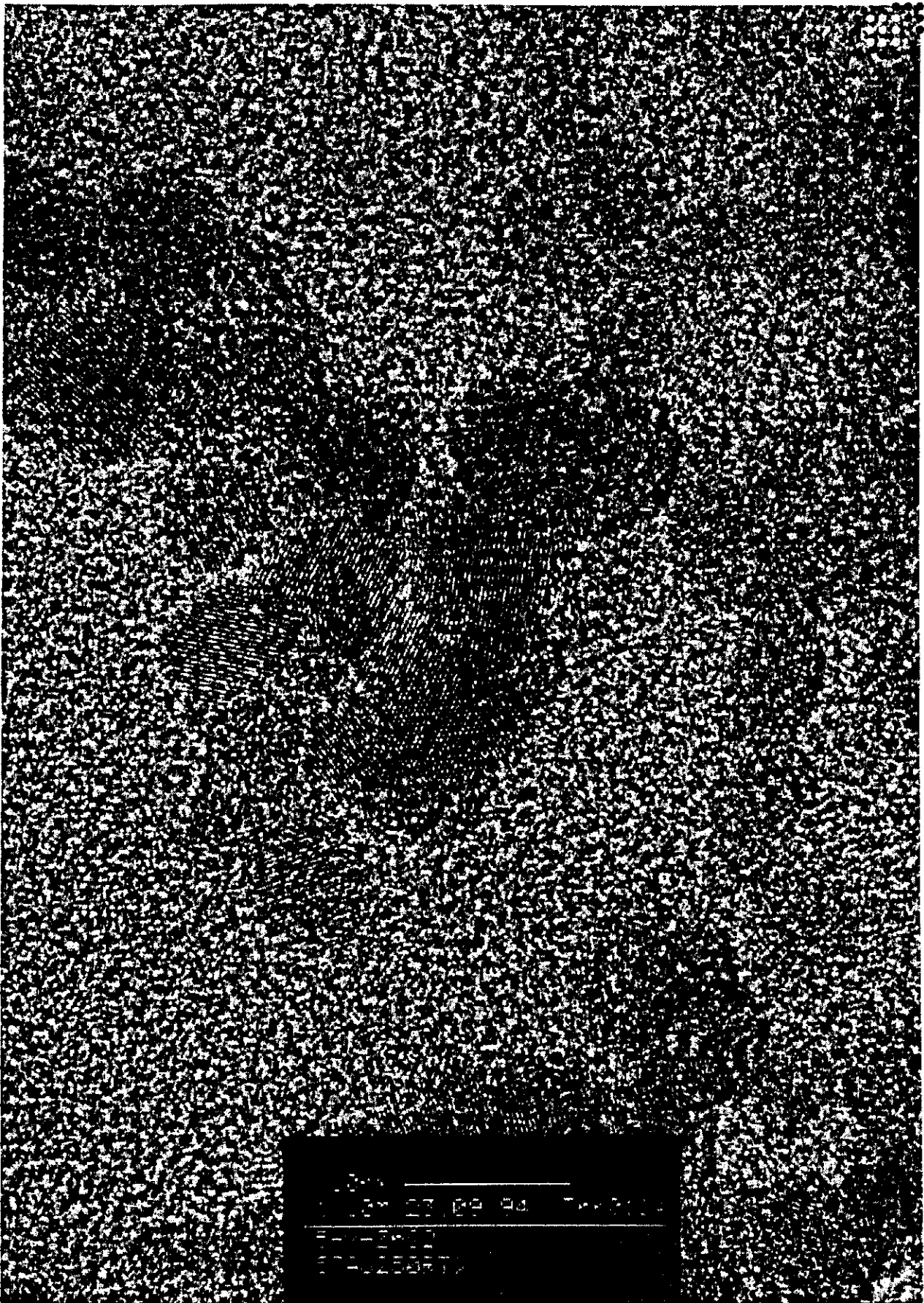
clear liquid

thin films

bulk

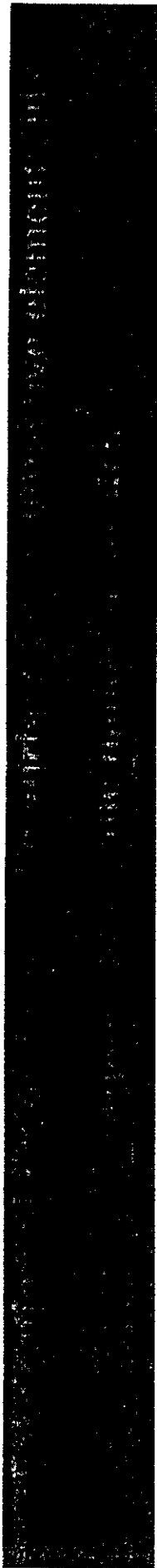


Model for a colloidal organic-inorganic network interpenetrated by a siloxane network

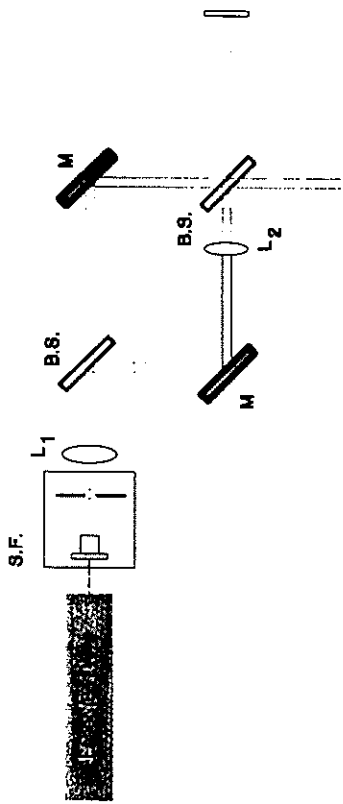


002.736

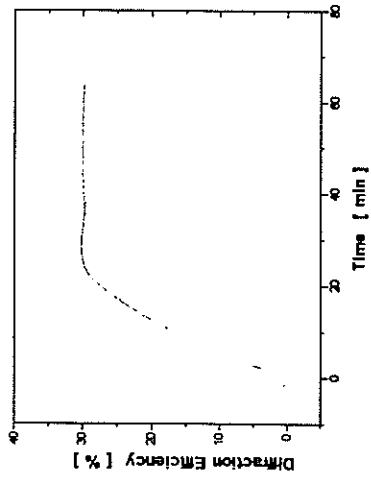
TiO₂ anatase crystals



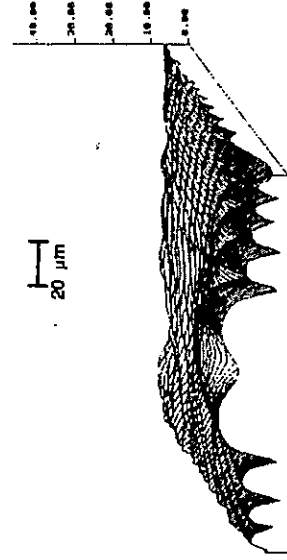
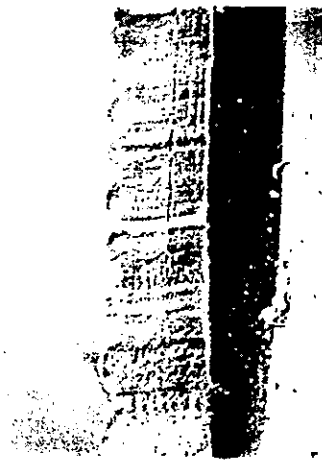
Mach-Zender-experiment

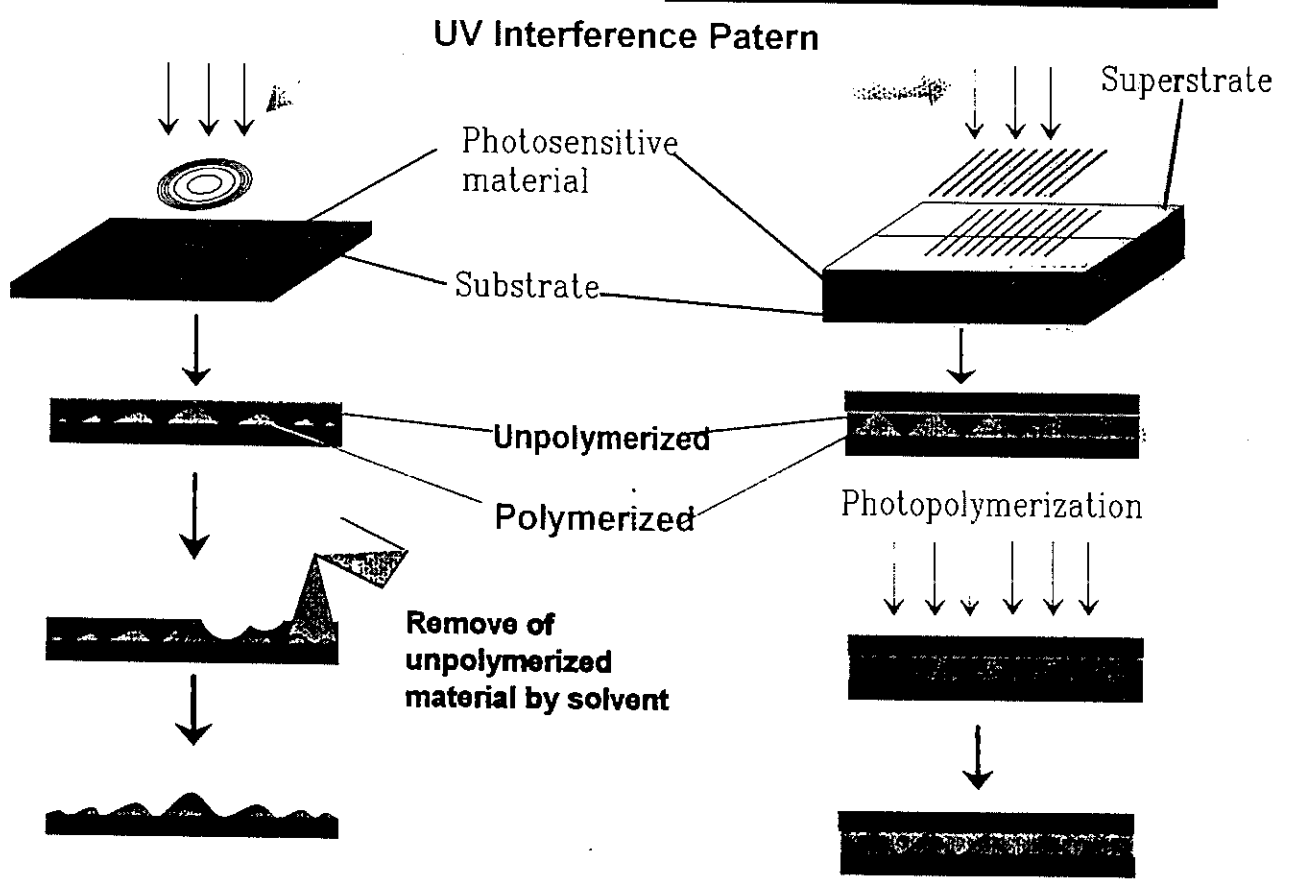
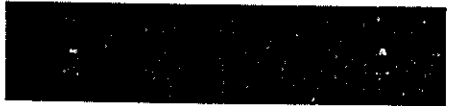


Diffraction efficiency



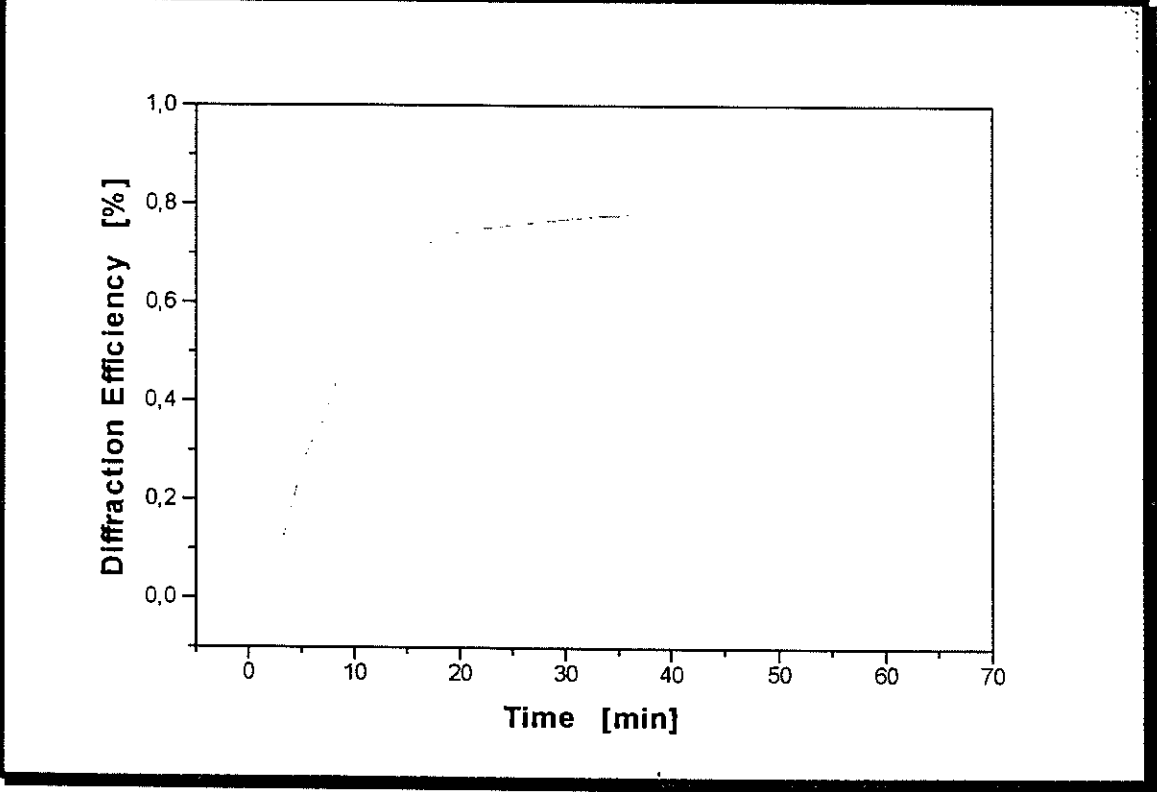
Results after subsequent development step





FRONTIERES DE LA RECHERCHE EN BIOPHYSIQUE

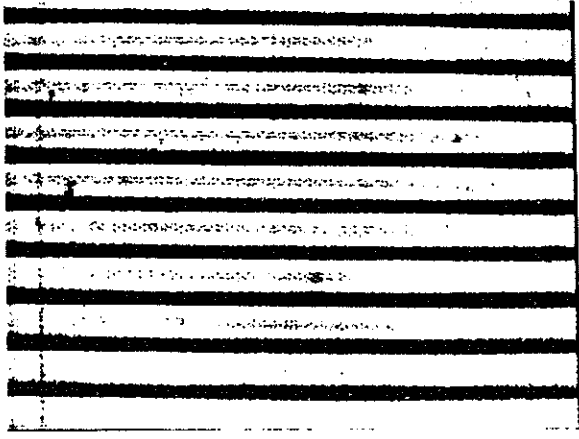
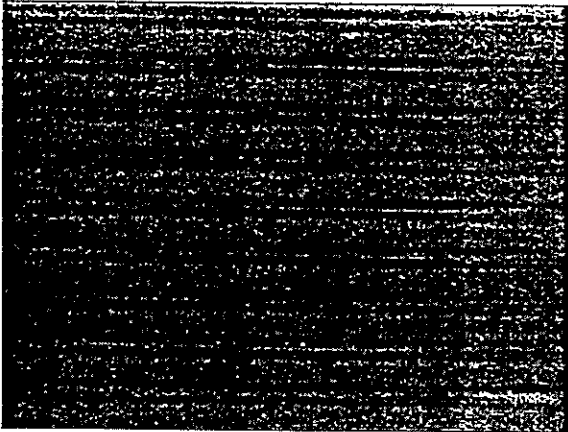
MEMBRANES



Light microscopy

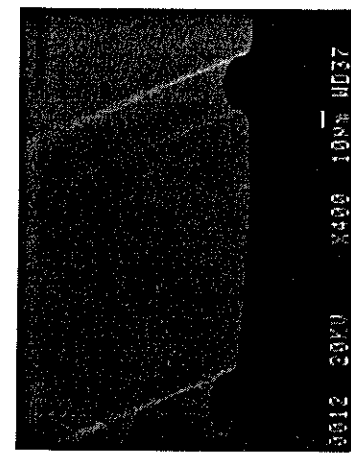
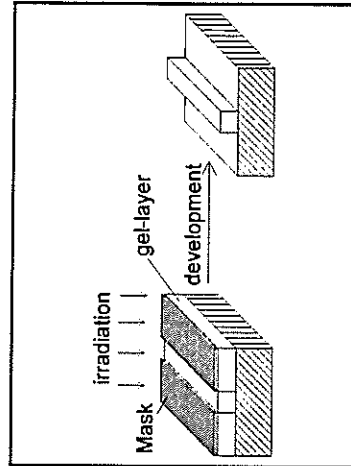
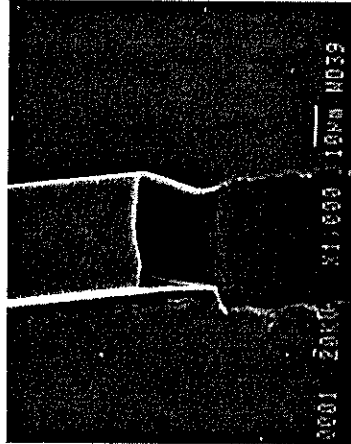
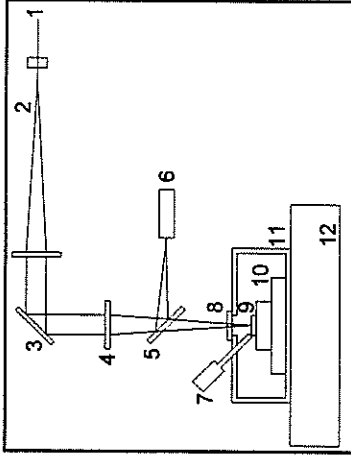
normal contrast

phase contrast





Micro patterning of the nanocomposite system MPTS/Zr/MAS

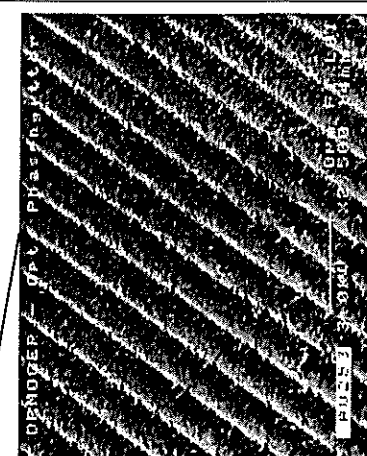
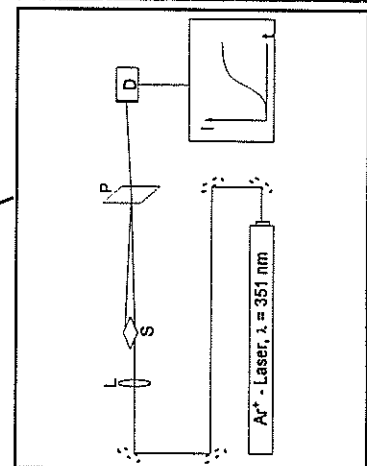
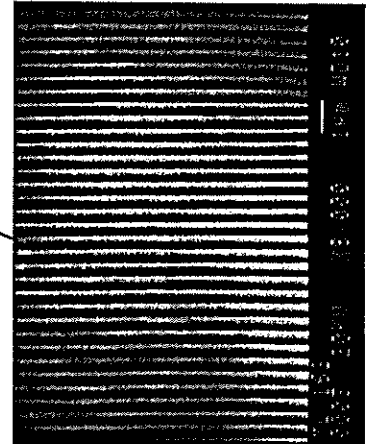
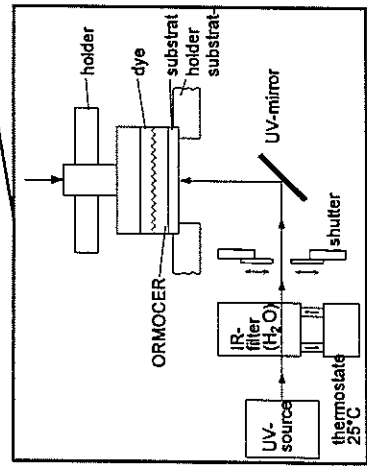


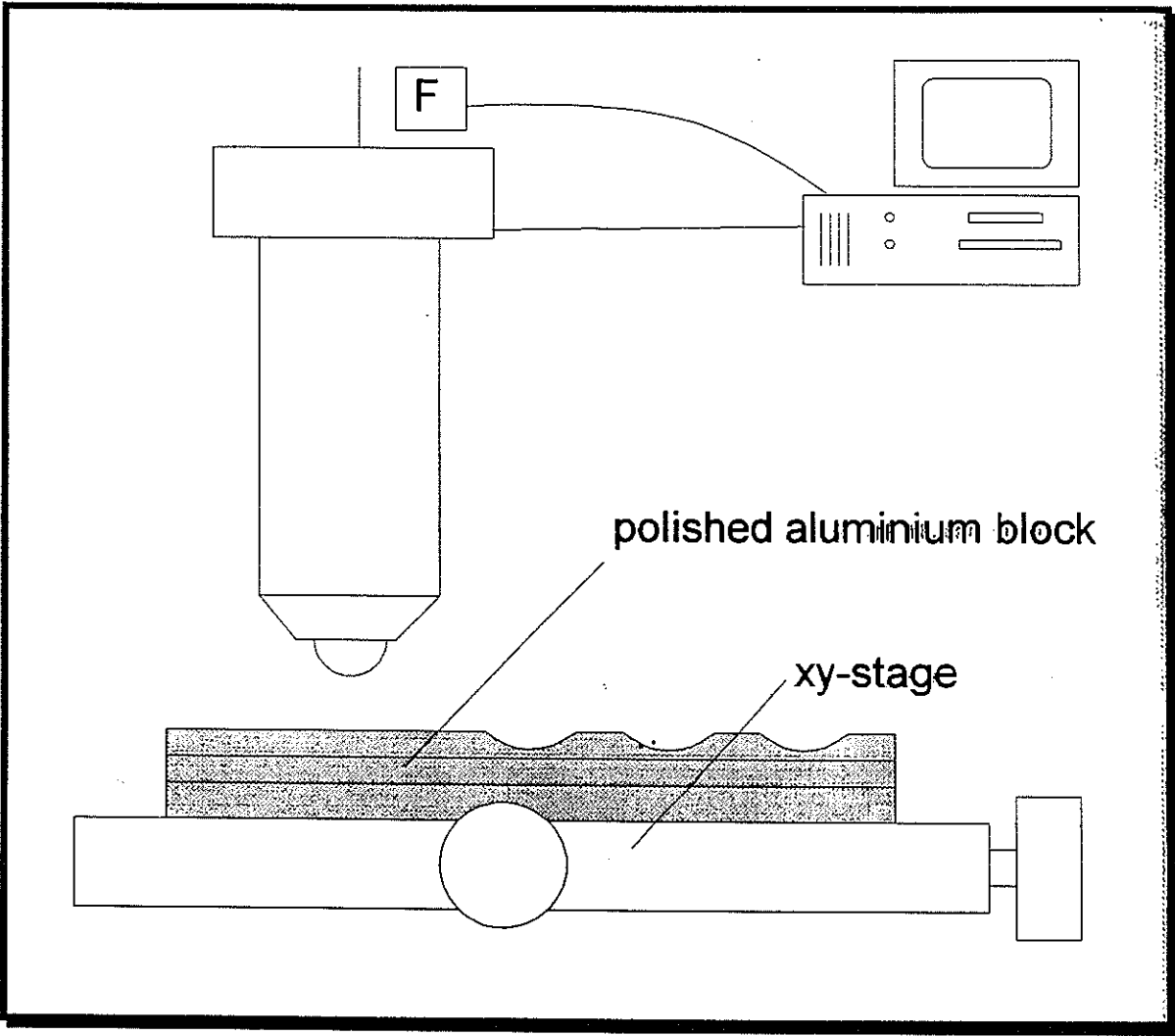
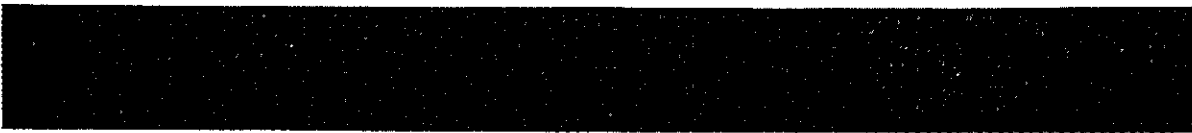
laser writing

embossing

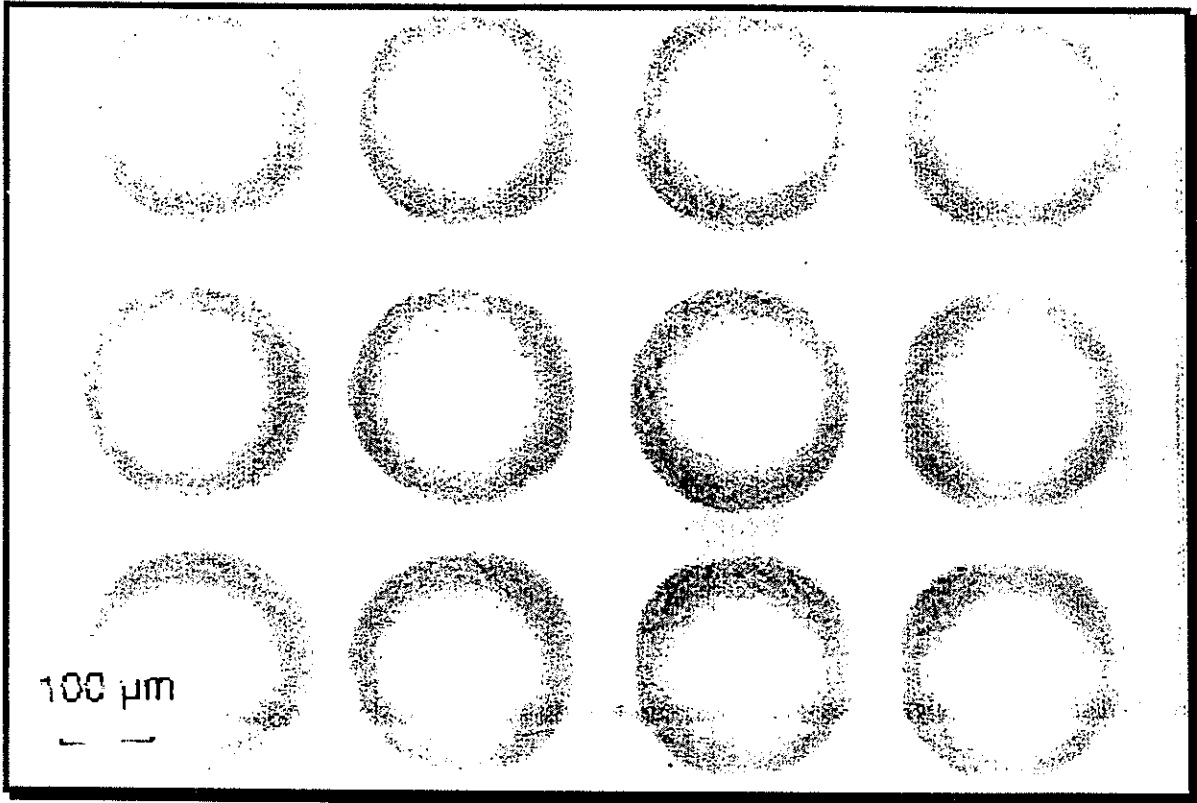
mask-aligner

holography

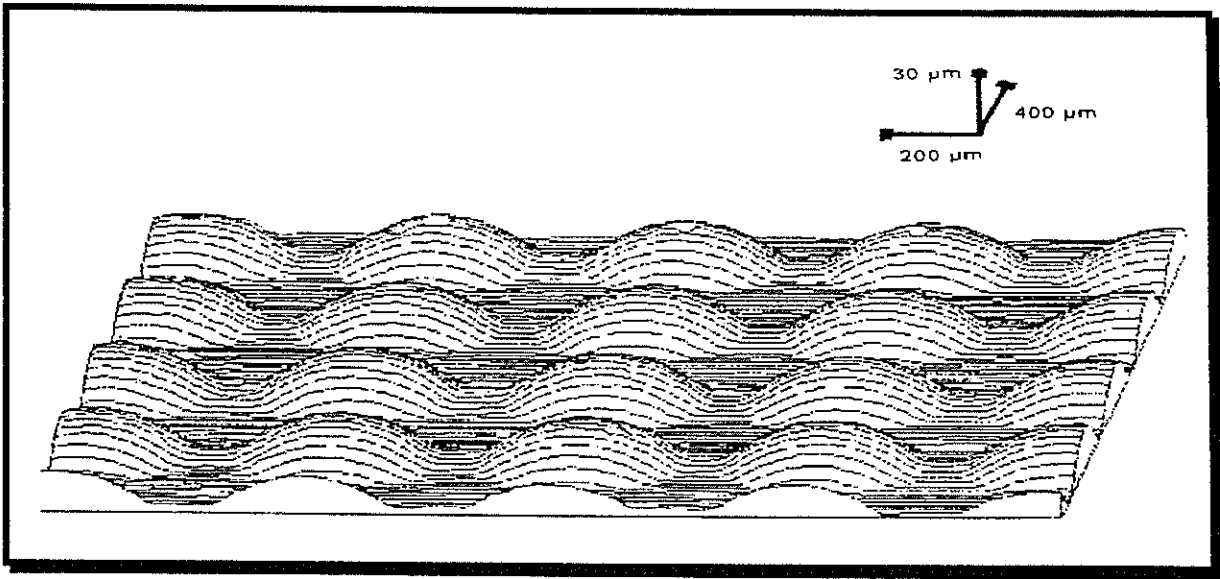




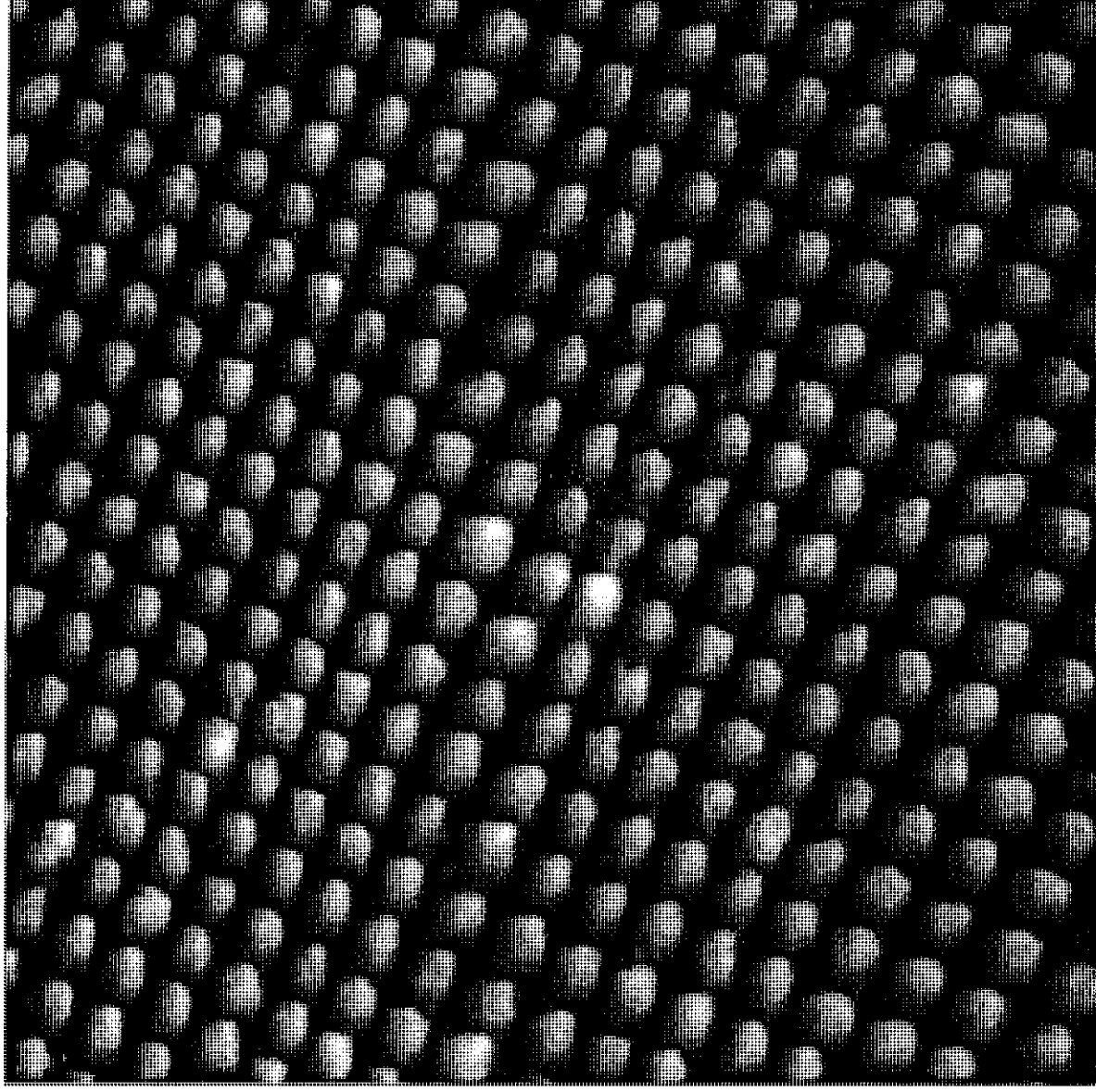
THREE DIMENSIONAL PROFILE OF A 3X4 LEVEL LENS ARRAY
ON A 100 μm PITCH OF 3X4 μm COMPOSITE MATERIAL



THREE DIMENSIONAL PROFILE OF A 3X4 LEVEL LENS ARRAY



thermisch bzw.
photochemisch
Aushärten AFM-
Aufnahme:
Antireflexstruktur
nach dem Prinzip
"Mottenauge"
(Strukturperiode:
285 nm)



Systems



passive waveguides



photochromic layers



gratings



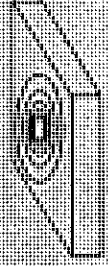
patterns to be transformed to glass



lenses



antireflective patterns



adhesive

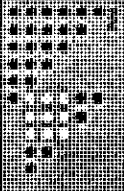
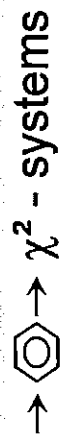


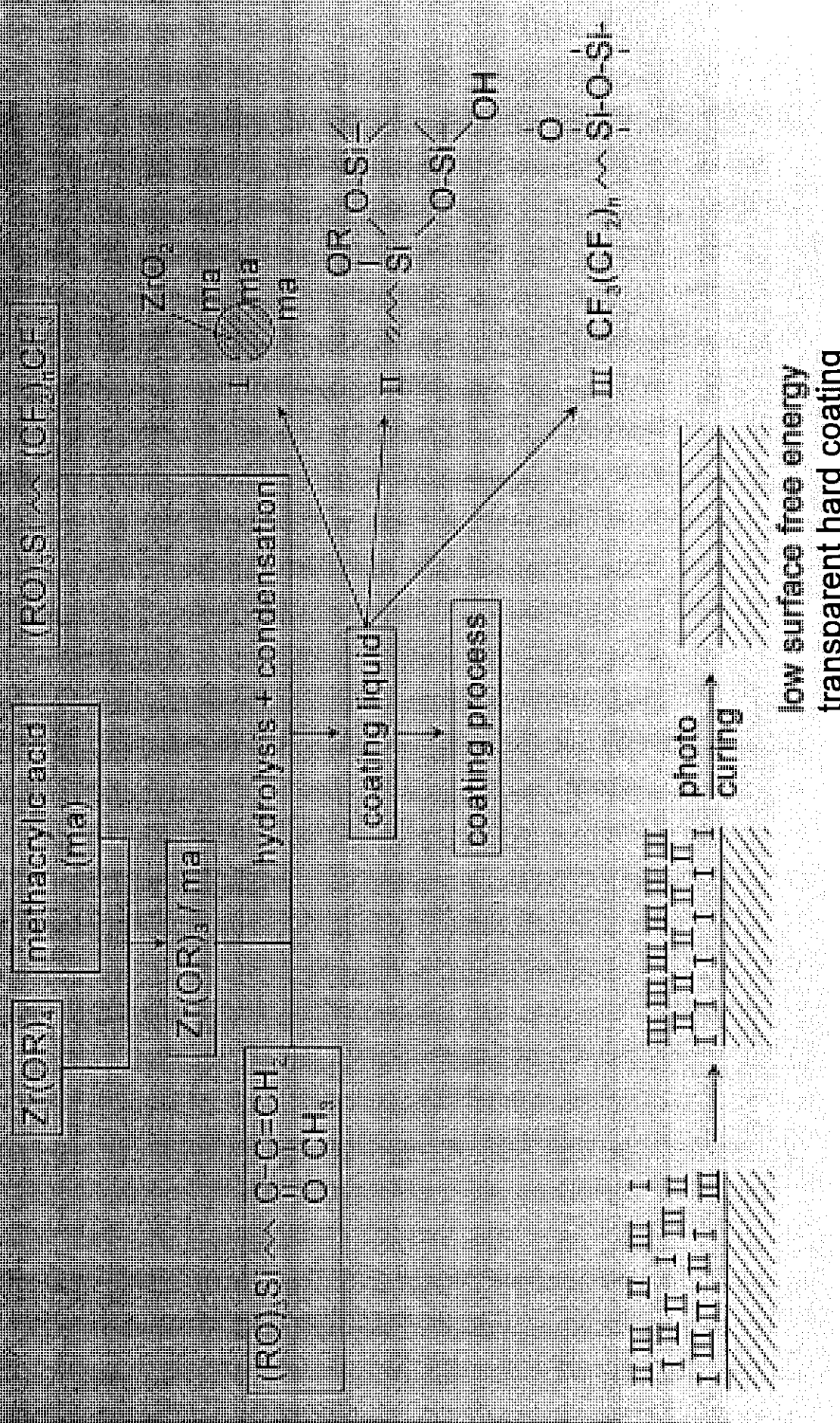
active systems

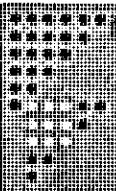


holograms

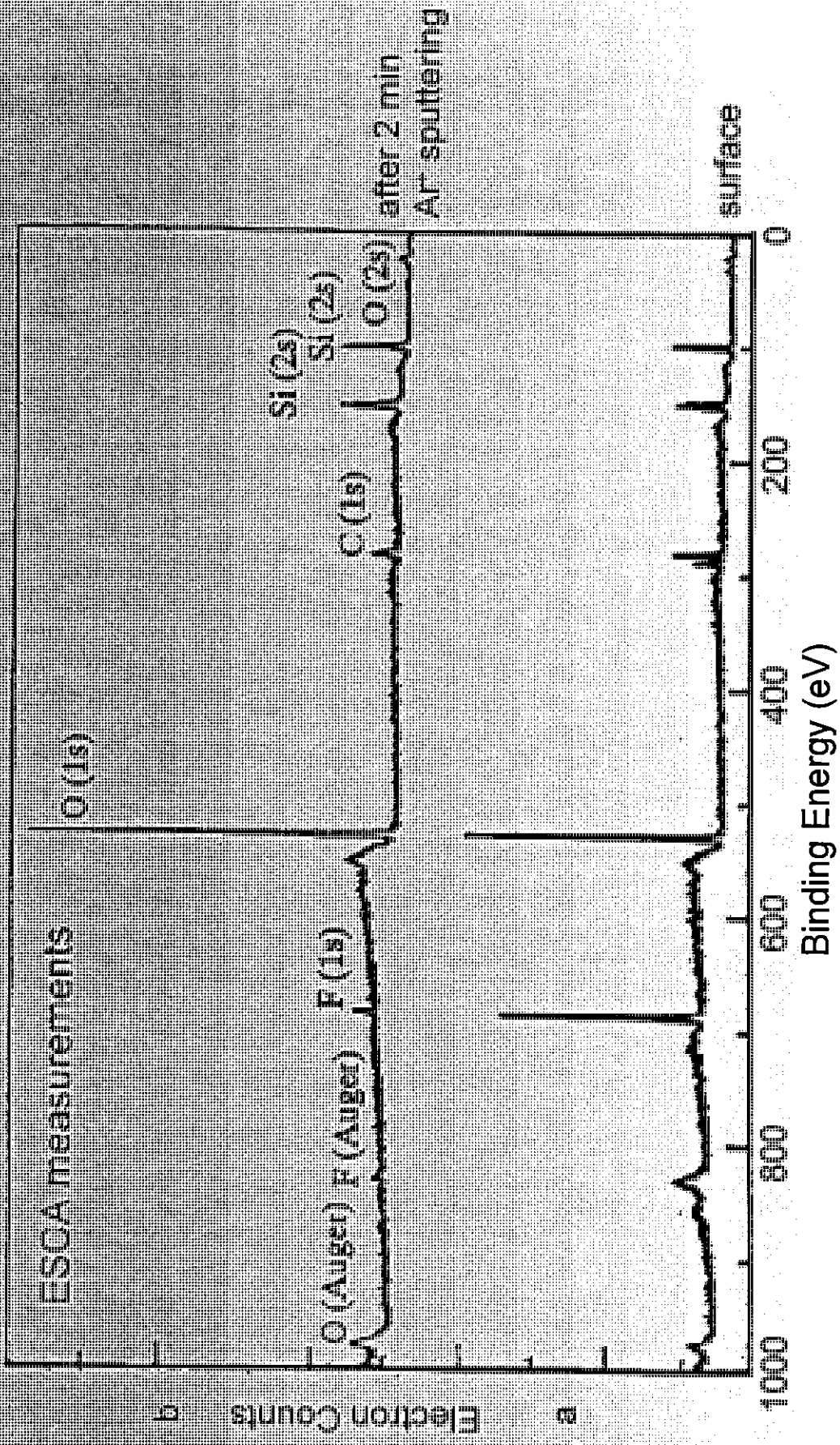
- semiconductors
- metals
- ◇ laser







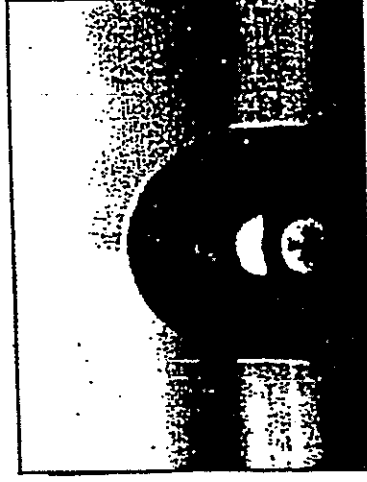
Determination of the enrichment of fluorine chains at the surface by ESCA (Electron Spectroscopy for Chemical Applications) measurements



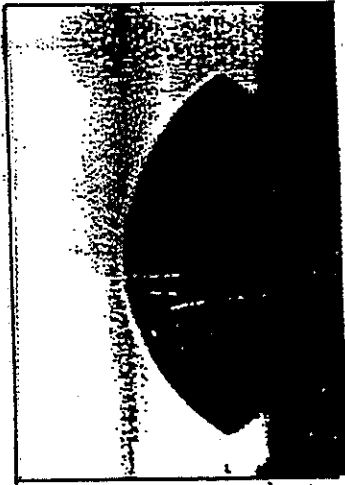
Fluorine Modification of ORMOCERS



ORMOCER

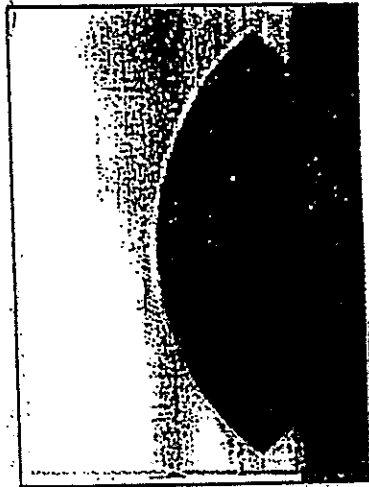
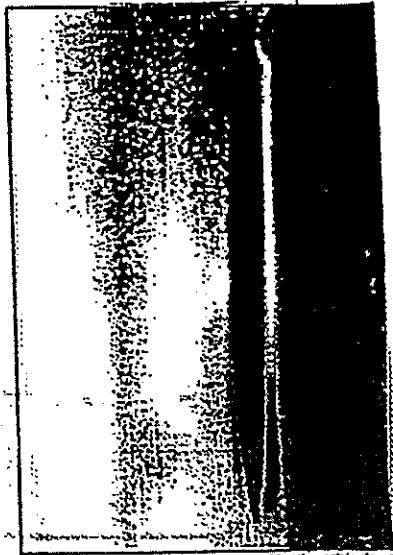


2,5%
fluorinated
Precursor

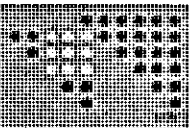


Water

Glyzerin



oktanol

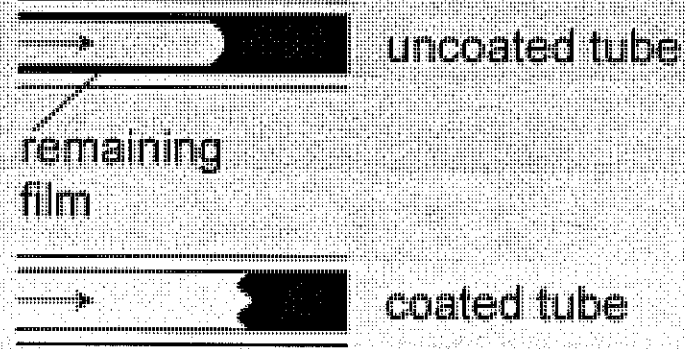


surface free energy

- self-aligning systems driven by interfacial free energy
- use of fluorinated silanes
- thermally curable systems (up to 400 °C) for glasses, ceramics
- photocurable systems, UV stable for plastic surfaces
- dust repellent
- antisoiling
- deforming

○ conveyor belts

○ pipes



essential for automated analytics

Routes for Preparation and Utilization of Nano-Scale Systems

particle synthesis

- controlled growth
- microemulsion
- in-situ growth within the matrix
- reduction processes

matrix synthesis

- inorganic synthesis
- inorganic-organic systems
- organic systems

composite fabrication

- in-situ growth
- surface modification + mixing

metals

reduction in solution
reduction in the solid matrix

- intensive colours (glass and composite films)
- catalytic films
- NLO films (Au, Cu $\equiv \chi^3$)
- conductive films

ceramics

- passive optics
- micro systems (microlenses, gratings, fresnel lenses, holograms)
- NLO (χ^2)
- third functions (low surface free energy, anti-fogging, scratch resistance, anti-corrosive)

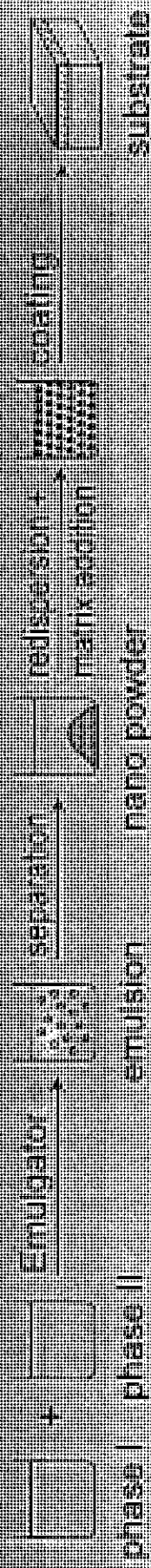
semiconductors

- laser materials
- χ^3 materials

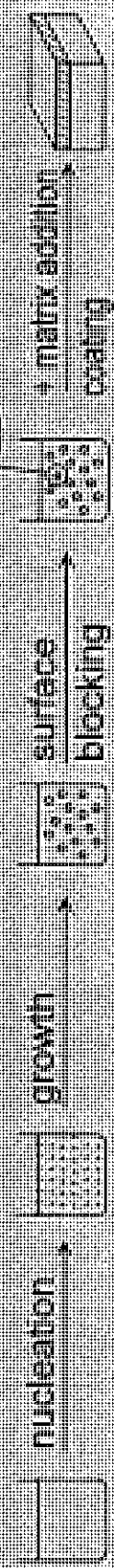
Synthesis of Nano composites

Synthesis of Inorganic components

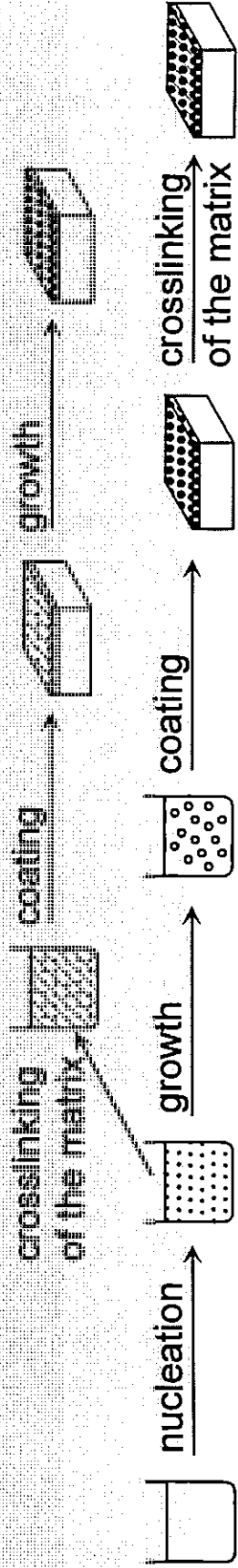
Microemulsion techniques



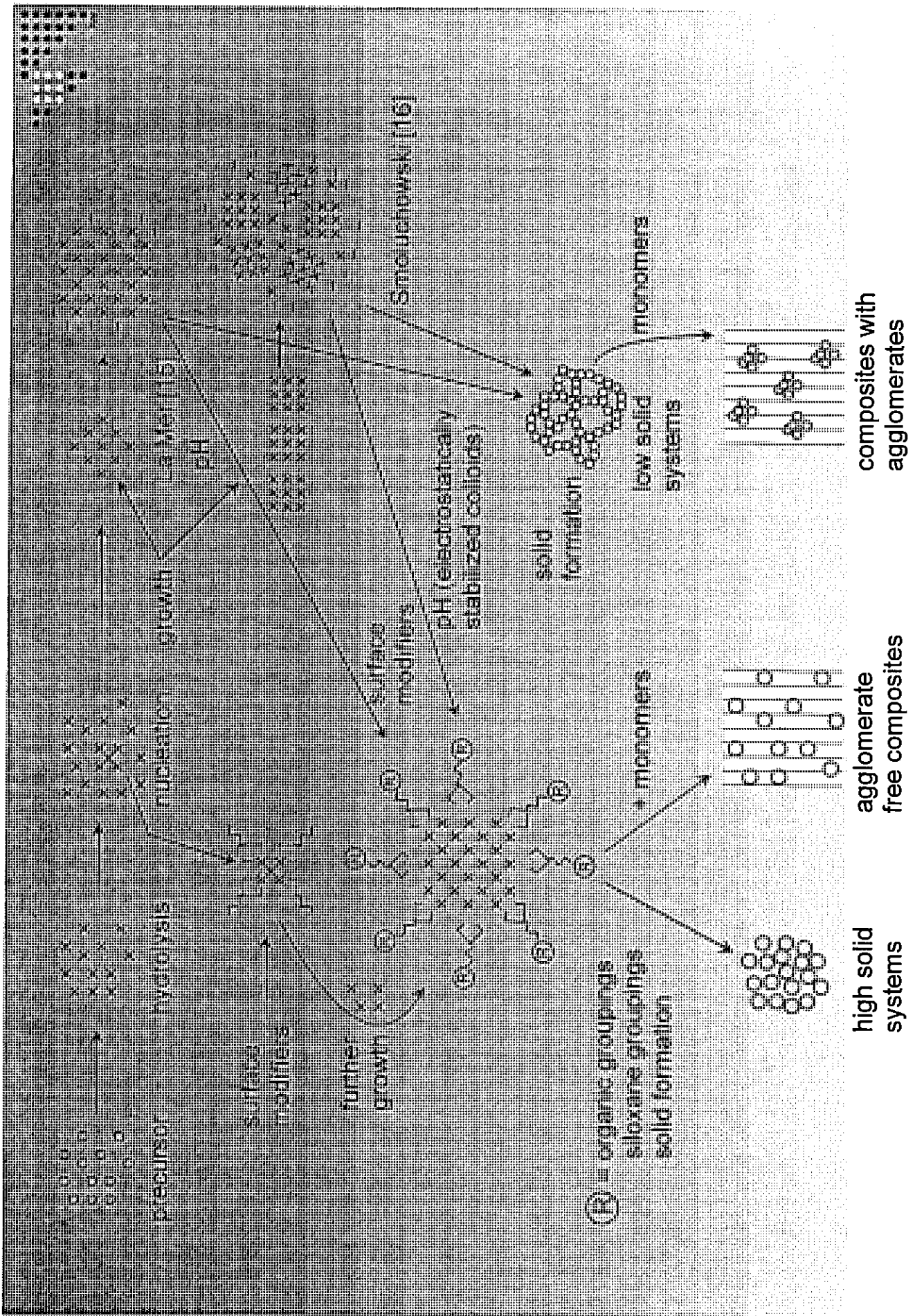
Controlled growth

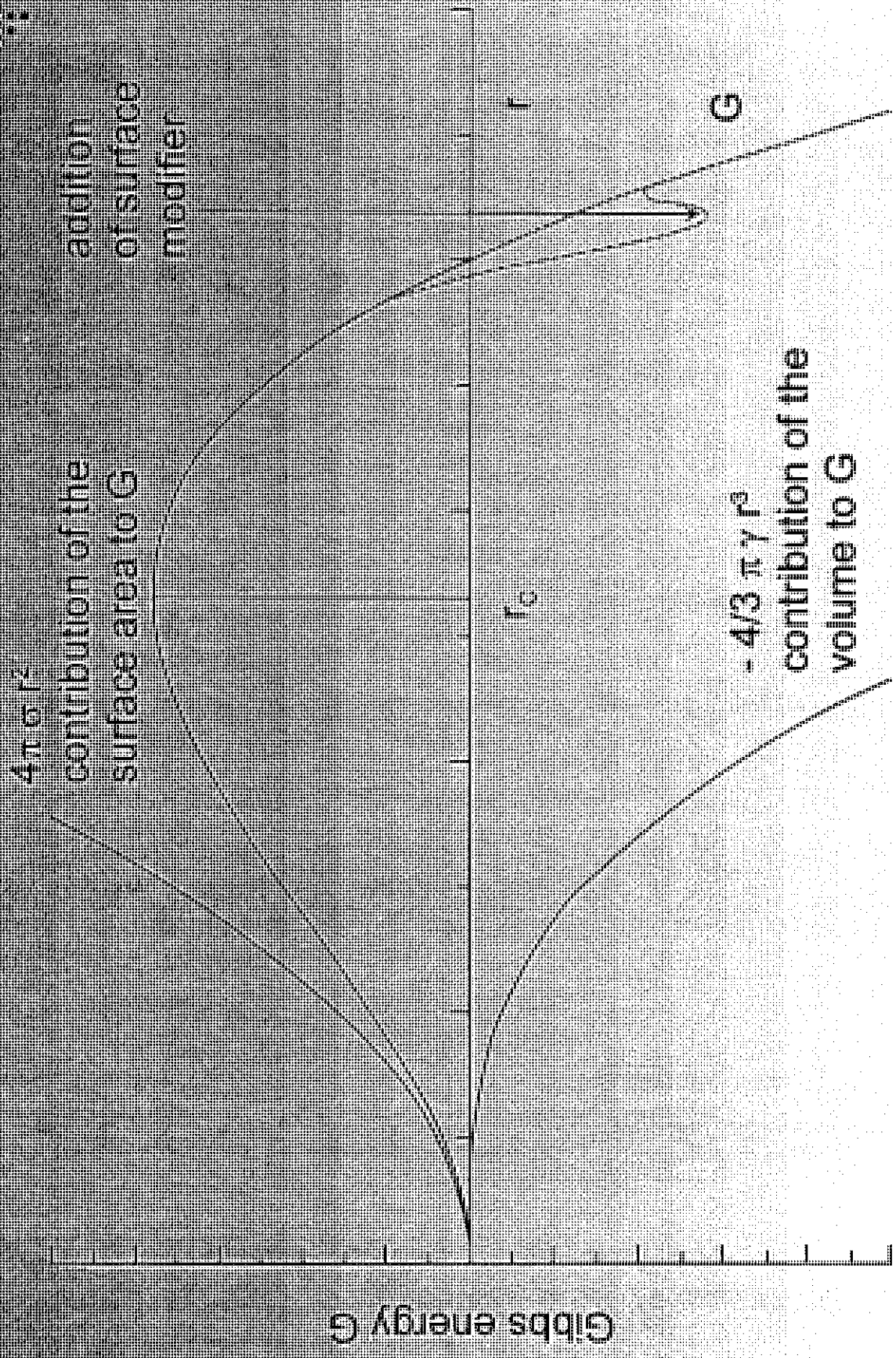
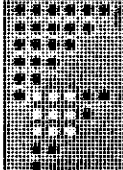


"In-situ" - synthesis



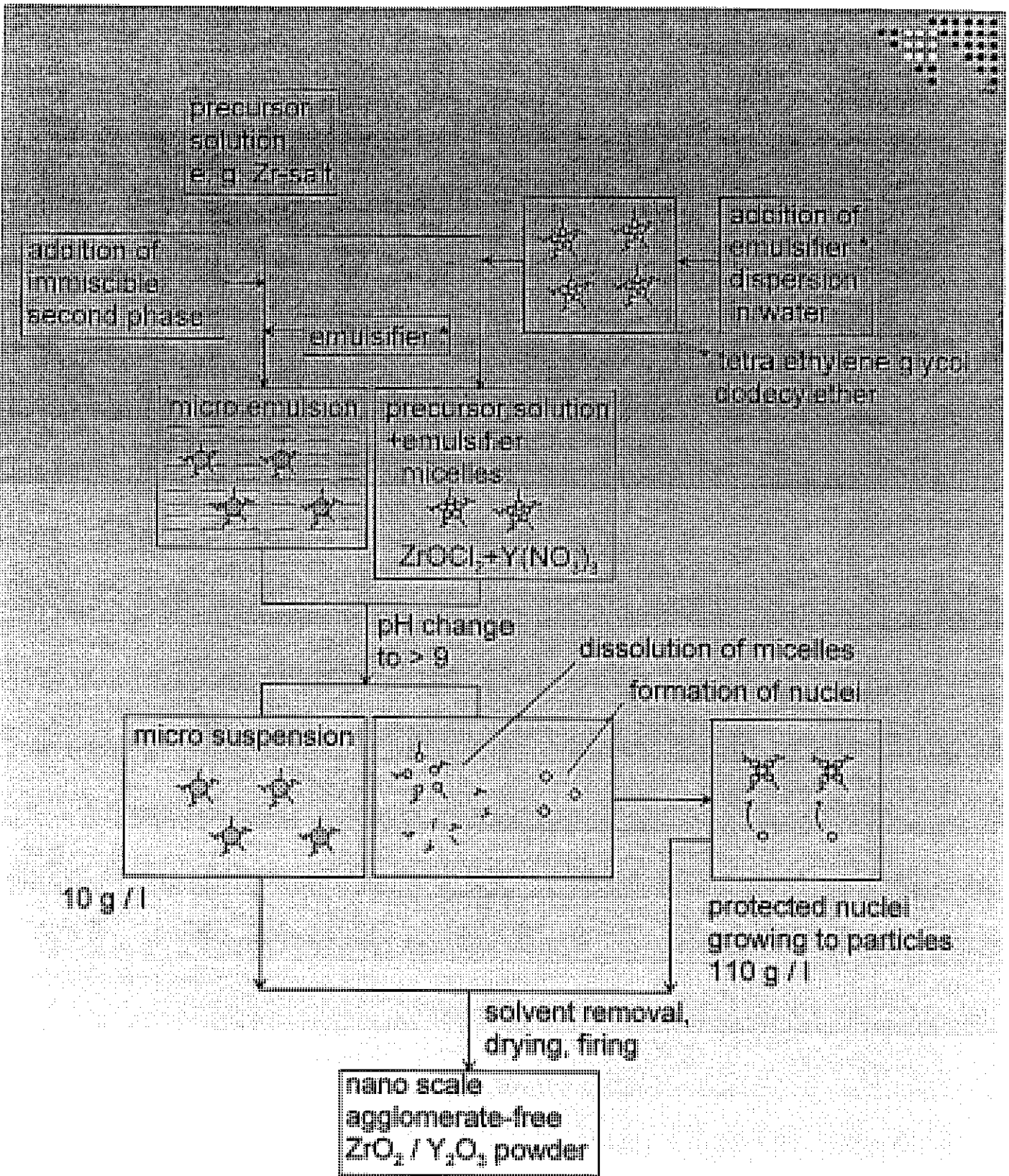
precursor + matrix





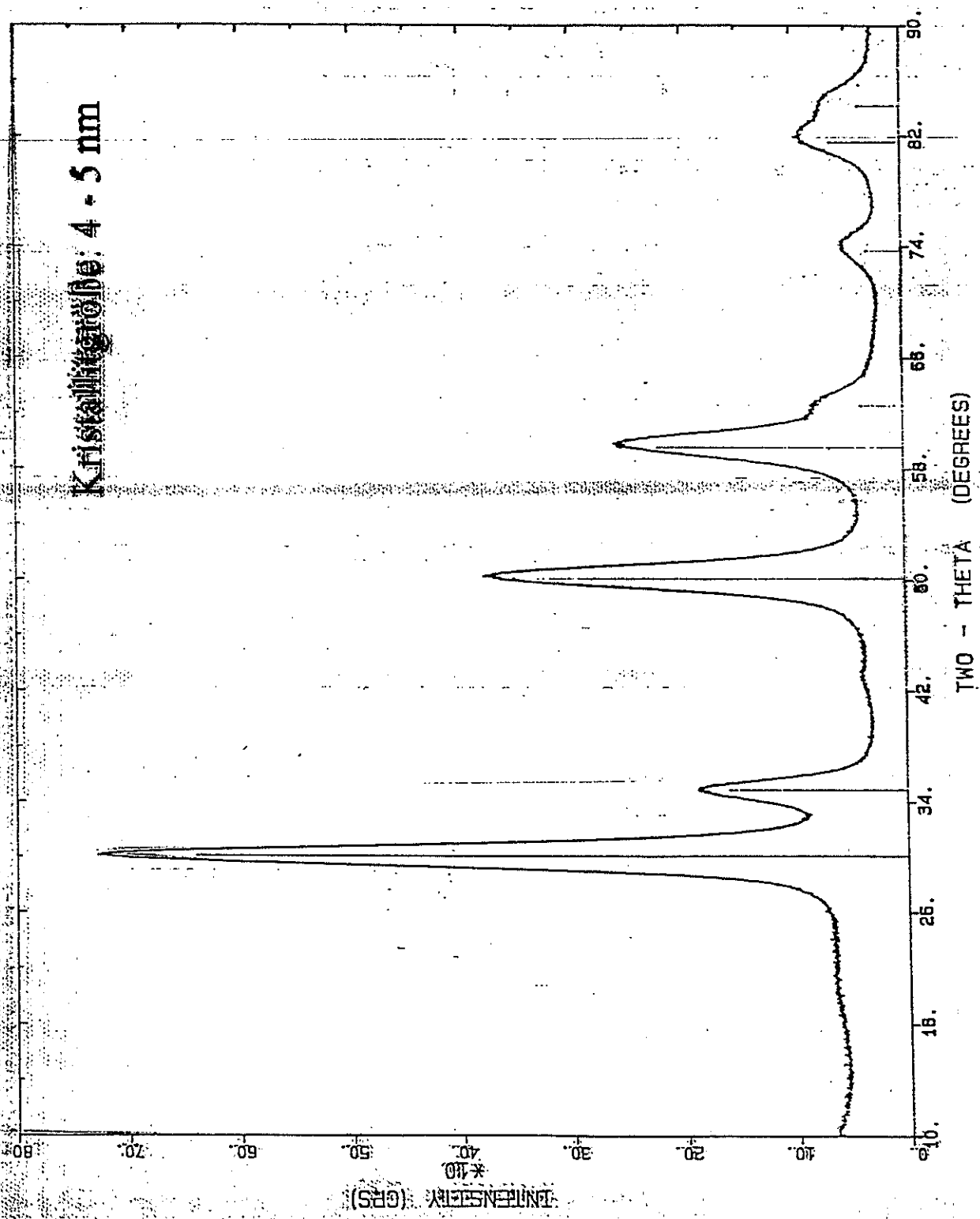
addition of surface modifier

$r_c =$ critical nucleation radius



micro emulsion and controlled growth route for fabrication of nanopowders

Röntgenogramm des nanokristallinen tetragonalen $Y_2O_3 \cdot ZrO_2$ by controlled growth

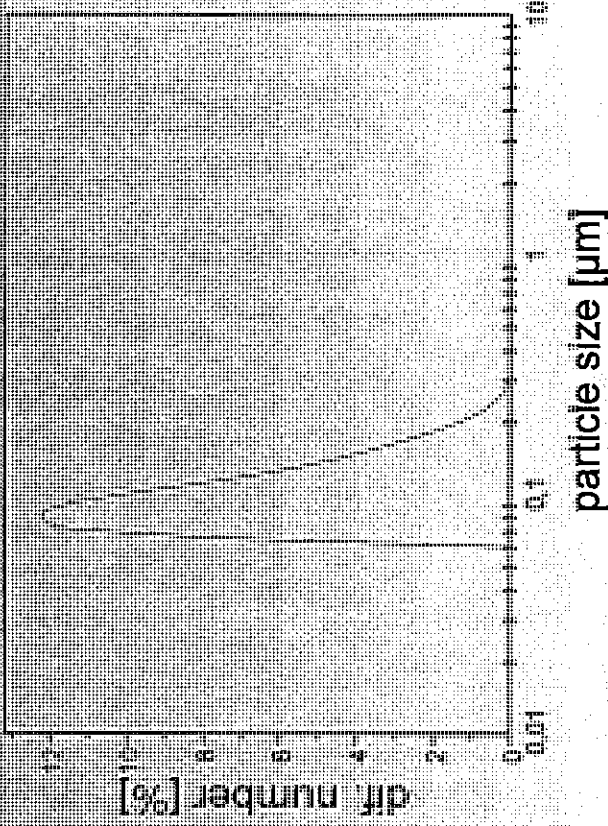
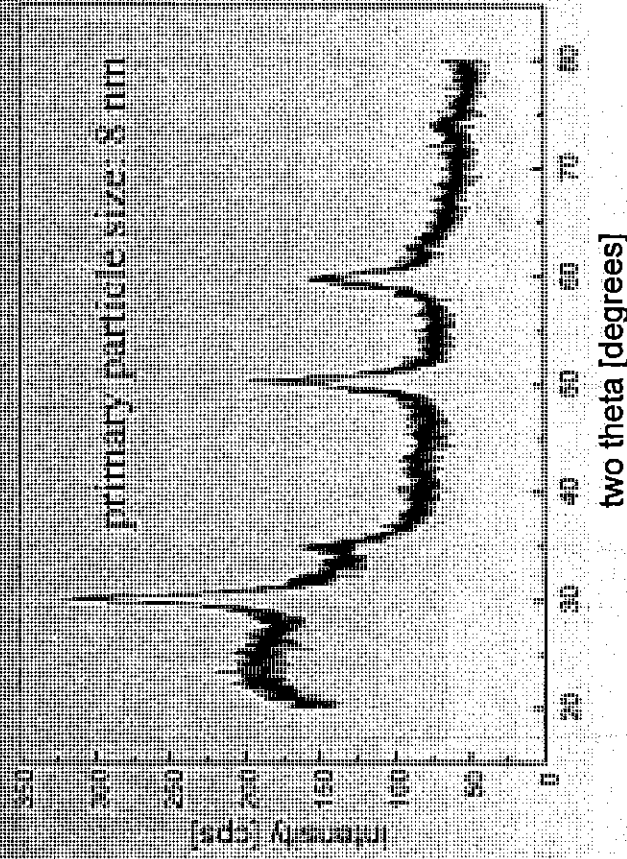


Powder synthesis by w/o microemulsions

□ γ stabilized ZrO_2 prepared by a w/o microemulsion

○ X-Ray diagram

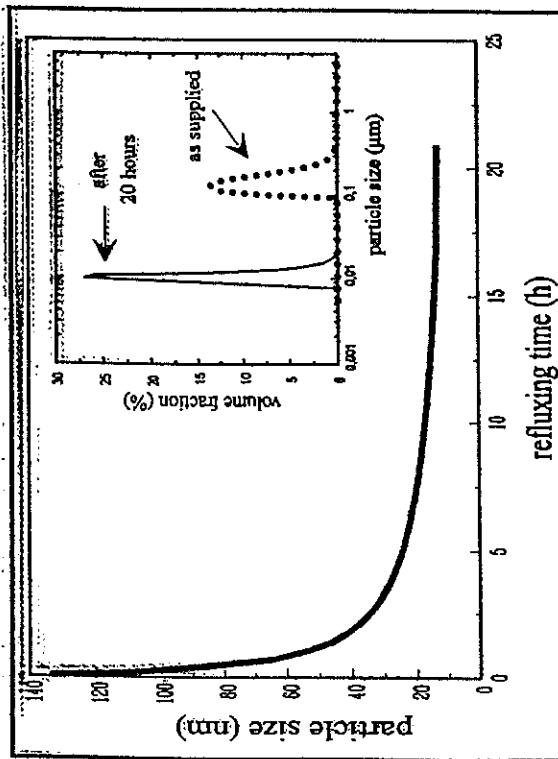
○ particle size distribution after redispersing



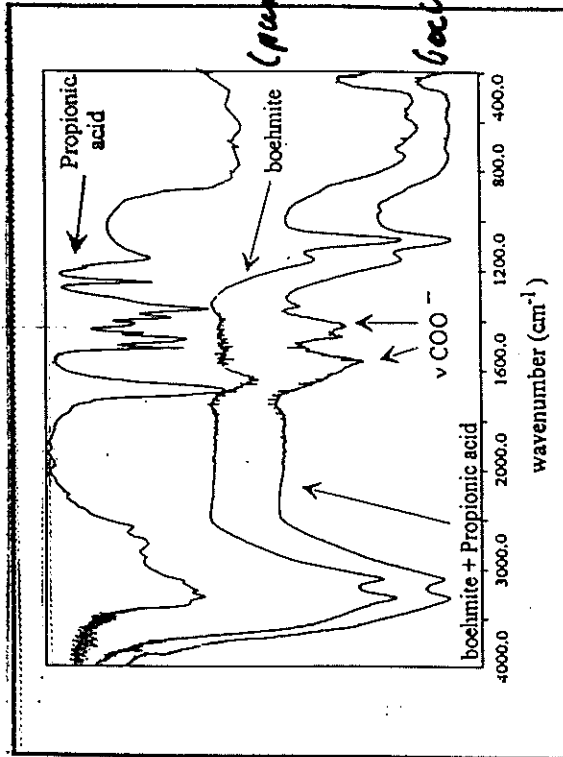
- weakly agglomerated
- redispersible to primary particle size
- shaping by dry pressing possible

surface modification of boehmite

RESULTS

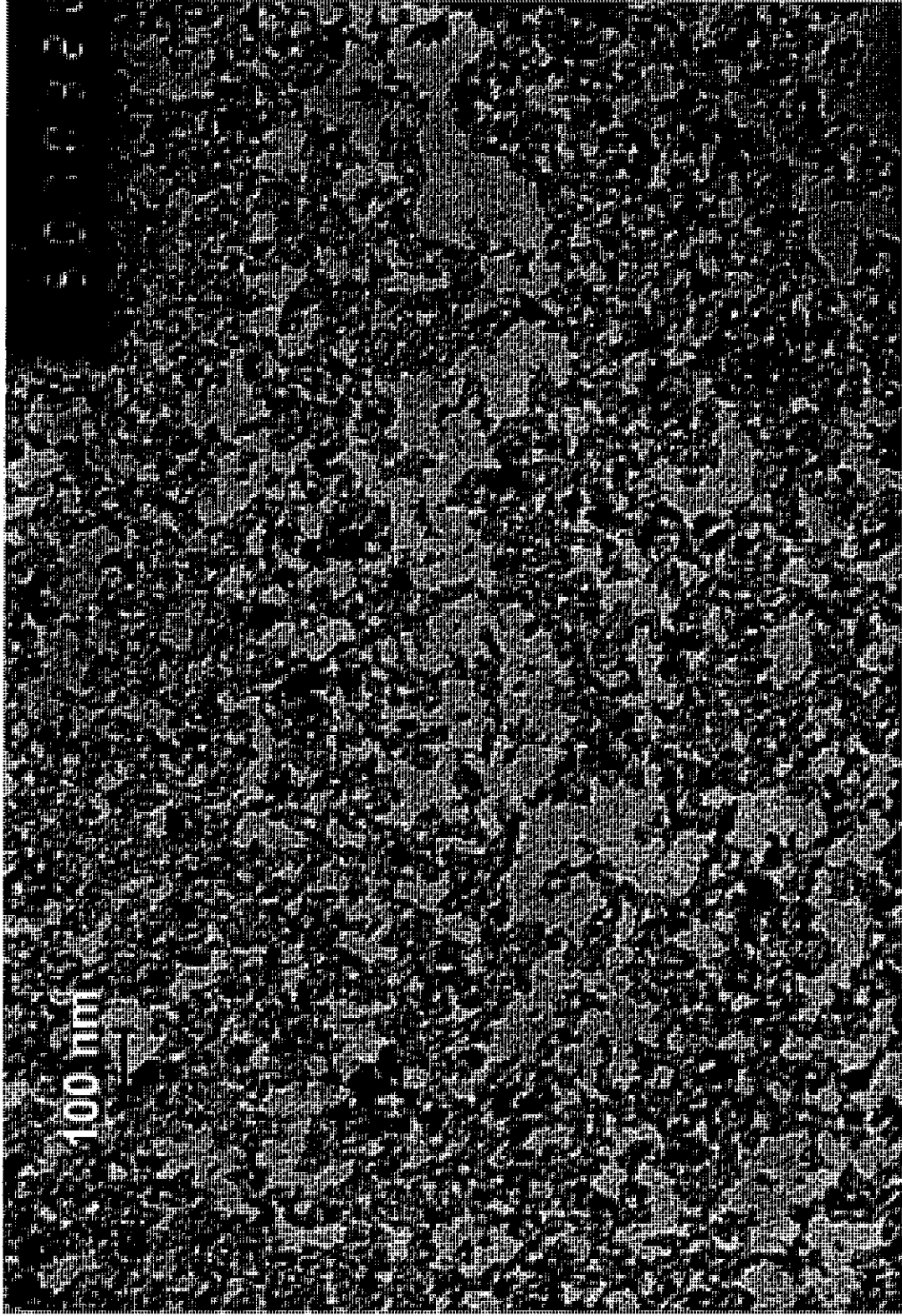
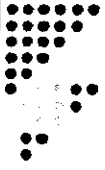


Deagglomeration down to primary crystallite size is obtained after 20 h refluxing time.

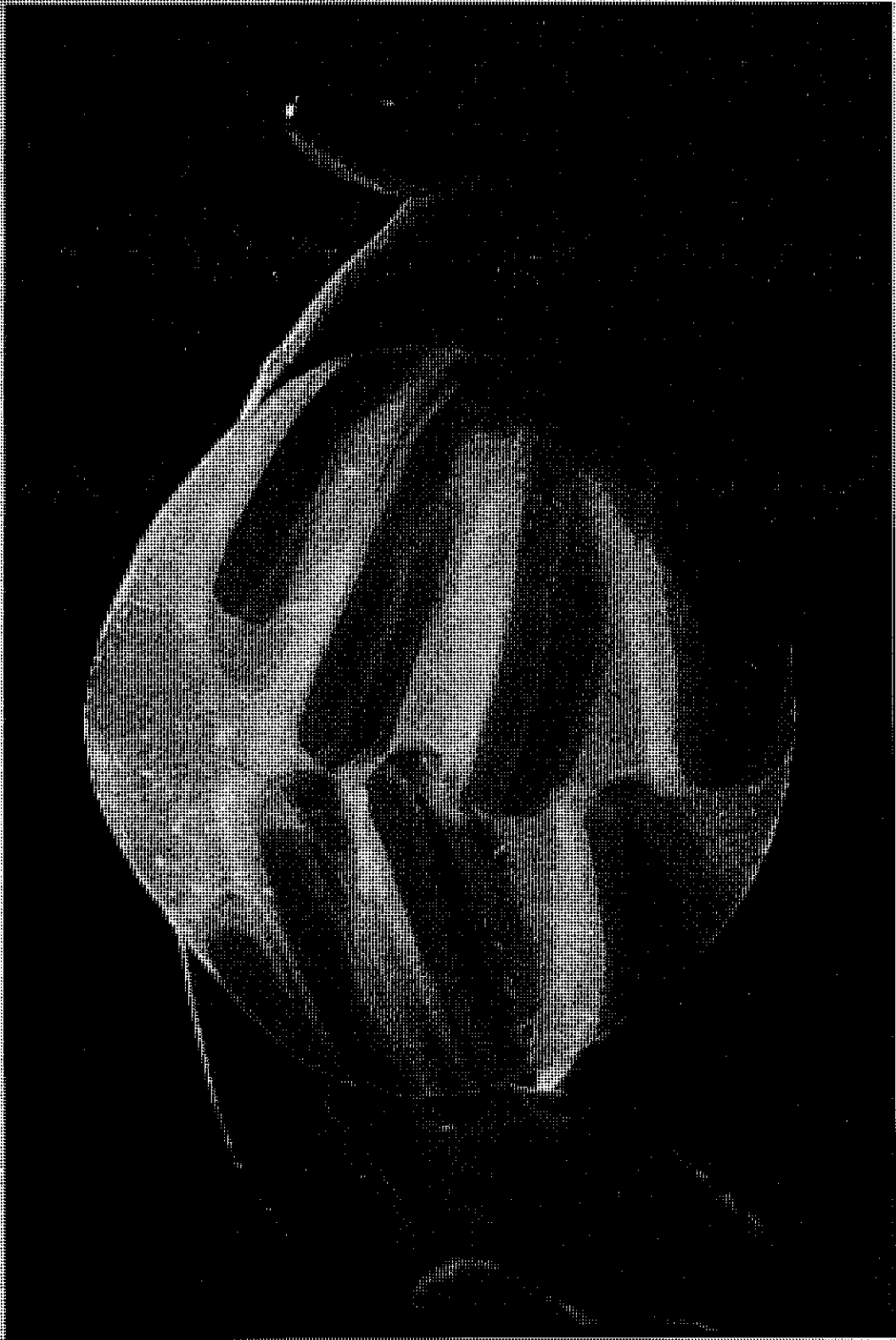


Coupling of carboxylic functional groups to the powder surface is demonstrated by characteristic decrease of the carbonyl absorption band.

boehmite modified



nano dispersed bokeh



Translucent green body of modified boehmite at 50% solid content
by volume

Ausgangspulver

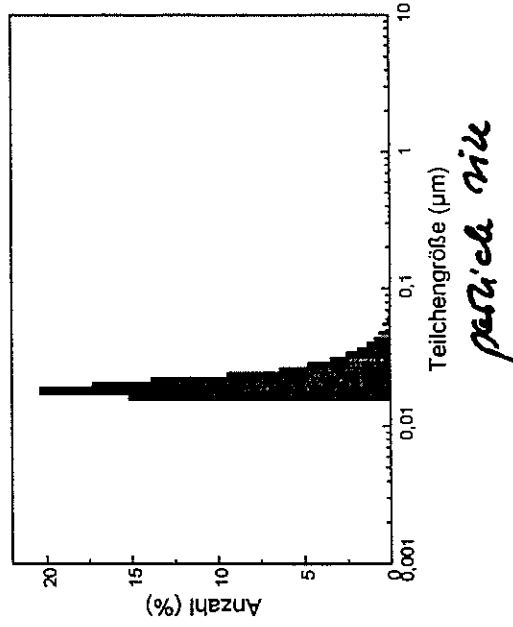
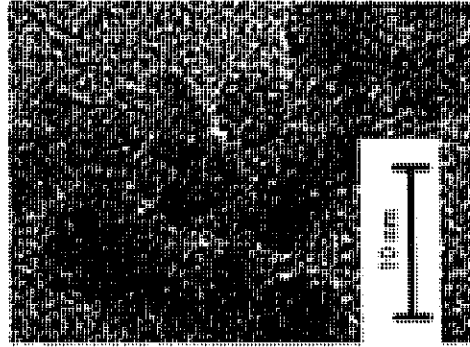
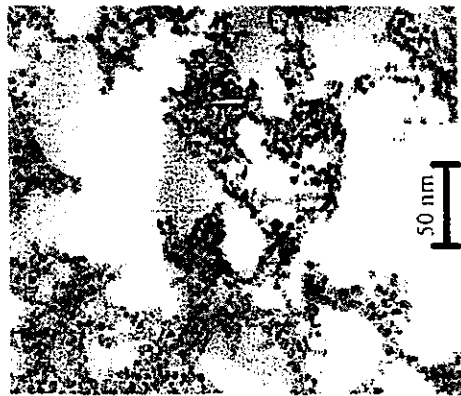


fize *elishi* *belion* (*aqueus* *suspension*)

Teilchengrößenverteilung
(wässrige Suspension)

Netzebenenabbildung

TEM



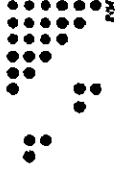
- 8 Y - ZrO₂
- Modifikation: kubisch (c - ZrO₂)
- BET : 132 m²/g
- Teilchengröße: 5 - 10 nm (*fize*)
- oberflächenmodifiziert (*surface modification*)



Formgießen

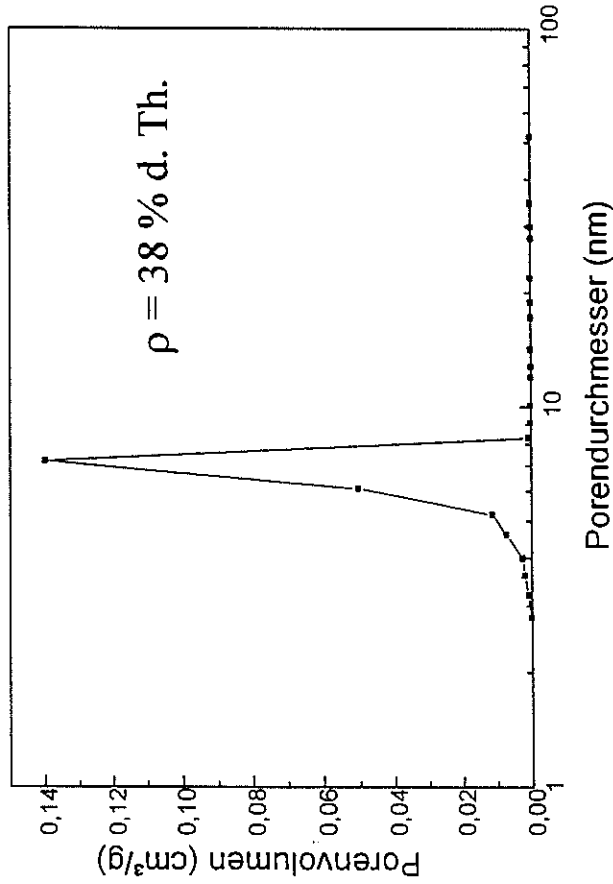
Grünkörper

Y_2O_3/ZrO_2
green boehmite
from nano particles



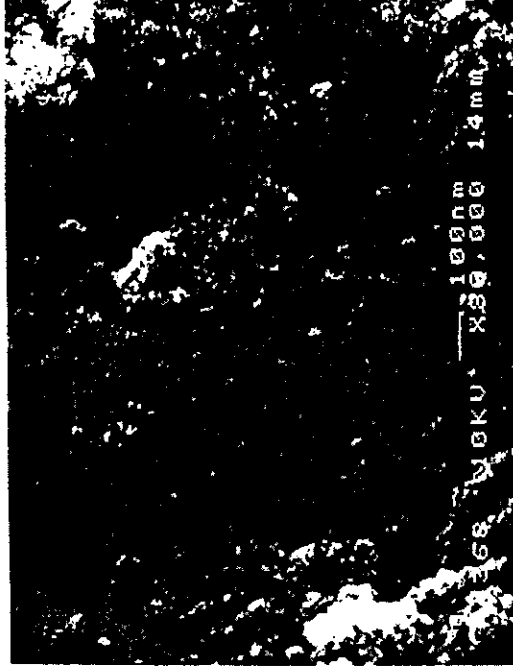
Porengrößenverteilung

pore radii

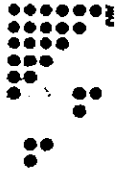


HREM - Gefügeaufnahme

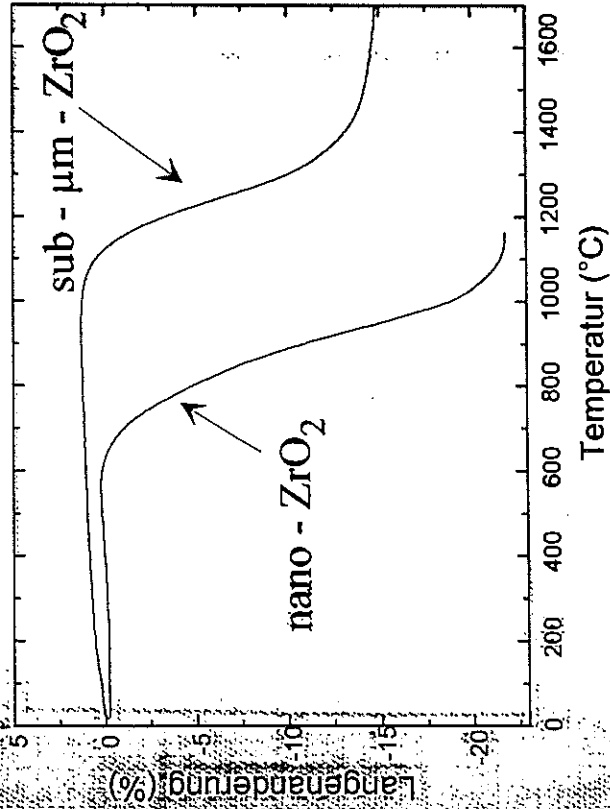
microstructure



Sintereigenschaften



szikarij 07



100 nm

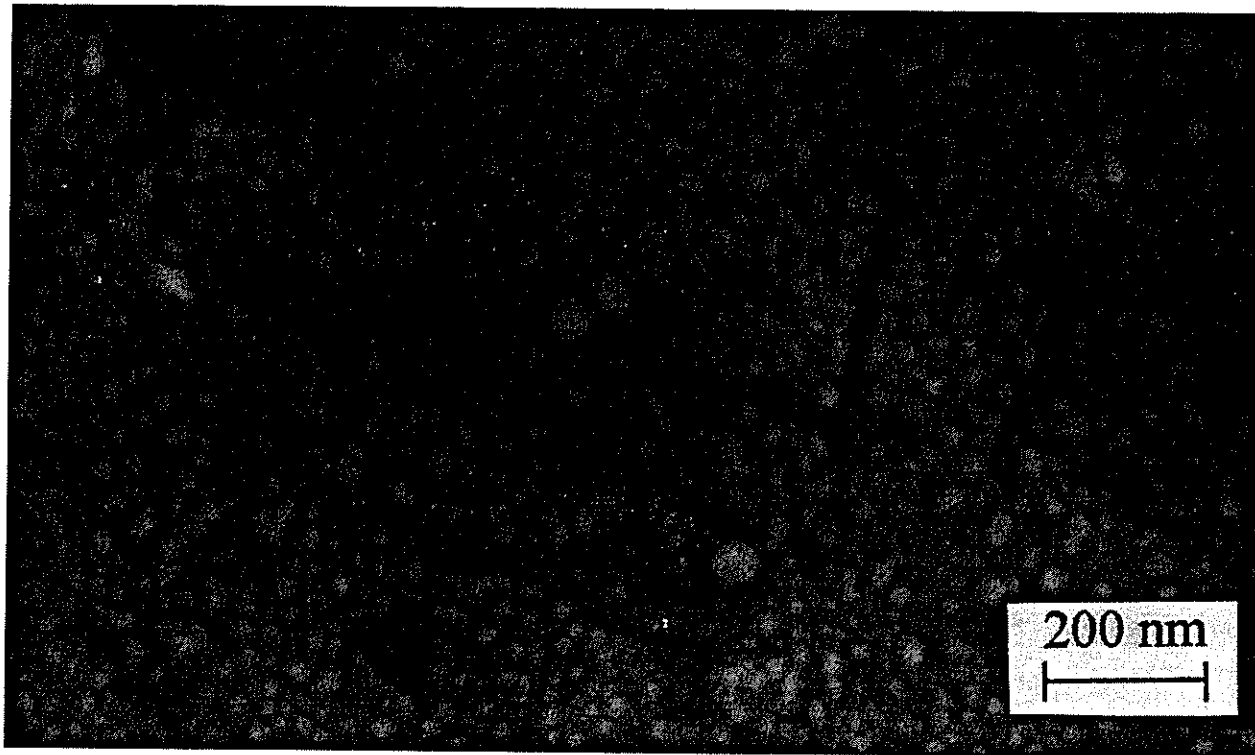


- ☞ Cofiring - Techniken
- ☞ Substrate
- ☞ Beschichtungen

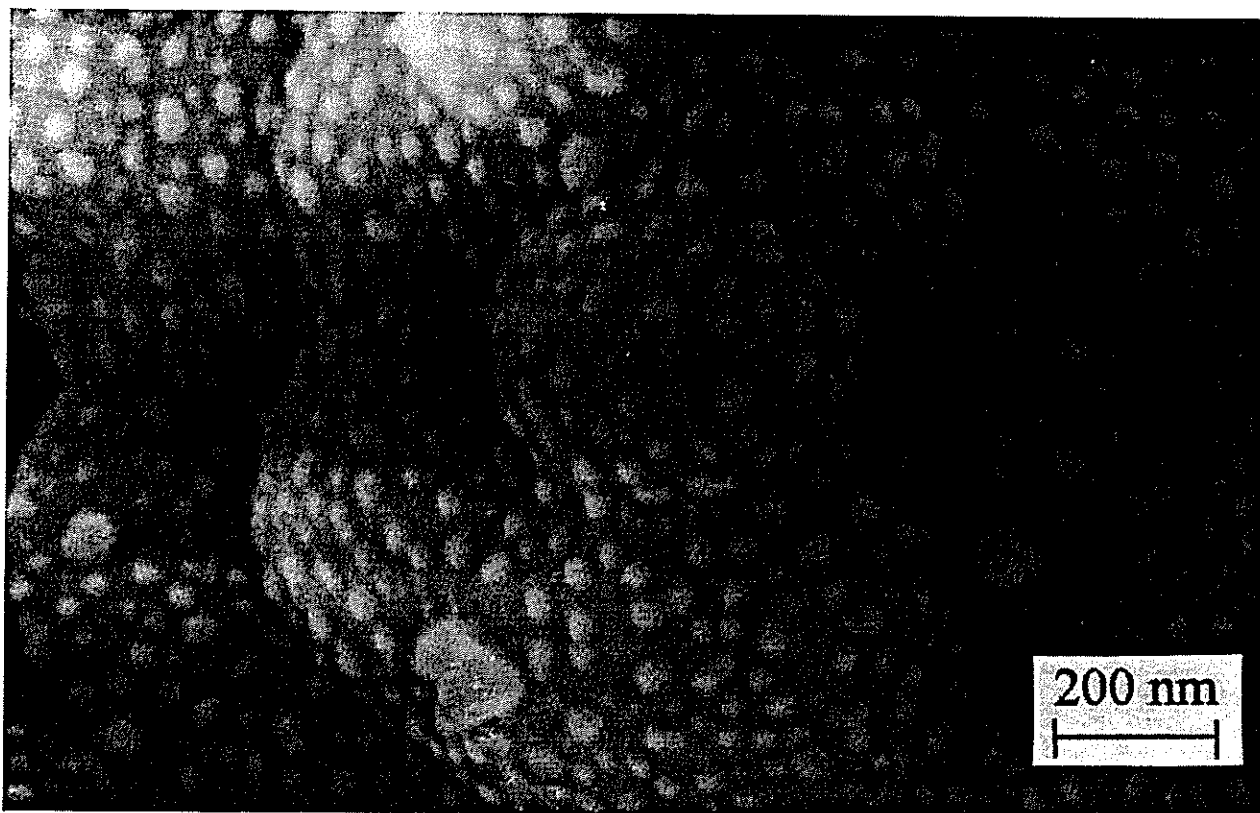
$\rho > 99\% \text{ d. Th.}$
 $d < 100 \text{ nm}$

Microstructure of cold isostatically pressed
 Y_2O_3 / ZrO_2
particle size 4 - 5 nm

green body

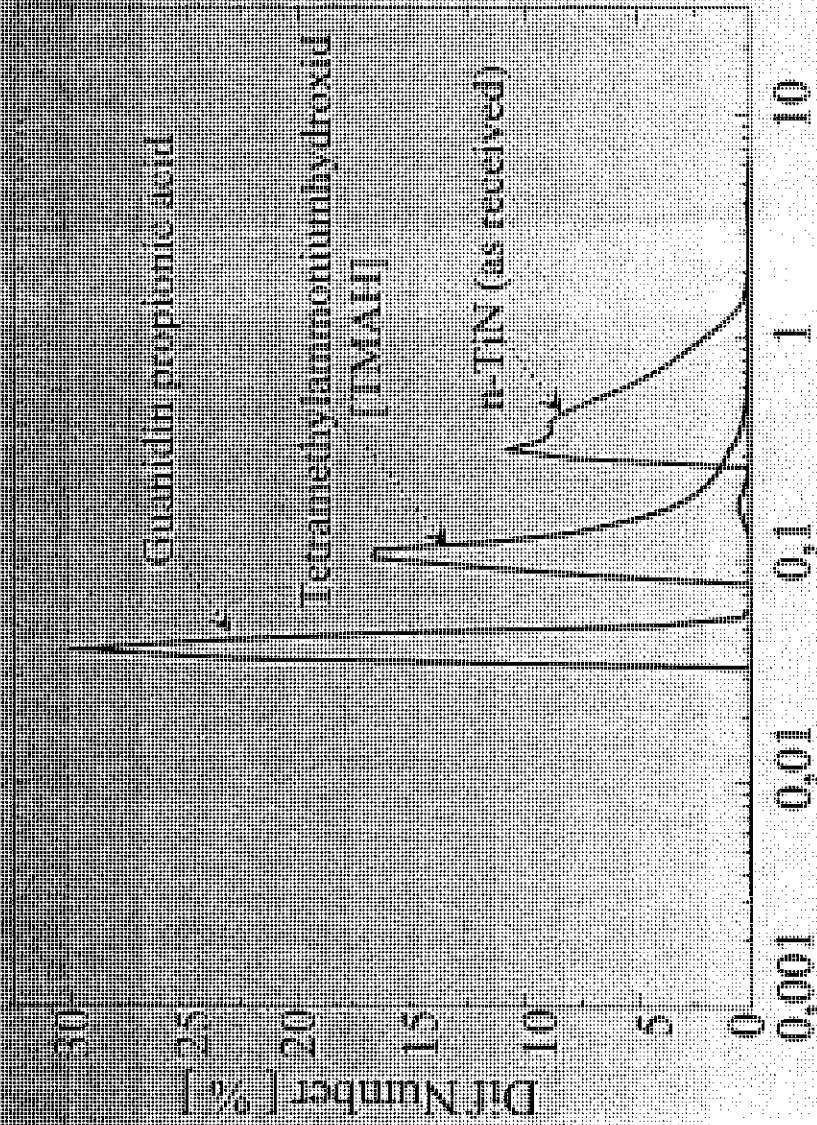


sintered body

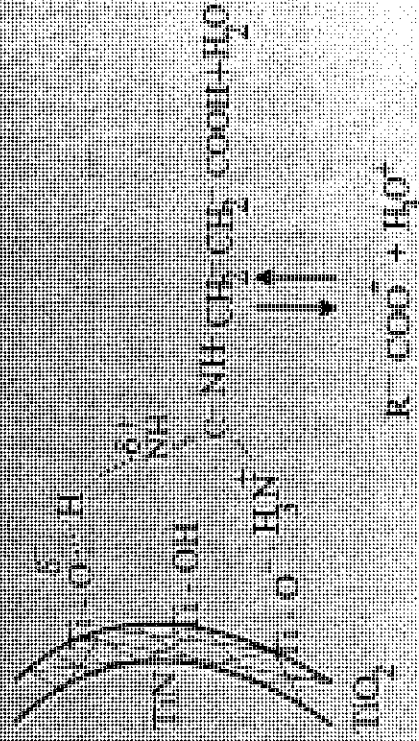


Particle size distribution

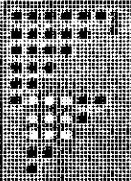
□ Ultrasonic slurry with 40 wt% solid content



Model

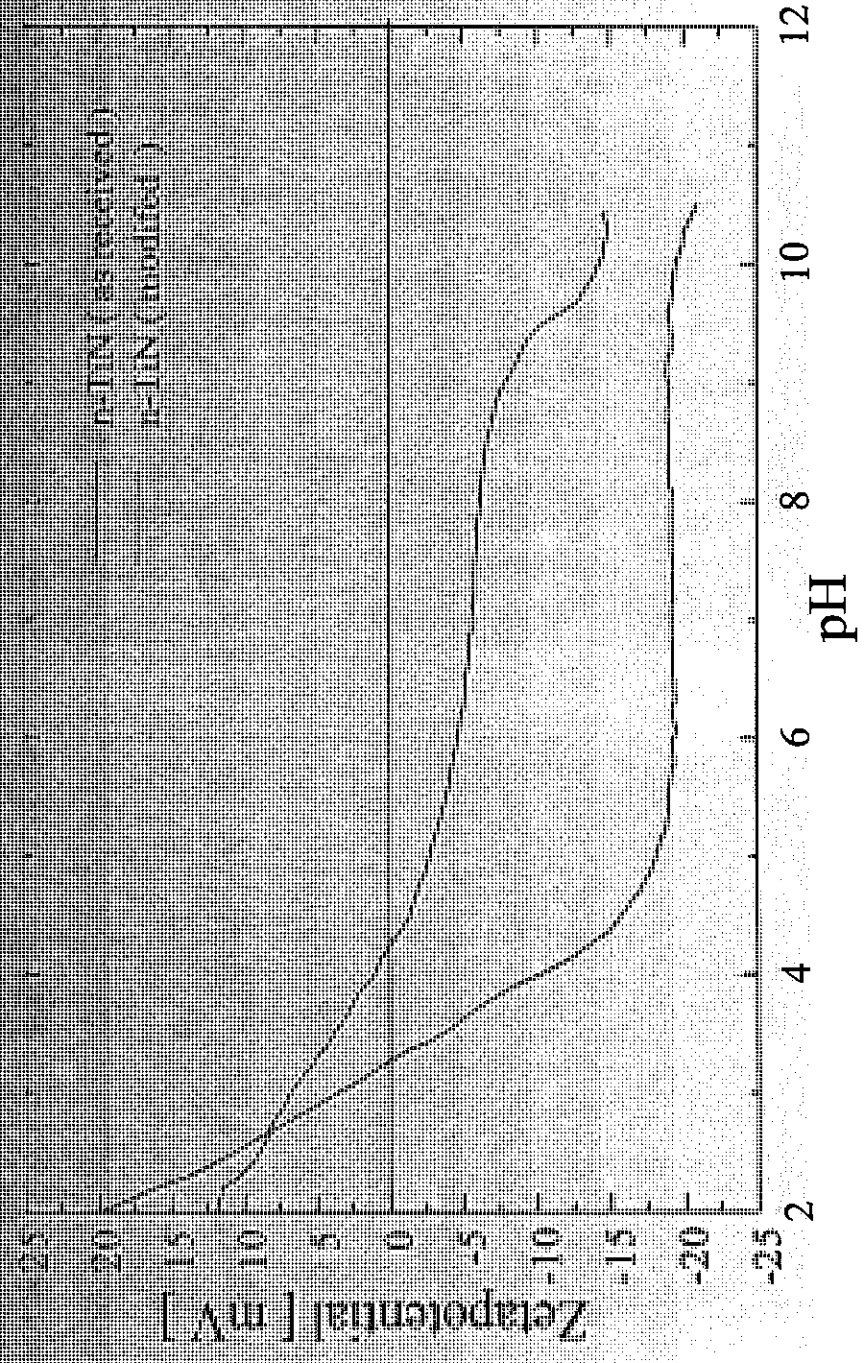


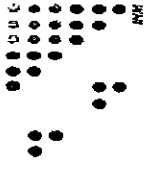
Particle Size [µm]



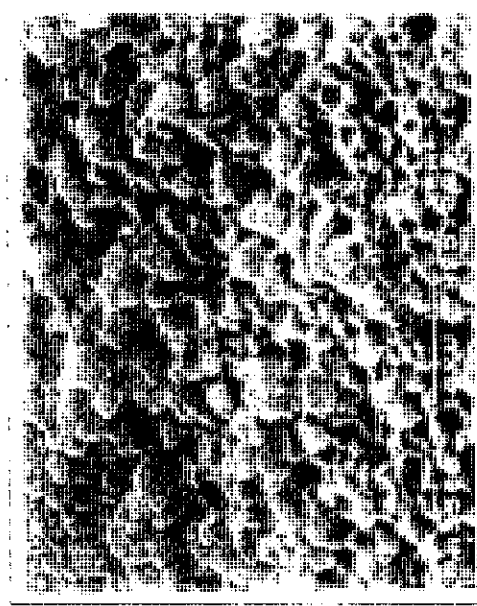
Zeta-Potential

□ pH dependence of the zeta-potential of nanoscaled TiN

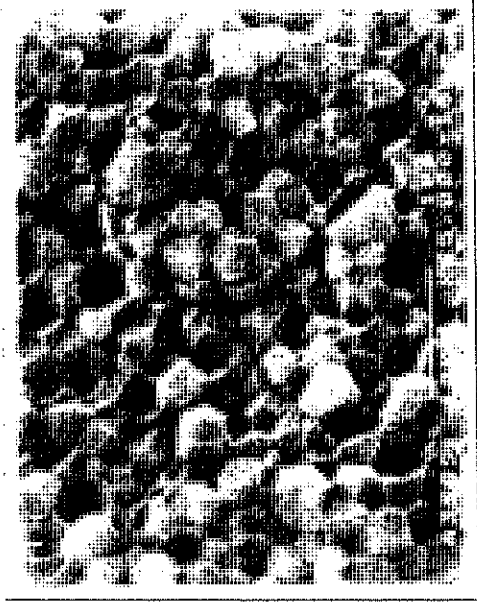




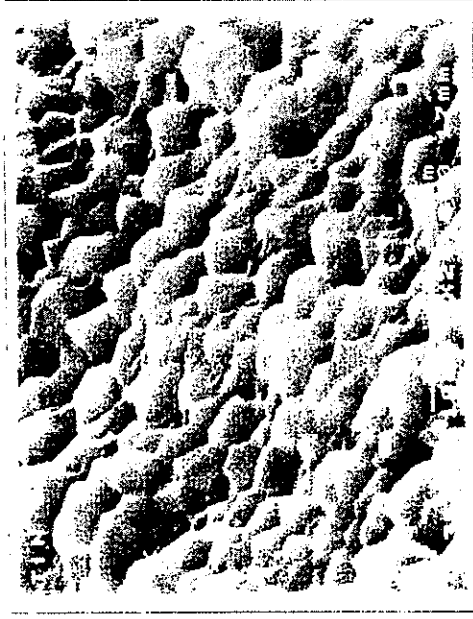
Grain Growth of Pressureles Sintered n-TiN



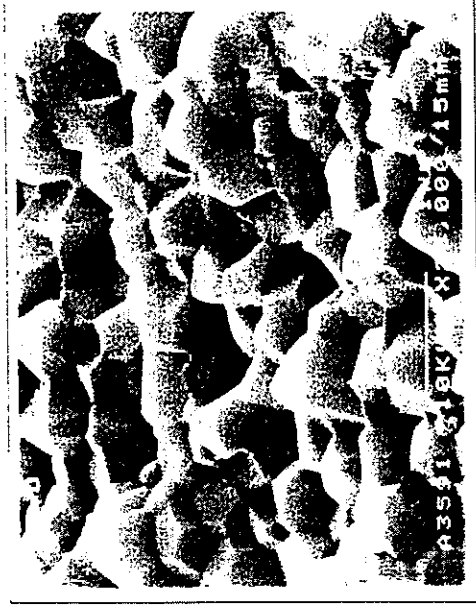
as sintered, 1400 °C, 2 min
D = 98.8 G = 263 nm



1400 °C, 30 min
D = 99,2 G = 356 nm

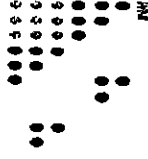


1400 °C, 60 min
D = 99,3 G = 414 nm

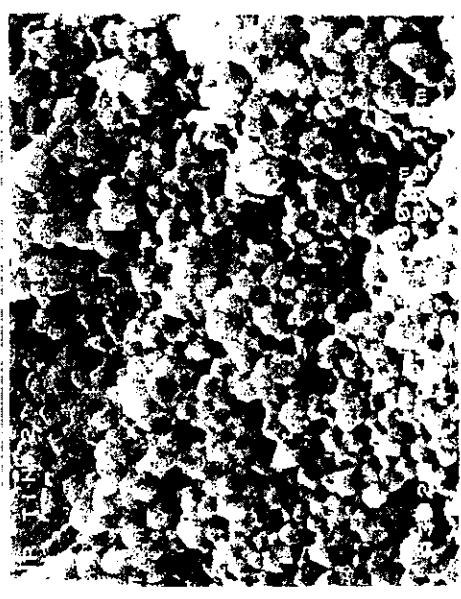


1400 °C, 120 min
D = 99,40 G = 600 nm

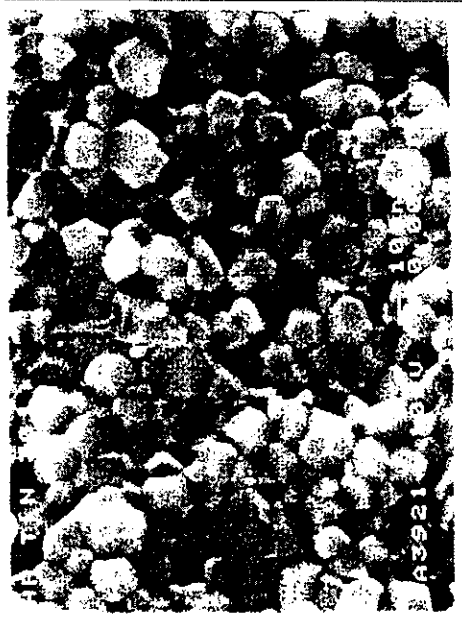
Grain Growth of Hot Pressed n-TiN



as hot pressed
D = 97 G = 56 nm



1300 °C, 2 min
D = 98 G = 81 nm



1300 °C, 30 min
D = 98,5 G = 140 nm

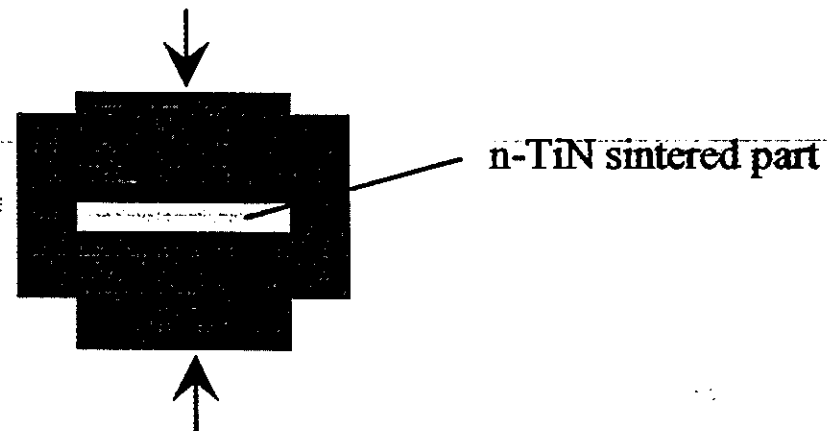
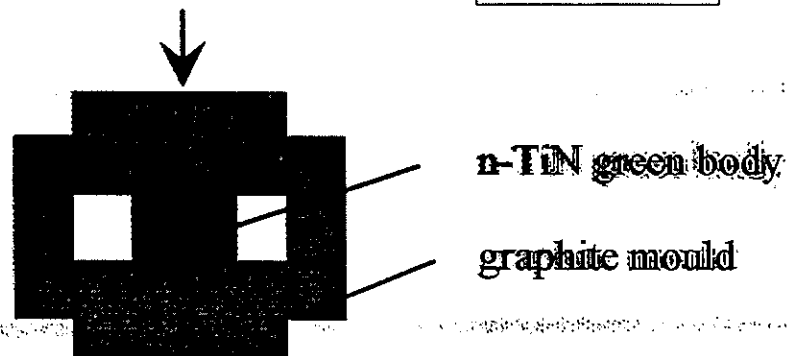


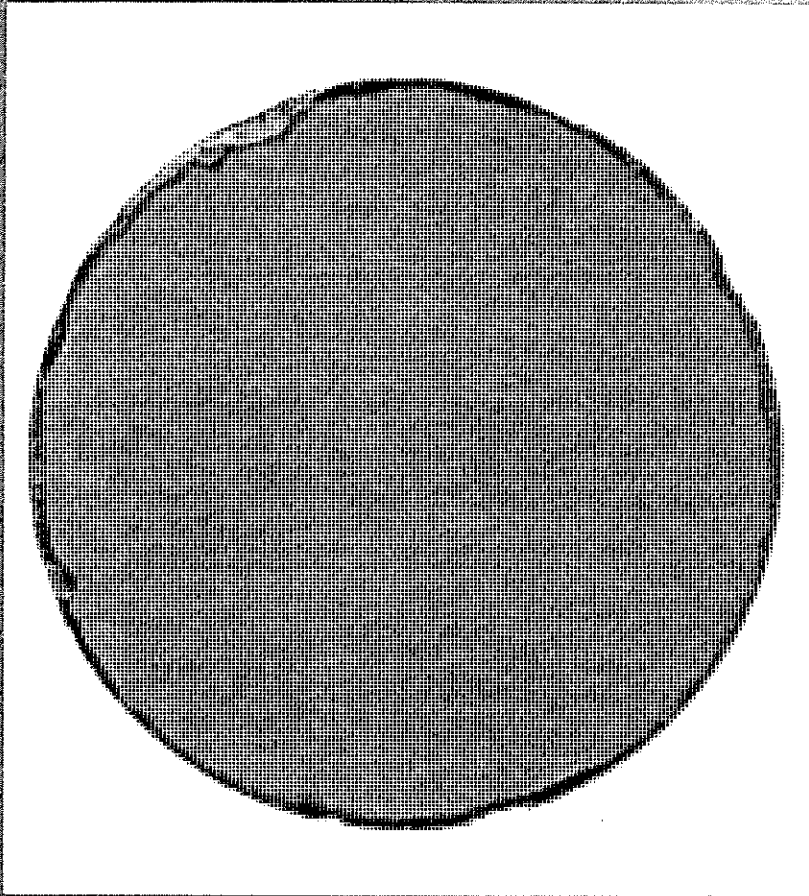
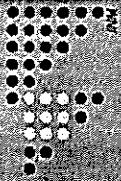
1300 °C, 60 min
D = 98,6 G = 170 nm

Plastic Deformation of n-TiN

□ hot pressing of n-TiN

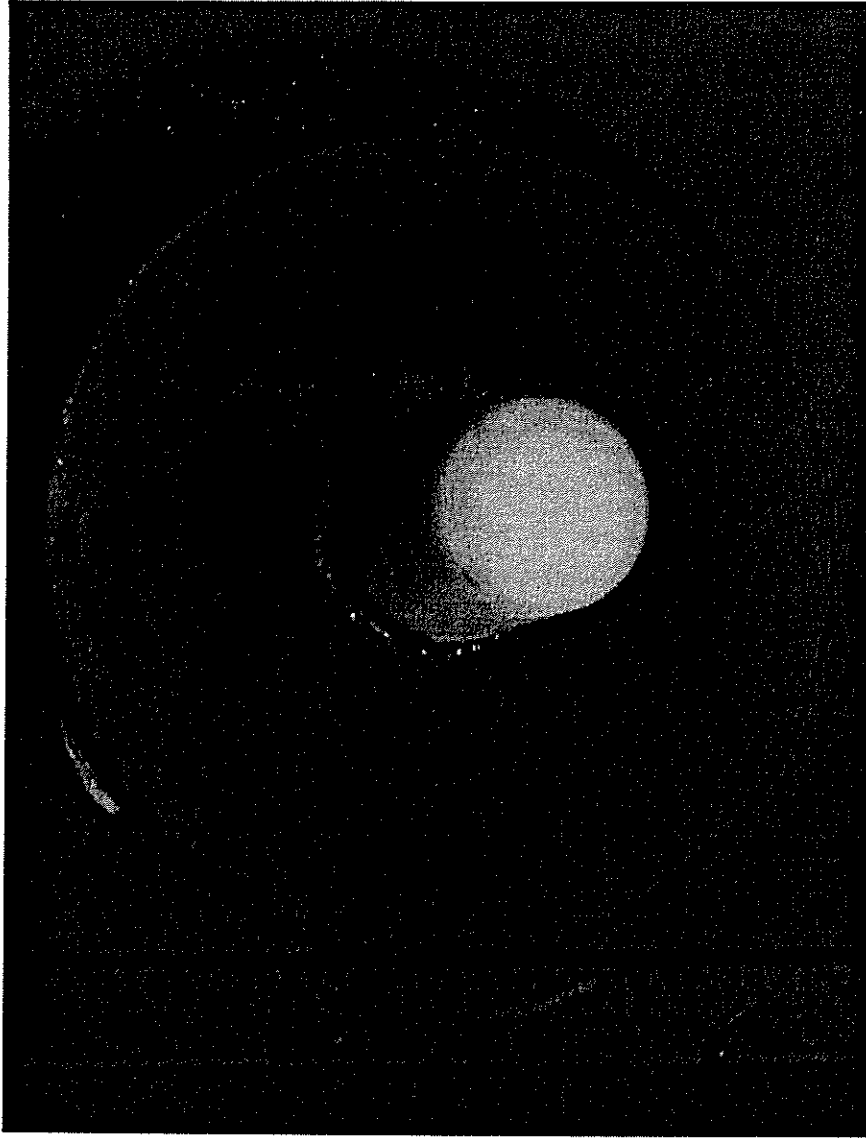
$P = 20 \text{ MPa}$
 $T = 1150 \text{ }^\circ\text{C}$
 $t = 30 \text{ min}$





TiN solid plate

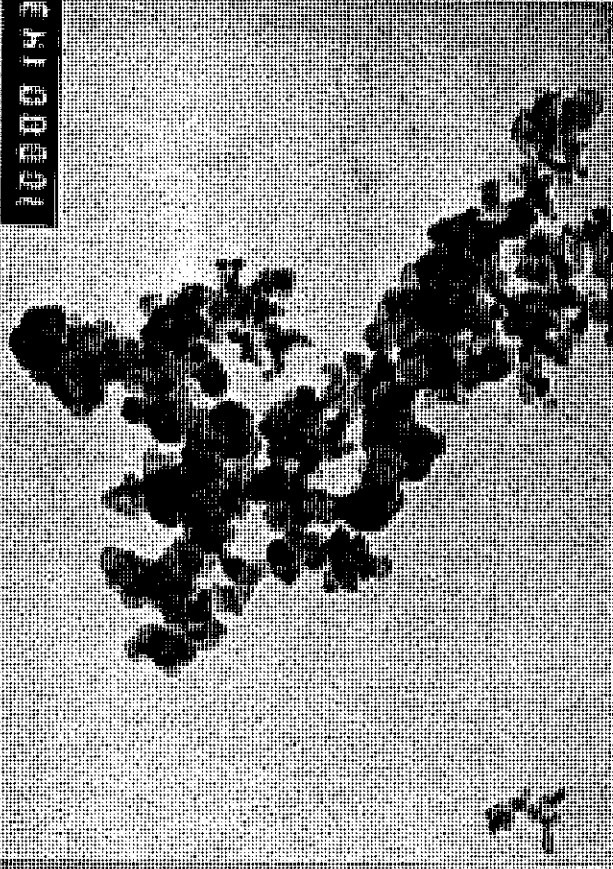
002.615



Al_2O_3 : sol-gel coated with TIN

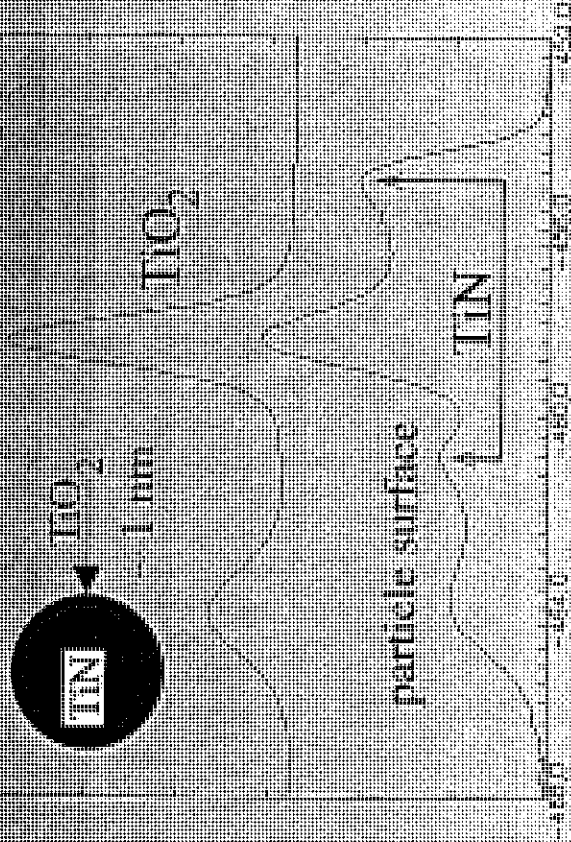
Starting powder

TEM



OSBORNITE

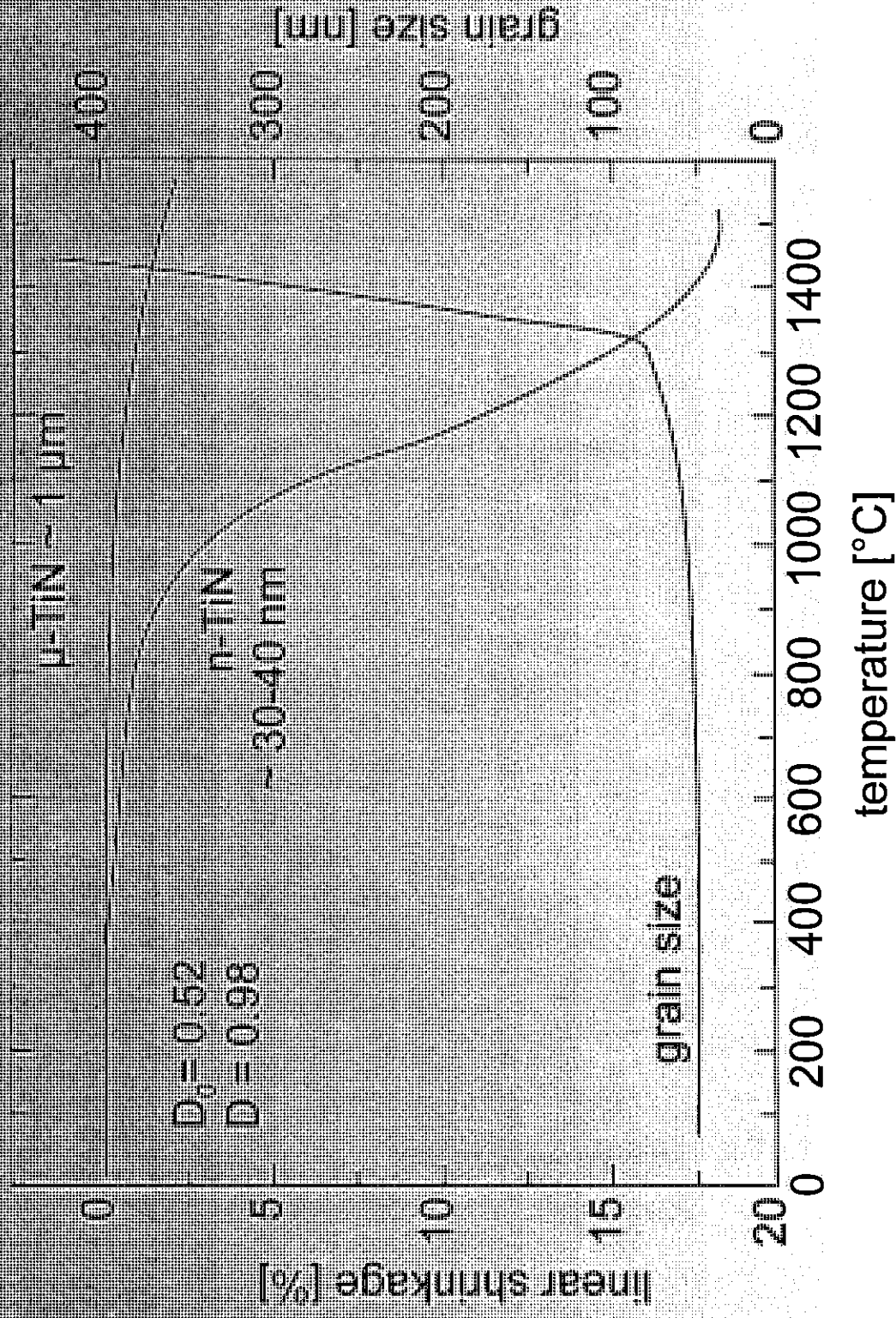
ESCA



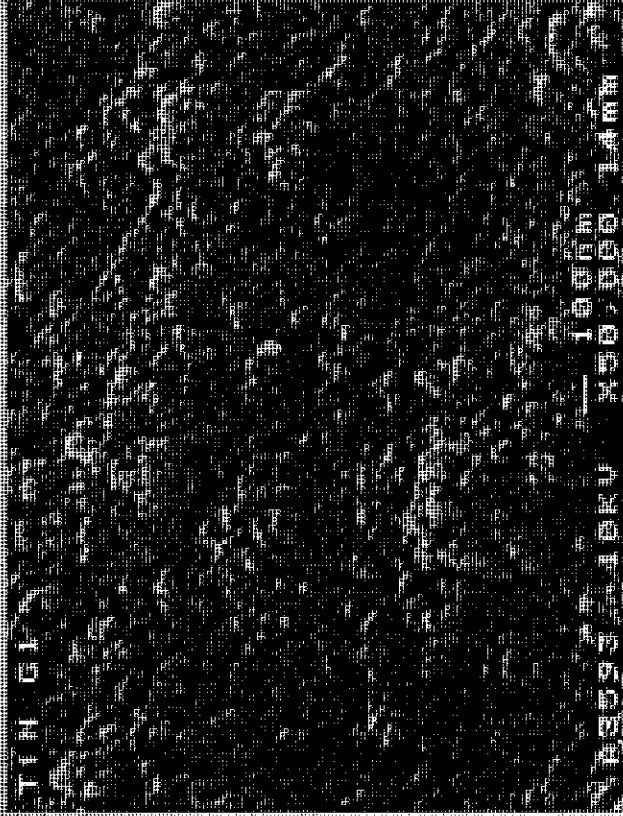
Binding Energy (eV)

- particle size: 30-40 nm
- prepared by the CVR-process (H. C. Starck company)
- oxygen content: < 1 wt.-%
- crystal structure: osbornite
- specific surface area: 54 m²/g
- acidic surface properties

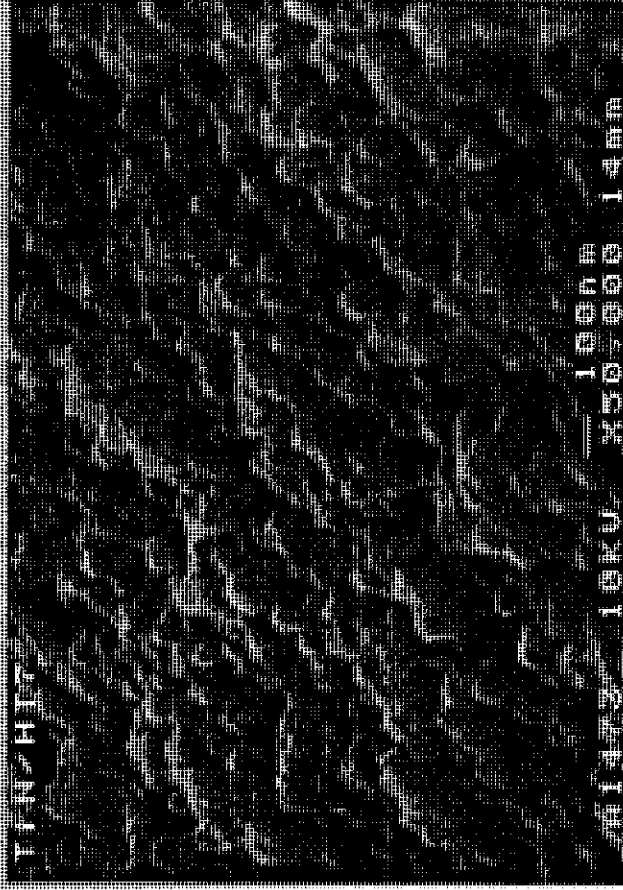
Sintering behaviour



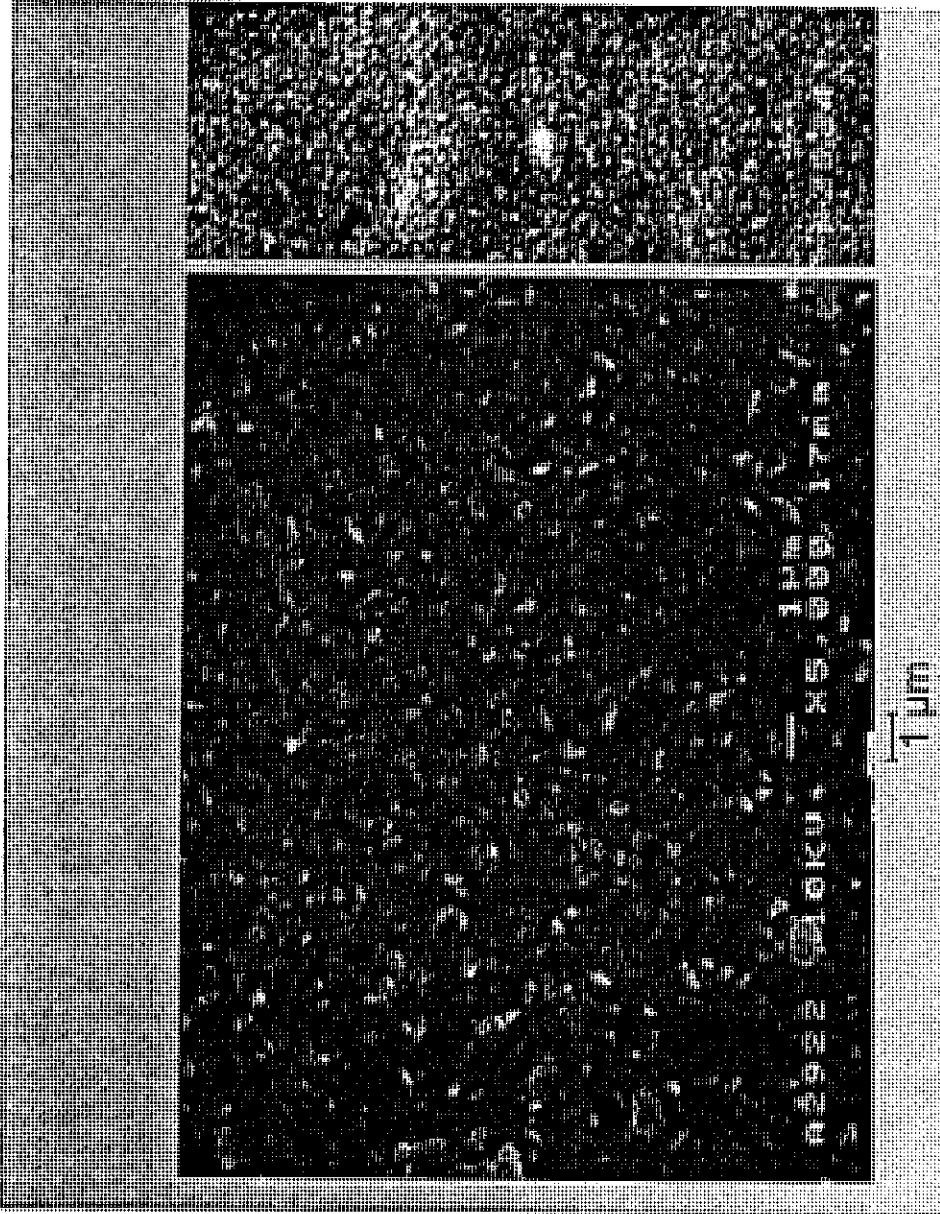
Microstructure of n-TiN



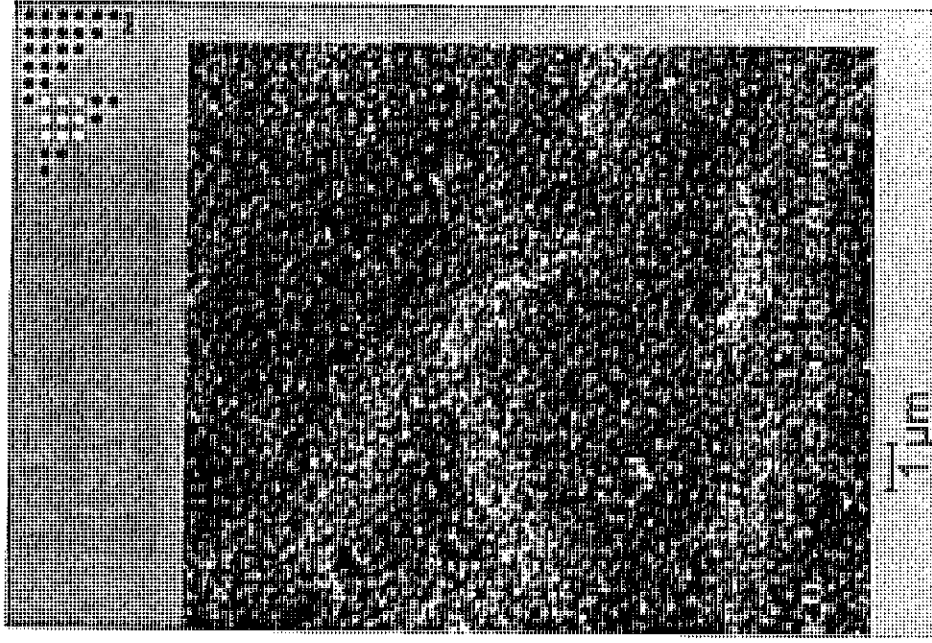
Green part



Sintered part
(1300 °C, D = 0.9%, G = 70 nm)



SEM micrographs of the microstructure of titanium nitride coatings prepared by CVD (a) and dip coating followed by sintering (b)



SEM micrographs of the microstructure of alumina coatings on an alumina substrate prepared by sintering (b)

Mechanical Properties of pressureless sintered n-TiN

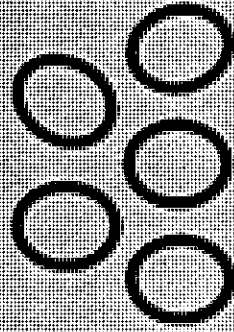
| | | | | |
|---------------------------|------|------|------|------------|
| Porosity [%] | 12 | 0.8 | 0.7 | literature |
| E_{Modell} [GPa] | 430 | 435 | 448 | 260-400 |
| $H_{V0.5}$ [GPa] | 16.0 | 16.2 | 16.5 | 12-15 |

Distribution of Sintering Additives

□ Activation of mass-transport

→ Effect on particle surface / bulk-material

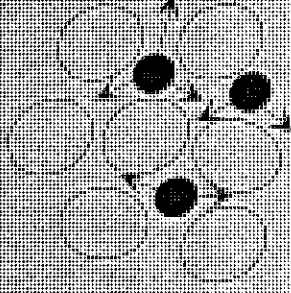
ideal



○ thin layers

* by *layers*, *water com-*
posite "particle structure"

real

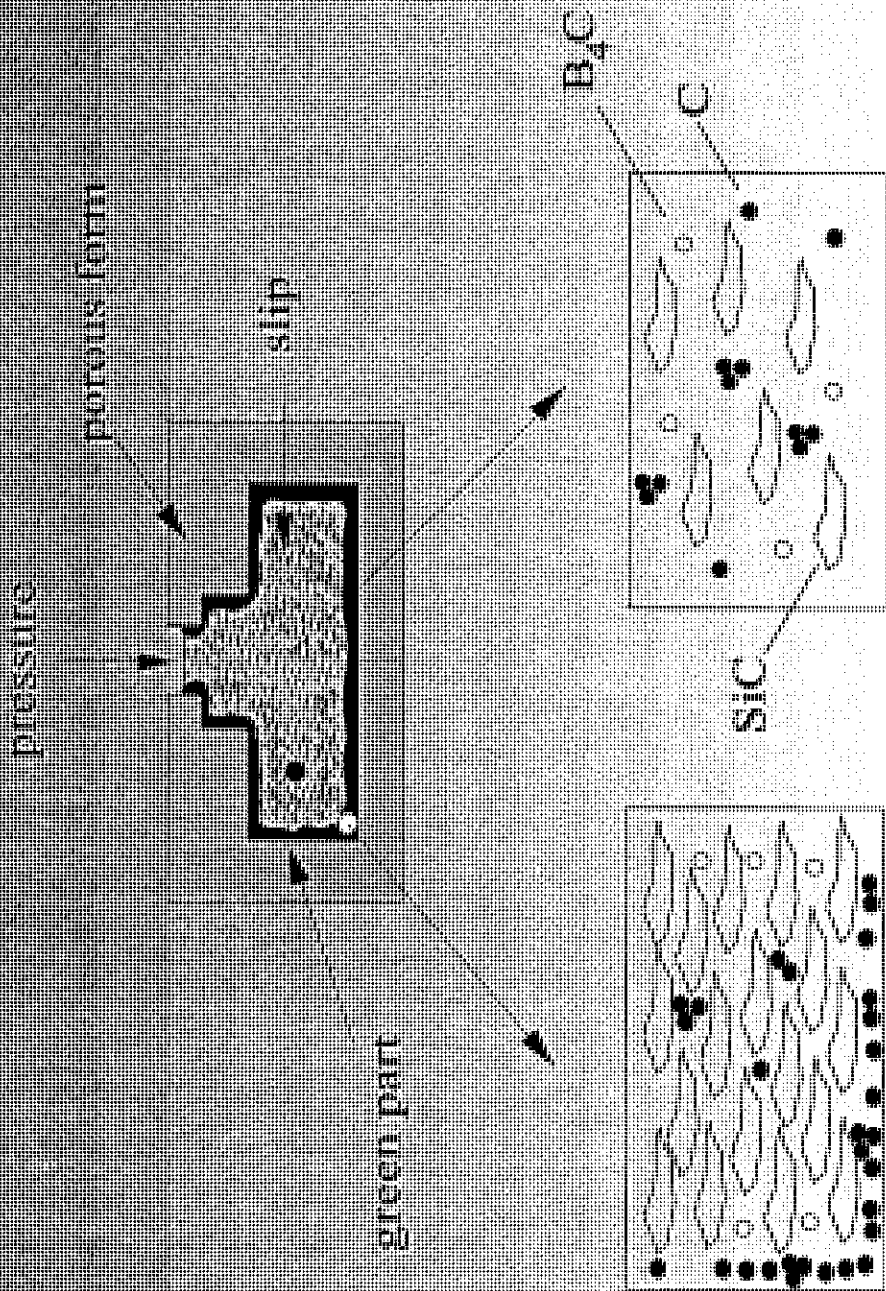


- density gradient
- random distribution
- additive transport to particle surface

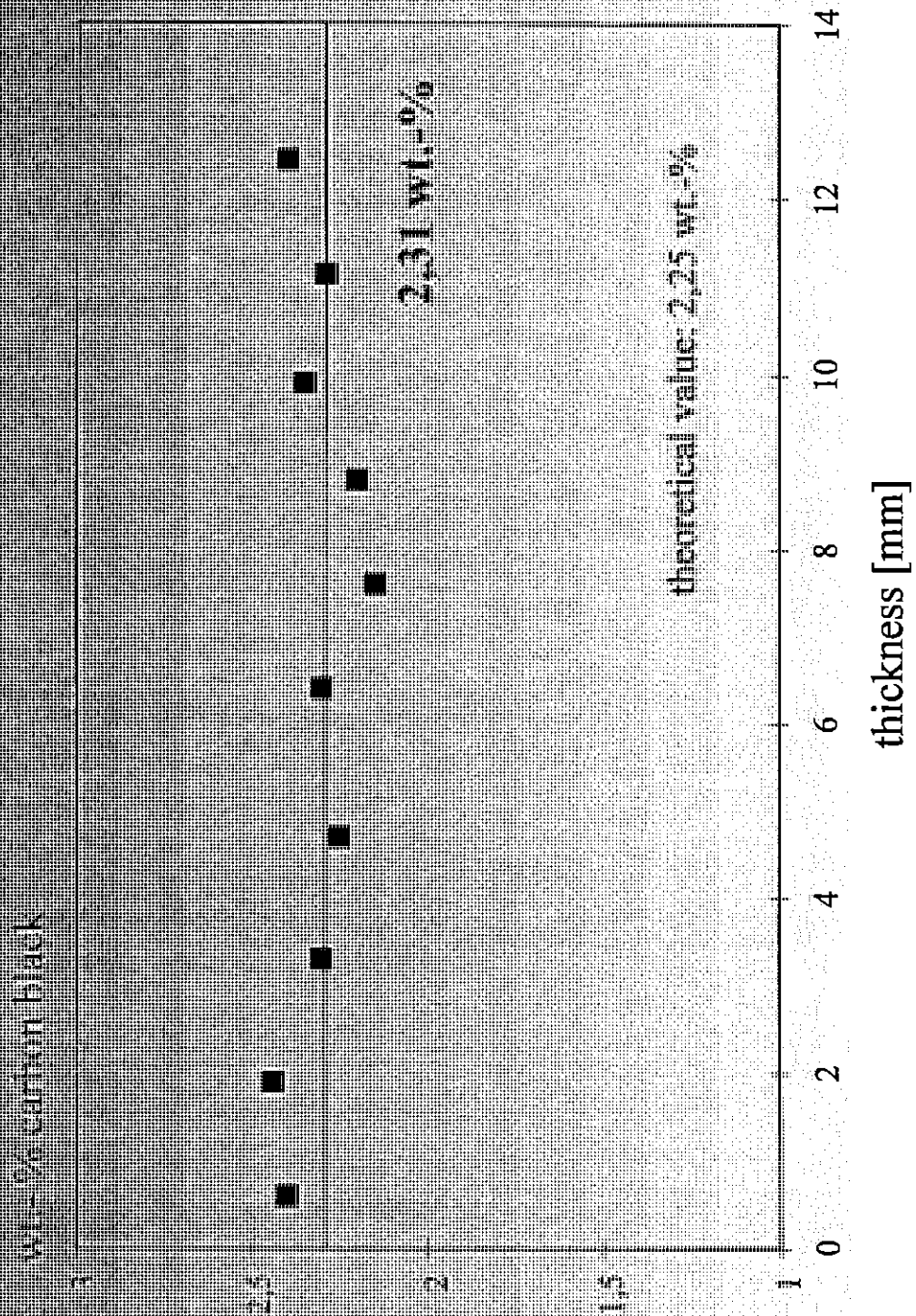
→ liquid-phase (capillary-forces)

→ gas-phase and solid-state-diffusion

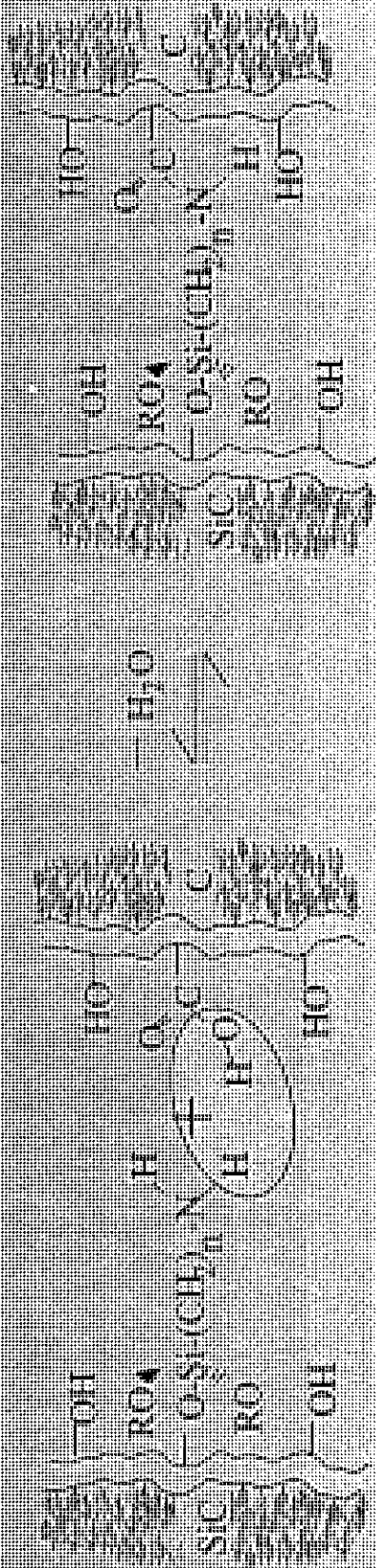
Pressure Slip Casting of SiC/C/B₄C



Distribution of Carbon Black



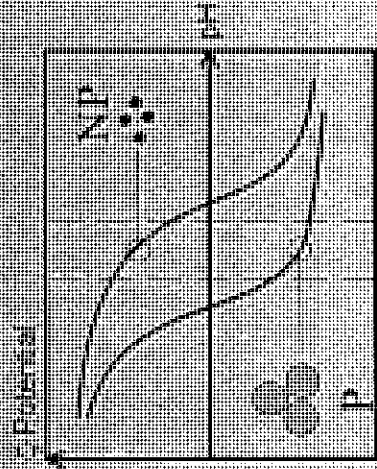
Model of Chemical Coupling



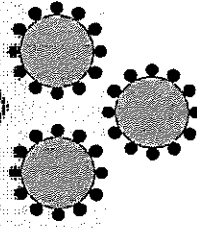
Multicomponent Monophasic Systems

○ coating of powder (P) with nanoparticles (NP)

Electrostatic coating

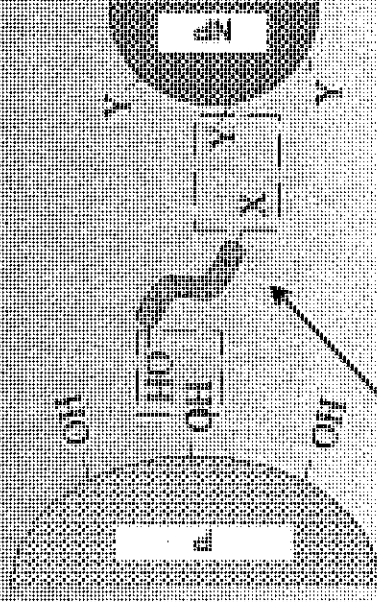


pH-ranges for coating

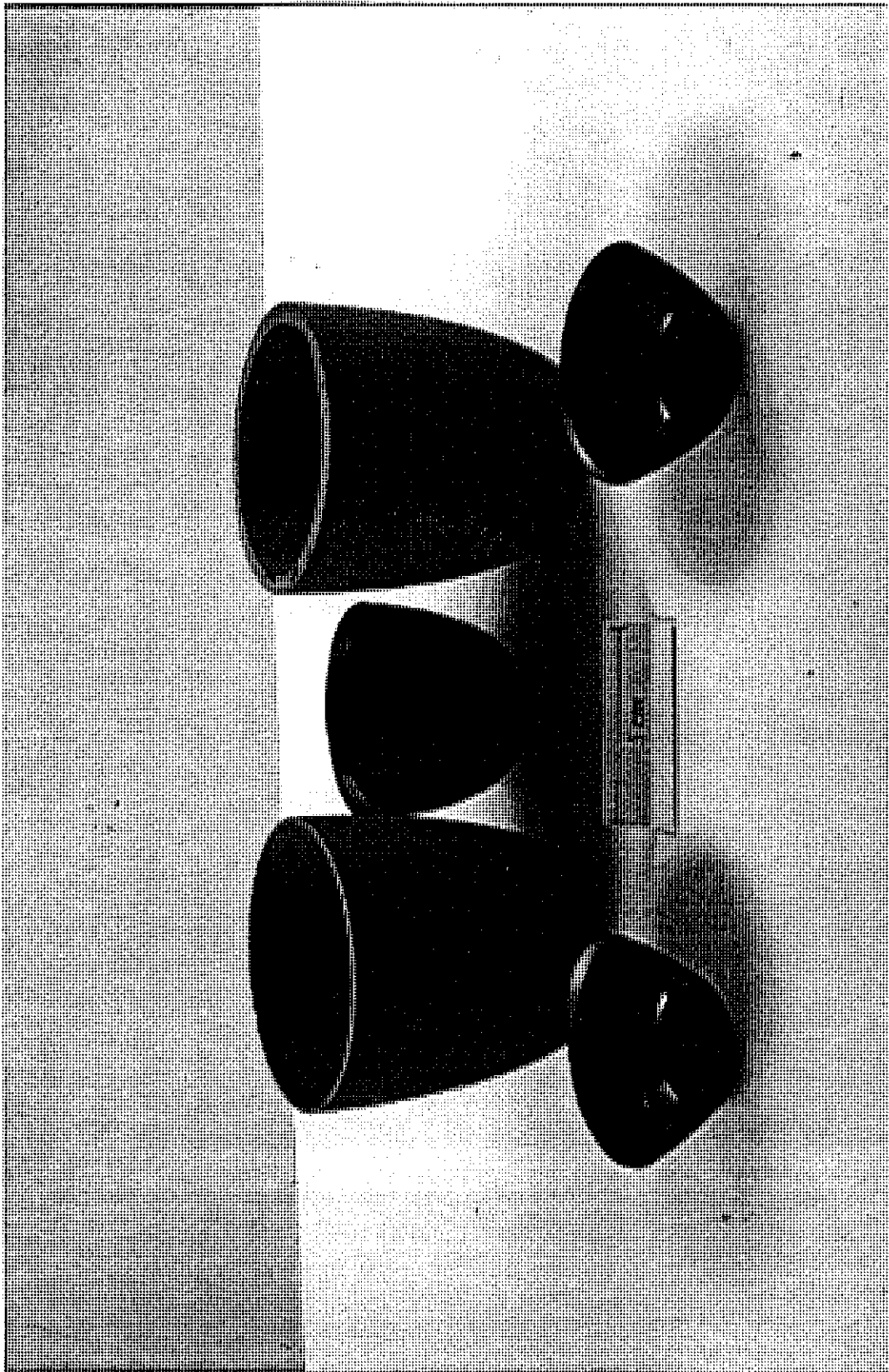


coated powder

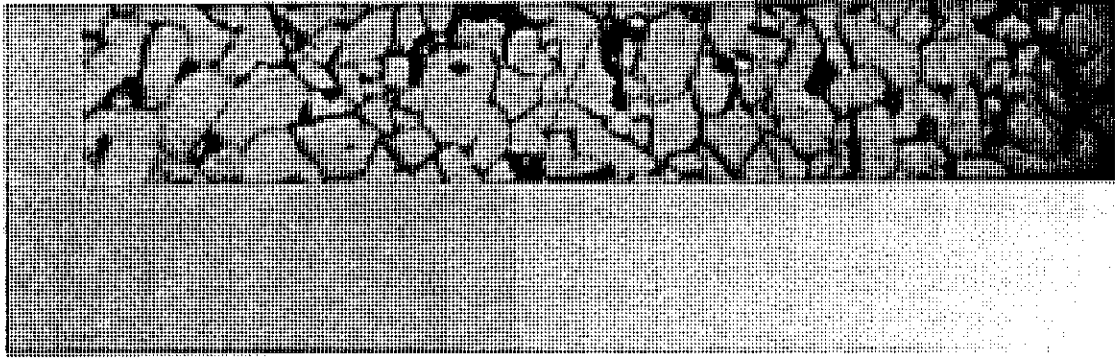
Chemical Coupling



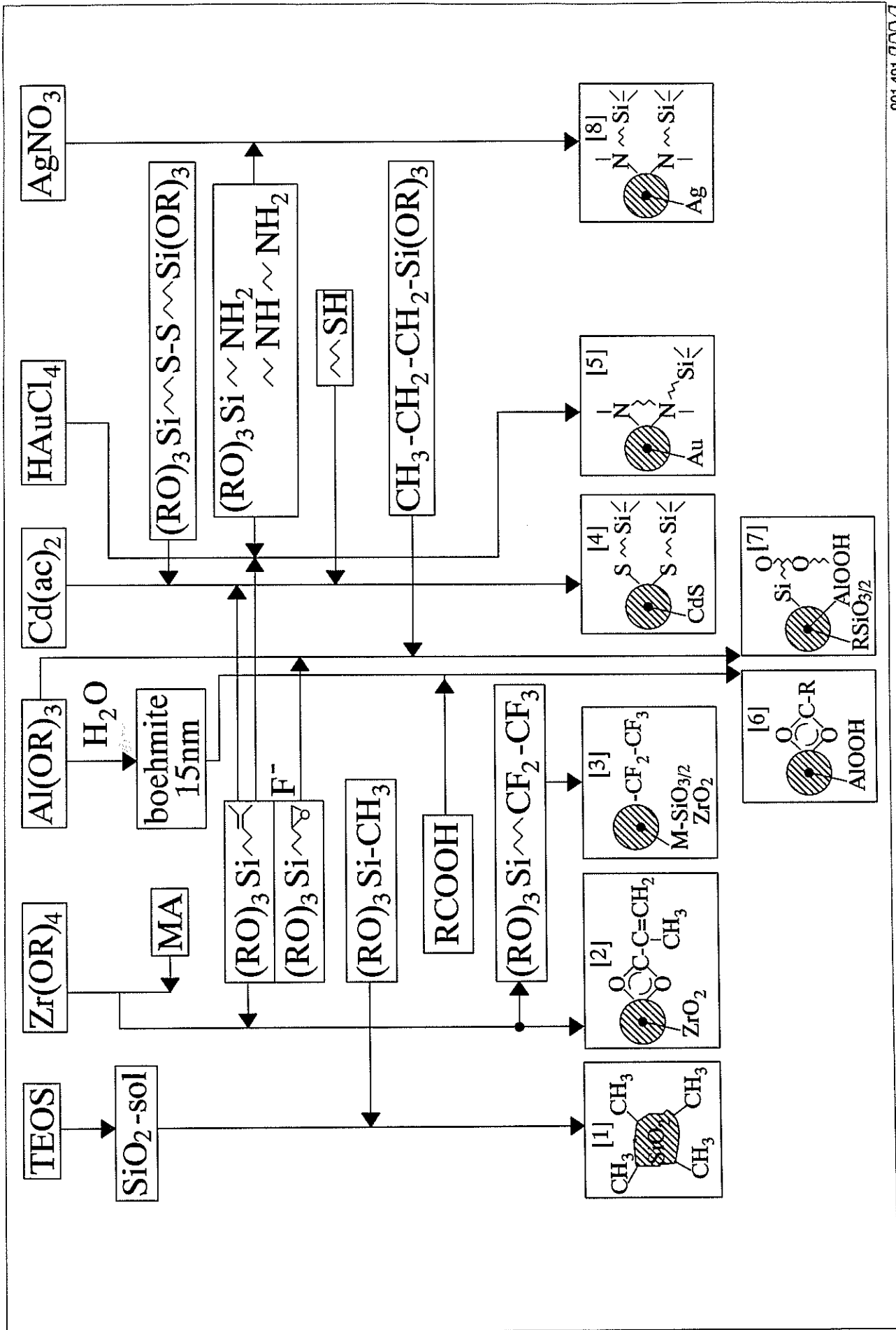
bifunctional coupling molecule

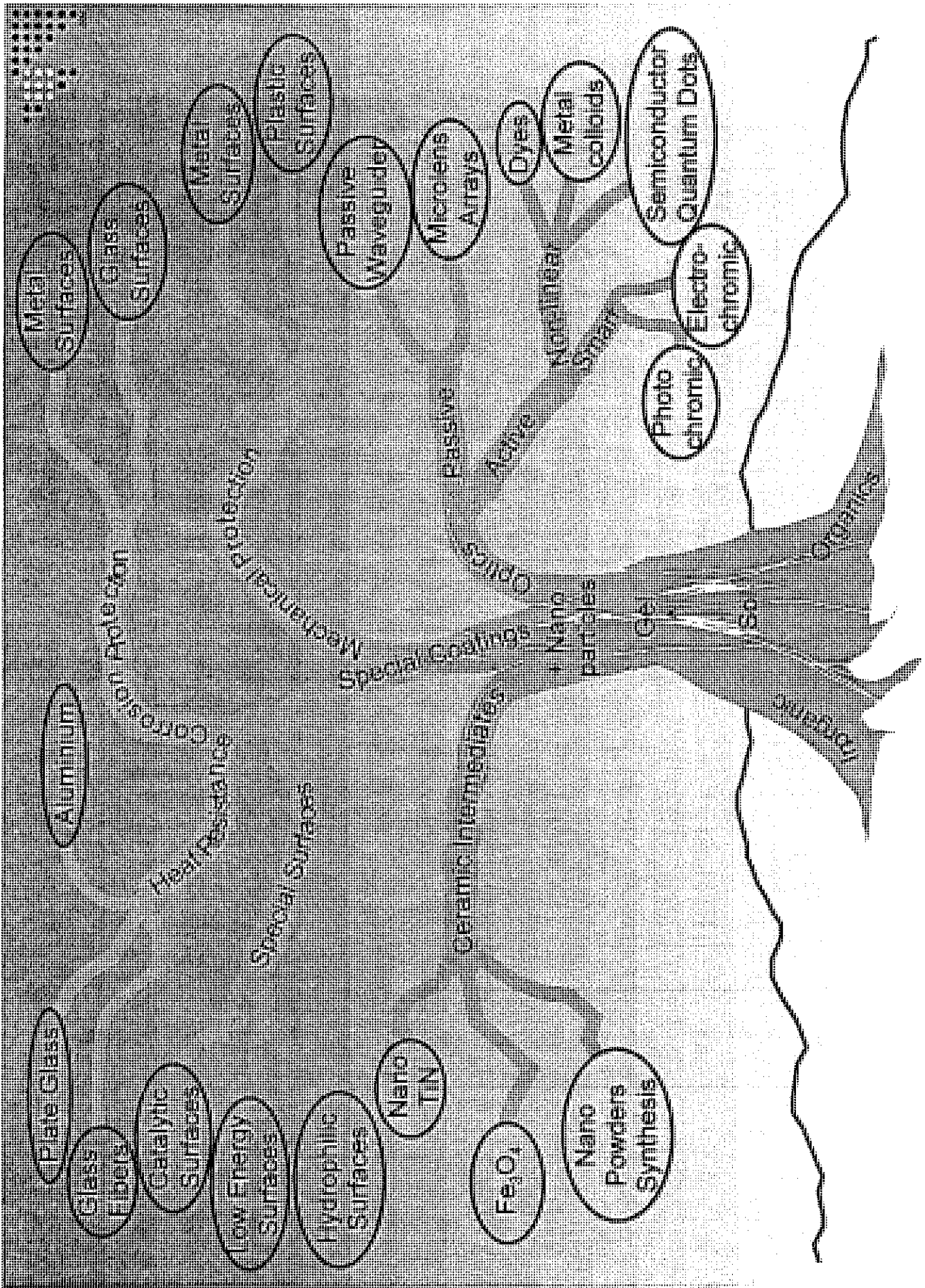


*Pol fabrication by the crew
sub pen with pressure chip casting technique
on lab scale*



Micrograph of pressureless sintered SiC using carbon
k-modified SiC powder and B₄C as sintered aid



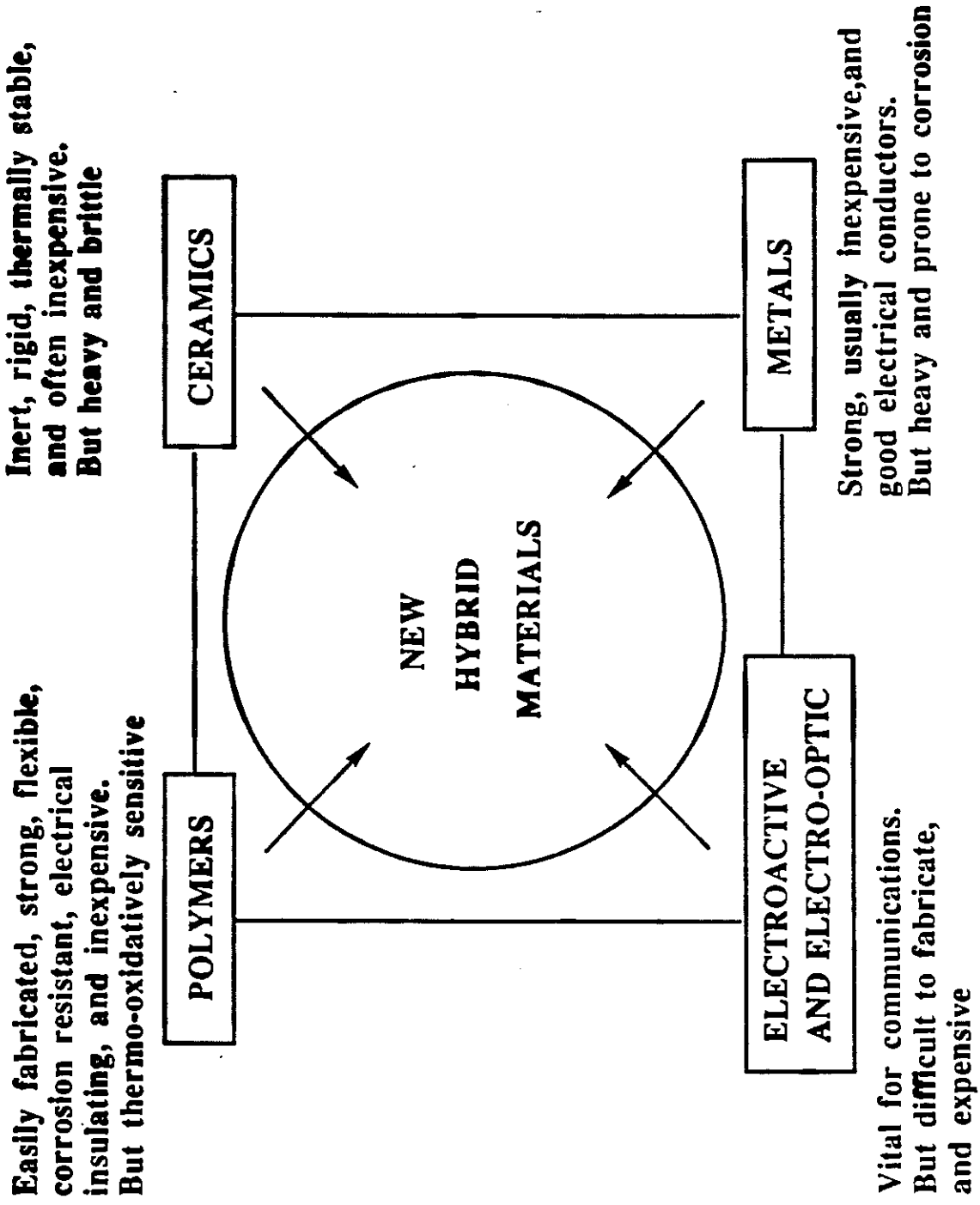


Inorganic/Organometallic Polymers

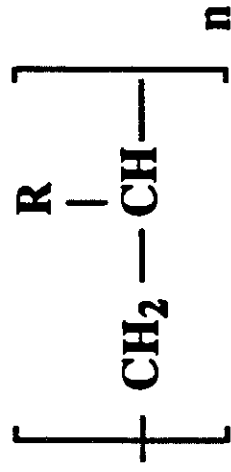
| | |
|----------------|---|
| Harry Allcock | Polyphosphazenes, Properties and Application |
| James E. Mark | Properties of Inorganic Polymers with Bimodal Chain Distributions |
| Len Interrante | Preceramics Polymers |
| Rick Laine | Chemicals, Polymers and Ceramics from the Beach. I & II. |

**MOST AREAS OF ENGINEERING AND BIOMEDICINE ARE
MATERIALS LIMITED - MATERIALS DO NOT YET EXIST
THAT HAVE THE REQUIRED COMBINATIONS OF PROPERTIES.**

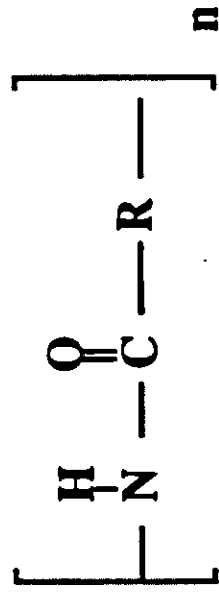
TYPES OF MATERIALS



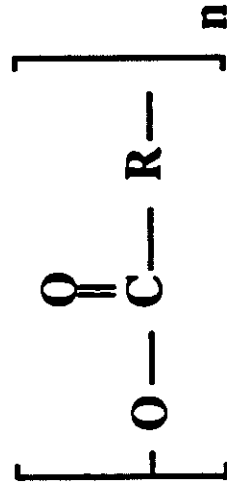
LONG - EXISTING POLYMER SYSTEMS



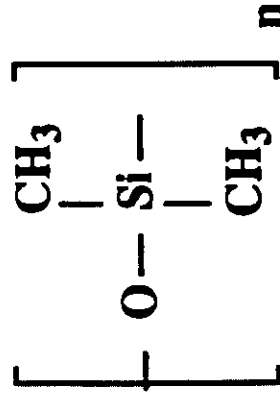
POLYVINYL POLYMERS



POLYAMIDES



POLYESTERS



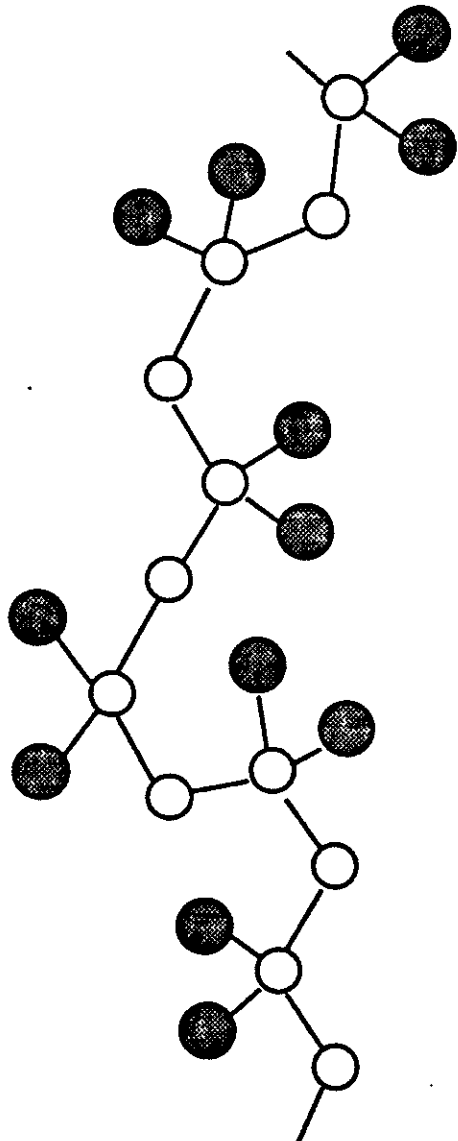
SILICONES

**TWO WAYS TO VIEW INORGANIC POLYMERS
AND THEIR PROPERTIES**

- 1. INTRINSIC PROPERTIES OF THE INORGANIC BACKBONE**
- 2. THE BACKBONE AS A "PLATFORM" FOR ACTIVE SIDE GROUPS**

POLYMER DESIGN

- 1. CHOICE OF POLYMER BACKBONE**
(Controls inherent chain flexibility, glass transition temperature, electrical conductivity, and thermal- and radiation stability)
- 2. CHOICE OF SIDE GROUPS**
(Controls glass transition temperature, crystallinity, solubility, miscibility, crosslinking, and many other properties)
- 3. OPEN CHAIN OR CROSSLINKED STRUCTURE**
(Determines solubility, strength, elasticity, hydrogel or organogel properties)
- 4. SINGLE POLYMER SYSTEM OR HYBRID MATERIAL**
(Blends, IPN's, composites, etc.)
- 5. "ACCIDENTAL" OR TAILORED SURFACE**
(Hydrophilicity, hydrophobicity, adhesion, biocompatibility, etc.)



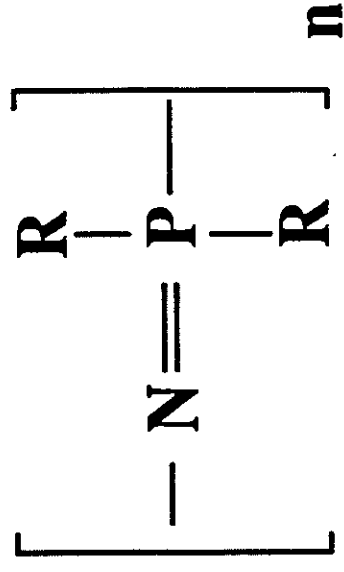
THE PROPERTIES OF A POLYMER DEPEND ON:

- 1. THE ELEMENTS AND BONDS IN THE MAIN CHAIN**
- 2. THE TYPES OF SIDE GROUPS ATTACHED TO THE BACKBONE**

UNDERLYING IDEAS

- 1. GENERATION OF NEW PROPERTIES THROUGH POLYMERS WITH HETEROELEMENTS IN THE BACKBONE.**
- 2. ACCESS TO BROAD RANGE OF NEW PROPERTIES THROUGH INTRODUCTION OF MANY DIFFERENT SIDE GROUPS VIA MACROMOLECULAR SUBSTITUTION.**
- 3. TUNING OF PROPERTY COMBINATIONS VIA VARIATIONS IN RATIOS OF DIFFERENT SIDE GROUPS.**
- 4. INTRODUCTION OF PROPERTY CHANGES BY SIDE GROUP EXCHANGE, ESPECIALLY AT SURFACES.**

POLYPHOSPHAZENES



R = An organic group

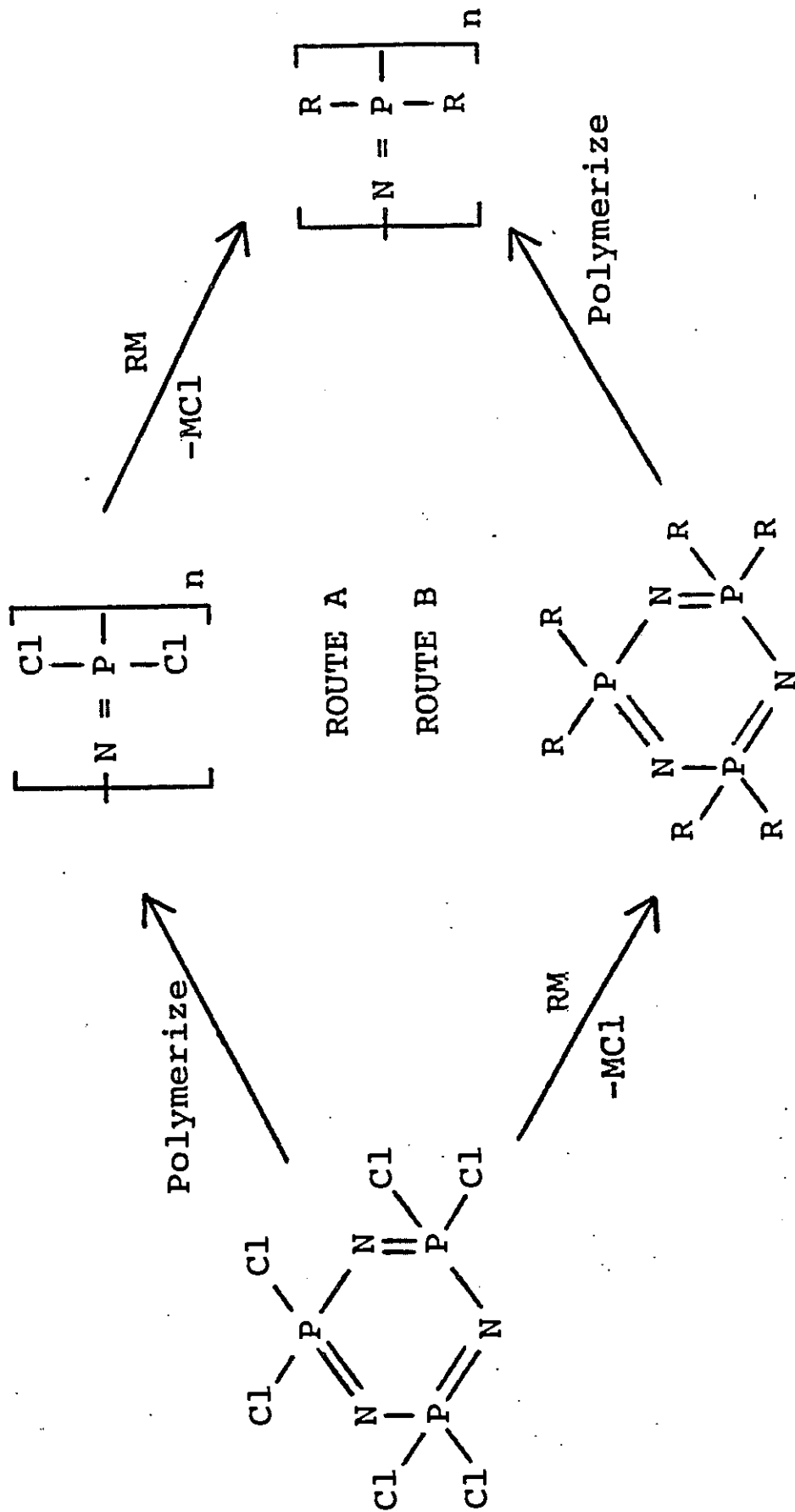
n = Approx. 15,000

Molecular weights = 1 to 4 million

**EXPERIMENTAL METHODS FOR REPLACEMENT OF ONE
SIDE GROUP BY ANOTHER IN POLYMERS**

- 1. USE A DIFFERENT MONOMER FOR POLYMERIZATION**
- 2. REPLACEMENT OF SIDE GROUPS ON AN EXISTING POLYMER
BY DIFFERENT GROUPS**

TWO APPROACHES TO INORGANIC POLYMER SYNTHESIS



ROUTE A

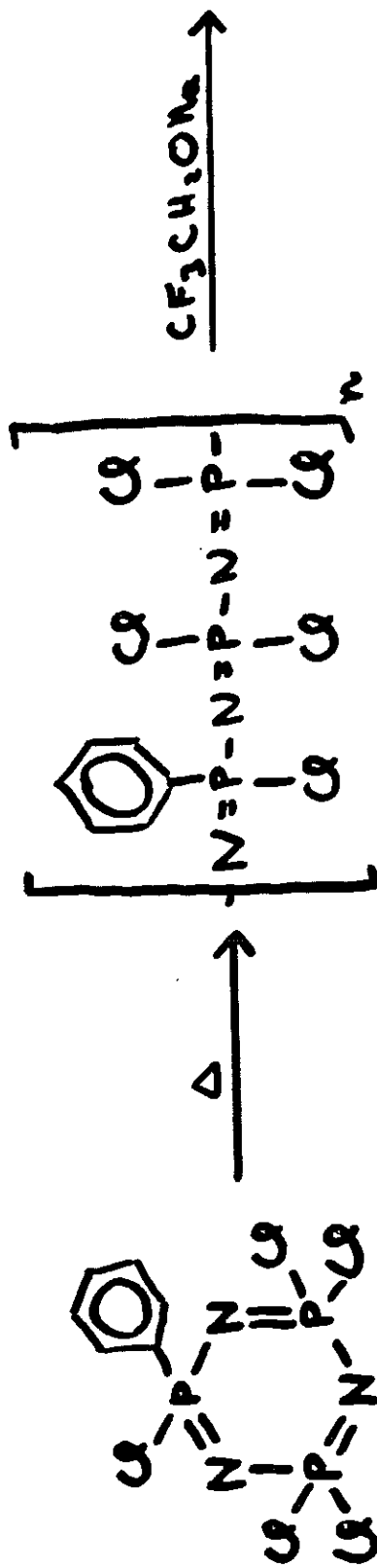
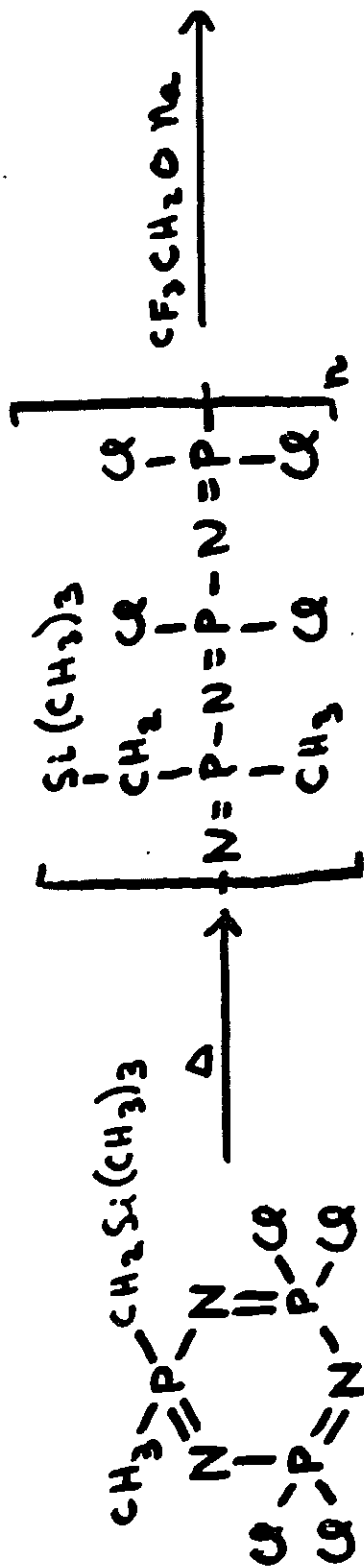
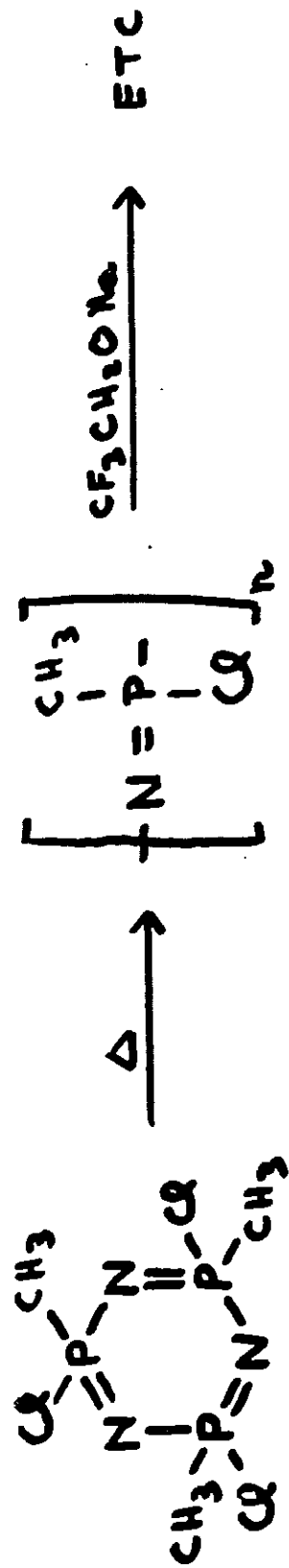
ADVANTAGES - CYCLIC SPECIES WITH F, Cl, OR Br SIDE GROUPS.
POLYMERIZE READILY TO LINEAR HIGH POLYMERS.

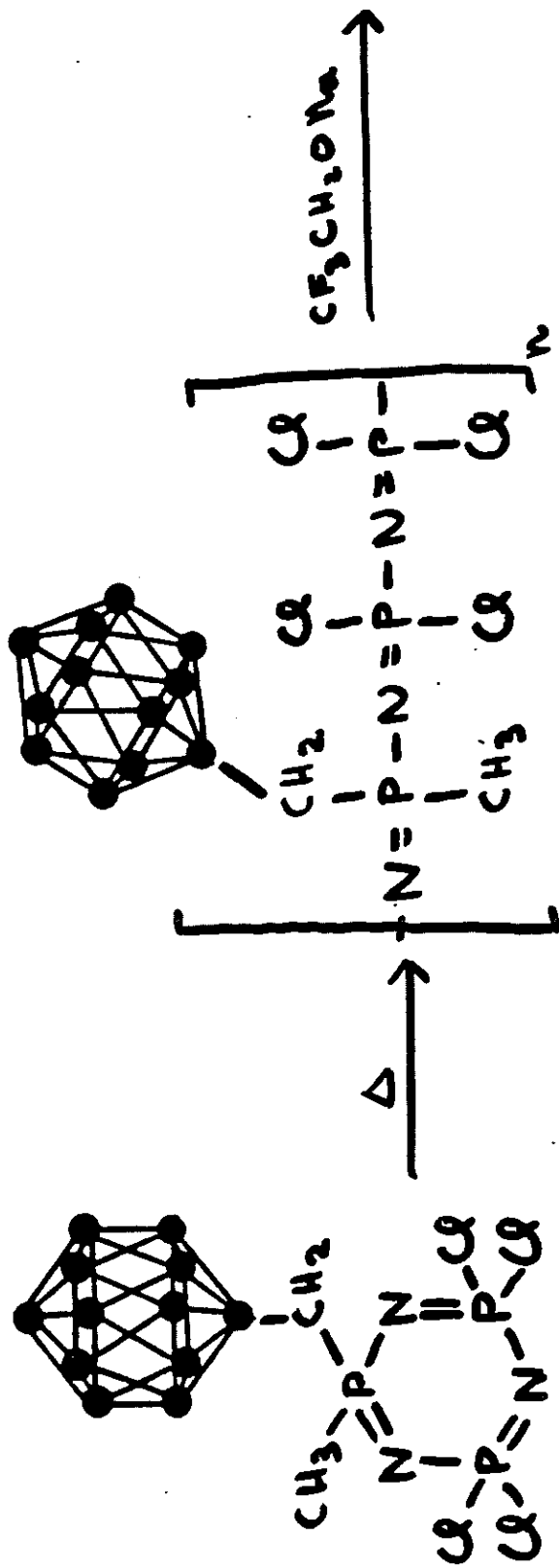
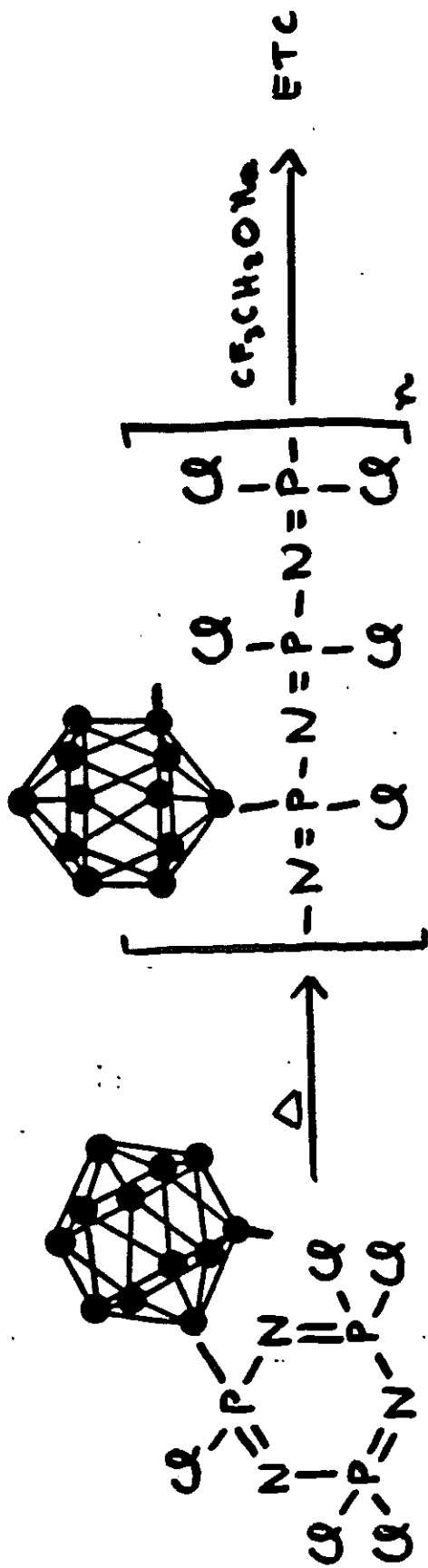
DISADVANTAGES - REACTIONS ON POLYMERS MUST BE EXTREMELY "CLEAN" -
NO CROSSLINKS OR CHAIN CLEAVAGE. REACTIVITY OF SUBSTRATE
POLYMER MUST BE VERY HIGH. NEED FOR MODEL REACTIONS.

ROUTE B

ADVANTAGES - REACTIONS OF SMALL MOLECULES ARE EASIER TO CONTROL
THAN REACTIONS OF HIGH POLYMERS. EASE OF CHARACTERIZATION.

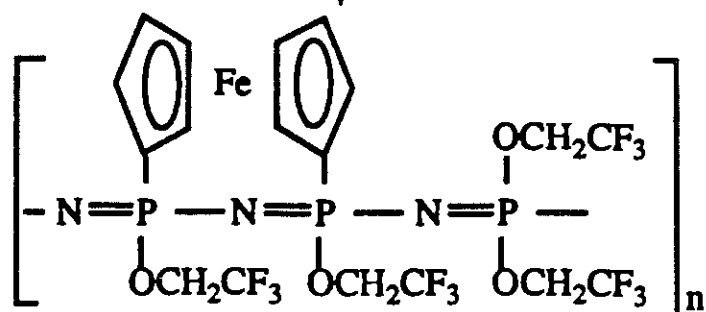
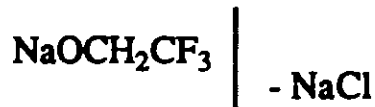
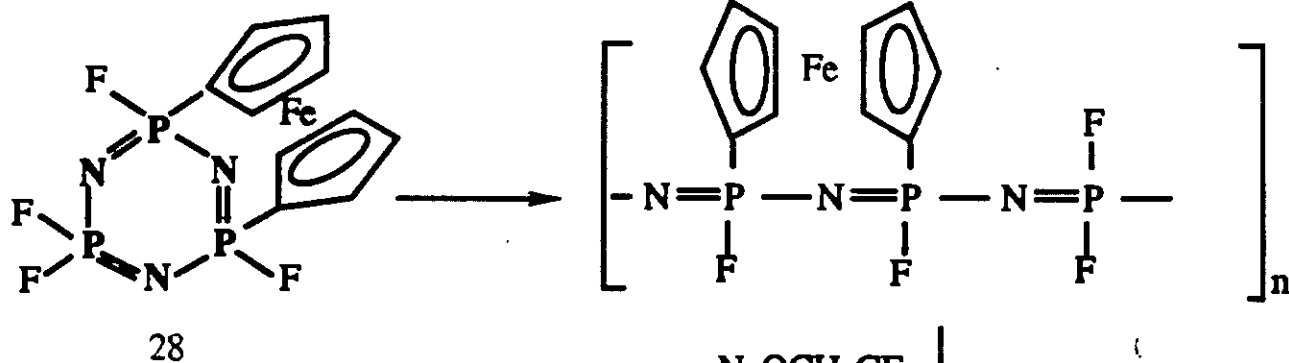
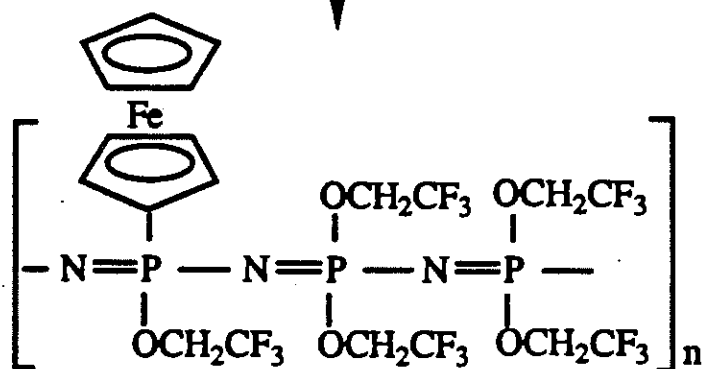
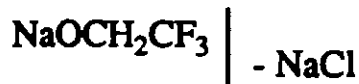
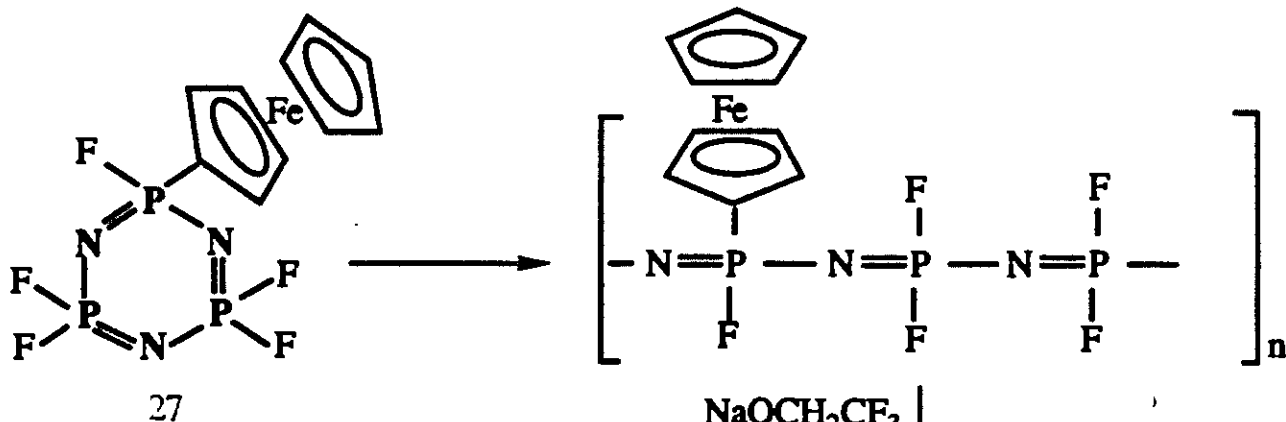
DISADVANTAGES - LOWER TENDENCY FOR POLYMERIZATION OF NON-HALOGEN
SUBSTITUTED CYCLIC SPECIES. MECHANISTIC AND STERIC PROBLEMS.



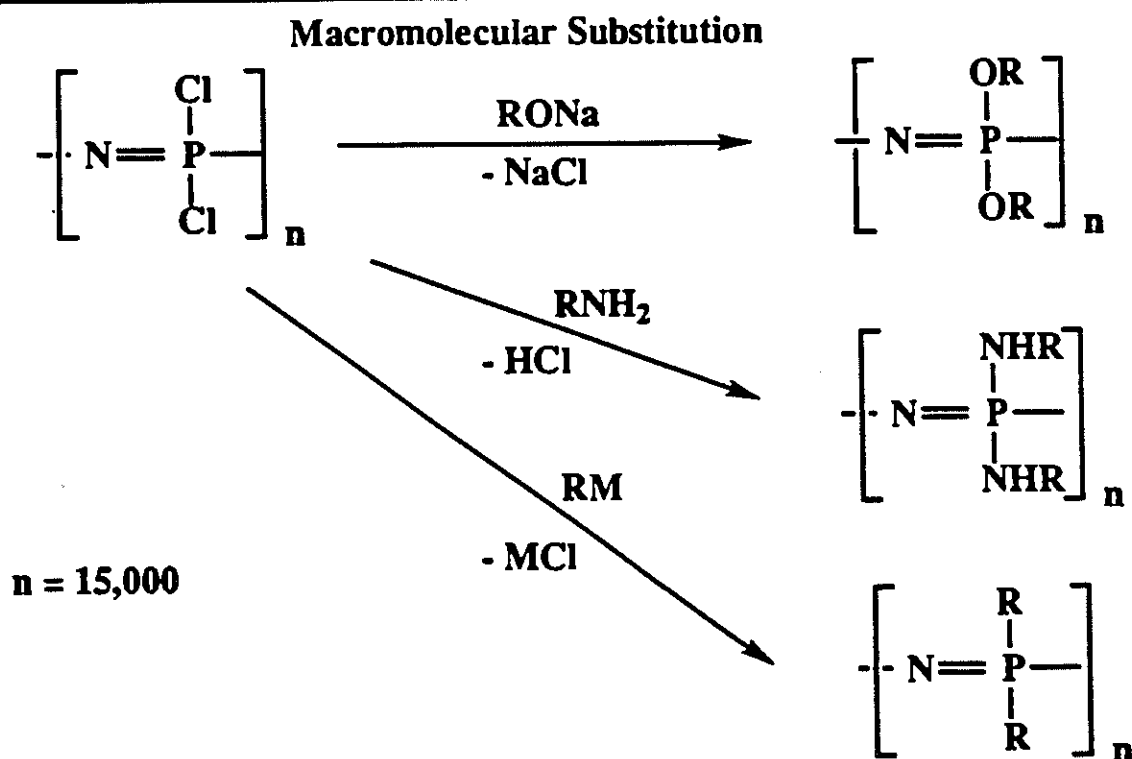
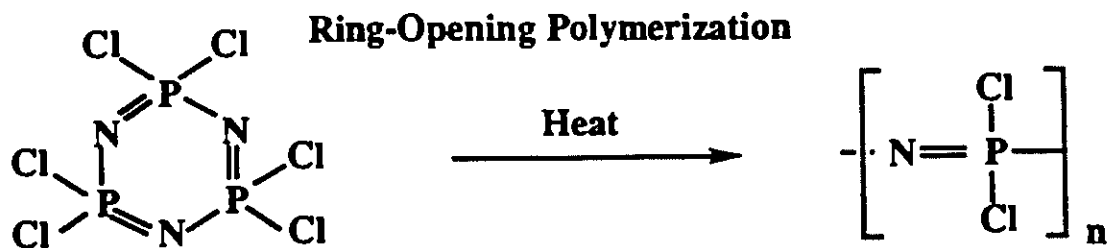


H. R. ALLCOCK

METALLOCENYLPHOSPHAZENES



TWO STEP SYNTHESIS OF POLYPHOSPHAZENES



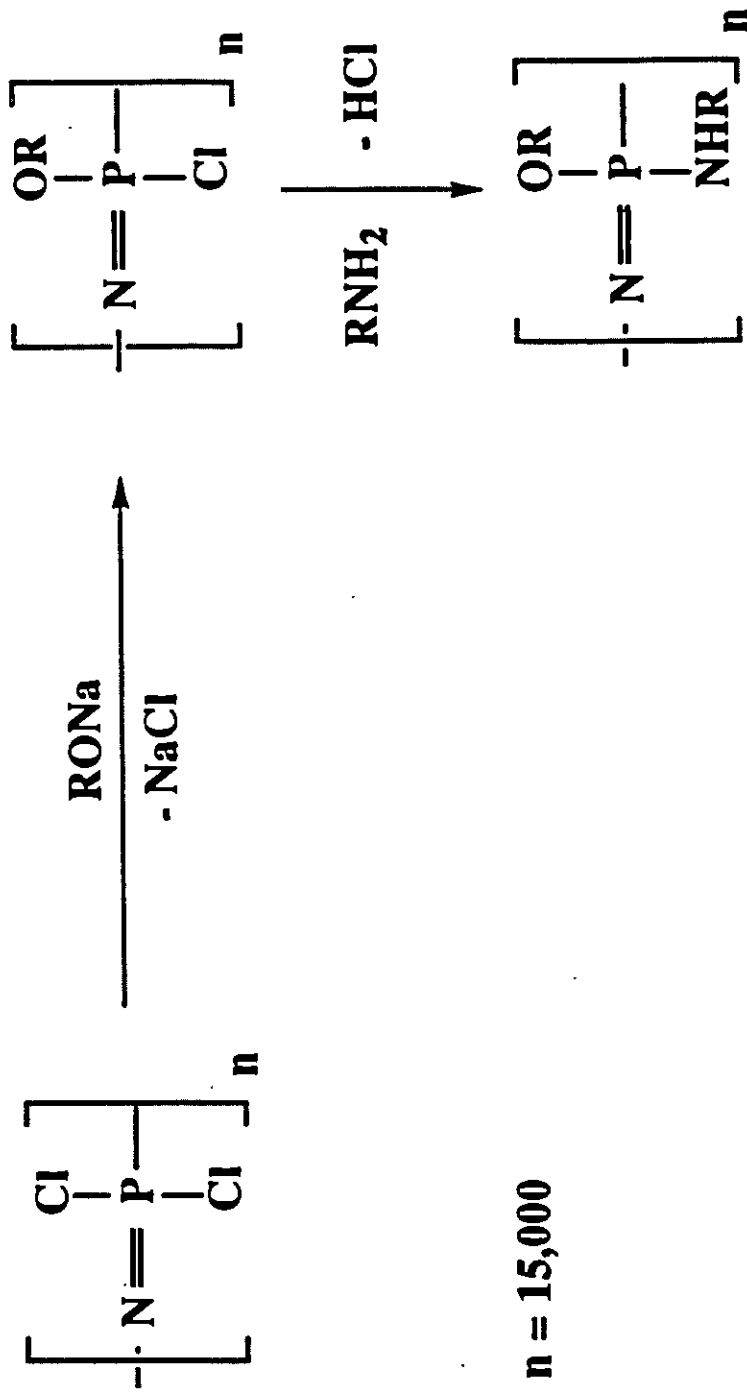
Note that, because all derivative polymers are made from one polymeric intermediate, the chain lengths of the final polymers are similar.

Note also that this type of macromolecular substitution chemistry is much easier and more versatile than similar reactions attempted with classical petrochemical polymers because of (a) the backbone stability and (b) the high reactivity of the P-Cl bonds.

H. R. ALLCOCK

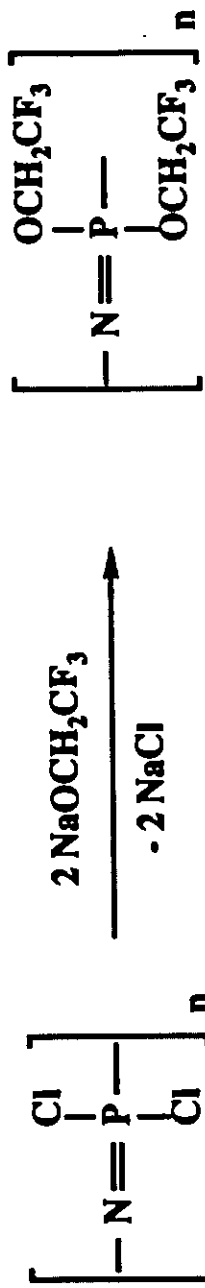
2-69-G/S

SEQUENTIAL SUBSTITUTION

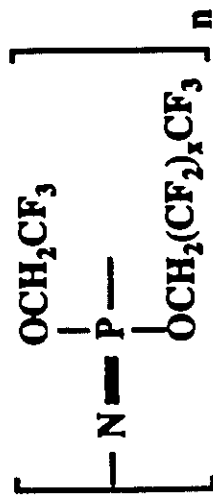
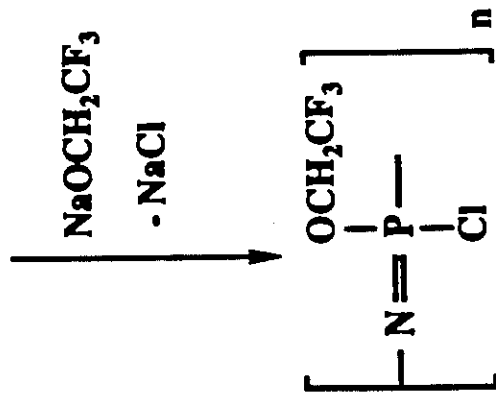


$n = 15,000$

FLUOROALKOXYPHOSPHAZENES


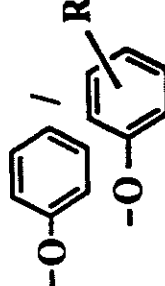
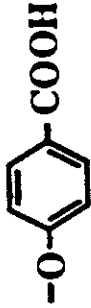
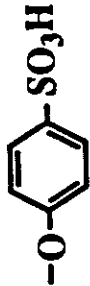


**Hydrophobic, Bioinert
Films, Fibers, and Coatings**

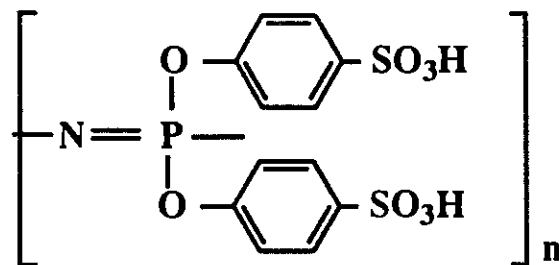
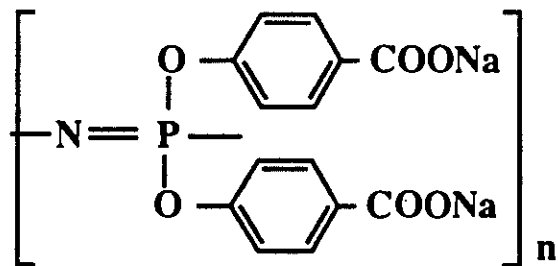
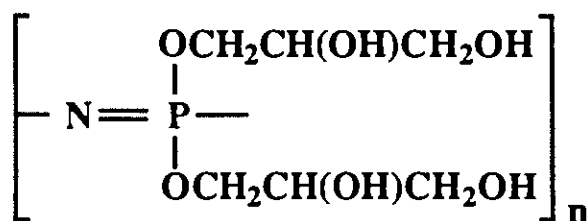
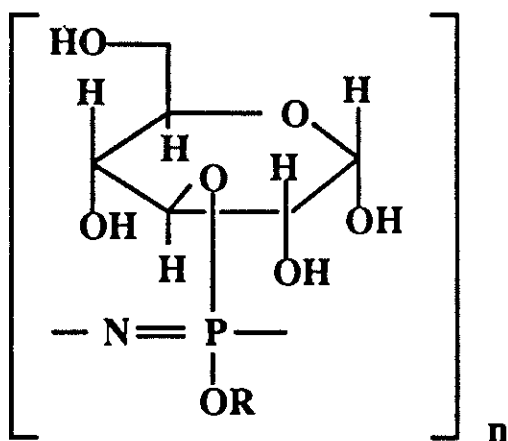
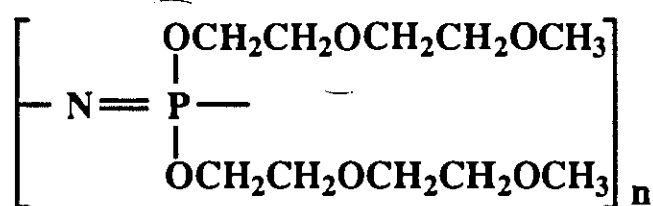
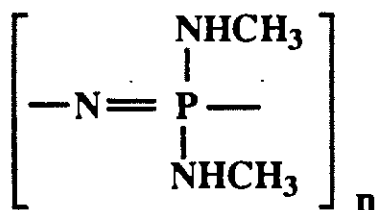


**Hydrophobic, Bioinert
Elastomer**

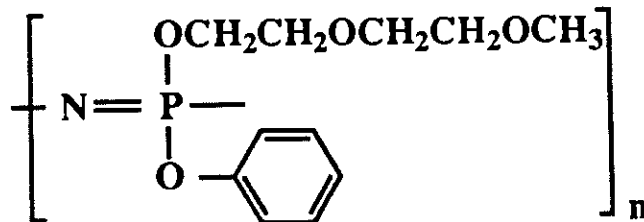
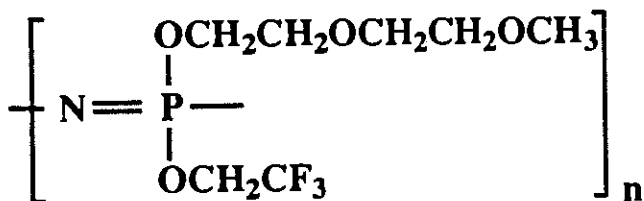
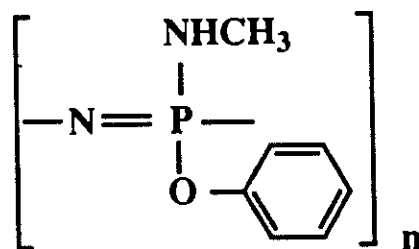
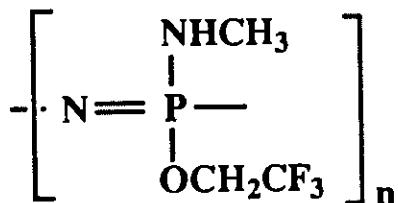
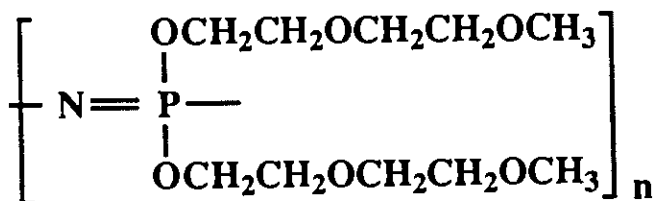
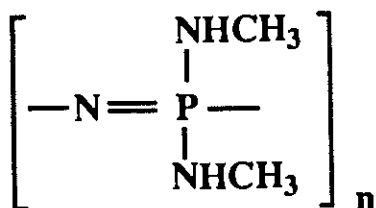
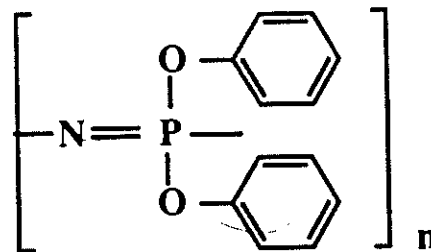
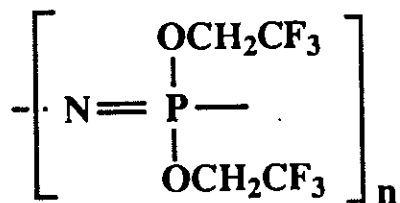
RELATIONSHIP BETWEEN SIDE GROUPS AND PROPERTIES

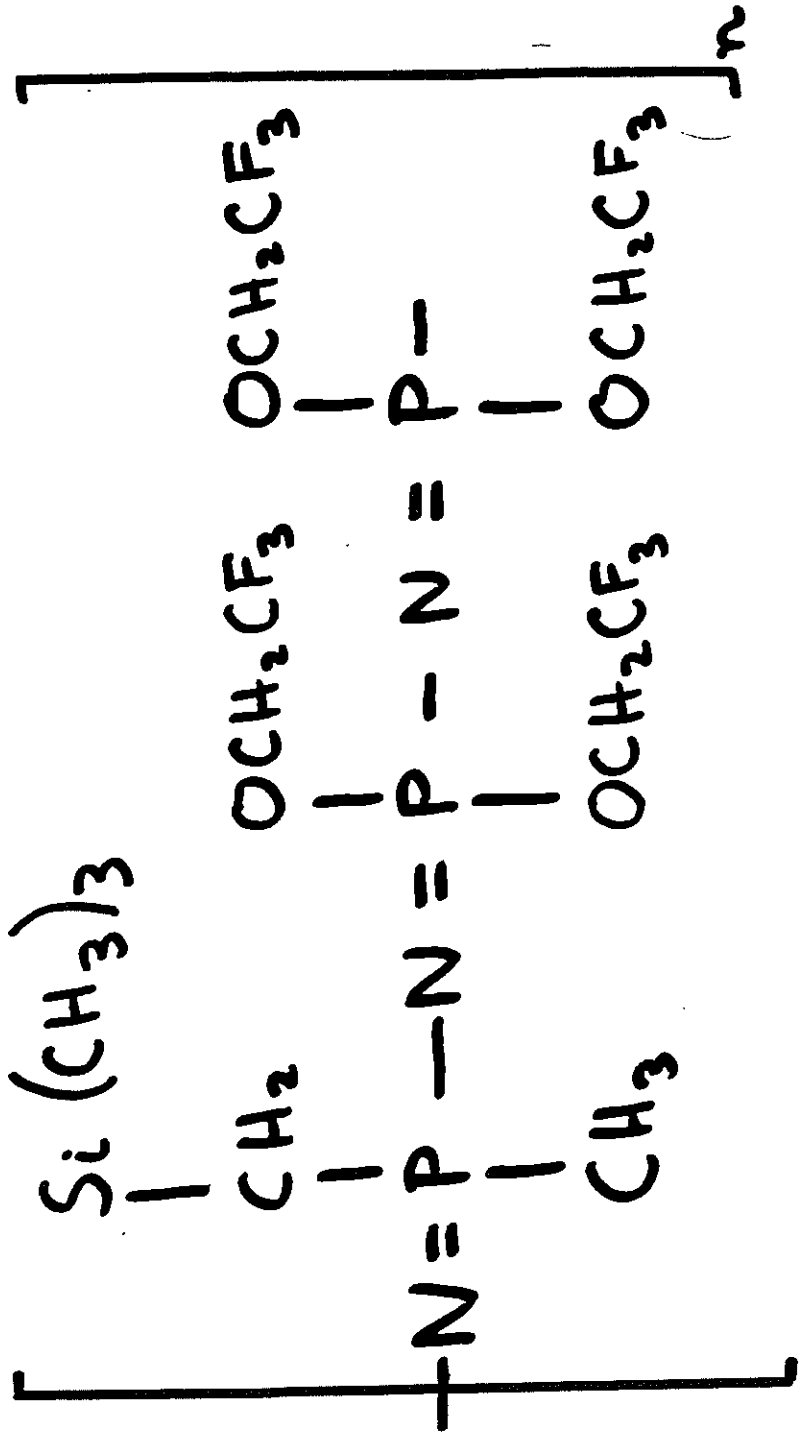
| Side Groups for Elastomeric Properties | Side Groups for Water-Solubility or Hydrogels | Bioactive Side Groups | Side Groups for Bioerosion | Side Groups for Membranes |
|--|---|-----------------------|---|--|
| $-\text{OC}_2\text{H}_5$ | $-\text{NHCH}_3$ | Proteins | $-\text{NHCH}_2\text{COOC}_2\text{H}_5$ | $-\text{NHCH}_3$ / $-\text{OCH}_2\text{CF}_3$ |
| $-\text{OCH}_2\text{CF}_3$ / OCH_2-
$(\text{CF}_2)_x\text{CF}_2\text{H}$ | $-\text{O}(\text{CH}_2\text{CH}_2\text{O})_2\text{CH}_3$
$-\text{OCH}_2\text{CH}(\text{OH})\text{CH}_2\text{OH}$ | Antibacterial agents | $-\text{OCH}_2\text{CH}(\text{OH})\text{CH}_2\text{OH}$ | $-\text{NHCH}_3$ /  |
|  | $-\text{Glucosyl}$
 | Steroids | $-\text{Glucosyl}$
(All generate P-OH) | $-\text{O}(\text{CH}_2\text{CH}_2\text{O})_2\text{CH}_3$
$-\text{OCH}_2\text{CF}_3$ |
| $-\text{O}(\text{CH}_2\text{CH}_2\text{O})_2\text{CH}_3$ |  | Catecholamines | | Plus polymer alloys and interpenetrating networks |

Water-Soluble Polyphosphazenes



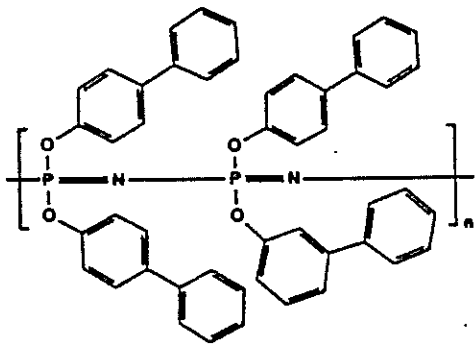
Hydrophobic, Hydrophilic, and Amphiphilic Polymers



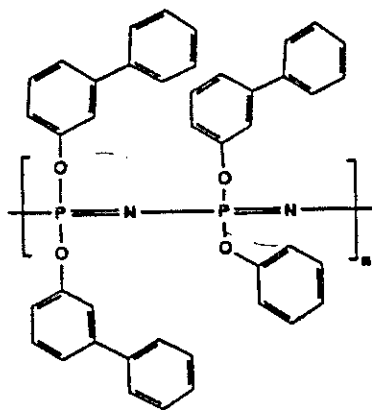


H. R. ALLCOCK

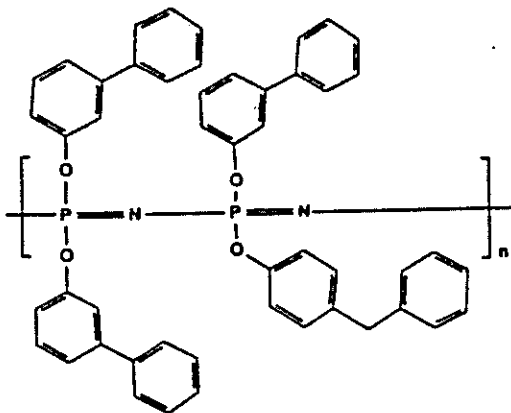
EXAMPLE HIGH POLYMERS, REFRACTIVE INDICES, AND GLASS TRANSITION TEMPERATURES



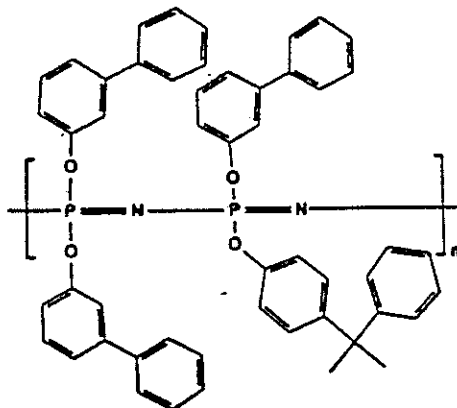
$\mu = 1.686$
 $T_g = 64^\circ\text{C}$



$\mu = 1.644$
 $T_g = 27^\circ\text{C}$



$\mu = 1.648$
 $T_g = 25^\circ\text{C}$



$\mu = 1.640$
 $T_g = 32^\circ\text{C}$

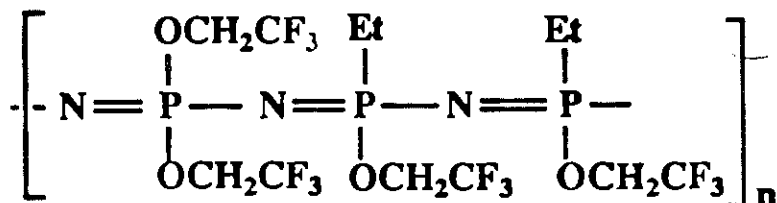
μ values at 632 nm (25°C)

POLY(METHYL METHACRYLATE) $\mu = 1.490$

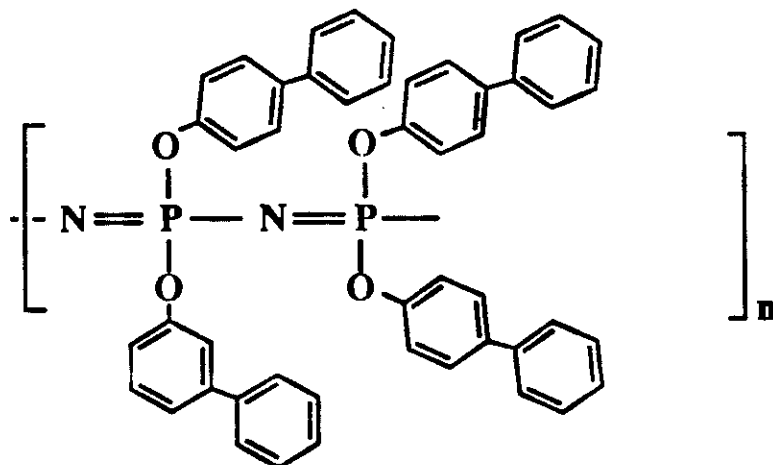
POLYCARBONATE $\mu = 1.585$

H. R. ALLCOCK

VARIATION OF PROPERTIES WITH POLYPHOSPHAZENE
SIDE GROUPS



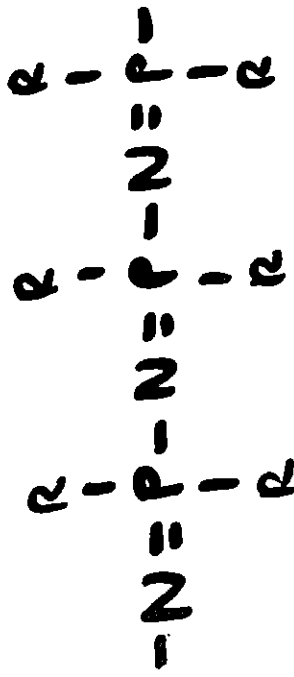
Elastomer. $T_g = -65^\circ\text{C}$



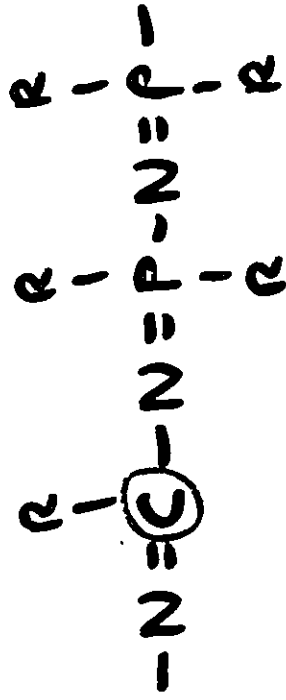
$T_g = +64_0\text{C}$. $n_{623 \text{ nm}} = 1.686$



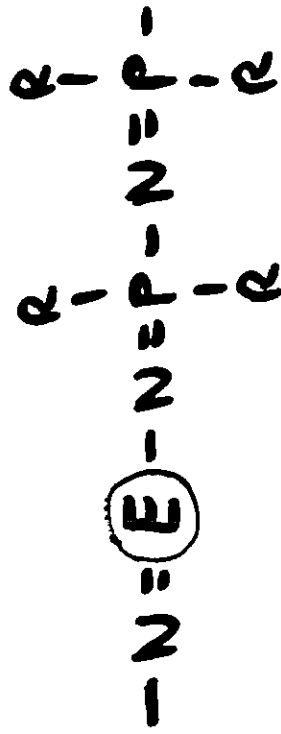
$T_g = 44^\circ\text{C}$. $d_{33} = 34 \text{ pm/V}$



PHOSPHAZENES

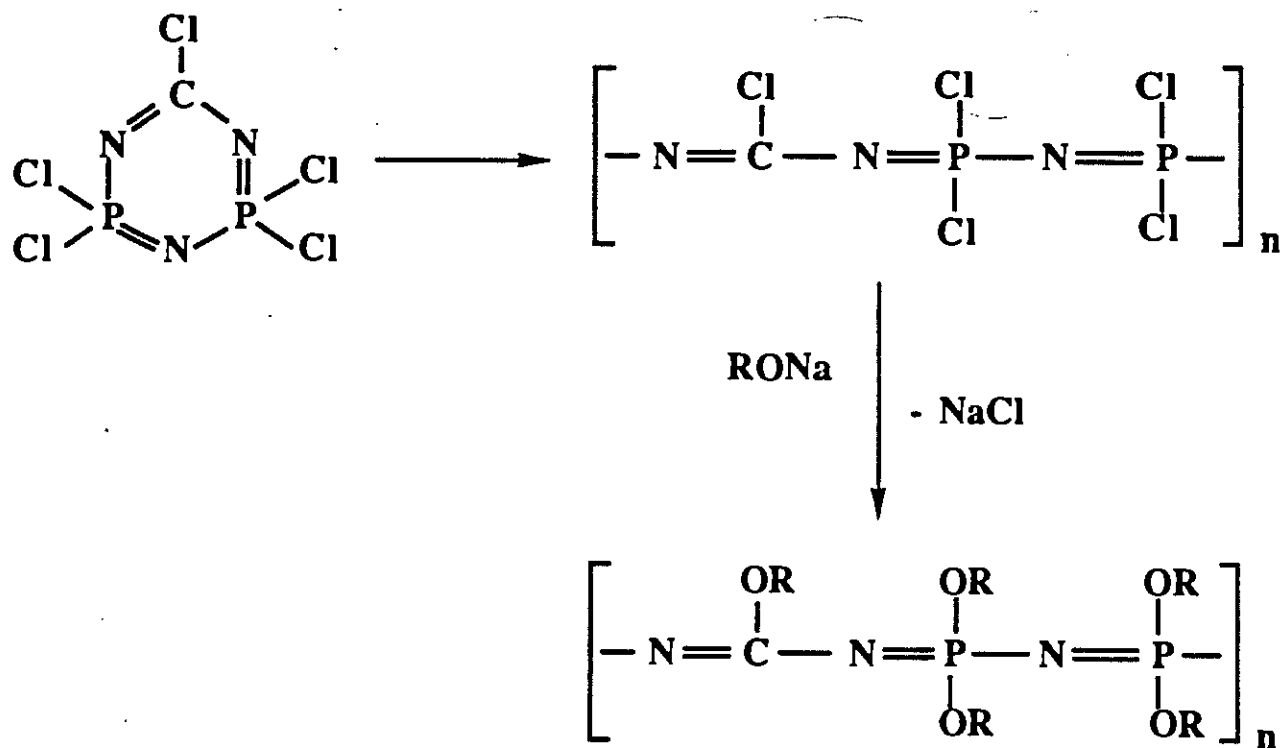


CARBOPHOSPHAZENES



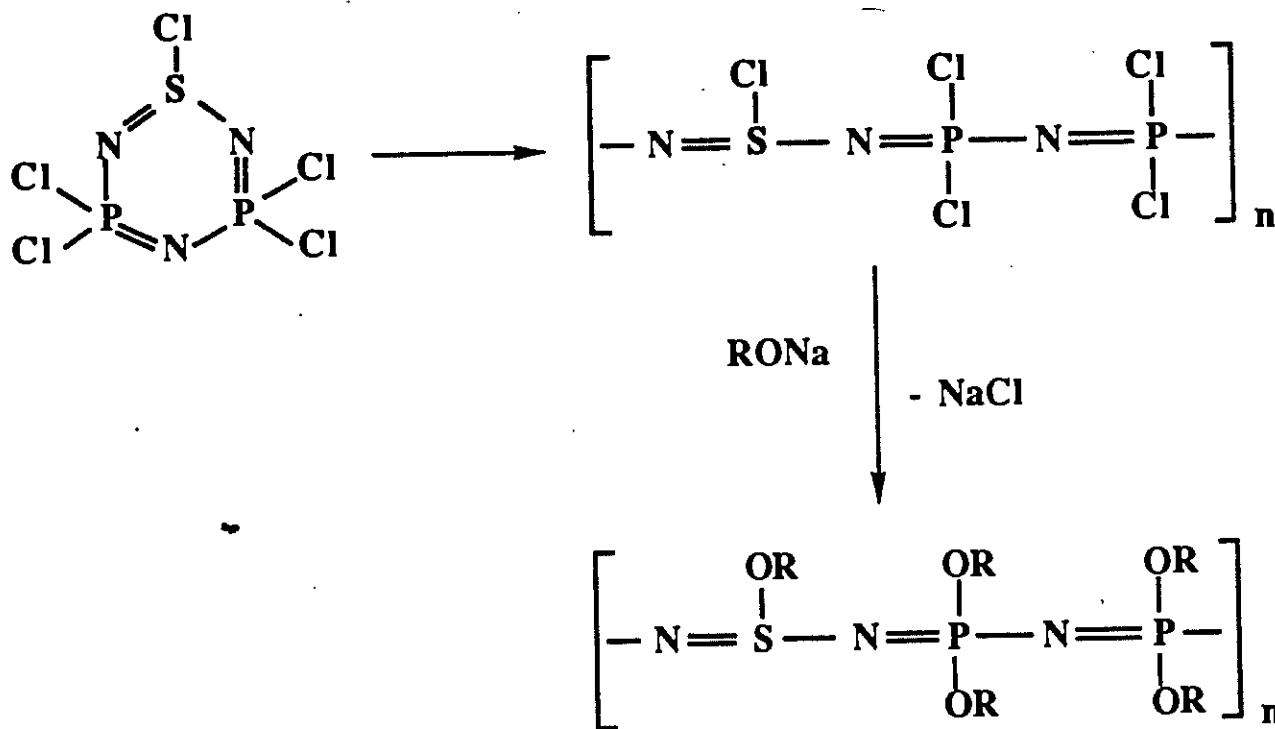
"ELEMENTO" PHOSPHAZENES

POLY(CARBOPHOSHAZENES)



H. R. ALLCOCK

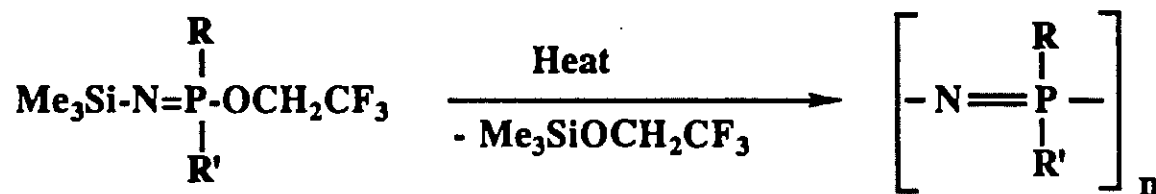
POLY(THIOPHOSPHAZENES)



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Sci. 68

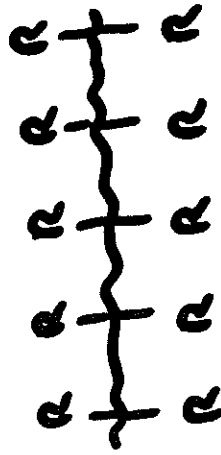
DIRECT SYNTHESIS OF POLY(ORGANOPHOSPHAZENES)



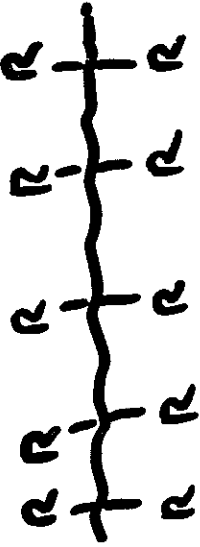
Wisian-Neilson, P.; Neilson, R. H. *J. Am Chem. Soc.* 1980, 102, 2848

MEMBRANES

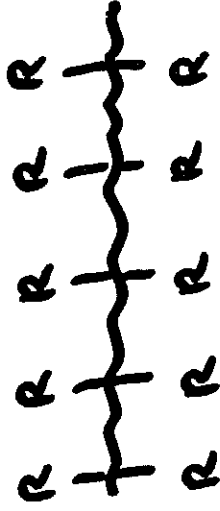
GAS SEPARATIONS, ION TRANSPORT, CONTROLLED
RELEASE OF DRUG MOLECULES, CATALYST-MEMBRANE
REACTORS.



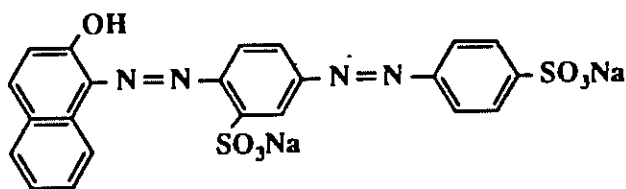
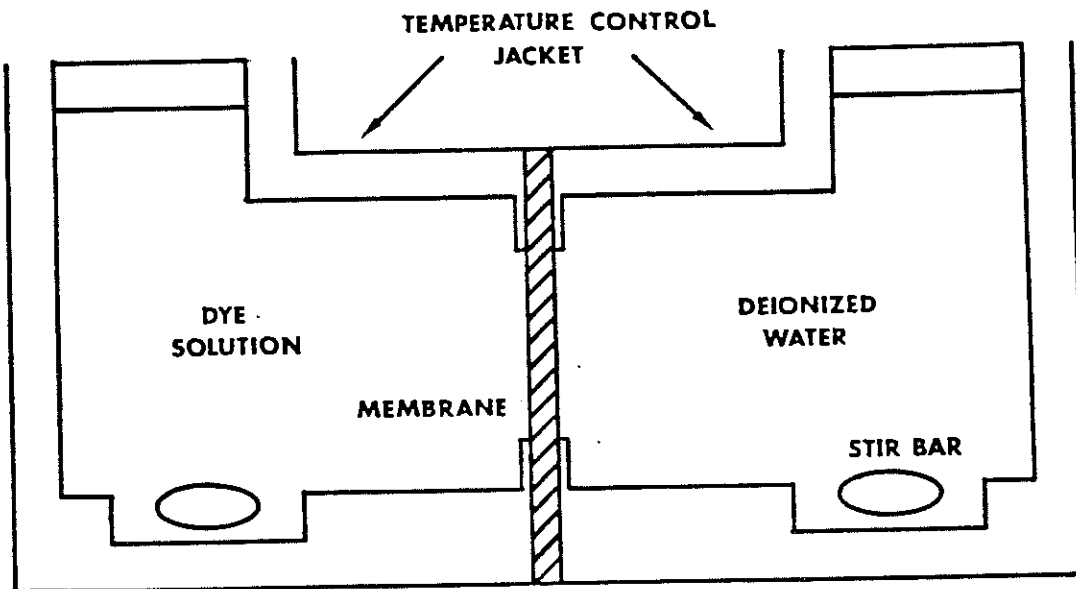
HYDROPHILIC
HOMOPOLYMERS



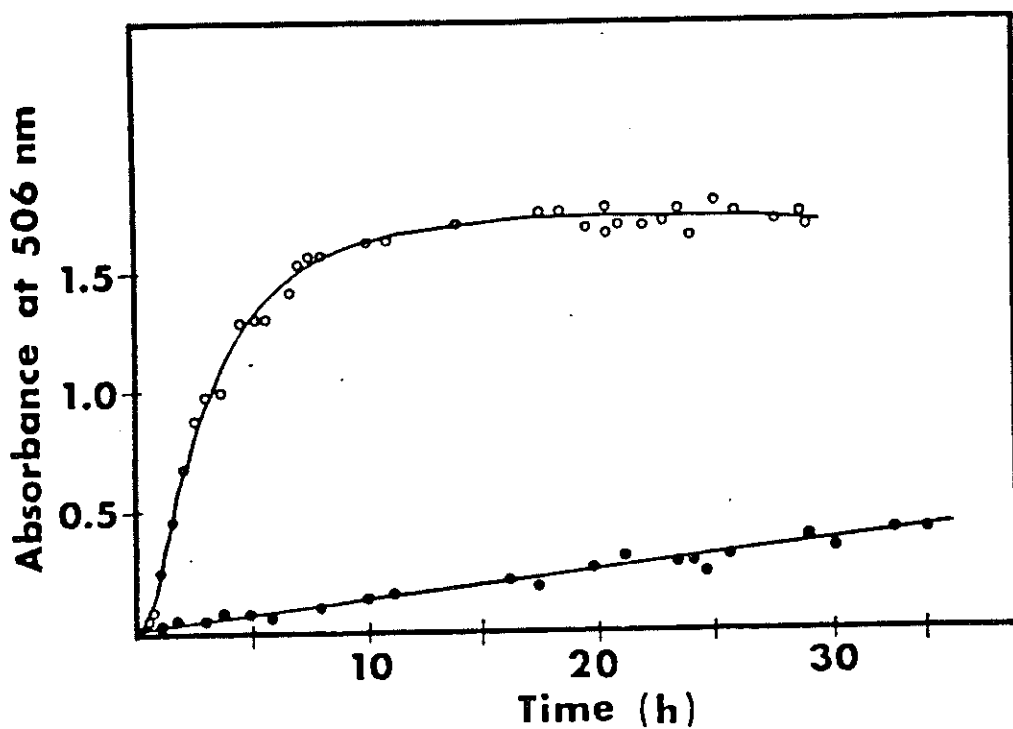
MIXED SUBSTITUENT
POLYMERS

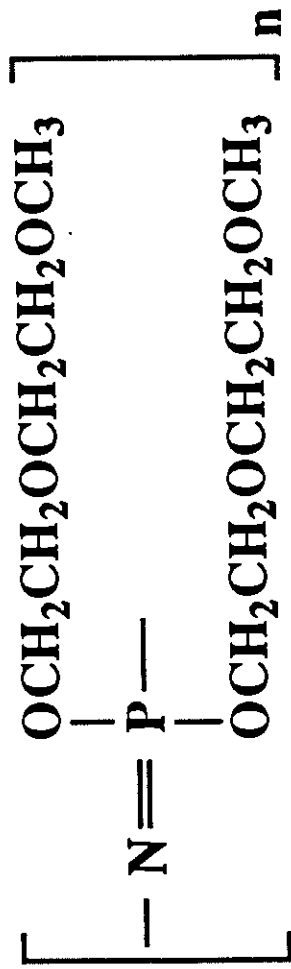


HYDROPHOBIC
HOMOPOLYMERS



Biebrich Scarlet



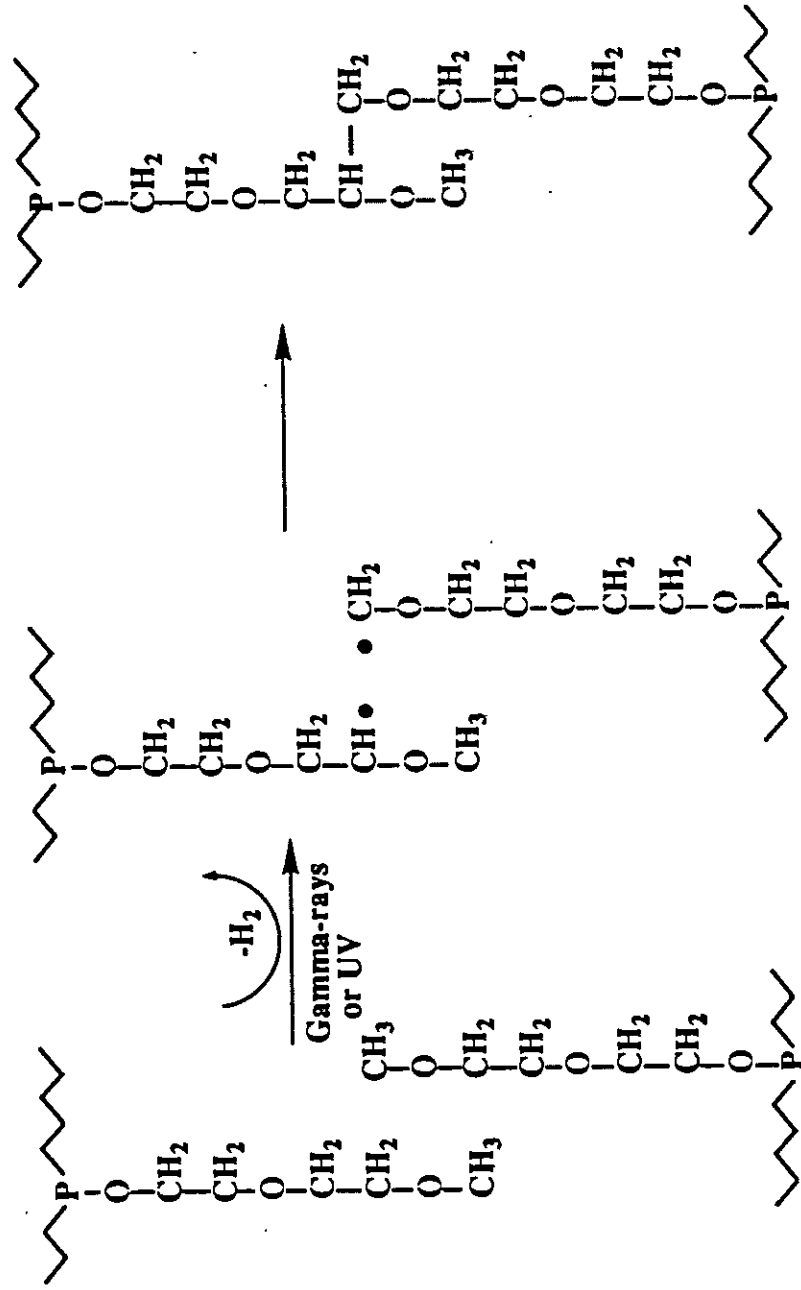


"MEEP"

H. R. ALLCOCK

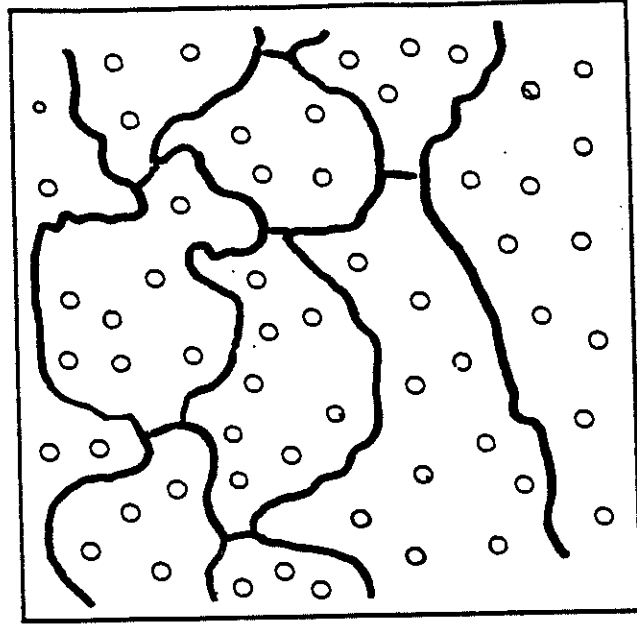
2-65-G

CROSSLINKING OF MEEP

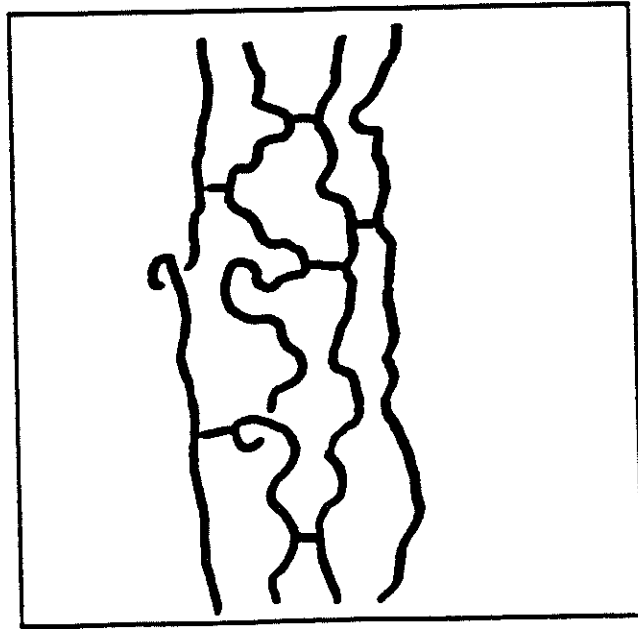


γ -Ray - S. Kwon, G. H. Riding, R. J. Fitzpatrick, J. L. Bennett, A. A. Dembek, K. B. Visscher

UV - C. J. Nelson, W. D. Coggio



H₂O



Crosslinking of water-soluble polymers generates hydrogels

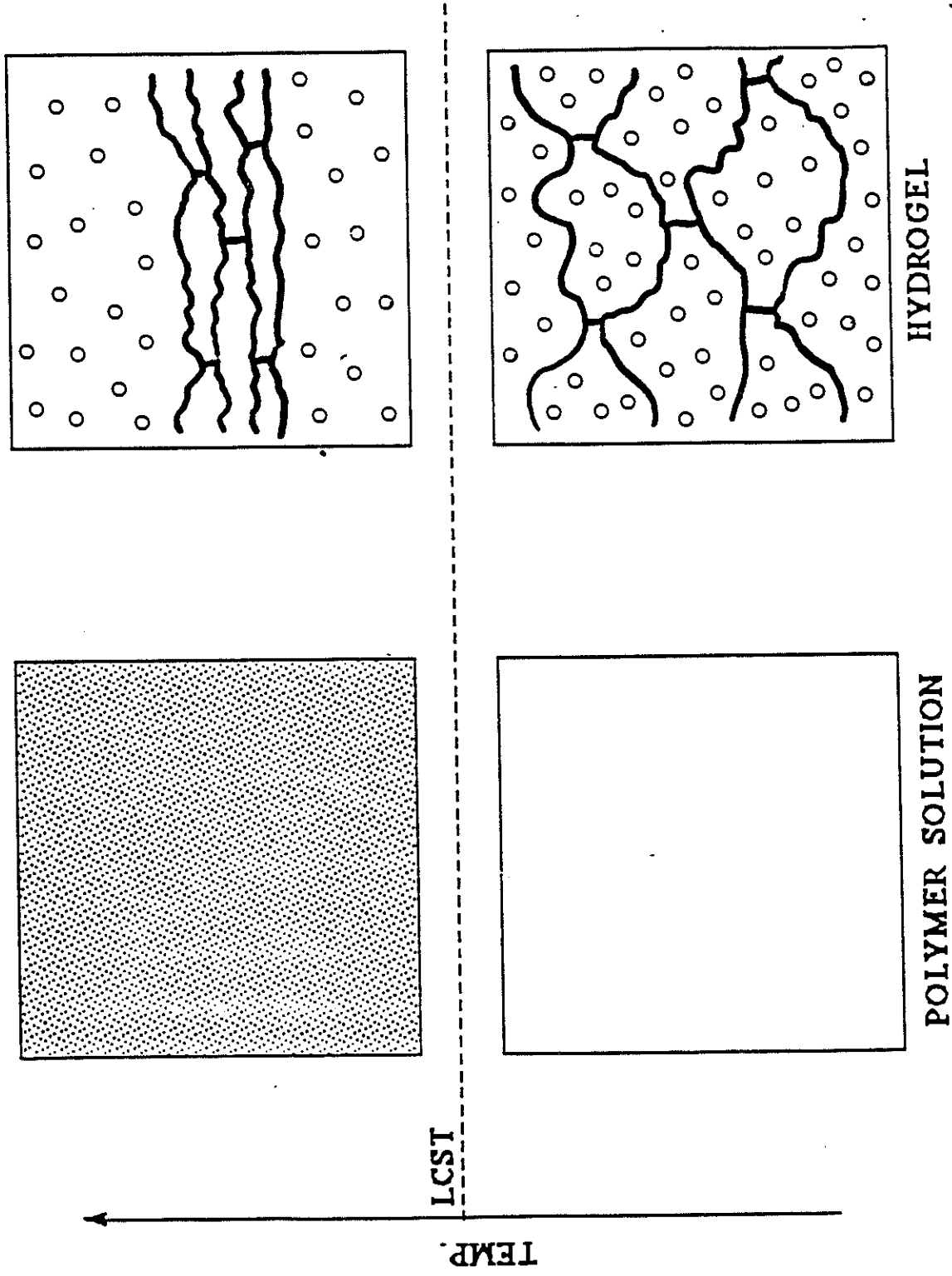
H. R. ALLCOCK

LCST FOR MEEP AND RELATED POLYMERS

| | WATER
SOLUBILITY
25°C | LCST (°C) |
|--|-----------------------------|-----------|
| $\left[\begin{array}{c} \text{OCH}_2\text{CH}_2\text{OCH}_3 \\ \\ \text{--- N} = \text{P} \text{---} \\ \\ \text{OCH}_2\text{CH}_2\text{OCH}_3 \end{array} \right]_n$ | Sol. | 30 |
| $\left[\begin{array}{c} \text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3 \\ \\ \text{--- N} = \text{P} \text{---} \\ \\ \text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OCH}_3 \end{array} \right]_n$ | Sol. | 80 |
| $\left[\begin{array}{c} \text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OC}_2\text{H}_5 \\ \\ \text{--- N} = \text{P} \text{---} \\ \\ \text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OC}_2\text{H}_5 \end{array} \right]_n$ | Sol. | 38 |
| $\left[\begin{array}{c} \text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OC}_4\text{H}_9 \\ \\ \text{--- N} = \text{P} \text{---} \\ \\ \text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{OC}_4\text{H}_9 \end{array} \right]_n$ | Sol. | 51 |
| $\left[\begin{array}{c} \text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{NH}_2 \\ \\ \text{--- N} = \text{P} \text{---} \\ \\ \text{OCH}_2\text{CH}_2\text{OCH}_2\text{CH}_2\text{NH}_2 \end{array} \right]_n$ | Sol. | None |

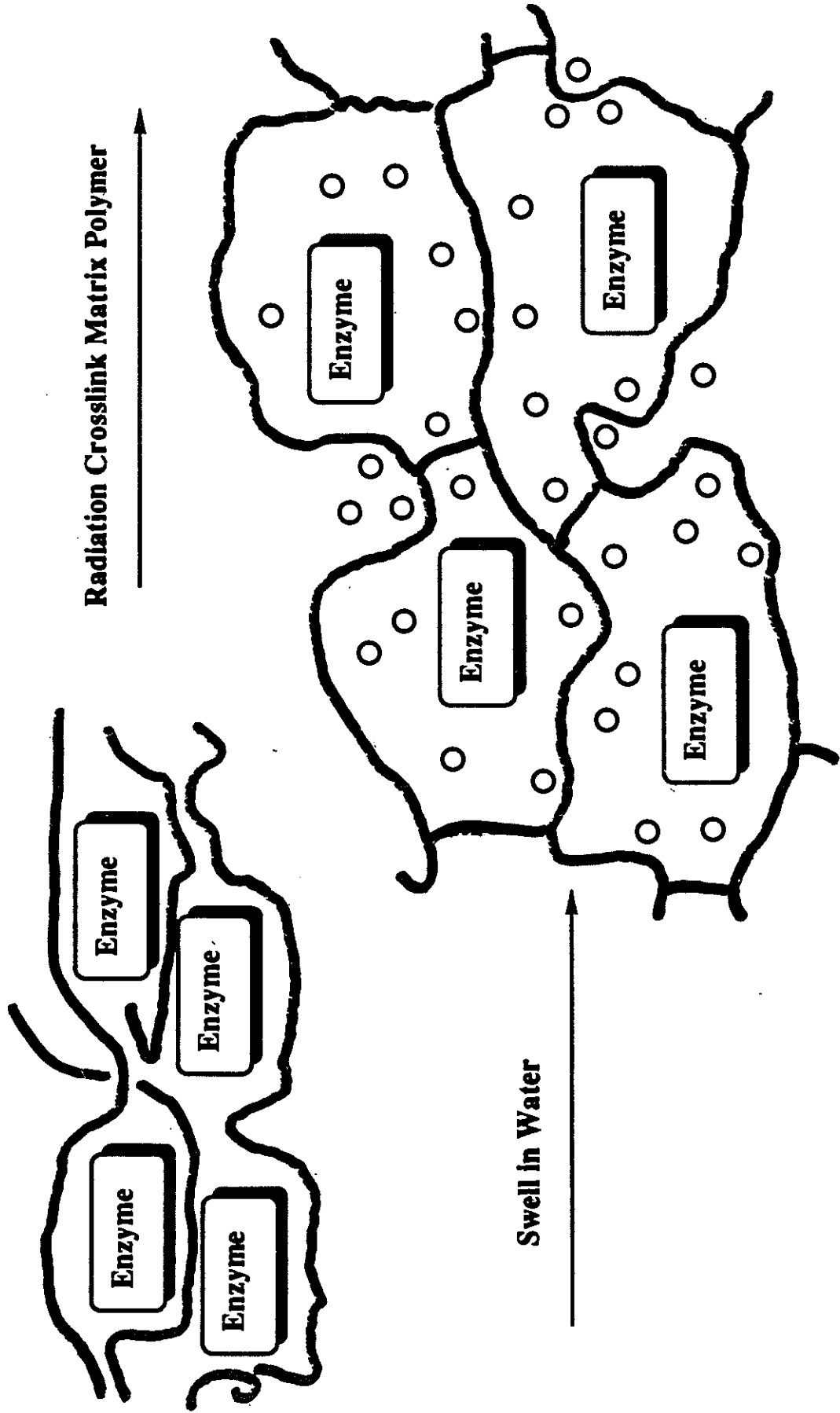
**HYDROGELS EXTRUDE WATER AT SAME TEMPERATURES
AS LCST**

S. R. Pucher, M. L. Turner, R. J. Fitzpatrick

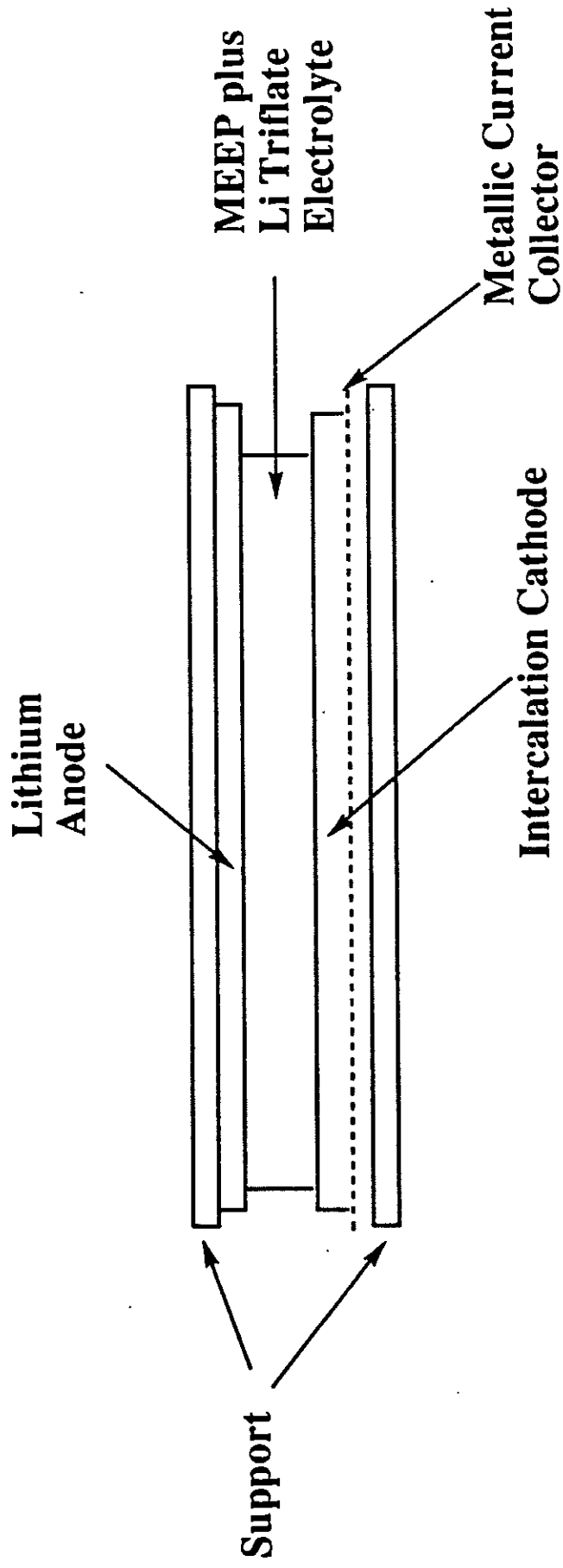


Lower critical solution temperature (LCST) for a polymer solution and hydrogel.

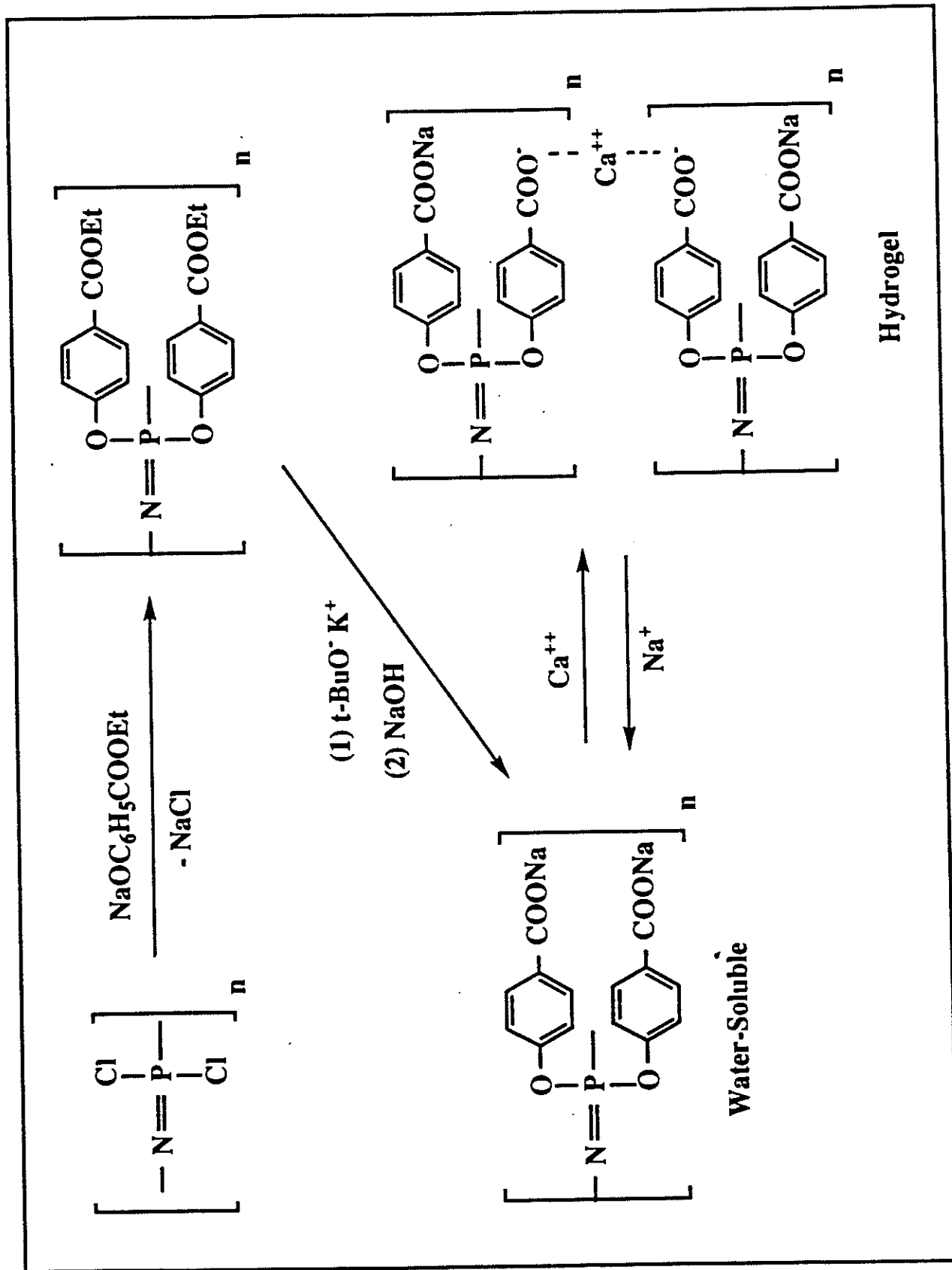
**GEL ENTRAPMENT OF ENZYMES
(UREASE)**



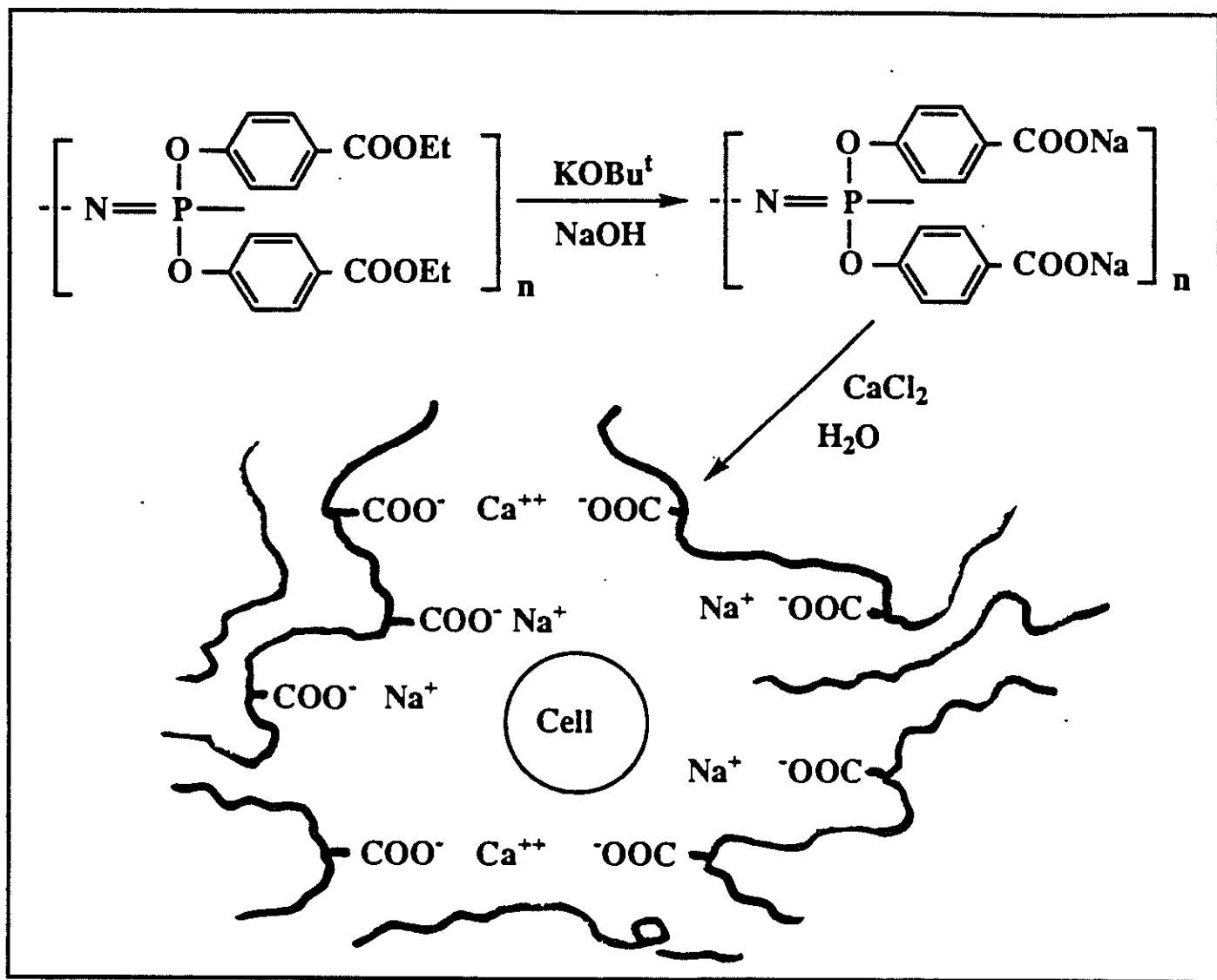
MEEP-Based Rechargeable Lithium Battery



H. R. ALLCOCK



H. R. ALLCOCK



H. R. ALLCOCK

BIO-22

INTERPENETRATING POLYMER NETWORKS

FOR

CONTROL OF INTERNAL AND SURFACE PROPERTIES

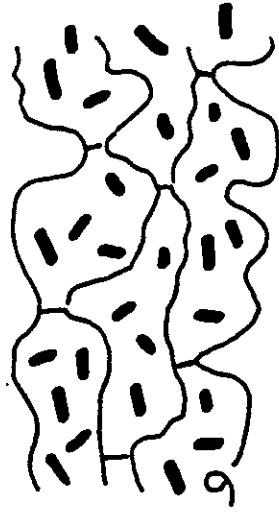
CROSSLINKED

POLYMER A



NETWORK OF POLYMER A

SWELLED BY POLYMER B



POLYMER B POLYMERIZED

AND / OR CROSSLINKED IN

NETWORK OF POLYMER A



POLYMER A = POLY[BIS(METHOXYETHOXYETHOXY)PHOSPHAZENE] (MEEP)

POLYMER B = METHYL METHACRYLATE, POLYSTYRENE, ETC.

K. B. Visscher, I. Manners, H. R. Allcock. *Macromolecules*, 1990, 23, 4885

PURPOSE OF SURFACE REACTIONS

1. **TO COMBINE THE BEST "INTERNAL" POLYMER PROPERTIES, SUCH AS**
 - (a) **Elasticity, flexibility, and strength**
 - (b) **Electrical resistance**
 - (c) **Low lipid absorption**

etc.

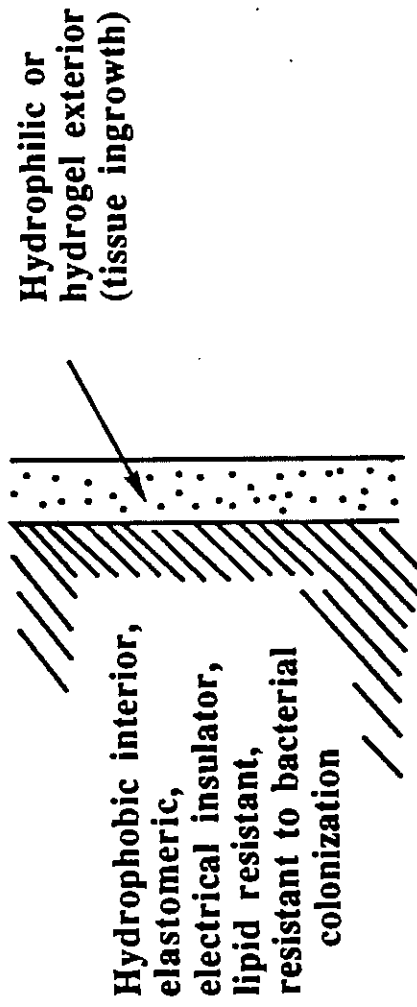
WITH THE BEST SURFACE PROPERTIES, SUCH AS

 - (a) **Biocompatibility**
 - (b) **Tailored hydrophilic/hydrophobic**
 - (c) **Resistance to bacterial colonization**
 - (d) **Hydrogel character to encourage cell overgrowth**

etc.
2. **TO DEVELOP WAYS TO TAILOR THE SURFACE CHARACTER WITHIN FINELY-TUNED LIMITS**
3. **TO BIND BIOACTIVE AGENTS TO THE SURFACE OR GENERATE BIOCOMPATIBLE SURFACE SITES**
4. **TO PROVIDE A "FOOTHOLD" FOR MAMMALIAN CELL OVERGROWTH**
5. **TO PREPARE SURFACES THAT RESIST BACTERIAL OR FUNGAL COLONIZATION**

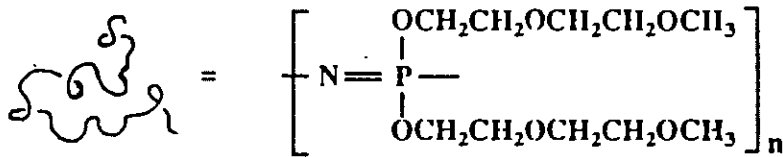
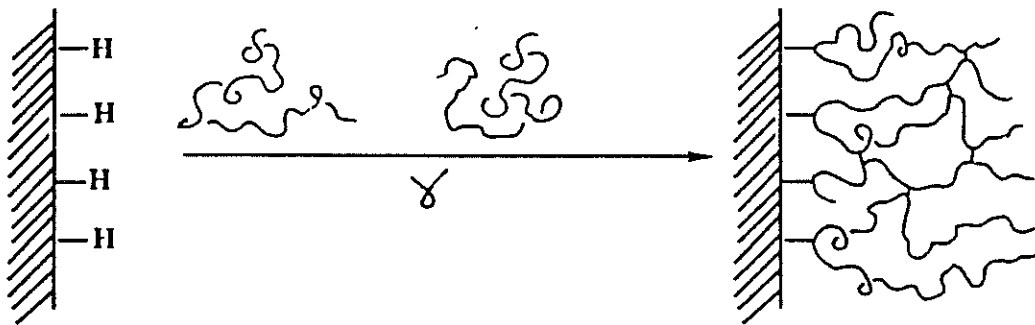
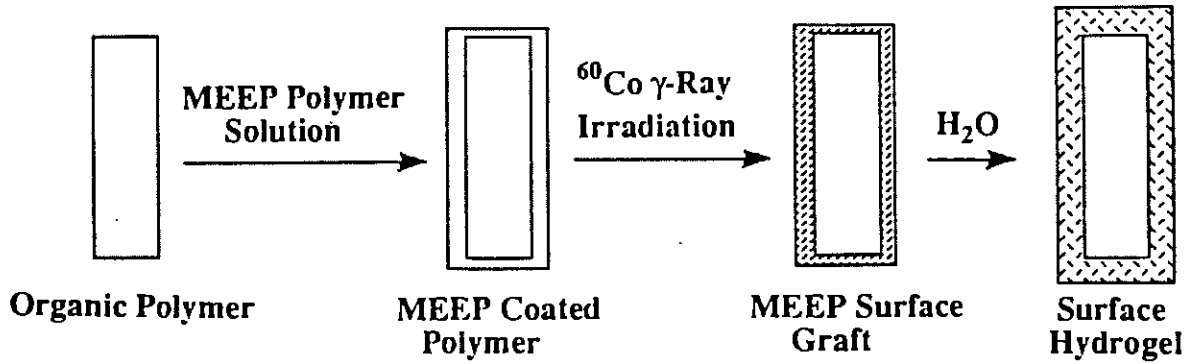
H. R. ALLCOCK

A TARGET BIOMEDICAL MATERIAL



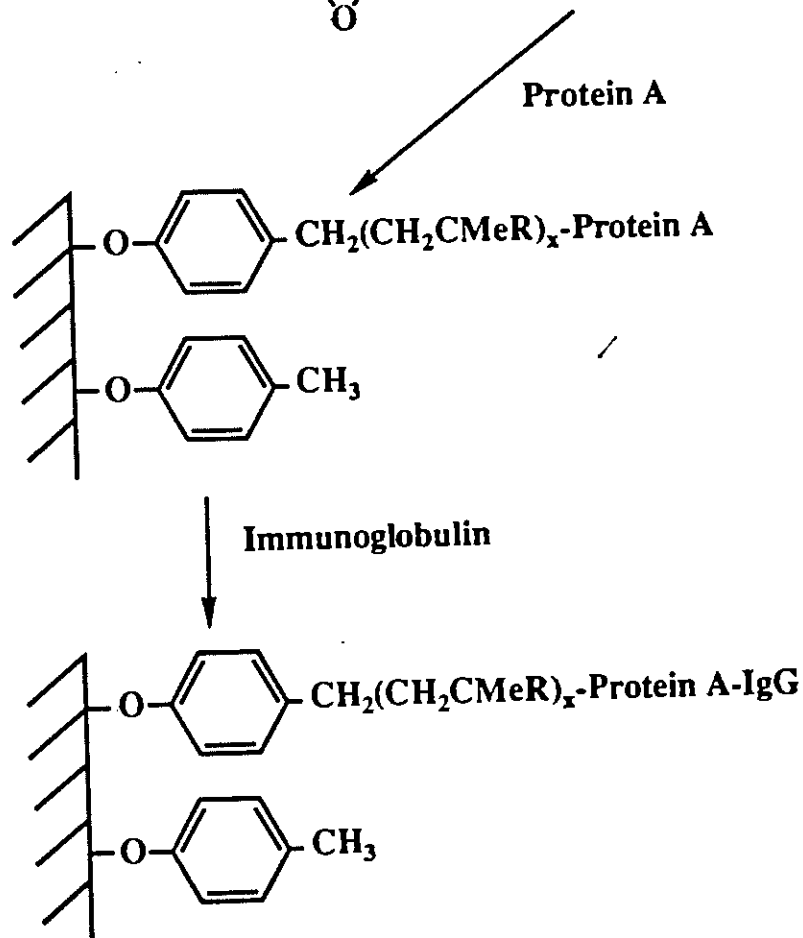
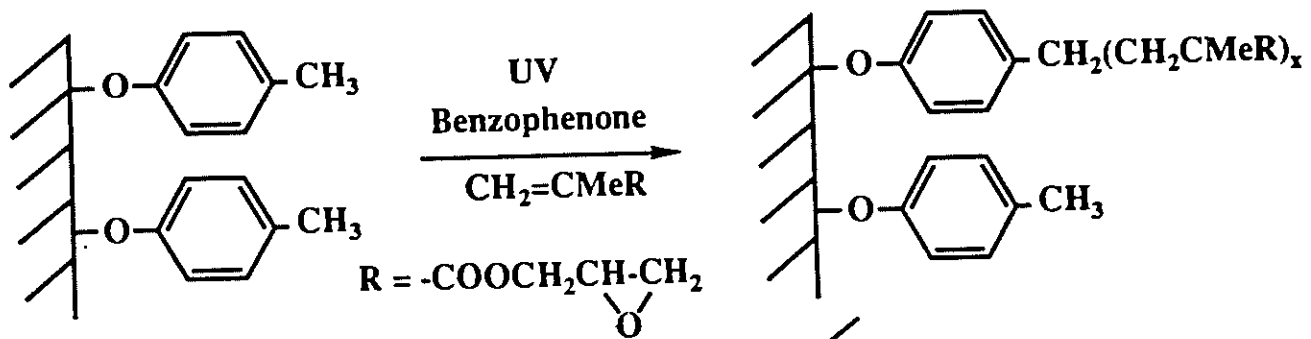
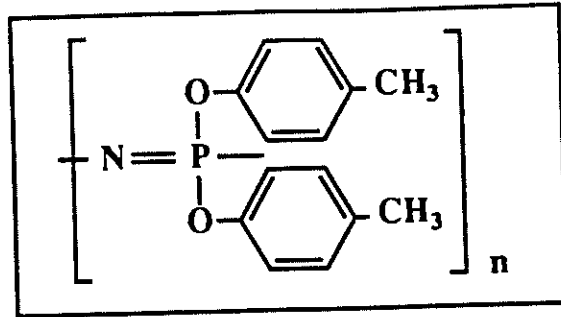
H. R. ALLCOCK

SURFACE GRAFTED MEEP HYDROGELS ON ORGANIC POLYMERS



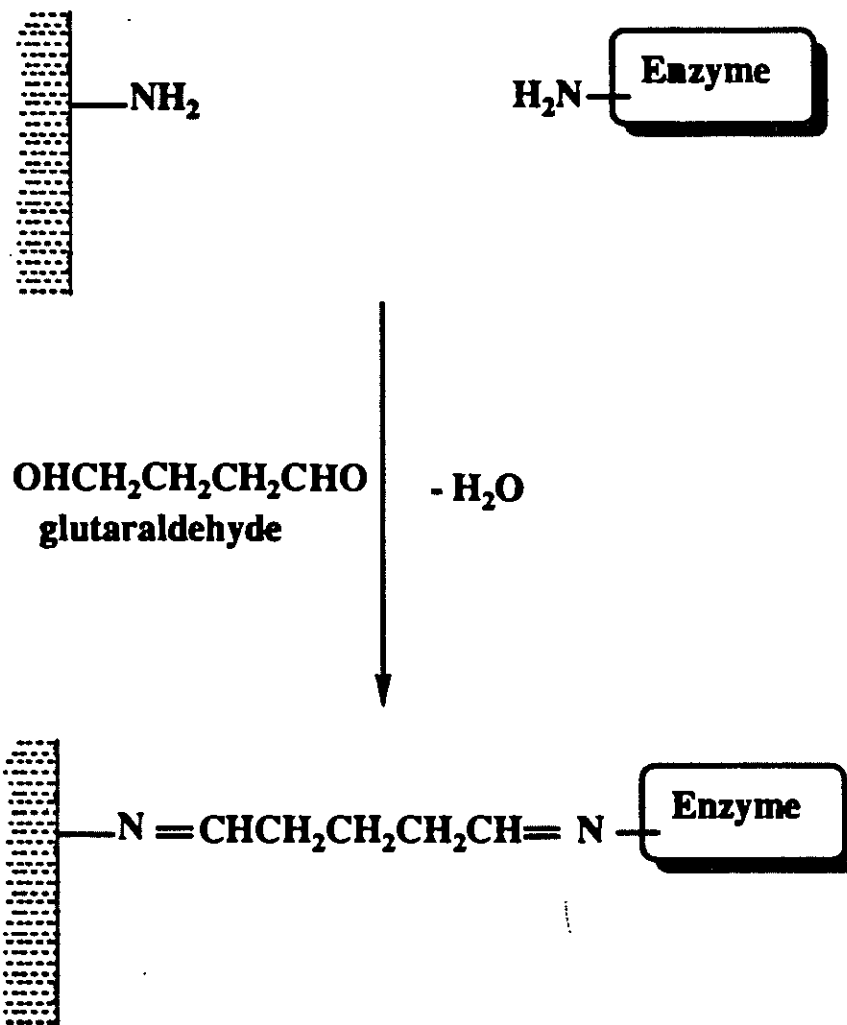
**SUBSTRATE POLYMER
(CONTACT ANGLE)**

| | | | |
|---------------|-----|---|-----|
| POLYPROPYLENE | 94° | → | 27° |
| PVC | 78° | | 34° |
| POLYCARBONATE | 65° | | 43° |
| PMMA | 65° | | 35° |
| MYLAR | 63° | | 31° |



C. J. Nelson, W. D. Coggio, J. P. O'Brien, L. F. Palosi

**SURFACE IMMOBILIZATION OF TRYPSIN
AND 6-GLUCOSE PHOSPHATE DEHYDROGENASE**



H. R. ALLCOCK

SURFACE CHARACTERIZATION

BY

**T.I.R. FOURIER TRANSFORM INFRARED
SPECTROSCOPY (1 mm depth)**

X-RAY PHOTOELECTRON SPECTROSCOPY (50 A depth)

SCANNING ELECTRON MICROSCOPY

TRANSMISSION ELECTRON MICROSCOPY

OPTICAL MICROSCOPY (with staining)

CONTACT ANGLES

ADHESION TO OTHER POLYMERS AND METALS

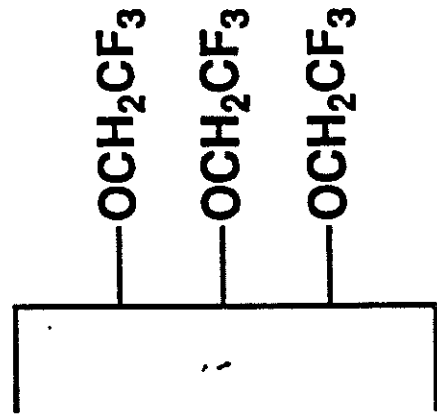
CHEMICAL REACTIONS AT FUNCTIONALIZED SITES

**SOLUTION NMR STUDIES OF SURFACE MODIFIED
THIN FILMS**

**MODEL REACTIONS IN SOLUTION FOR NMR AND IR
COMPARISONS**

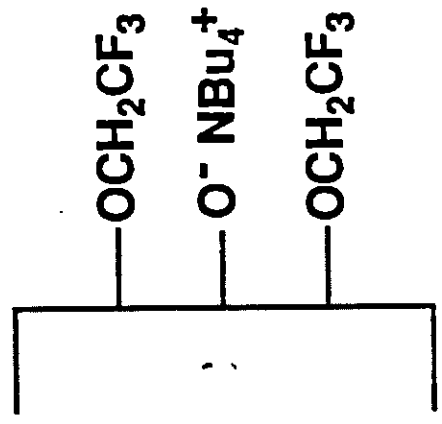
**BACTERIAL INHIBITION AND COLONIZATION
STUDIES**

H. R. ALLCOCK



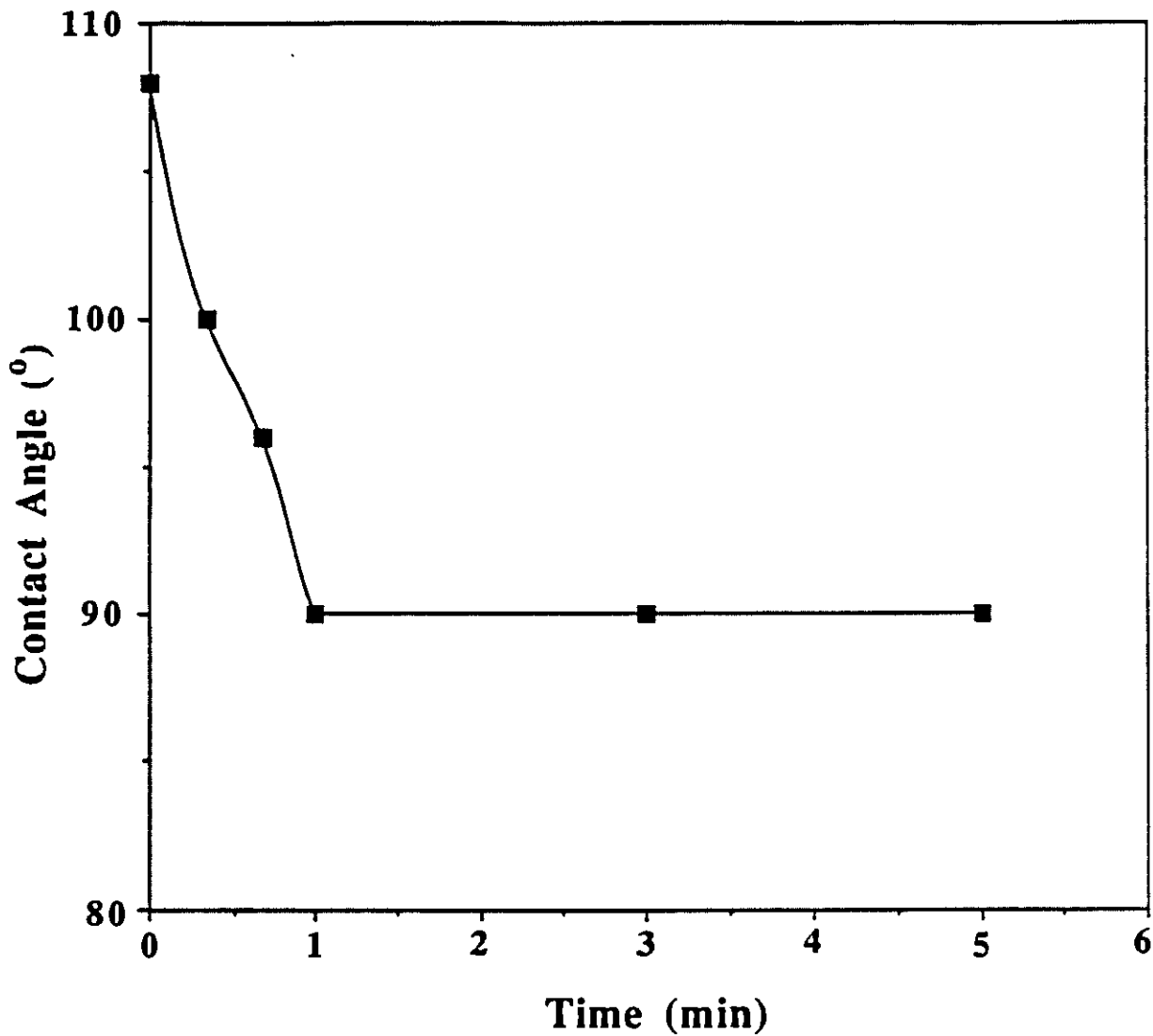
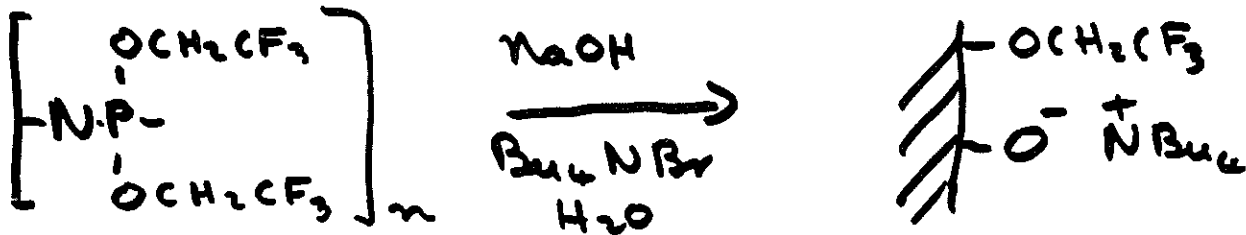
CONTACT ANGLE = 108°
NON-ADHESIVE

NaOH
Bu₄NBr
80°C →

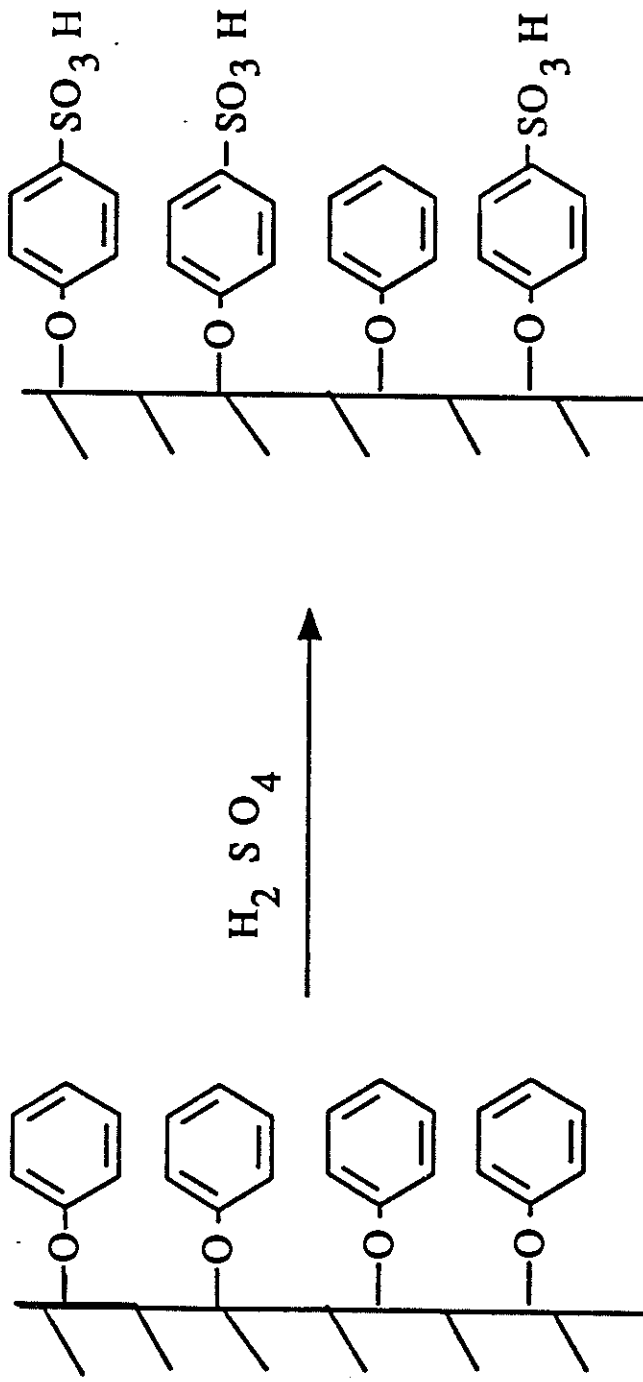


CONTACT ANGLE = 90° OR LOWER
HIGHLY ADHESIVE TO METALS, CERAMICS,
AND SKIN

H. R. ALLCOCK



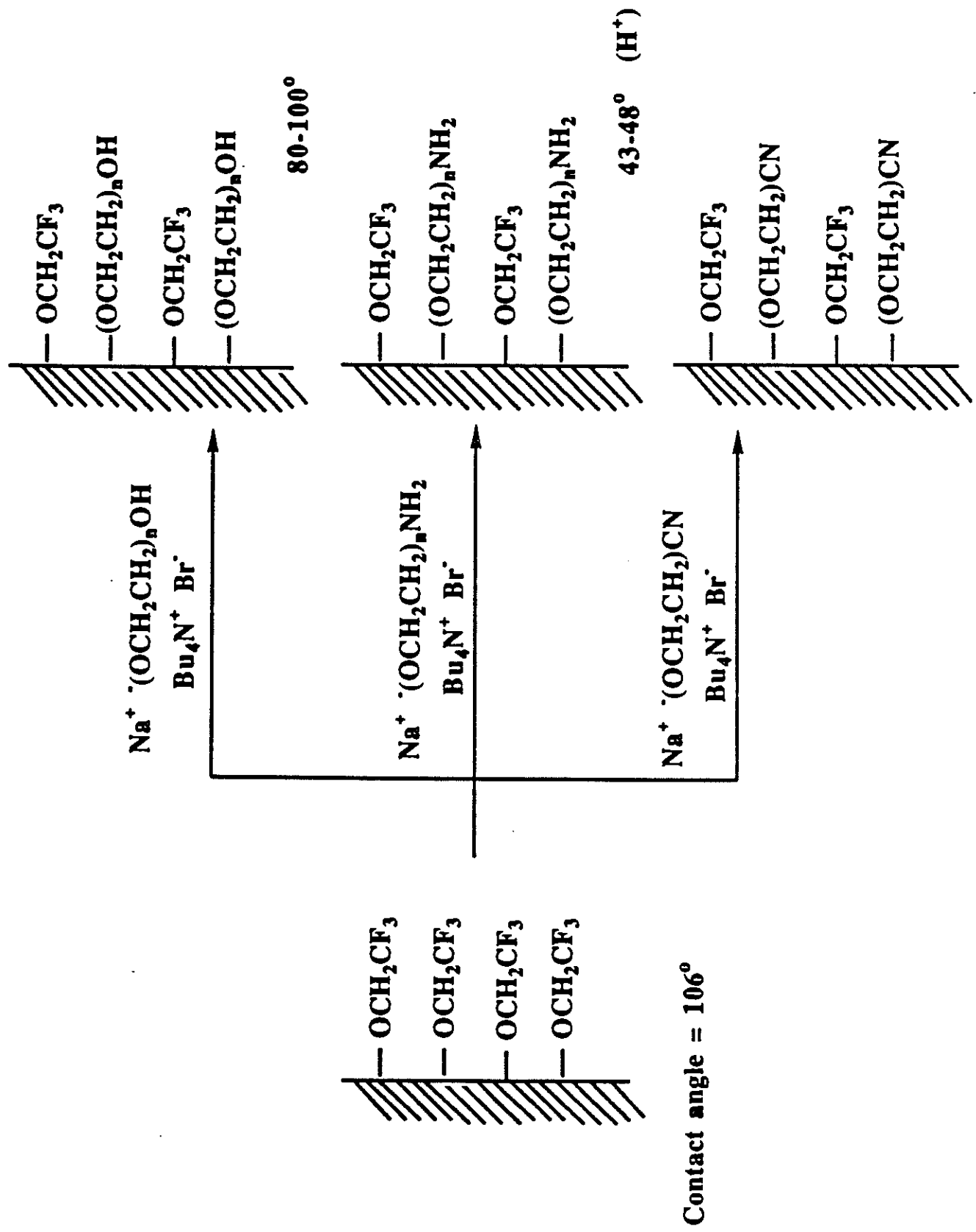
H. R. ALLCOCK

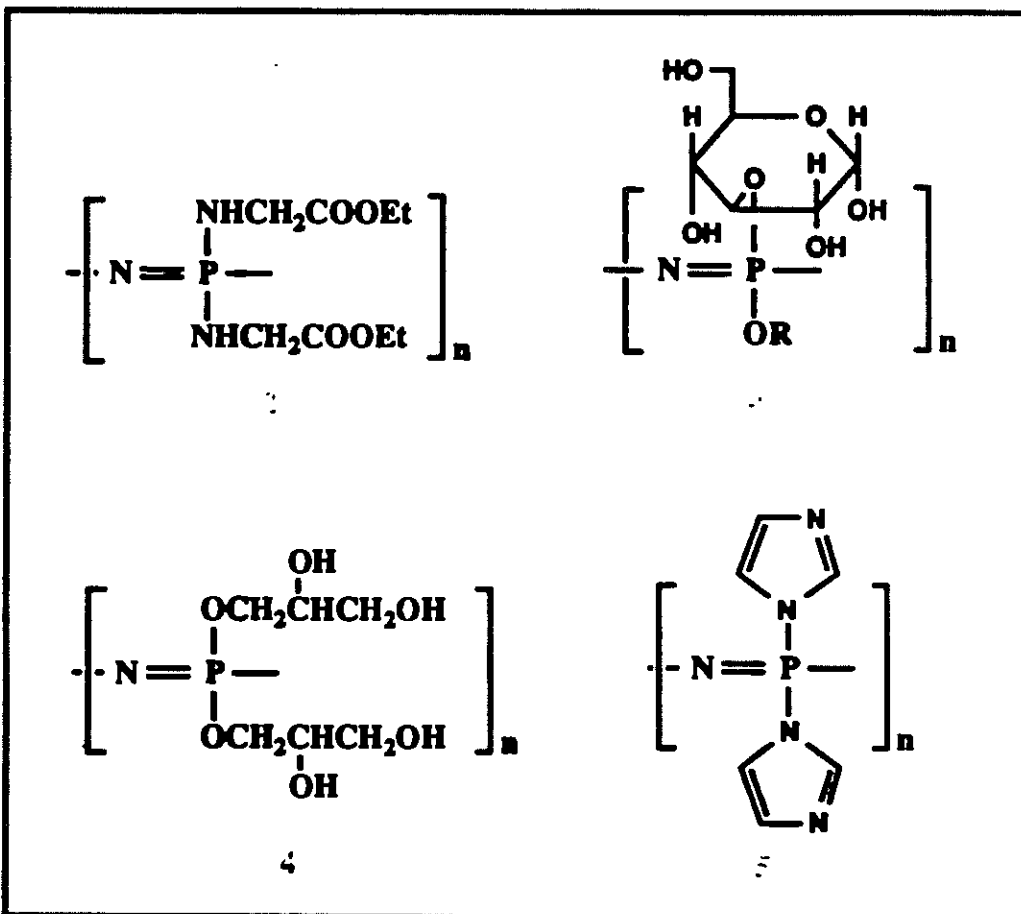


CONTACT ANGLE = > 103°

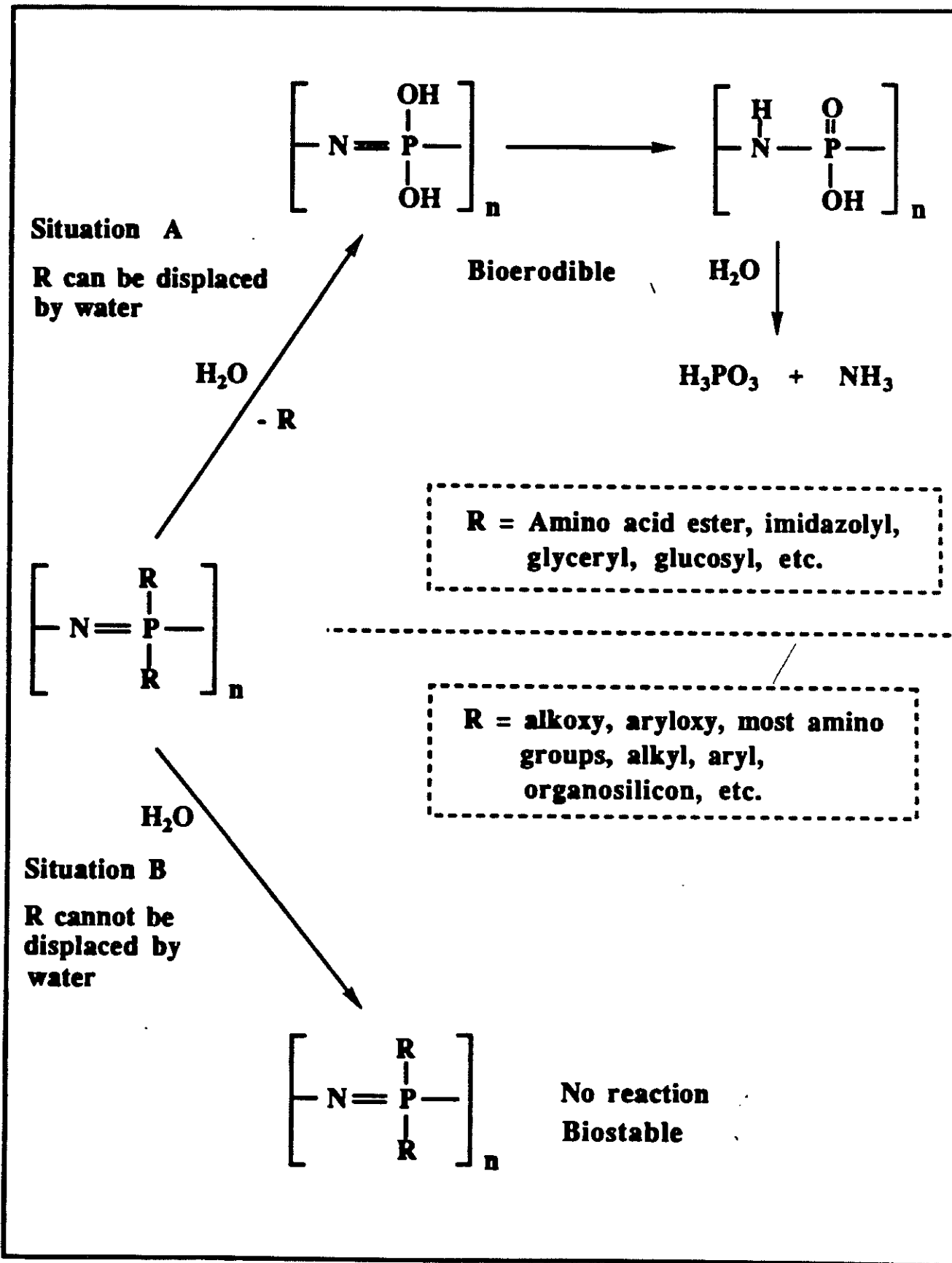
CONTACT ANGLE = 45-10°

H. R. ALLCOCK





H. R. ALLCOCK



ADVANTAGES OF POLYPHOSPHAZENES OVER

OTHER POLYMER SYSTEMS

Very high molecular weights (1 to 4 million)

Versatile synthetic method that allows hundreds of different side groups and combinations of side groups to be linked to the chain

Bioinertness with some side groups

Bioerosion with other side groups

Hydrogels with yet others

Ease of surface modification to change biological response

Combinations of properties not accessible in petrochemical polymers, biological polymers, or silicones.

Preceramic Polymers

**With Special Focus on SiC Precursors -
Their Synthesis, Pyrolysis and Application**

Advantages of Ceramic Materials:

- Low density (40% of super alloys).
- High strength and stiffness to weight ratio at high temperatures.
- Good thermal stability.
- Good thermal stress resistance (low CTE).
- Excellent resistance to oxidation, corrosion, and erosion.
- Near net shape manufacturing.

Leonard V. Interrante

**Chemistry Department
Rensselaer Polytechnic Institute
Troy, NY**

**Chris Whitmarsh
Walt Sherwood**

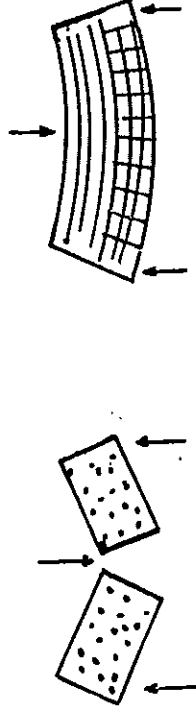
**Starfire Systems, Inc.
Glenville, NY**

Solution One:

Ceramic Matrix Composites

Disadvantages of Ceramic Materials:

- Brittle, low strain to failure ratio.
Catastrophic
- High temperature preparation and processing.
- Difficult to control composition and purity,
- Difficult and/or expensive to fabricate required shapes.



Dispersion of the reinforcing phase in the ceramic matrix provides a toughening mechanism,

Reinforcing phases—carbon, glass, or ceramic fiber; ceramic rods, whiskers, or platelets.

Solution Two:

Polymeric Precursors to Ceramic Materials

- o Improved product purity and compositional homogeneity
- o Greater control over ceramic microstructure
- o Lower temperature preparation
- o Solubility and/or thermoplasticity allowing the preparation of:
 - continuous ceramic fibers
 - ceramic or preceramic coatings
 - binders/sintering aids for ceramics
 - ceramic matrices for composites as well as the
 - joining/bonding of ceramics/metals

Design Criteria for Organometallic Precursors

- Single molecule with an elemental composition and stoichiometry near that of the desired ceramic phase.
- Conversion to ceramic should occur cleanly, with only the loss of the organic component, at low temperatures.
- Change in volume/shape on conversion should be as small as possible, (high ceramic yield).

Table II. Important Actual and Potential Ceramics Produced by Polymer Pyrolysis

| Product | Approx. theor. density (g/cm ³) |
|---------------------------------------|---|
| Products demonstrated | |
| SiC | 3.2 |
| Si ₃ N ₄ | 3.2 |
| BN | 2.2 |
| B ₄ C | 2.5 |
| Desired products that may be possible | |
| AlB ¹² | 2.6 |
| CaB ₆ | 2.5 |
| TiB ₂ | 4.4 |
| SiB ₆ | 2.4 |
| TiC | 4.9 |
| AlN | 3.3 |
| TiN | 5.2 |
| B ₆ P | 3.0 |
| B | 2.6 |
| TiSi | 4.2 |
| TiSi ₂ | 4.0 |

Table III. Forms and Applications of Ceramics from Polymer Pyrolysis

| |
|--|
| Fibers |
| Reinforcement |
| Filters, insulation |
| Coating, sealing
(e.g., for oxidation resistance) |
| Joining |
| Foams
(e.g., for filtering or heaters) |
| Bulk bodies |
| From powders via pyrolysis |
| Using product-producing polymers as binder |
| Directly via pyrolysis |
| Composites |

R.W. Rice, Ceramic Bulletin 62, 889 (1983)

Key Developments in the Preparation of Silicon Carbide via Polymer Pyrolysis

o [(Me₂Si)_n → "[MeSi(H)CH₂]_n" ("Polycarbosilane")
Yajima - 1975

melt-spinnable solid PCS intermediate -->
air-cured Si-O-C fibers (Nicalon™)

o [(MeSi≡)_x(Me₂Si=)_y(Me)_z] (MPS)
Boney (Dow Corning) - 1982

o [((C₆H₆)MeSi)_m((Me₂Si)_n] (Polysilastyrene)
West - 1983

o [(Me₂Si)_x(Me(H)Si)_y(Me(CH₂=CH)Si)_z] (WPS)
Schilling and Wesson - 1984

ref.: Wynne and Rice, Ann Rev. Mater. Sci., 1984, 14, 297

Recent Developments

1987 - Seyferth - polymethylsilane $[(CH_3)(H)Si]_n$ -
via dehalocoupling with K - gives a Si-rich SiC

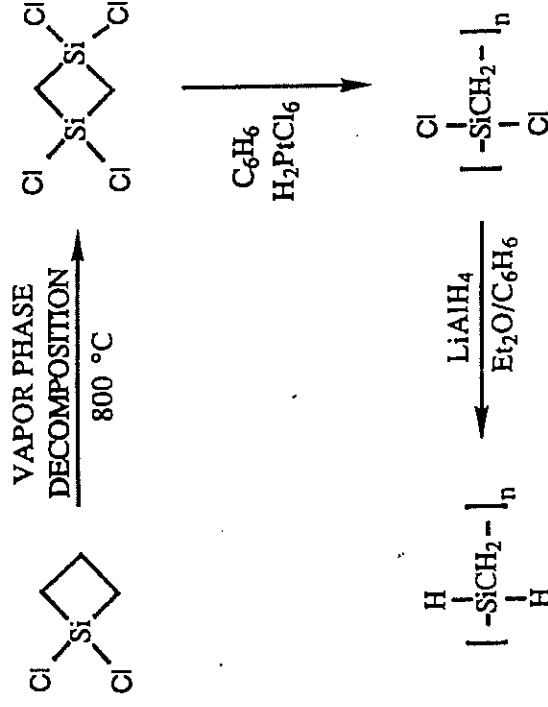
1990 - Corriu - polycarbosilanes $[Si(H)_2CH_2CH_2]_n$ -
from $SiH(CH=CH_2)Cl_2$ - gives C-rich SiC

1990 - Barton - polysilylacetylenes $[R_2SiC=Cl]_n$ -
from $R_2SiCl_2 + LiC\equiv Cl$ - gives C-rich SiC

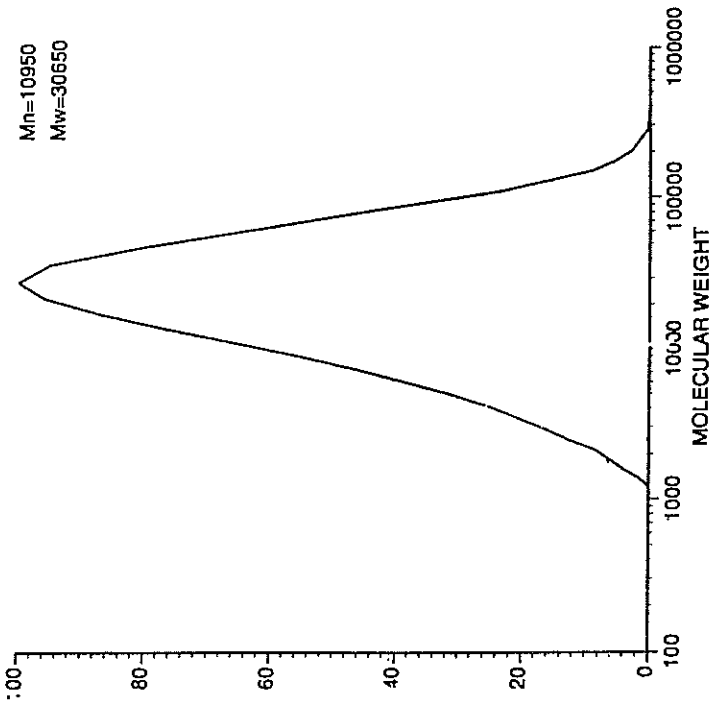
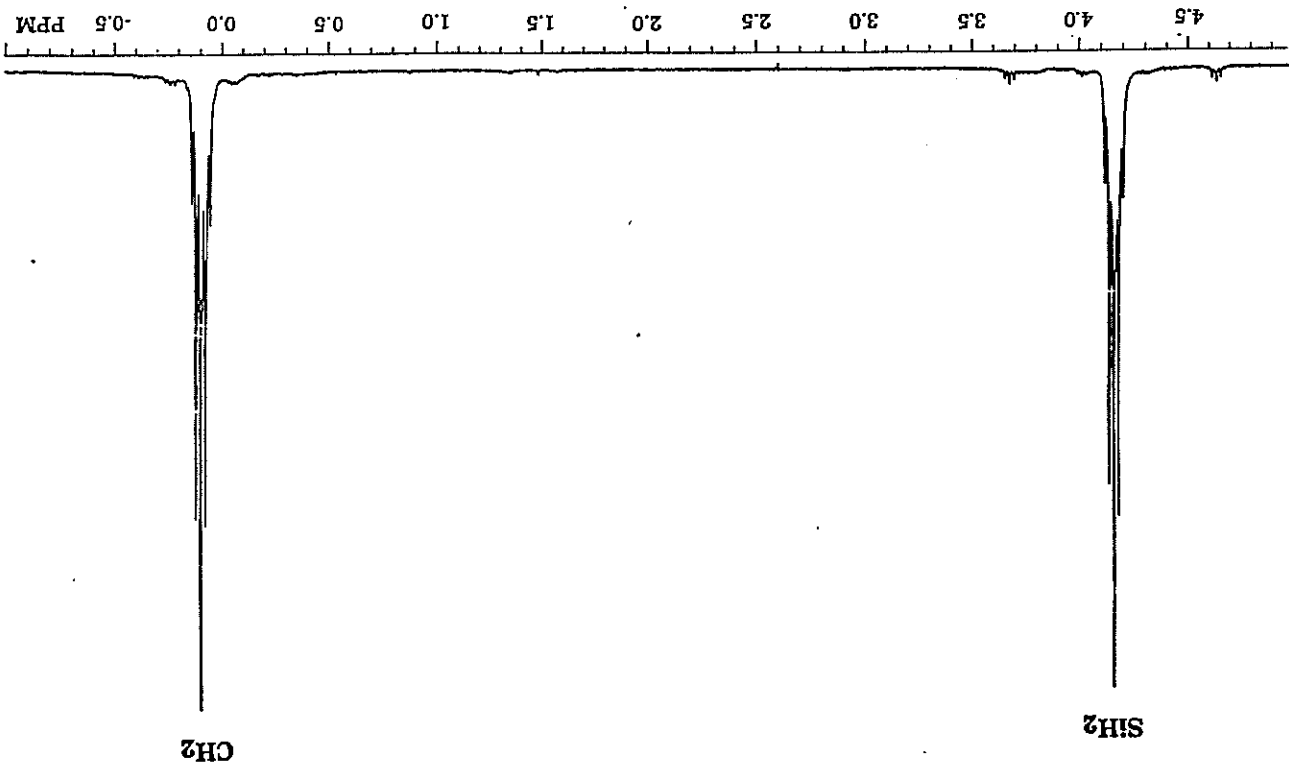
1991 - Laine, Harrod - polymethylsilane - $[(CH_3)(H)Si]_n$ -
via catalytic dehydrocoupling of $MeSiH_3$ -
extremely air sensitive polymer; gives
 $SiC_{0.9}H_{0.2}$ in 77% ceramic yield on pyrolysis

ref., Laine and Babonneau, Chem. Mater., 1993, 5, 260

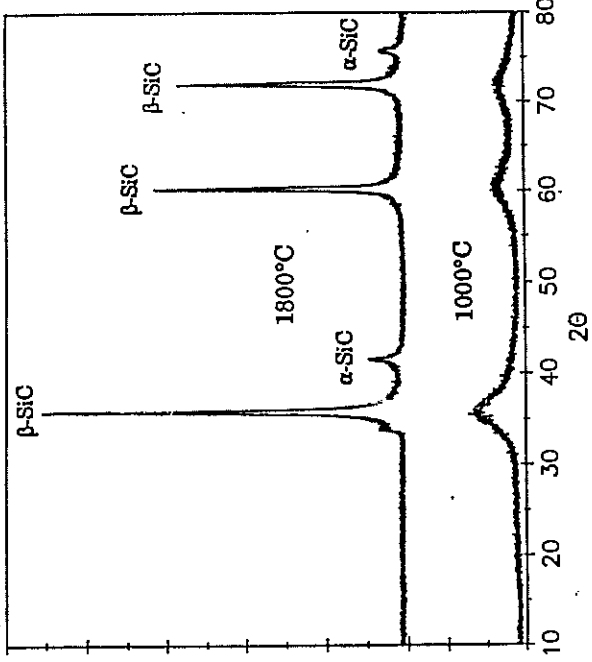
PREPARATION OF THE LINEAR POLYMER $[SiH_2CH_2]_n$



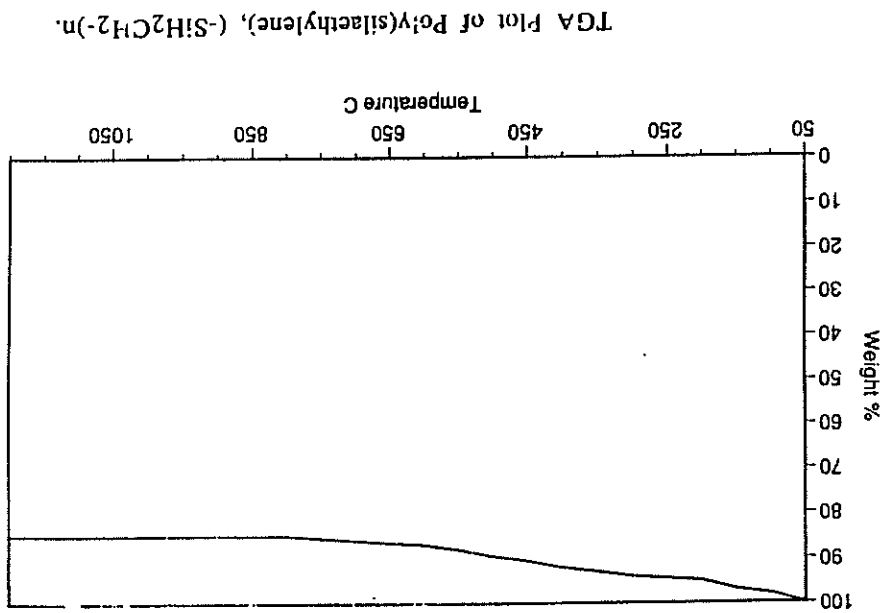
¹H NMR Spectrum of Poly(silaethylene), (-SiH₂CH₂)_n, Obtained by Using a Varian XL-200 NMR Spectrometer.



Molecular Weight Distribution of Poly(silaethylene), (-SiH₂CH₂)_n.



XRD Patterns of Poly(Silaethylene), $(-\text{SiH}_2\text{CH}_2)_n$ Heated to 1000°C and 1800°C under N_2 .



TGA Plot of Poly(silaethylene), $(-\text{SiH}_2\text{CH}_2)_n$.

CONCLUSIONS REGARDING THE PYROLYTIC CONDENSATION OF POLYSILAETHYLENE TO SiC

Temp Range/
(%wt loss)

| | |
|------------------------------|---|
| <300 °C:
(ca. 5%) | THE SMALL WEIGHT LOSS IN THIS REGION IS
ATTRIBUTED TO THE EVAPORATION OF
OLIGIMERS |
| 300 -
400 °C:
(ca. 3%) | SILYLENE SPECIES (=Si:) ARE PRESUMED TO BE
FORMED, MAINLY BY 1,1-H ₂ -ELIMINATION
FROM -SiH ₂ - GROUPS, CAUSING CHAIN
BRANCHING AND CROSSLINKING |
| 400 -
600 °C:
(ca. 5%) | BOTH SILYLENE AND FREE RADICAL
MECHANISMS ARE OPERATIVE, RESULTING
IN A HIGHLY CROSSLINKED STRUCTURE |
| >600 °C:
(ca. 2%) | ONLY A SLIGHT WEIGHT LOSS, DUE MAINLY TO
H ₂ EVOLUTION, IS OBSERVED, AS HSIC ₃ AND
REMAINING H ₂ SiC ₂ SITES ARE CONVERTED TO
SiC, FORMING A NANOCRYSTALLINE SiC
STRUCTURE BY 1000 °C |

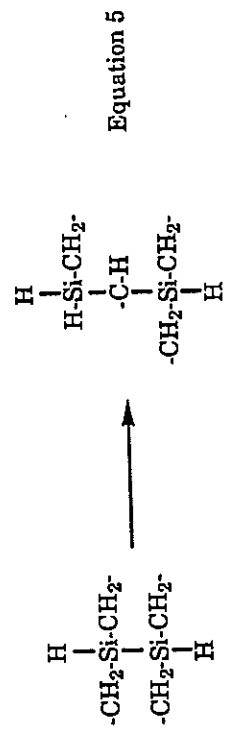
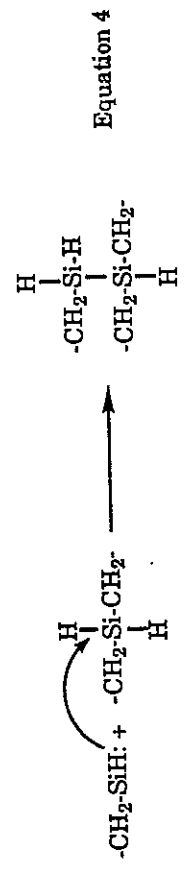
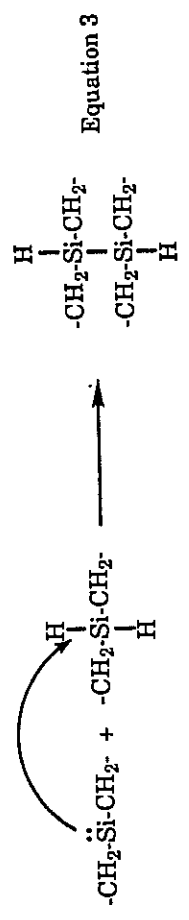
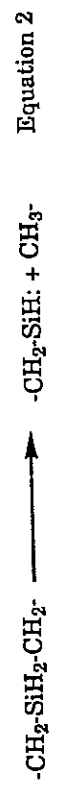
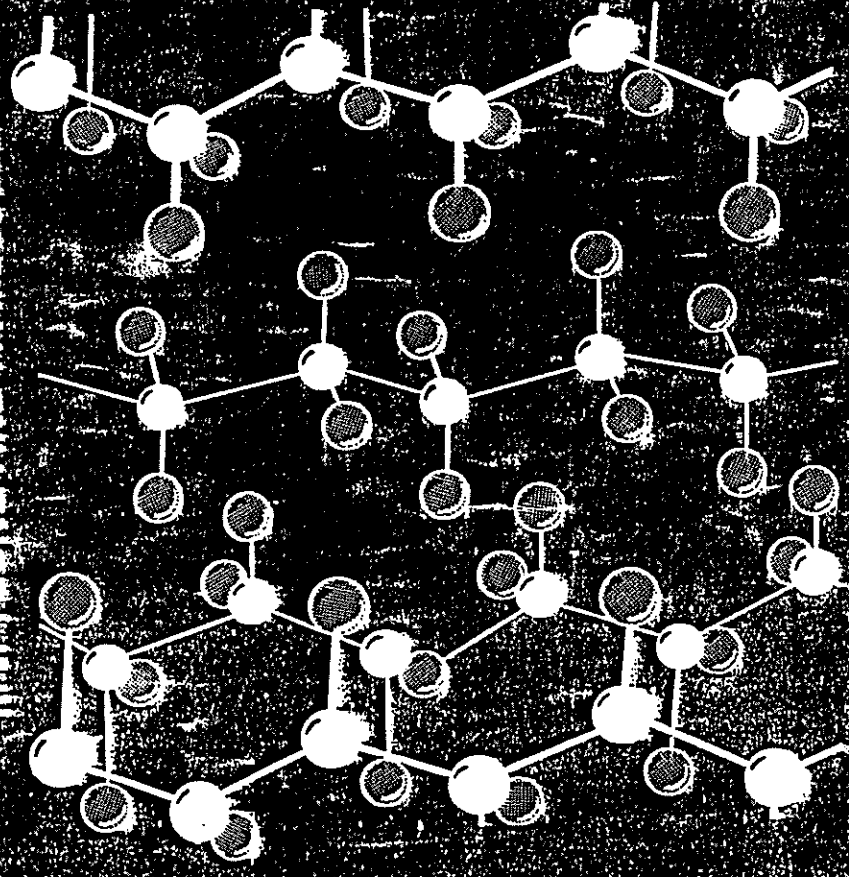
Approach to the Study of the Pyrolysis Chemistry of Poly(silaethylene)

- * Study of the evolution of gaseous species during the pyrolysis of poly(silaethylene), (SiH₂CH₂)_n, and deuterated poly(silaethylene), (SiD₂CH₂)_n, by using mass spectrometry.
- * Characterization of the samples prepared by pyrolyzing poly(silaethylene) to various temperatures with IR and solid state NMR.

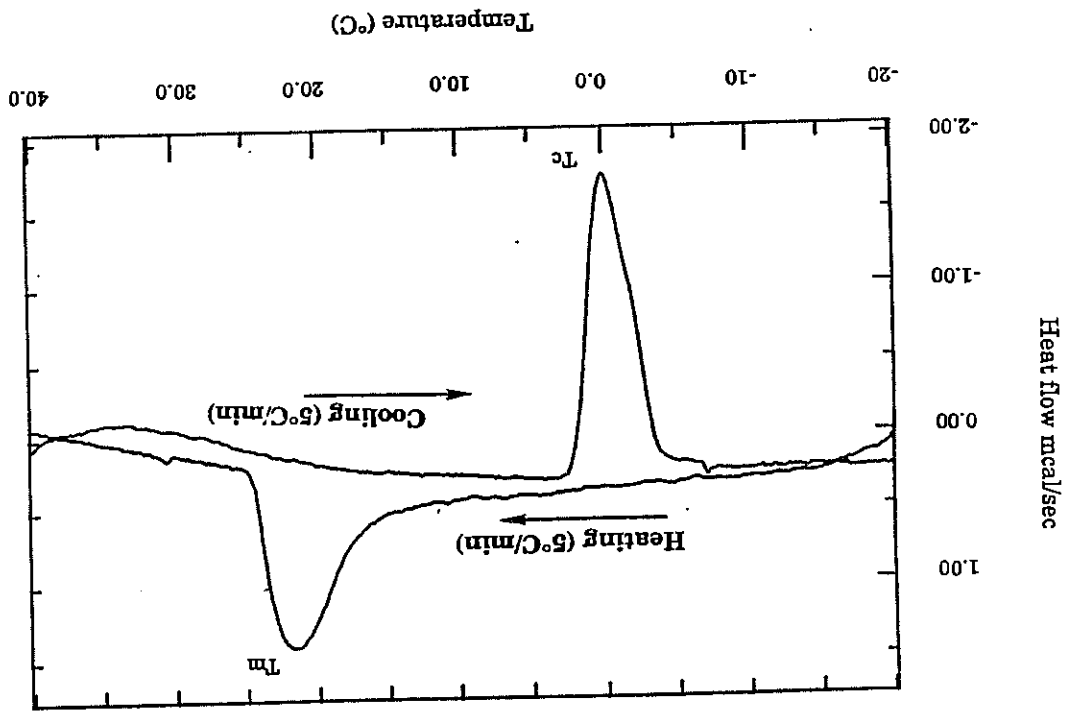
INTRODUCTION TO POLYMERIS

Second edition

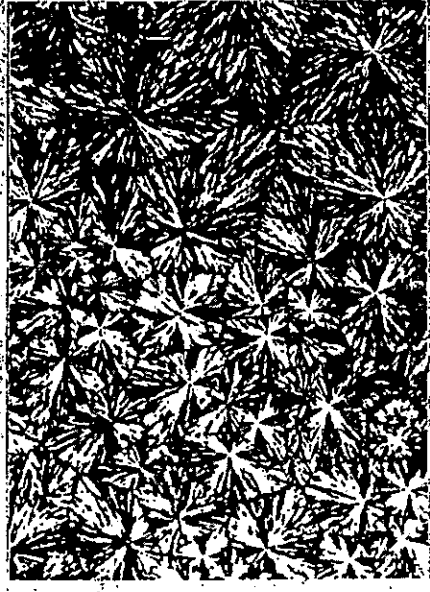
R. J. YOUNG AND P. A. LOVELL



DSC Plots of Poly(silaethylene), (SiH₂CH₂)_n, with Mn=11800, Mw=47000.



Mn=11200, Mw=81900, at 3°C. 50 μm



Mn=900, Mw=24140, at -2°C. 500 μm

Cross-Polarized Optical Microscope Photographs of Poly(silaethylene).

Results of a Molecular Mechanics Calculation on PSE

Dr. Kenneth A. Smith
GE CRD, Schenectady

Performed ab initio HF calculations on $\text{H}_3\text{SiCH}_2\text{SiH}_2\text{CH}_2\text{SiH}_3$ using Gaussian 90

Results used to modify the molecular parameters for a molecular mechanics calculation on polysilaethylene (with Polygraf)

One of the minimized structures has an orthorhombic cell having two chains

An X-Ray powder diffraction pattern was calculated for this structure with CERius

Relationship Between Poly(silaethylene) and Polyethylene

PSE exhibits some significant similarities and differences compared to PE insofar as its structure and properties are concerned,

Similarities:

Like (HD)PE, PSE,

- crystallizes from the melt, exhibiting a spherulite structure by optical microscopy
- exhibits reversible melting and crystallization transitions in the DSC whose peak temperatures vary with MW and the heating (cooling) rate
- appears to crystallize in an orthorhombic structure which is related to that of PE

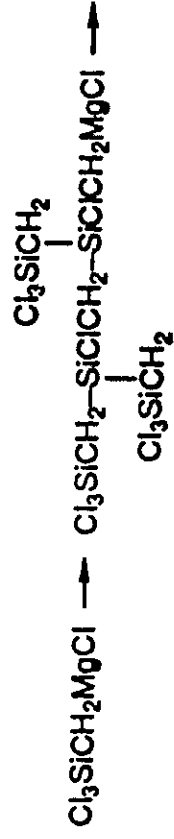
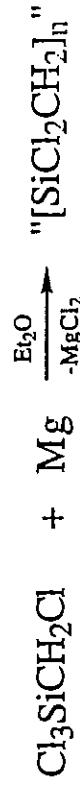
Differences:

- PSE is a liquid at rm. temp. and has a substantially lower melting temperature (by over 100 °) and ΔH_f than PE
- their XRD patterns appear quite different

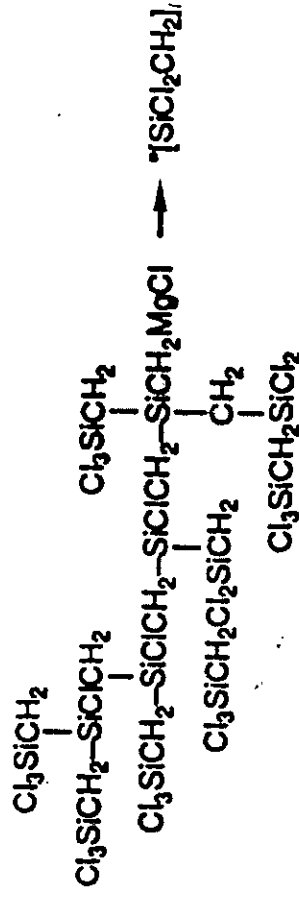
SYNTHESIS OF THE BRANCHED
"[SiH₂CH₂]" POLYMER

Organometallics, Vol. 10, No. 5, 1991

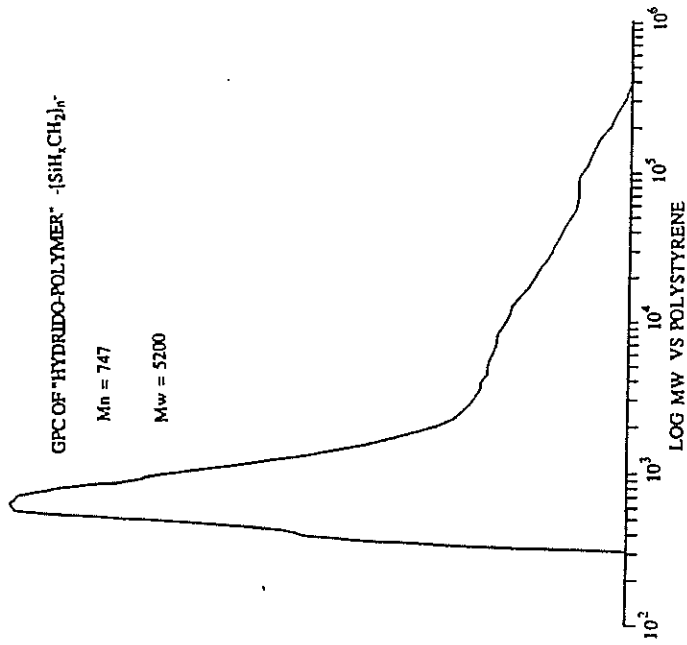
1] PREPARATION OF THE "CHLORO-POLYMER"



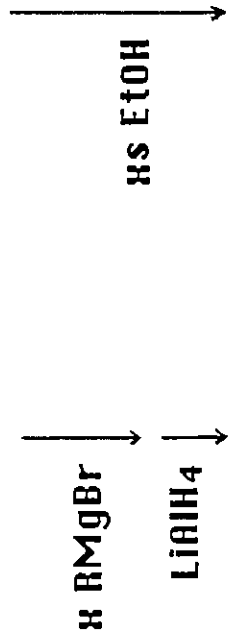
2] REDUCTION TO "[SiH₂CH₂]"



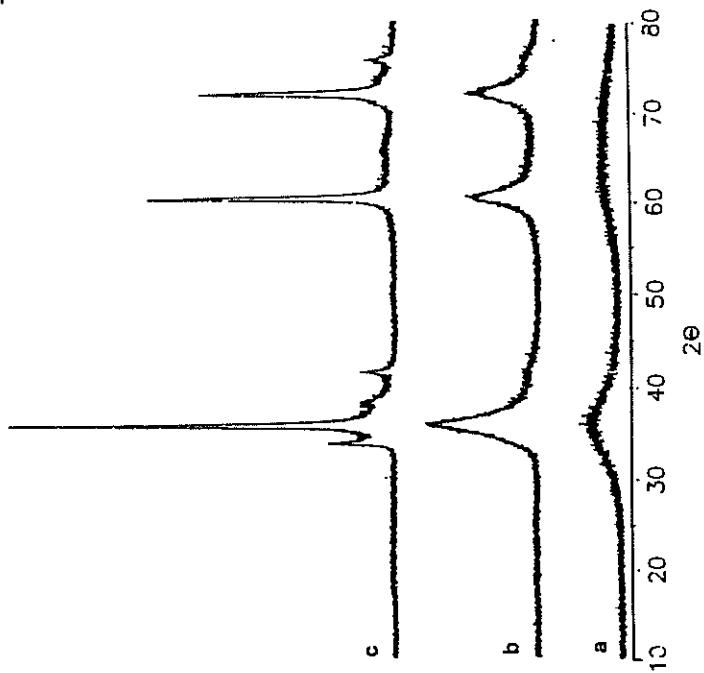
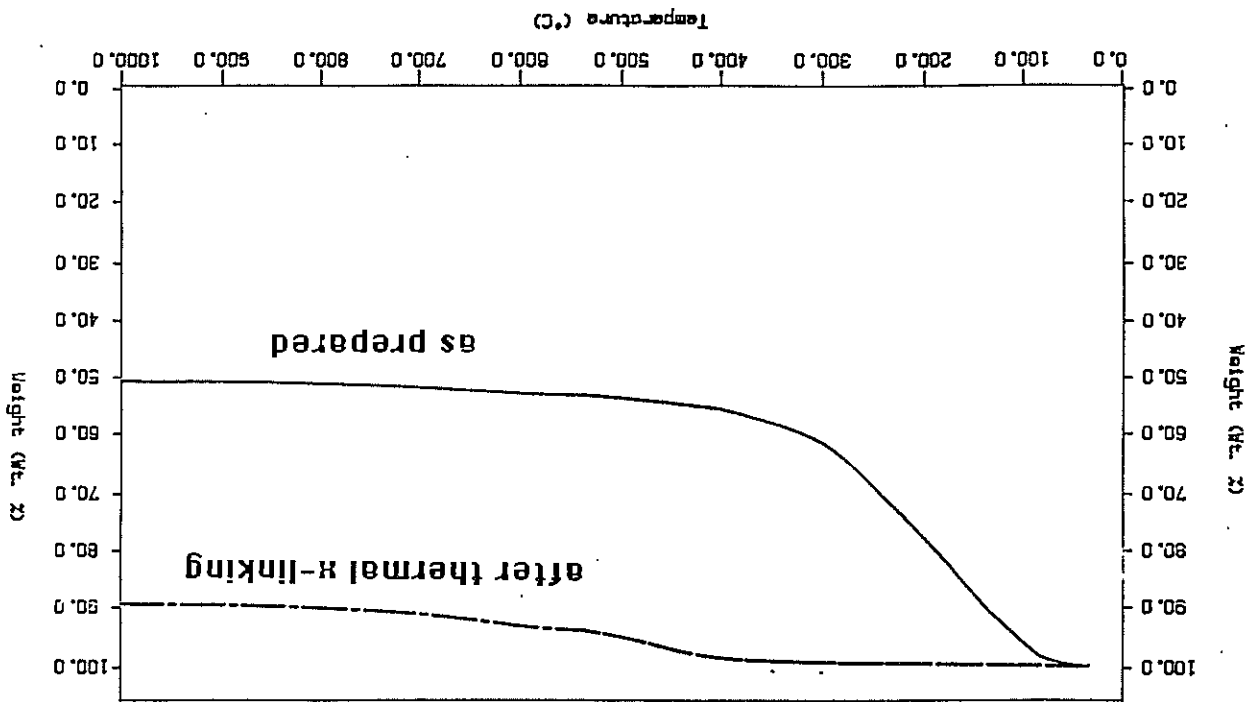
MODIFICATION OF THE POLYCARBOSILANE



" $[SiCl_2CH_2]_n$ " (branched chloropolymer)



TGA PLOT FOR RHPCS (UNDER N₂; 10 °C/MIN)



X-ray diffraction of ceramic products from pyrolysis of HPCS:
 (a) 1000°C, 10h; (b) 1600°C, 2h; (c) 1800°C, 2h.



US0005153295A

United States Patent (19) Patent Number: 5,153,295
Whitmarsh et al. Date of Patent: Oct. 6, 1992

4,923,716 5/1990 Brown et al. 427/249

OTHER PUBLICATIONS

S. Yajima et al., "Synthesis of Continuous Silicon Carbide Fibre with High Tensile Strength and High Young's Modulus", Journal of Materials Science 13 (1978) 2569-2576.

Primary Examiner—Melvyn I. Marquis
Attorney, Agent, or Firm—Richard P. Fennelly; Louis A. Morris

ABSTRACT

Polycarbosilane compositions, which can serve as silicon carbide precursors, are formed by a Grignard coupling reaction of a halomethylcarbosilane followed by reduction using a metal hydride. The polycarbosilane compositions that result have a substantially 1:1 silicon to carbon stoichiometry, are substantially non-cyclic and branched, and comprise the repeat units $\text{SiH}_2\text{C}(\text{H}_3)-\text{SiH}_2\text{CH}_2-$, $-\text{SiH}_2\text{CH}_2-$, $-\text{SiHCH}_2-$, and $-\text{SiCH}_2-$.

19 Claims, 4 Drawing Sheets

[54] CARBOSILANE POLYMER PRECURSORS TO SILICON-CARBIDE CERAMICS

Inventors: Christopher K. Whitmarsh; Leonard V. Interrante, both of Schenectady, N.Y.

[73] Assignee: Rensselaer Polytechnic Institute, Troy, N.Y.

[21] Appl. No.: 556,599

[22] Filed: Jul. 20, 1990

[51] Int. Cl.: C08G 77/12

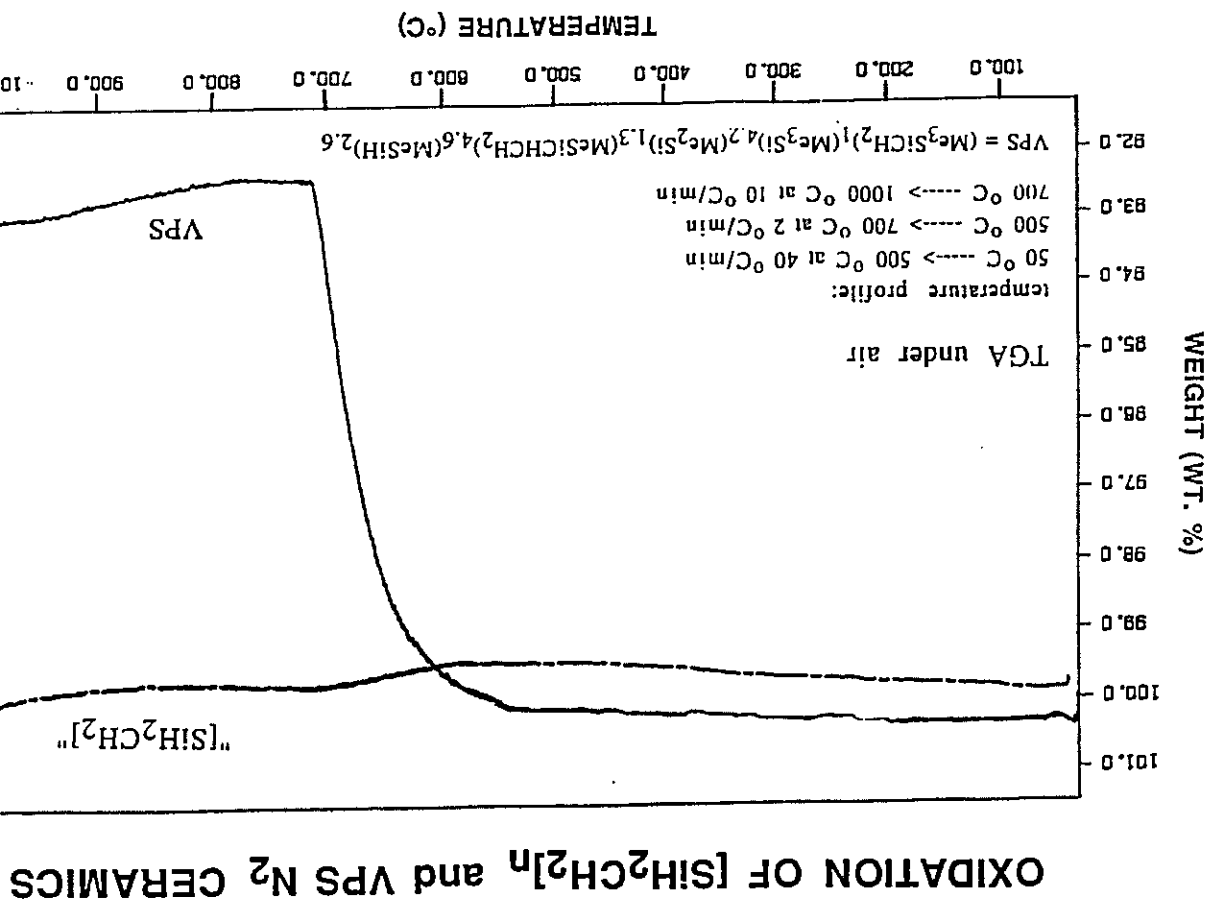
[52] U.S. Cl.: 528/31; 525/475; 528/10; 528/32; 556/480

[58] Field of Search: 525/475; 528/10, 31; 528/32; 556/480

[56] References Cited

U.S. PATENT DOCUMENTS

- 4,377,677 3/1983 Iwai et al. 528/16
- 4,472,591 9/1984 Schilling, Jr. et al. 528/14
- 4,631,179 12/1986 Smith, Jr. 423/345
- 4,783,516 11/1988 Schilling, Jr. et al. 528/32



SUMMARY

A BRANCHED HYDRIDOPOLYCARBOSILANE HAS BEEN PREPARED FROM A LOW COST STARTING MATERIAL (CH_3SiCl_3)

THIS POLYMER:

- * HAS A NOMINAL 1:1 Si:C RATIO
- * IS EASILY MODIFIED AT THE "CHLORO" STAGE
- * IS A MOBILE LIQUID WHICH CAN BE HANDLED IN AIR
- * UNDERGOES FACILE CROSSLINKING
- * HAS A GOOD CERAMIC YIELD

POTENTIAL USES:

- * SiC MATRIX SOURCE - VIA PIP
- * SiC and SiO_xC_y COATINGS
- * SiC FIBERS?

Advantages of (X)HPCS (X = Vinyl, Allyl) as a SiC Matrix Source

- o Highly fluid liquid at ca. 125 °C, wets C, SiC, SiO_2 surfaces
- o HPCS alone thermosets at 300-400 °C (110 °C with a catalyst) to produce a clear glass with little loss in weight
- o Pyrolysis of HPCS to 1000 °C gives near-stoichiometric "SiC" in 80% yield
- o XHPCS (X = 10% V or A) thermosets at 110 °C, without a catalyst, shows uniform shrinkage on pyrolysis to 1000 °C; SiC obtained contains 4-8 % Xs C

Research Programs Involving Hydridopolycarbosilane (HPCS) (continued)

- o US Army Phase I SBIR Grant to Starfire Systems, Inc.; 3/1/93-8/31/93

"Engineered, Ceramic Reinforced, Ceramic Matrix Composites" Investigation of Polymer Infiltration and Pyrolysis as a route to C/SiC and SiC/SiC-structural composites

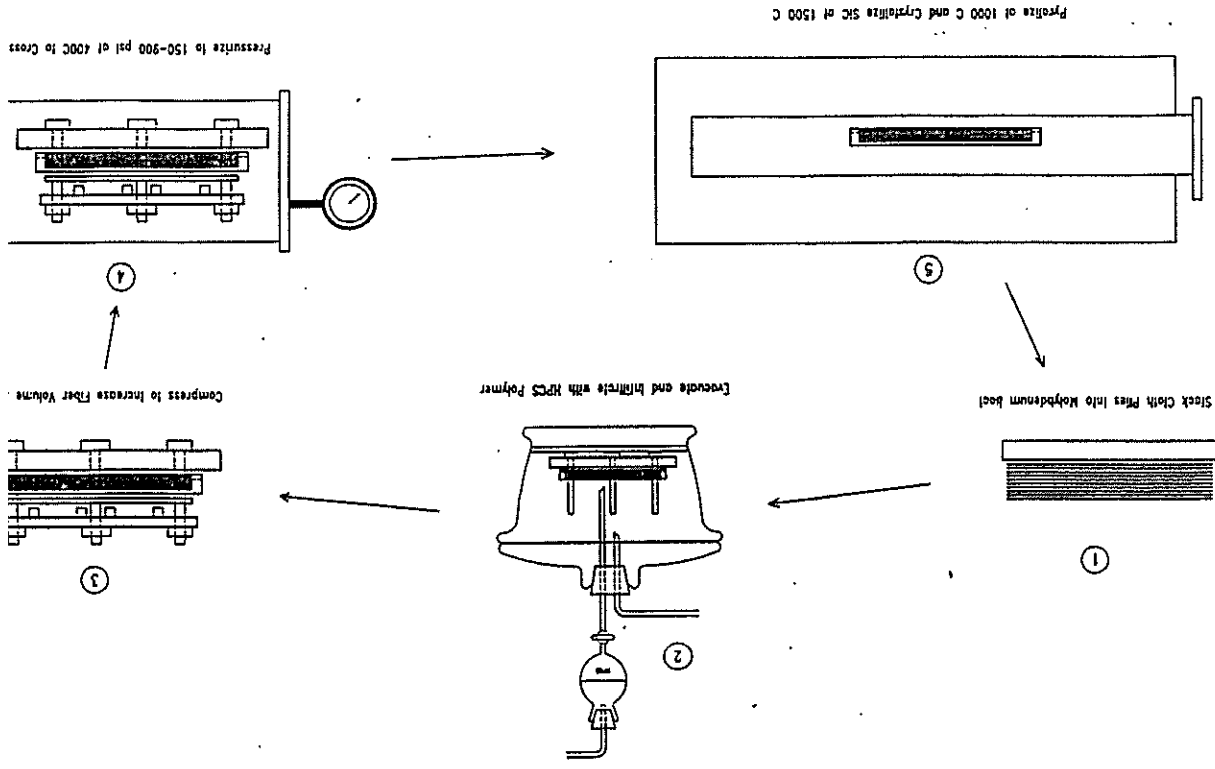
Objectives:

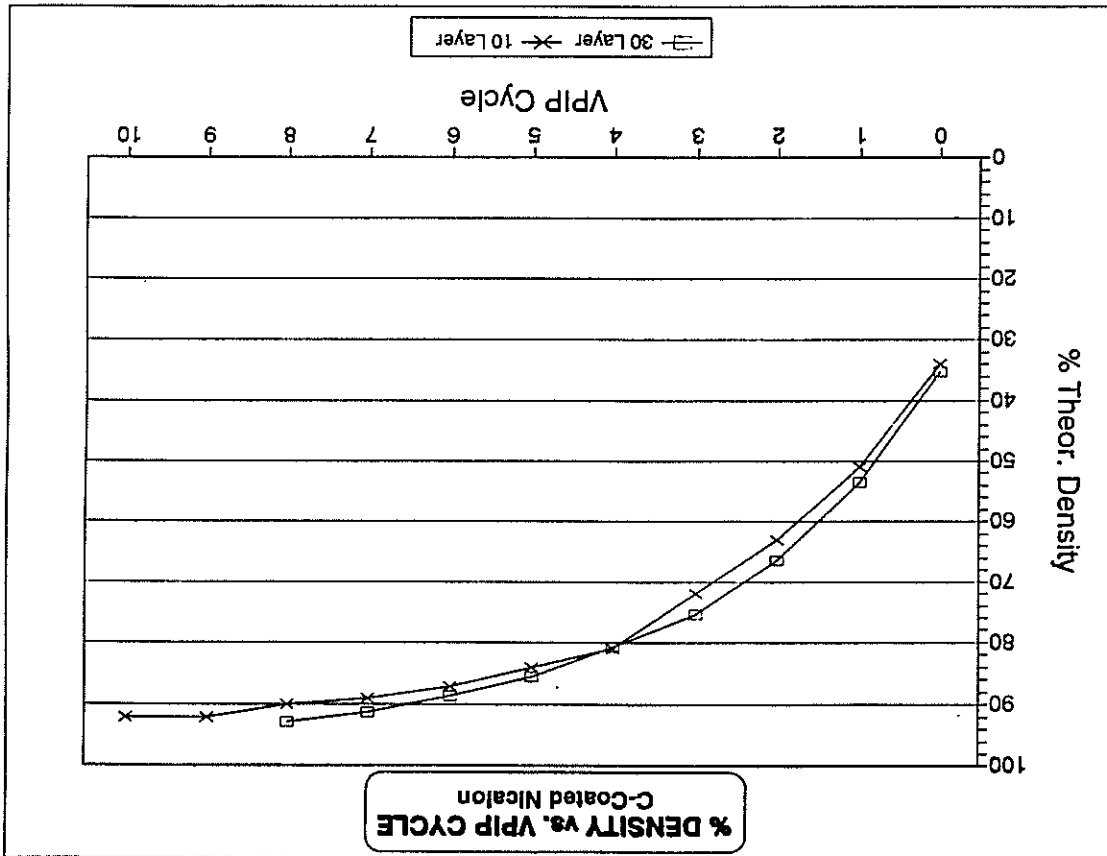
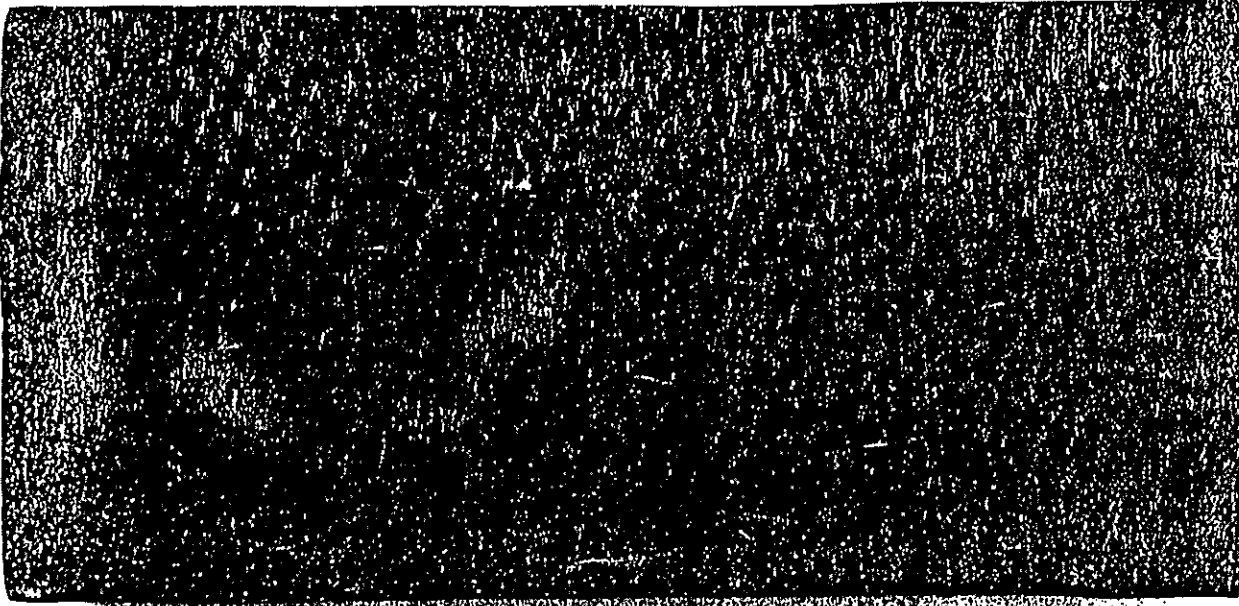
- o Use resin transfer moulding to produce ceramic matrix composite plates
- o Machining the plates into test specimens such as bend bars and interlaminar shear specimens
- o Characterization of the microstructure and mechanical properties of the materials to demonstrate reproducibility of properties and for comparison to CVI based ceramic composites
- o Demonstrate the thermal stability of the matrix material

Deliverables:

- o 3 bend bars of each of the following types:
carbon fiber cloth-, Nicalon cloth-, and SiC whisker-reinforced SiC matrix composites

HPCS COMPOSITE FABRICATION FLOWCHART





**Four Point Flexure Data
for HPCS and CVI Composites**

| ID# | Width
(in.) | Thickness
(in.) | Max. Load
(lbs) | Fracture
Stress
(ksi) | Extension
(in.) |
|--------------------------------------|----------------|--------------------|--------------------|-----------------------------|--------------------|
| Nicalon/HPCS Bars | | | | | |
| 1N-1 | 0.172 | 0.107 | 74.5 | 55.0 | 0.056 |
| 1N-2 | 0.213 | 0.105 | 81.0 | 50.4 | 0.068 |
| 1N-3 | 0.175 | 0.109 | 73.7 | 52.3 | 0.054 |
| 1N-4 | 0.151 | 0.106 | 69.1 | 60.5 | 0.064 |
| 1N-5 | 0.149 | 0.107 | 58.9 | 51.0 | 0.056 |
| 1N-6 | 0.148 | 0.108 | 66.7 | 57.0 | 0.065 |
| 3N-2 | 0.145 | 0.195 | 128 | 54.9 | 0.063 |
| 3N-3 | 0.186 | 0.151 | 93.3 | 51.9 | 0.066 |
| 3N-4 | 0.196 | 0.151 | 101.9 | 53.9 | 0.067 |
| 5N-1 | 0.135 | 0.12 | 52.8 | 60.8 | 0.045 |
| | | | Average | 54.8 | |
| Nicalon/CVI Bars | | | | | |
| CVI-1 | 0.176 | 0.145 | 91.9 | 43.5 | 0.02 |
| CVI-2 | 0.179 | 0.132 | 90.4 | 46.8 | 0.024 |
| CVI-3 | 0.169 | 0.14 | 88.9 | 47.4 | 0.025 |
| | | | Average | 45.8 | |
| Other Nicalon/CVI Bars | | | | Average | 43.3 |
| Other Nicalon/polymer-derived | | | | Average | 16.7 |
| SIC Bars | | | | | |

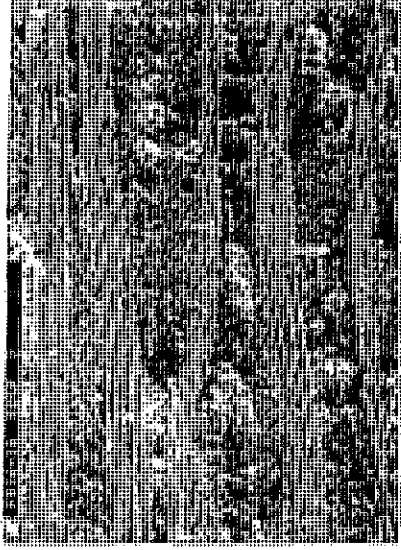


Figure 5a. SEM micrograph of a cross-section of a HPCS densified Nicalon fiber plate after 8 infiltration and pyrolysis cycles. (50X)

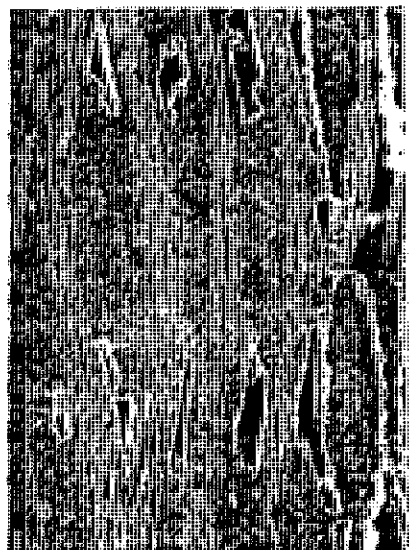


Figure 5b. SEM micrograph of a cross-section of a CVI densified Nicalon plate showing porosity and a density gradient. (50X)

CONCLUSIONS

- o A simple, low cost, Resin Transfer Molding (RTM) Process has been developed for the fabrication of SiC-matrix composites which employs a new liquid, high-yield, polymeric precursor to SiC (AHPCS)
- o This process was used to fabricate Nicalon-, SCS-6-, and SiC whisker-reinforced SiC/SiC composites.
- o The Nicalon and SCS-6 composites showed improved mechanical properties and more uniform matrix filling relative to comparably reinforced CVI-matrix composites.
- o Composites up to ca. 1/2 in thickness incorporating 30 layers of Nicalon cloth were fabricated by this approach which showed comparable densification rates and final densities as the thinner (0.15") 10 layer plates)

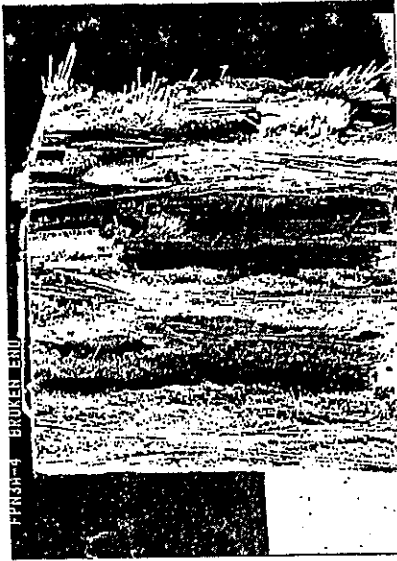


Figure 6a. SEM micrograph of the fracture surface of a Nicalon/HPCS flexure bar. (16X)



Figure 6b. Figure 6a. at 103X magnification

Work In Progress

- o Tensile test specimens of the Nicalon-SiC/AHPCS-SiC composites have been fabricated and have been submitted for tensile tests at both room temperature and 1000 °C
- o The effect of interlaminar filler materials such as SiC whiskers and powder on densification rate, flexure and tensile strength, and interlaminar shear are under evaluation
- o Various alternatives to the carbon currently used as the interface material in these composites are also under evaluation so as to permit the meaningful study of these composites in high temperature oxidizing environments
- o Modifications of the AHPCS synthesis procedure appear promising as a means of improving product quality while reducing its cost. These improvements should enable the synthesis of this material on a large scale at a relatively low cost

Key References

Polysilaethylene

- L. V. Interrante, H.-J. Wu, T. Apple, Q. Shen, B. Ziemann, D.M. Narsavages, and K. Smith, "Polysilaethylene - A Novel Analog of Polyethylene", *J. Amer. Chem. Soc.* **116**, 12085-12086 (1994).
- L. V. Interrante, C.W. Whitmarsh, W. Sherwood, H.-J. Wu, R. Lewis and G.E. Maciel, "High Yield Polycarbosilane Precursors to Stoichiometric SiC. Synthesis, Pyrolysis and Application", *Mater. Res. Soc. Sympos. Proc.*, Proceedings of the Symposium on "Better Ceramics Through Chemistry IV", Vol. 346, 593-603 (1994).
- H.-J. Wu and L. V. Interrante, "Preparation of Polydichlorosilaethylene and Polysilaethylene via Ring-Opening Polymerization", *Macromolecules*, **25**, 1840-1841 (1992).

HPCS and Its Applications

- L. V. Interrante, C.W. Whitmarsh, C.-Y. Yang, W. Sherwood, W.R. Schmidt, P.S. Marchetti, and G.E. Maciel, "Processing of Si-Based Ceramics and Ceramic Composites Using Hydriodopolycarbosilane (HPCS)", Proceedings, Symposium on "Silicon-Based Structural Ceramics", 1993 FACRIM Conference, Honolulu, November 7-9, 1993, *Ceram. Trans.*, Vol 42 (1994) pp. 57-69.
- L. Bois, F. Babonneau, C.-Y. Yang, and L. V. Interrante, "Sol-Gel Synthesis of a Siloxypolycarbosilane Gel and Its Pyrolytic Conversion to Silicon Oxycarbide", *Chem. Mater.*, **6**, 51-57 (1994).
- C. Whitmarsh and L. V. Interrante, "Synthesis and Structure of a Highly Branched Polycarbosilane Derived From Chloromethyltrichlorosilane, *Organometallics*, **10**, 1336-1344 (1991).

Chemicals, Polymers and Ceramics from the Beach, I.

K. A. Blohowiak, T. Robinson, M. Hoppe, B. Mueller,
P. Kansal, K. W. Chew, F. Babonneau,* R. M. Laine

Departments of Materials Science and Engineering,
and Chemistry



Work Supported by ONR, AFOSR, ARL, ARO

Program Objectives:

- **Develop New Routes to Metal Containing Compounds Directly from Metal Oxides**
- **Develop New, Polymeric Species That Exhibit Ion Conducting, Liquid Crystalline or Preceramic Properties**
- **Process Powders, Films, Fibers**

Outline

Chemicals, Polymers & Ceramics from the Beach, I.

Basic Science

Simple Complexes

What Can You Do With $M_2Si_2(OCHCHO)_5$?

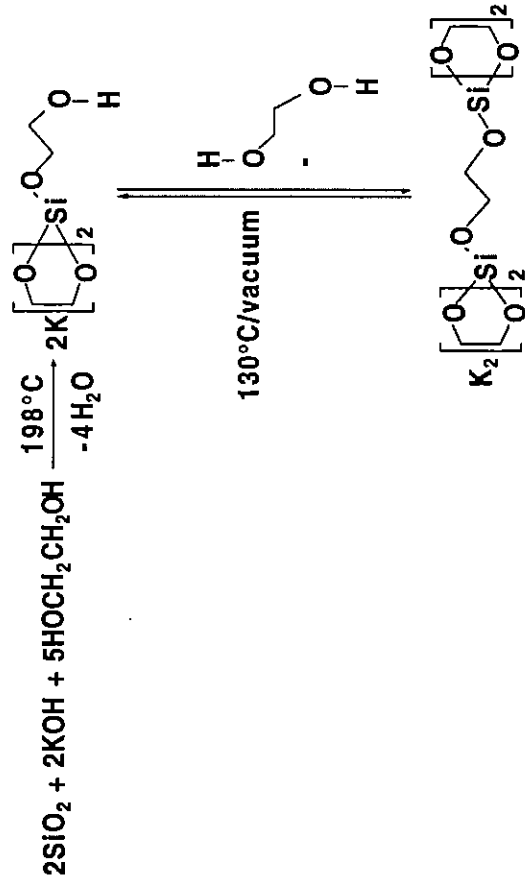
Chemicals, Polymers & Ceramics from the Beach, II.

Oxide One Pot Synthesis Process

Basic Science

What can you do with it?

Dissolving the Beach with Antifreeze and Liquid Plumr®



• SiO₂ as Silica Gel, Fused Silica or Sand, or *Rice Hulls*

• Rxn Also Works With MOH = Li, Na, Cs,

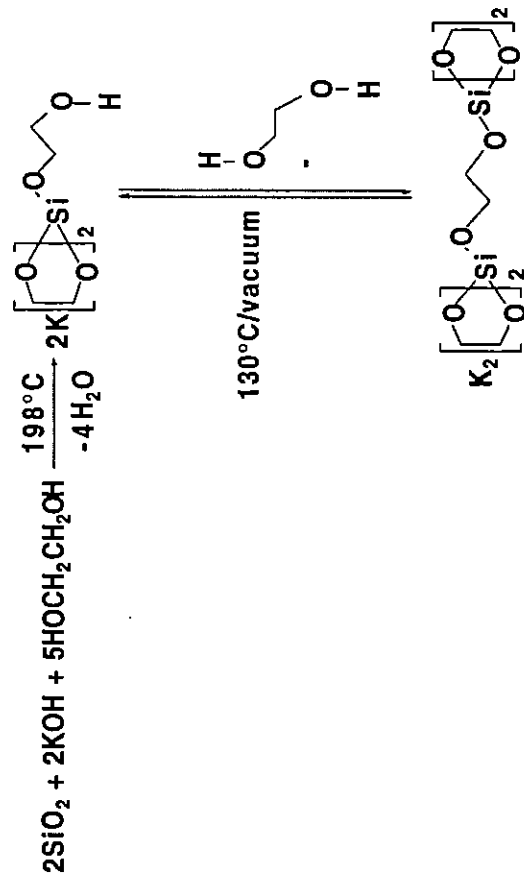
or MO = Mg, Ca, Sr, Ba

• 1,2- and 1,3 diols also work

Nature 353, 640-2 (1991)

What Can You Do With M₂Si₂(OCHCHO)₅?

Dissolving the Beach with Antifreeze and Liquid Plumr®



• SiO₂ as Silica Gel, Fused Silica or Sand, or *Rice Hulls*

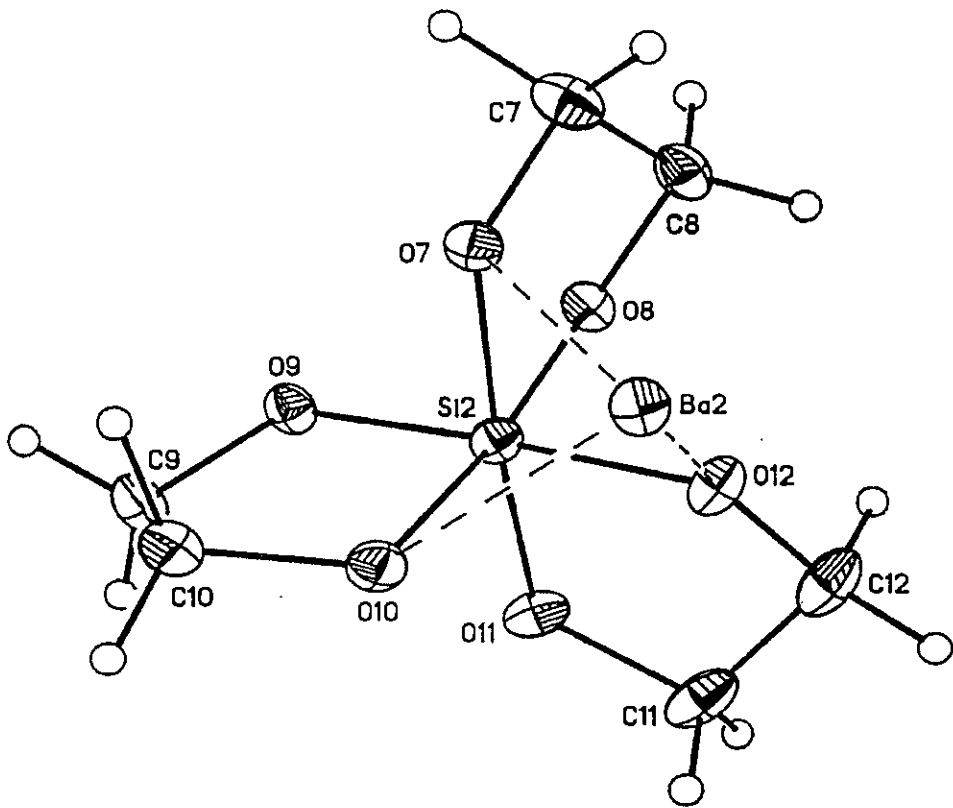
• Rxn Also Works With MOH = Li, Na, Cs,

or MO = Mg, Ca, Sr, Ba

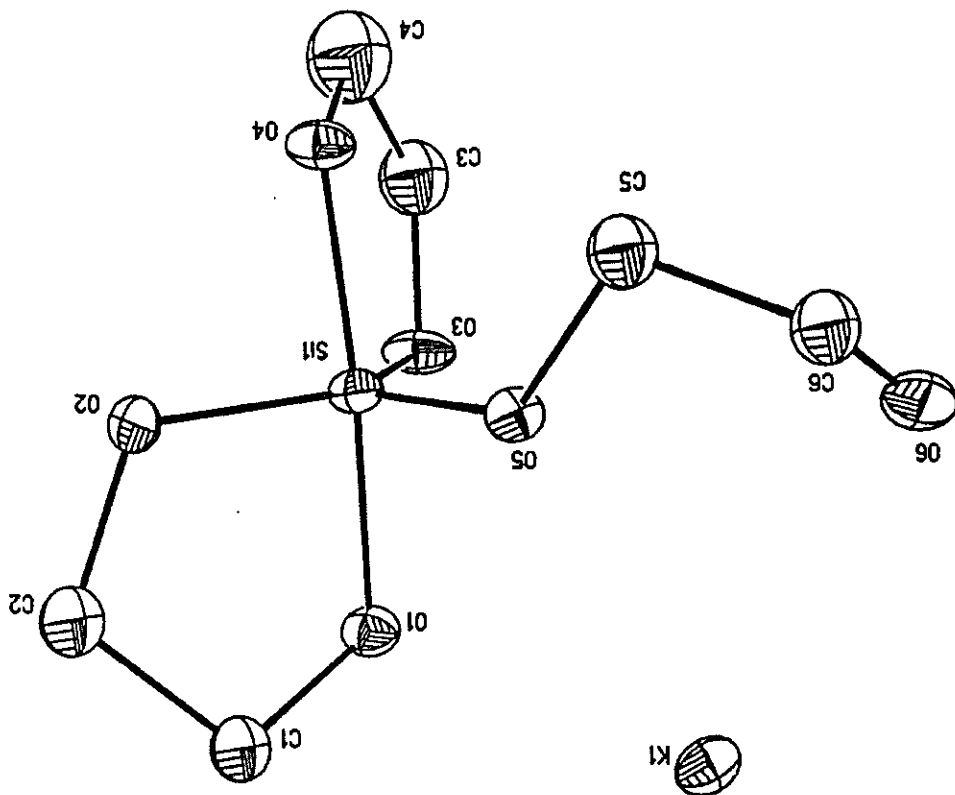
• 1,2- and 1,3 diols also work

Nature 353, 640-2 (1991)

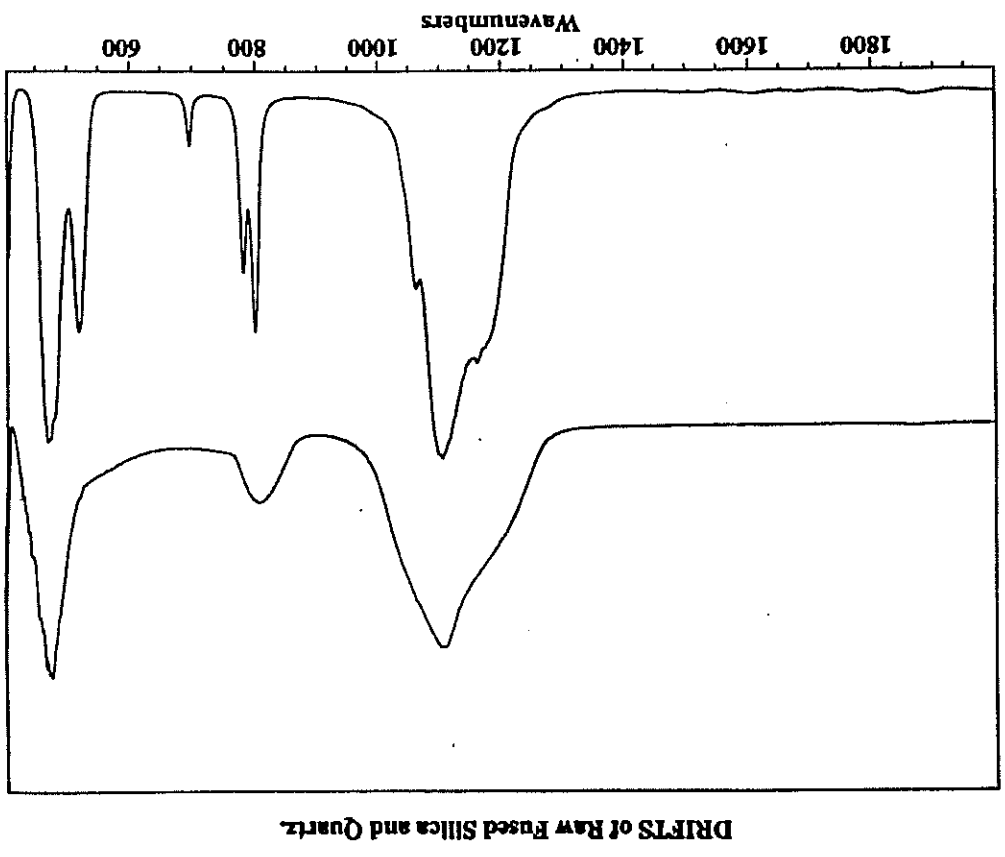
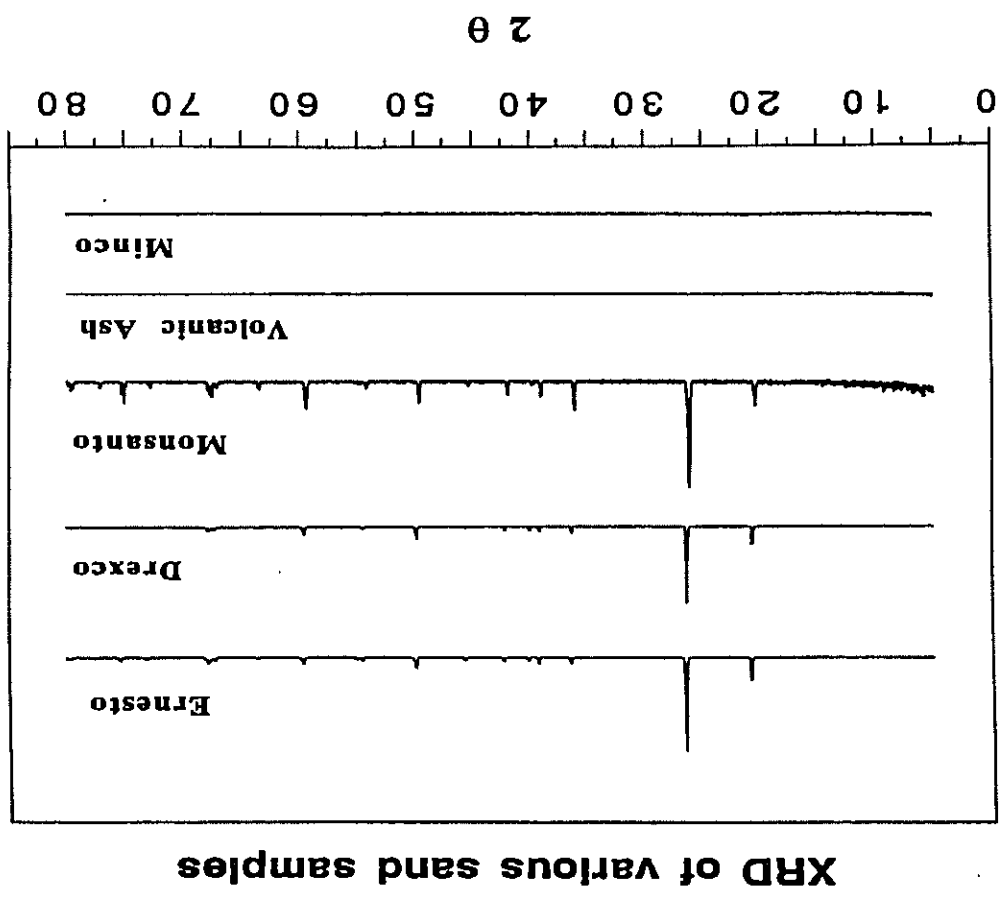
What Can You Do With M₂Si₂(OCHCHO)₅?



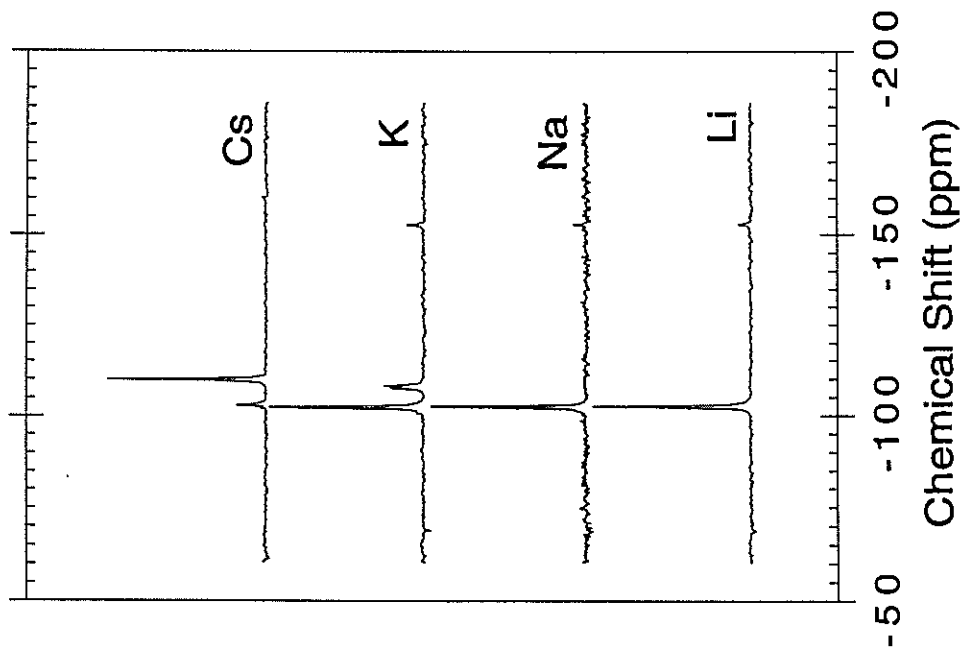
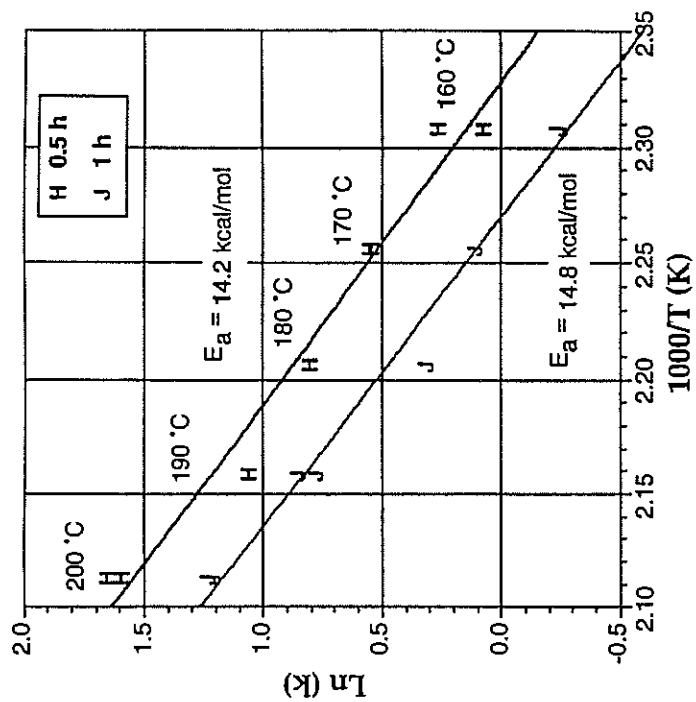
9



5



Minco SiO₂ 525 mesh Dissolution Studies



SiO₂ Dissolution in HOCH₂CH₂OH

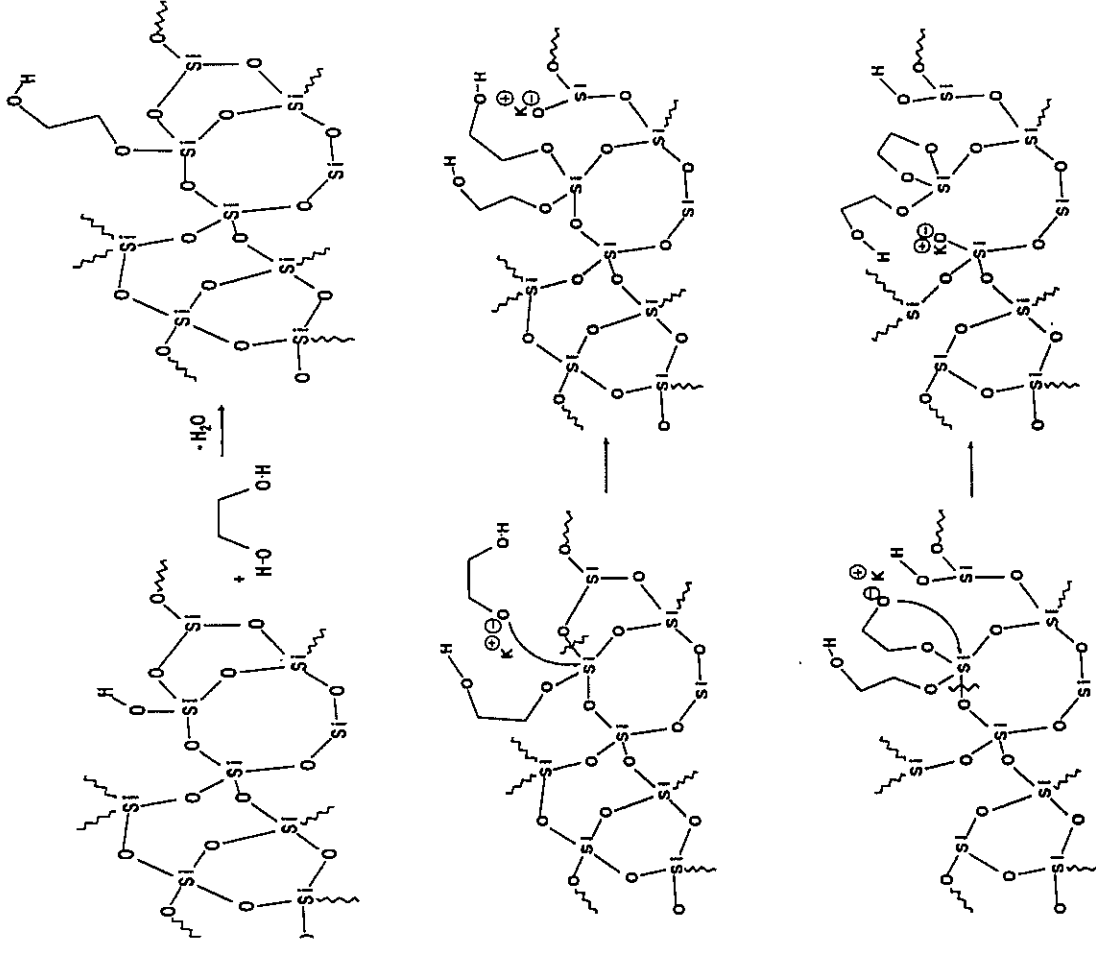
- First order in [KOCH₂CH₂OH]
- First order in Surface Area

∴ Slow Step After KOCH₂CH₂OH Reacts with Surface

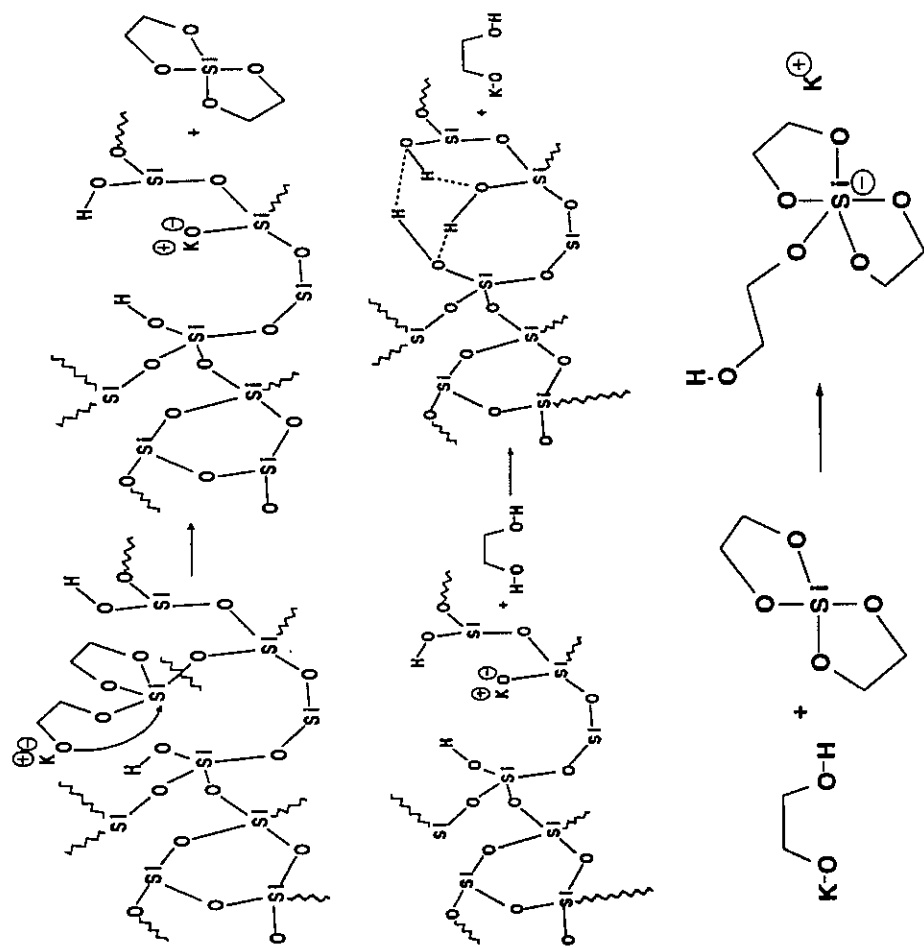
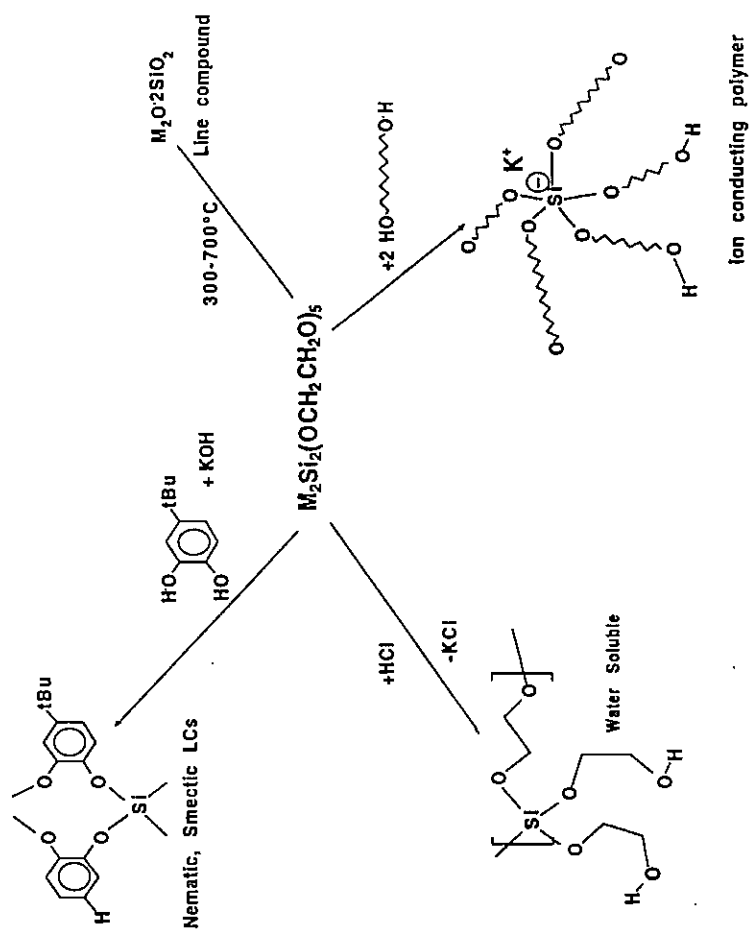
• E_a ≈ 14 ± 2 kcal/mol

- Without Base, Surface Covered with Pendant Si-OCH₂CH₂OH Groups
 - With Base, Surface Covered with Si-OH Groups
 - FTIR Shows No Evidence of Si-O⁻K⁺ Groups
- ∴ No K⁺ on Surface

What is the dissolution process?

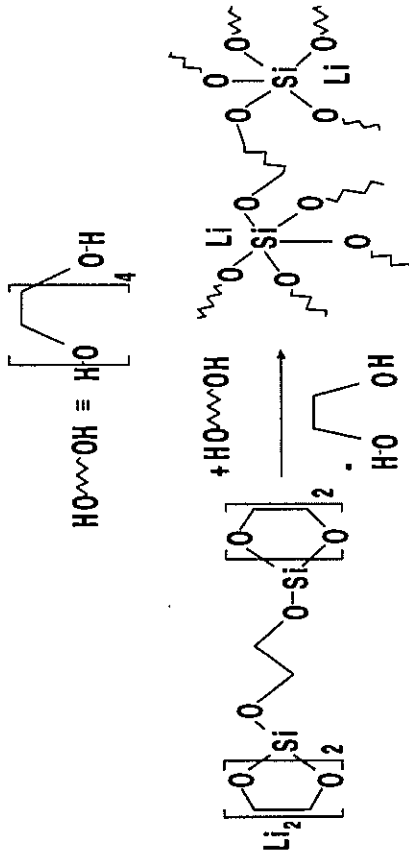


What Can You Do With $M_2Si_2(OCHCHO)_5$?



Chem. Mater., Nov. 1994

What Can You Do With $M_2Si_2(OCHCHO)_5$?



$T_g \approx -25^\circ C$, $T_m \approx 15-25^\circ C$

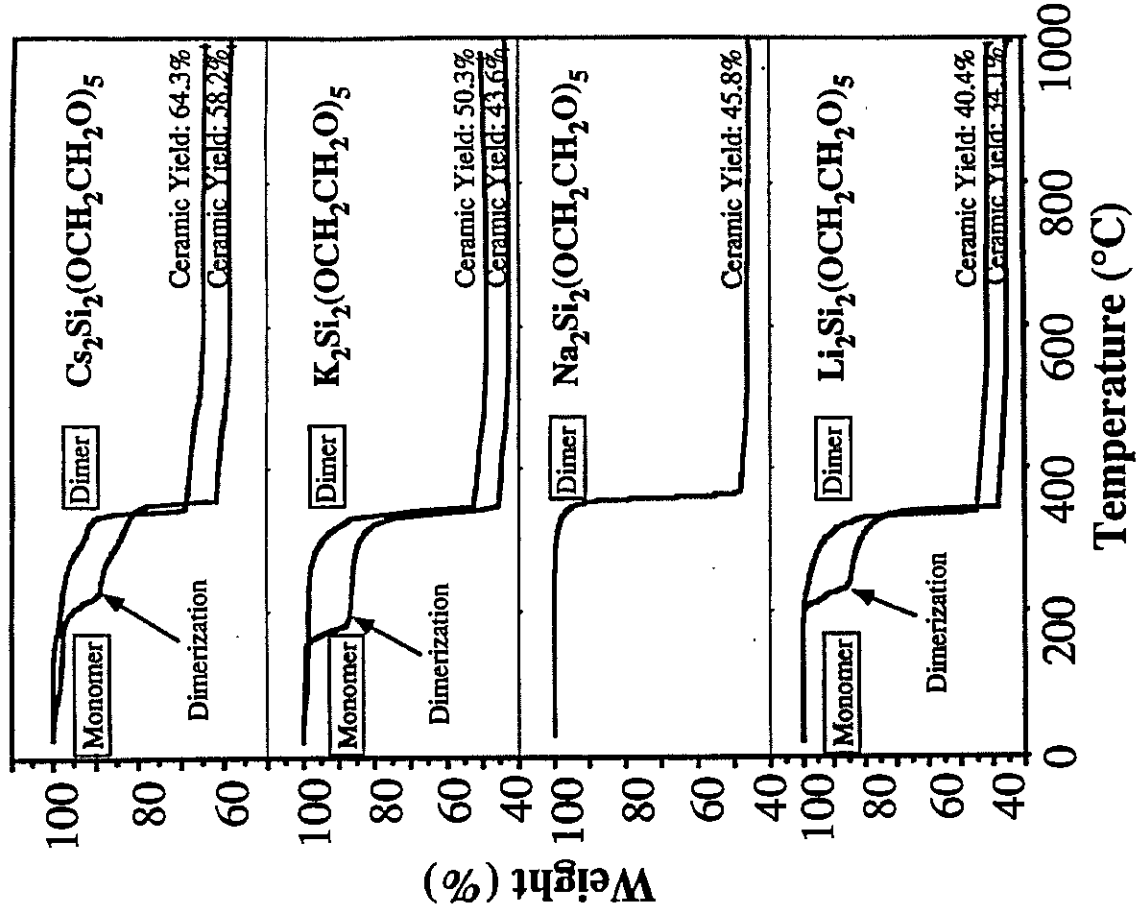
AC Impedance at 25°C

Over Frequency Range of 10-10⁵ Hz

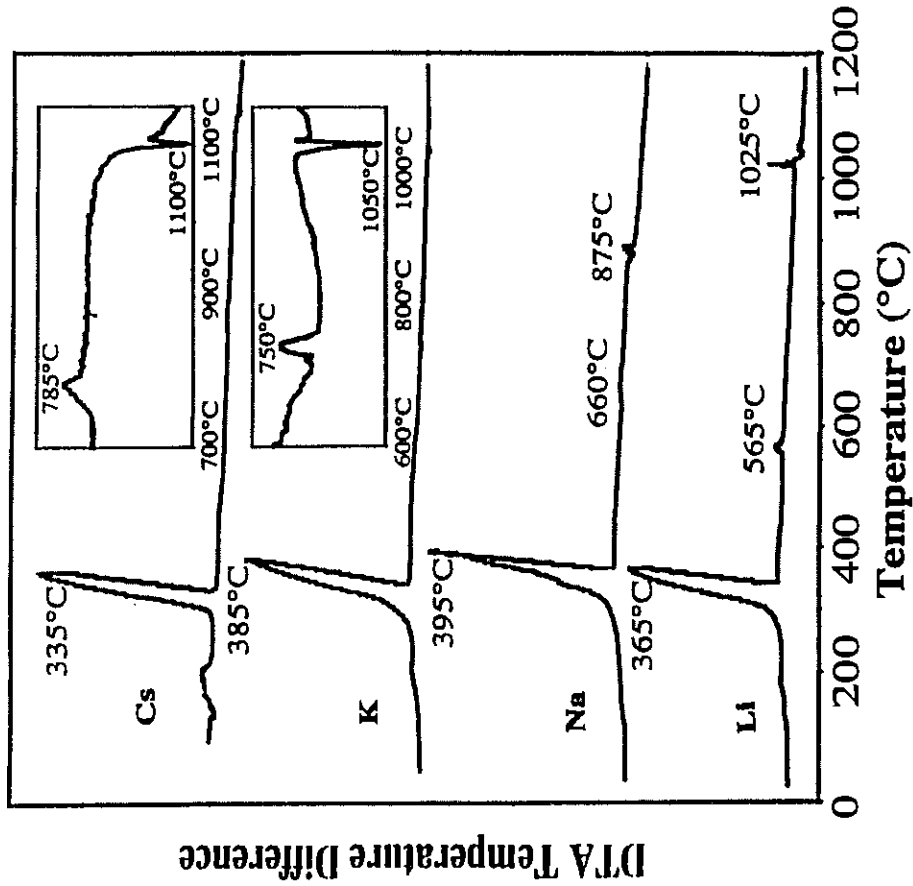
| Polymer | Conductivities | ²⁹ Si NMR(ppm) |
|------------------|-------------------------|---------------------------|
| Li ⁺ | 7×10^{-7} S/cm | -103 |
| K ⁺ | 3×10^{-5} S/cm | -107 |
| Ba ²⁺ | 6×10^{-6} S/cm | |

B. Dunn, T. Faltens--UCLA, 1994

What Can You Do With $M_2Si_2(OCHCHO)_5$?

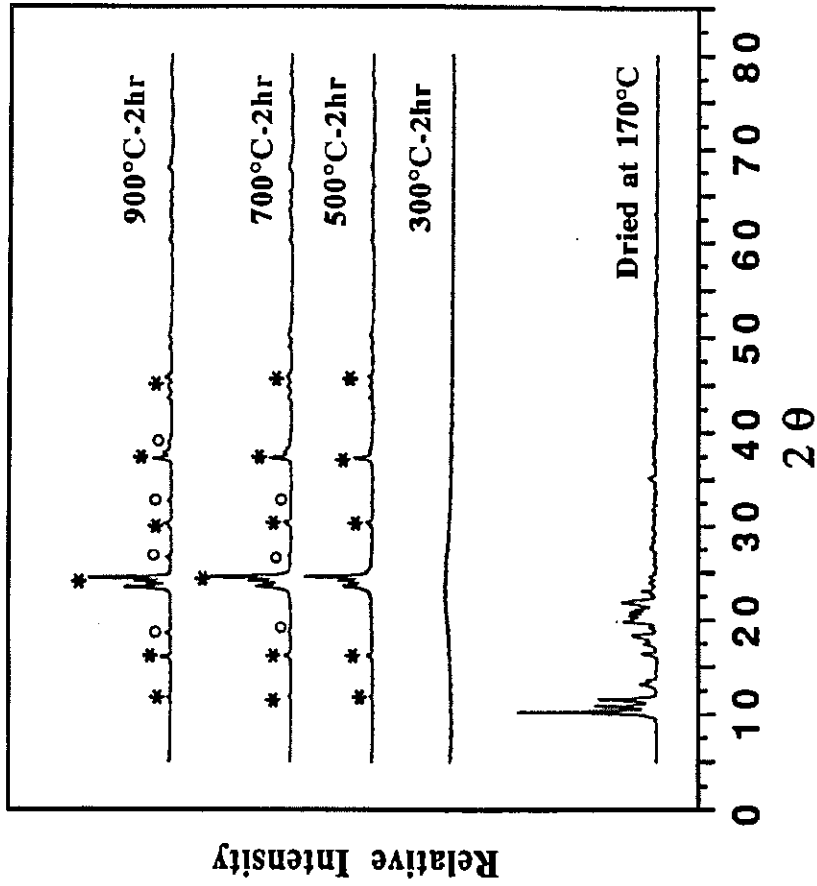


What Can You Do With $M_2Si_2(OCHCHO)_5$?



What Can You Do With $M_2Si_2(OCHCHO)_5$?

XRD of $Li_2Si_2(OCH_2CH_2O)_5$



*orthorhombic α - $Li_2Si_2O_5$, JCPDS File 17-447

°orthorhombic Li_2SiO_3 , JCPDS File 29-829

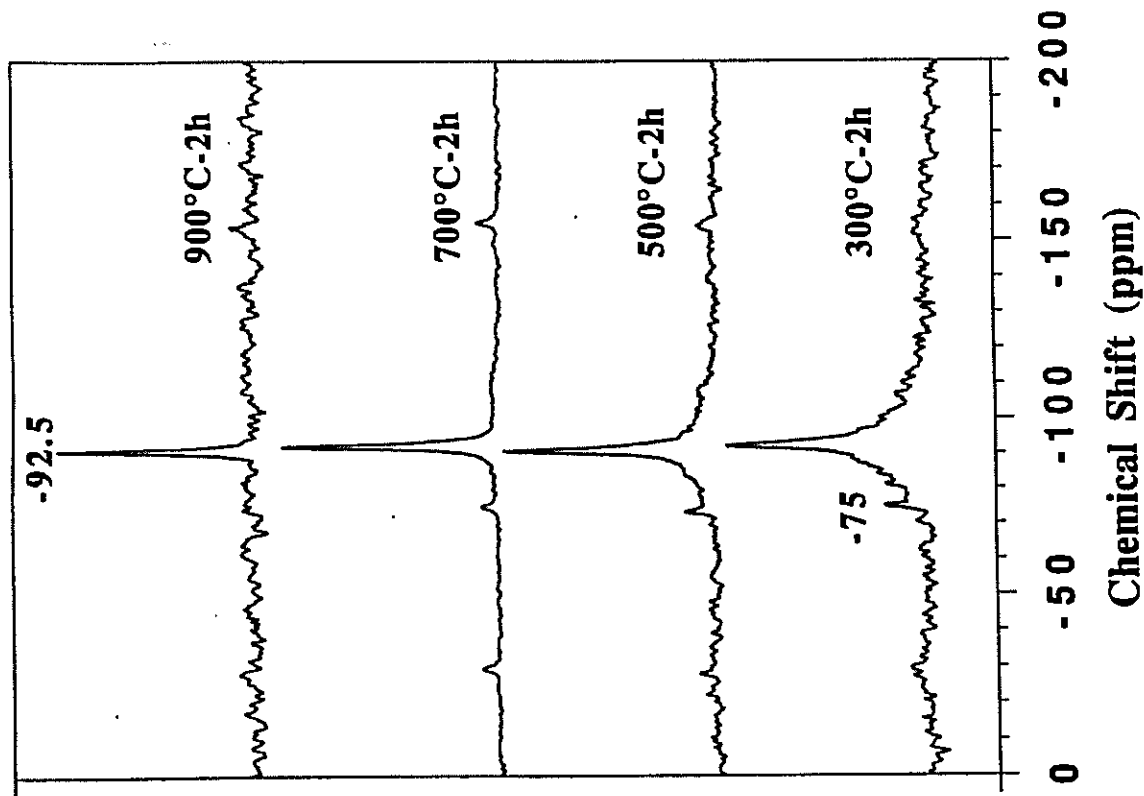
DTA of $M_2Si_2(OCH_2CH_2O)_5$

^{29}Si Solid state NMR of $\text{Li}_2\text{Si}_2(\text{OCH}_2\text{CH}_2\text{O})_5$

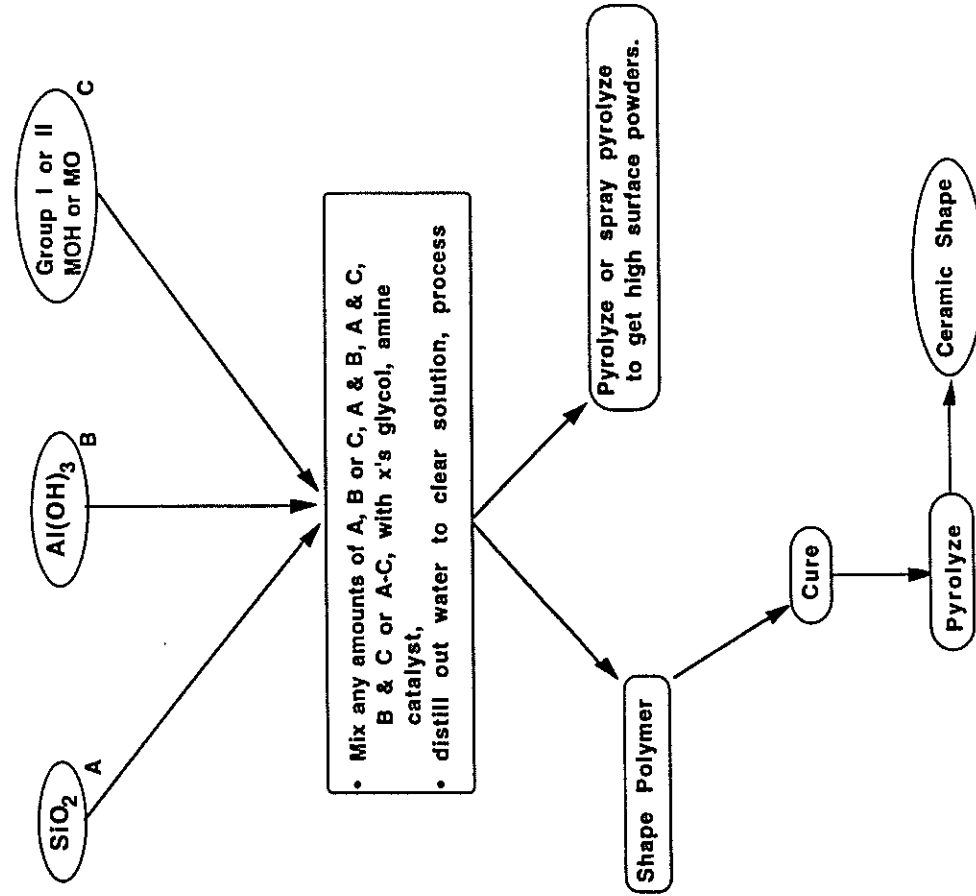
Chemicals, Polymers and Ceramics from the Beach, II.

C. Bickmore, K. W. Chew, P. Kansal, T. Hinklin,
B. Mueller, D. Treadwell, K. Waldner,
R. M. Laine & F. Babonneau

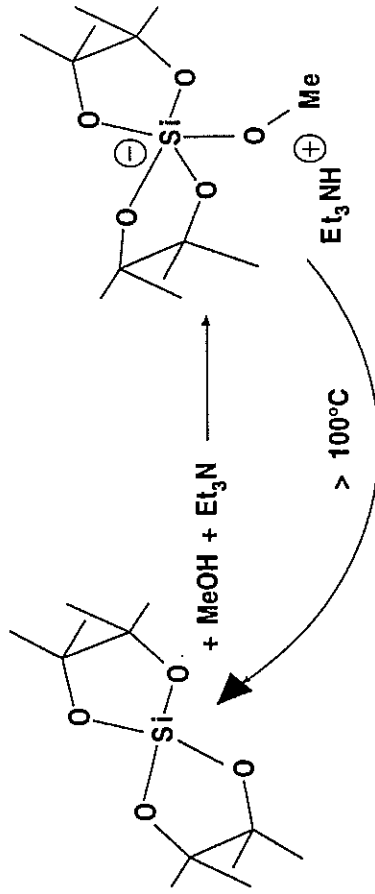
Dissolving the Beach with Antifreeze and Liquid-Plumr



OOPS Process



Background



Frye et al '71

SiO₂

Can we use a catalytic amount of amine to dissolve silica and form neutral tetra-alkoxy silanes?

Requirements:

- High boiling amine--b.p. >> 200°C
- Soluble in polar solvents--ethylene glycol
- Strong base--no aromatic amines

Solution:

Triethylenetetramine: H(NHCH₂CH₂)₃NH bp 266 TETA

Triethanolamine: HN(CH₂CH₂OH)₃ bp 277¹⁵⁰ TEA



Solution clears in 2-100 h of distillation depending on SiO_2 :

- Surface area,
- Crystallinity,
- Total volume of $\text{HOCH}_2\text{CH}_2\text{OH}$
- If too much solvent is removed early--gels
- *Also depends on [TETA]*

Recover polymer that:

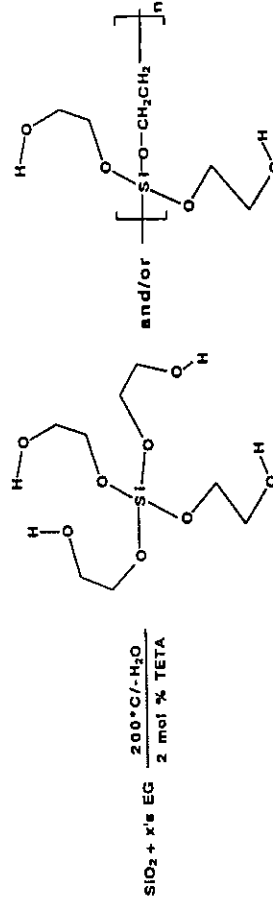
- Crosslinks on vacuum drying with heat
- Gives clear, hard plastic
- Soluble in Ethylene Glycol
- Spinnable?

Characterization

TETA Product: No fragmentation pattern in FABS Mass Spec.

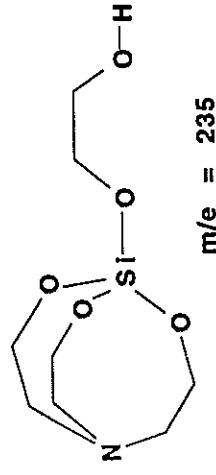
Polymeric material?

^{29}Si NMR, single peak at -82 ppm likely:



Soluble in EG only!!!

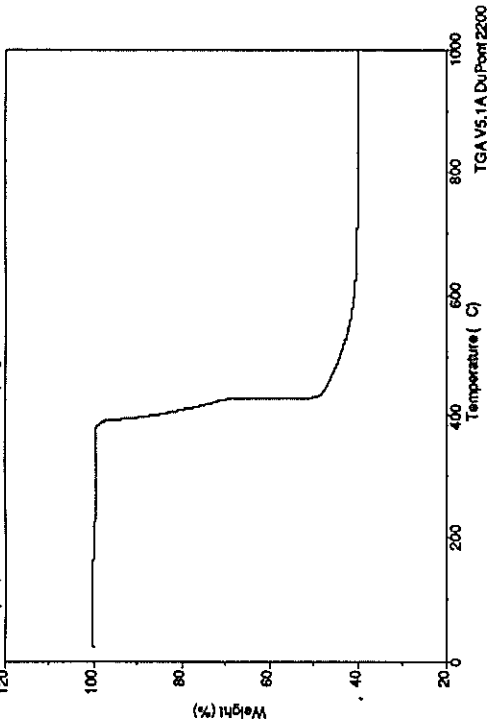
TEA Product: FABS suggests:



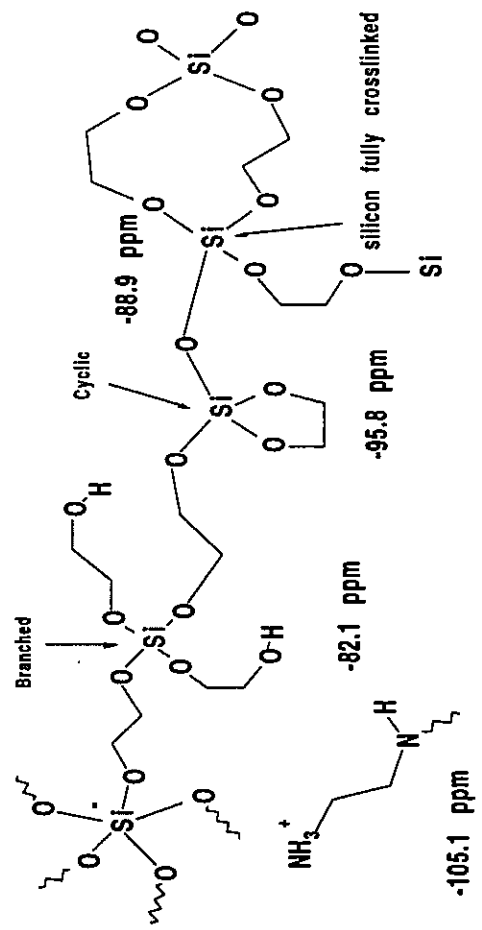
^{29}Si NMR, Single peak at -96.7 ppm (vs TMS)

Indicates tetra-alkoxysilanes, no pentacoordinate Si!!!

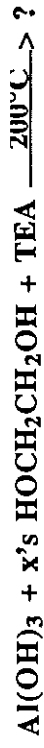
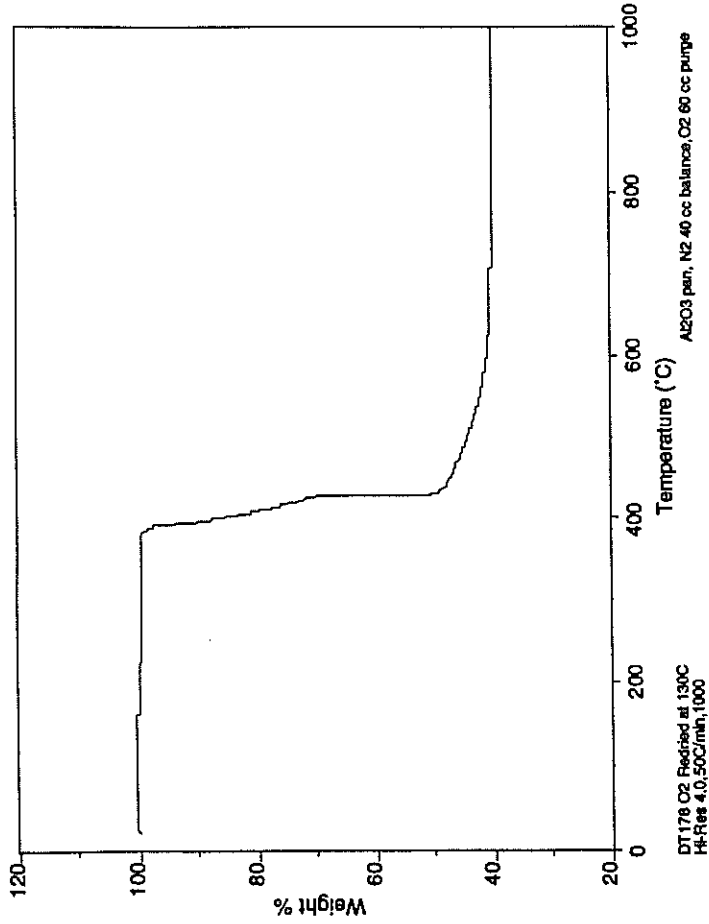
TGA
 File: C:\DRT\178.004
 Operator: DRT\JW of Michigan
 Run Date: 11-Feb-94 11:35
 Sample: DT178 O2 Fiedtied at 130C
 Size: 23.1760 mg
 Method: HiRes 4.0,50C/min, 1000
 Comp: AC03 pan, N2 40 cc balance, O2 60 cc purge



Solid State ²⁹Si, Idealized Structure:



TGA of Si(eg)2



Solution clears in 2-4 h:

- When all Al(OH)_3 reacts, recover polymer that
Crosslinks on vacuum drying with heat
Gives clear, hard plastic
Soluble in alcohols, CH_2Cl_2
Melt spinnable?
- Will not work with TETA.

Characterization of the Product

FABS of TEA·Al shows fragmentation patterns that suggest:



^{27}Al (CH_2Cl_2) = 4 ppm (hexacoord.), -60 ppm (tetracoord.)

What Can You Do with Neutral Alkoxides?

What Can You Do with Neutral Alkoxide Complexes?

OOPS Process Works for:

Mullite [$2\text{SiO}_2 \cdot 3\text{Al}_2\text{O}_3$]

Spinnable polymer prepared from a mixture of $6\text{Al}(\text{OH})_3$ and 2SiO_2 .

Gives phase pure mullite on pyrolysis to $1100\text{-}1200^\circ\text{C}$

Cordierite [$2\text{MgO} \cdot 5\text{SiO}_2 \cdot 2\text{Al}_2\text{O}_3$]

Spinnable polymer prepared from a mixture of $4\text{Al}(\text{OH})_3$ and 5SiO_2 and 2MgO .

Gives phase pure μ -cordierite at 900°C and β -cordierite above 1100°C .

Spinel [$\text{MgO} \cdot \text{Al}_2\text{O}_3$]

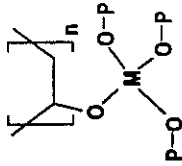
Rheologically useful polymer prepared from a mixture of $2\text{Al}(\text{OH})_3$ and MgO .

Gives phase pure Spinel at 1000°C

32

What Can You Do with Neutral Alkoxide Complexes?

Process Ceramers



Zeolites, Nanosized Powders

Neutrals

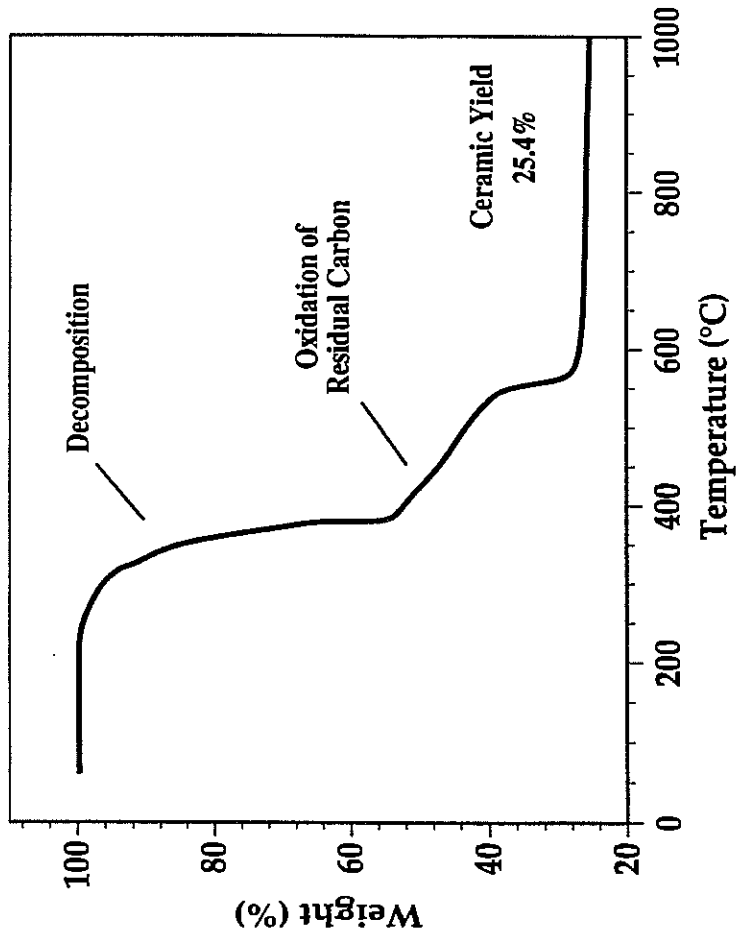
Mixed-Metal Aerogel/Xerogels

Process Polymer and Ceramic Films, Fibers, Membranes, Coatings

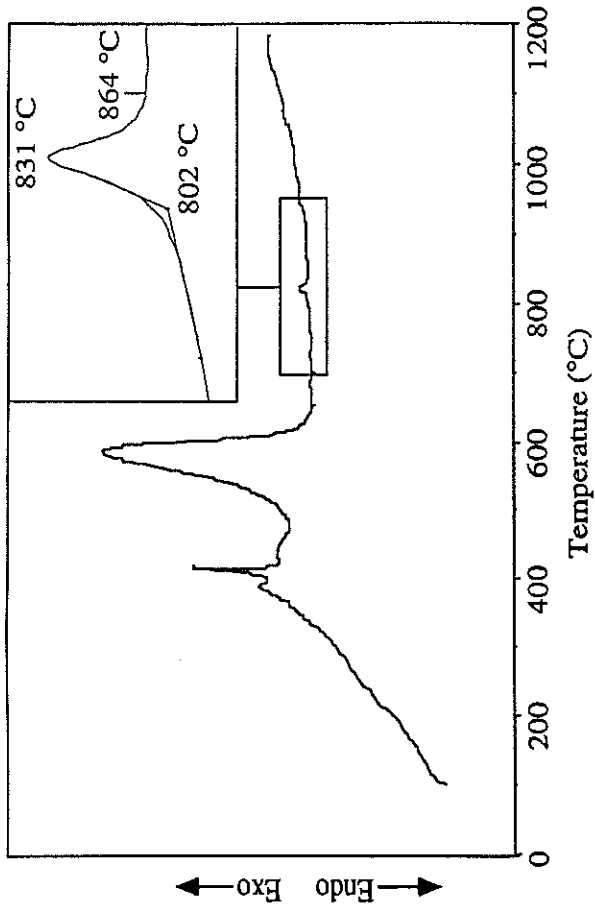
31

TGA Profile of Spinel Precursor

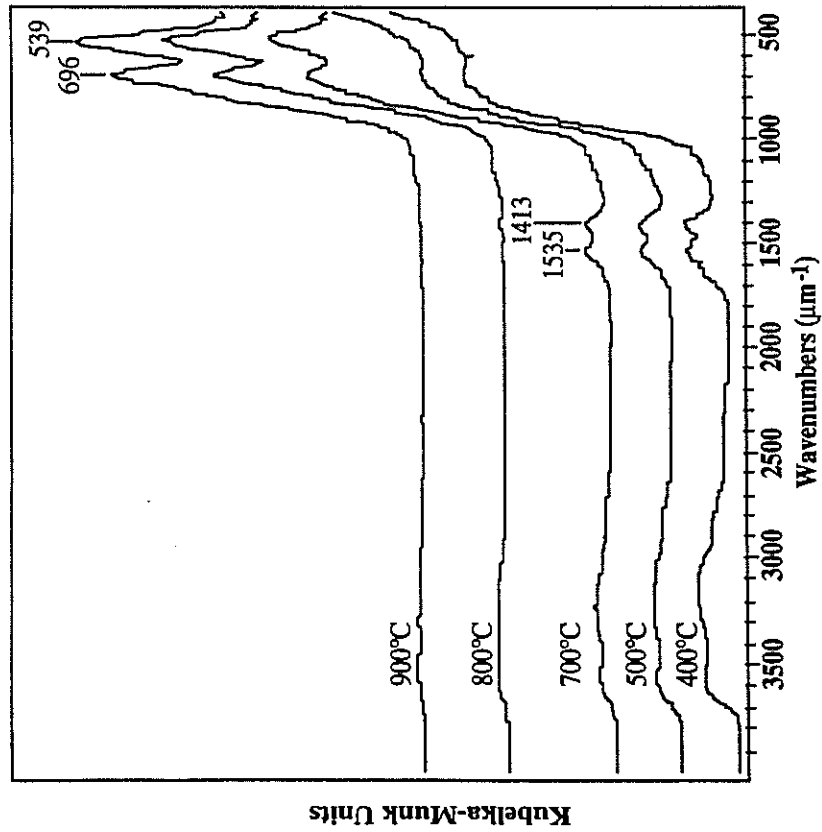
Spinel = $MgAl_2O_4$



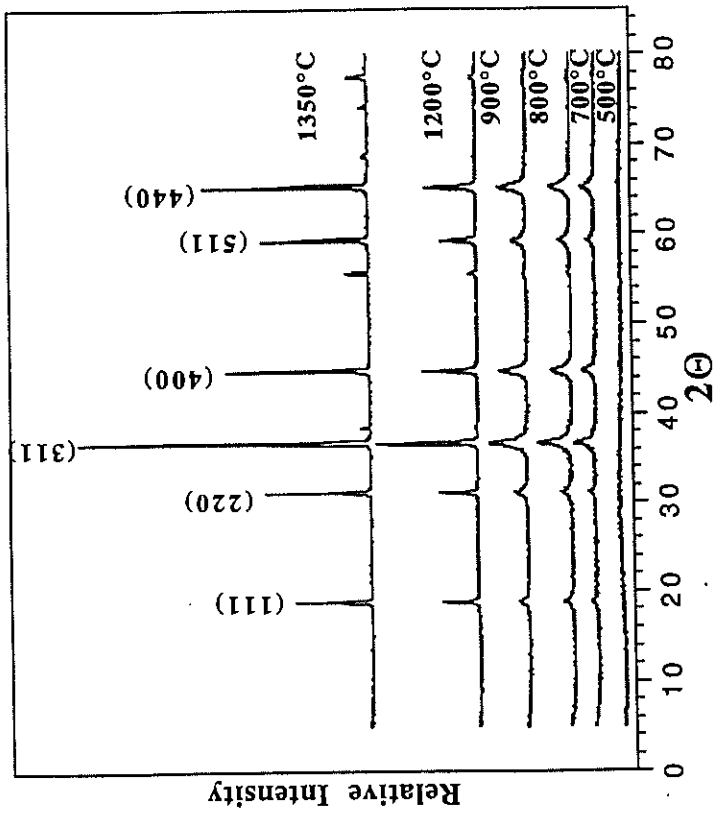
DSC Profile of Spinel Precursor Heated.



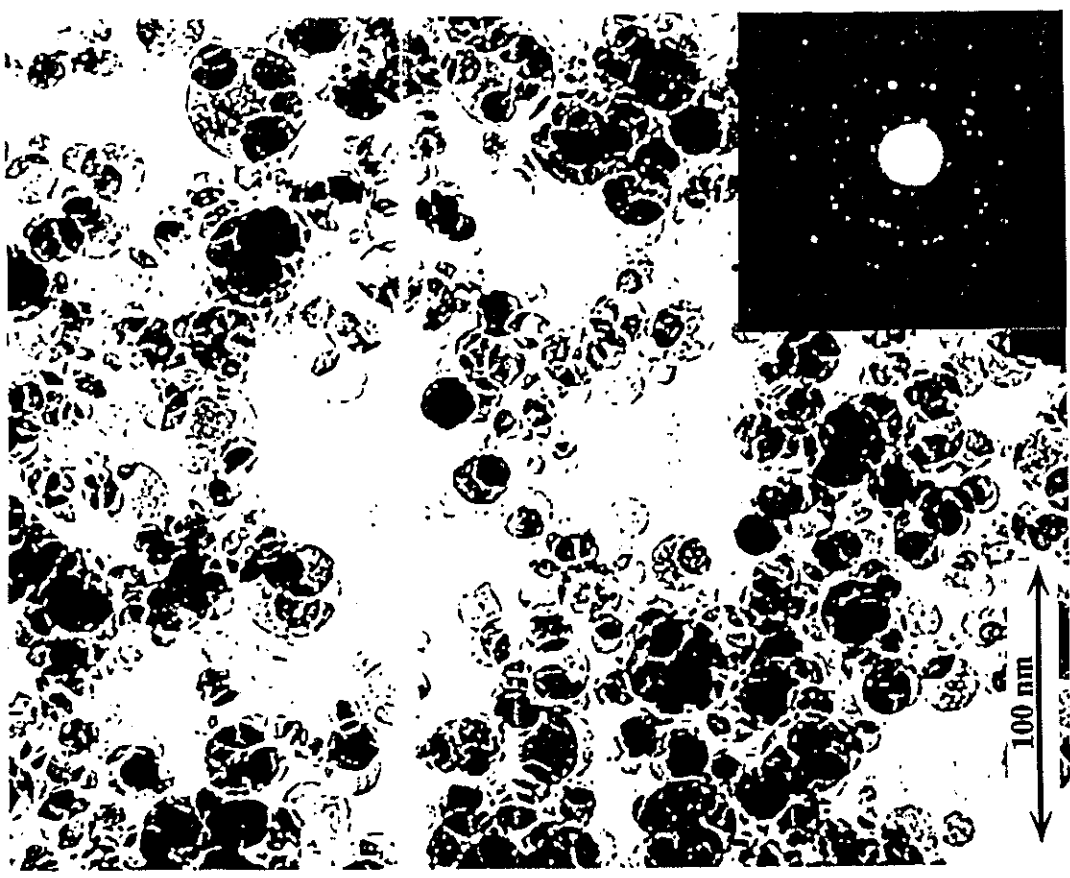
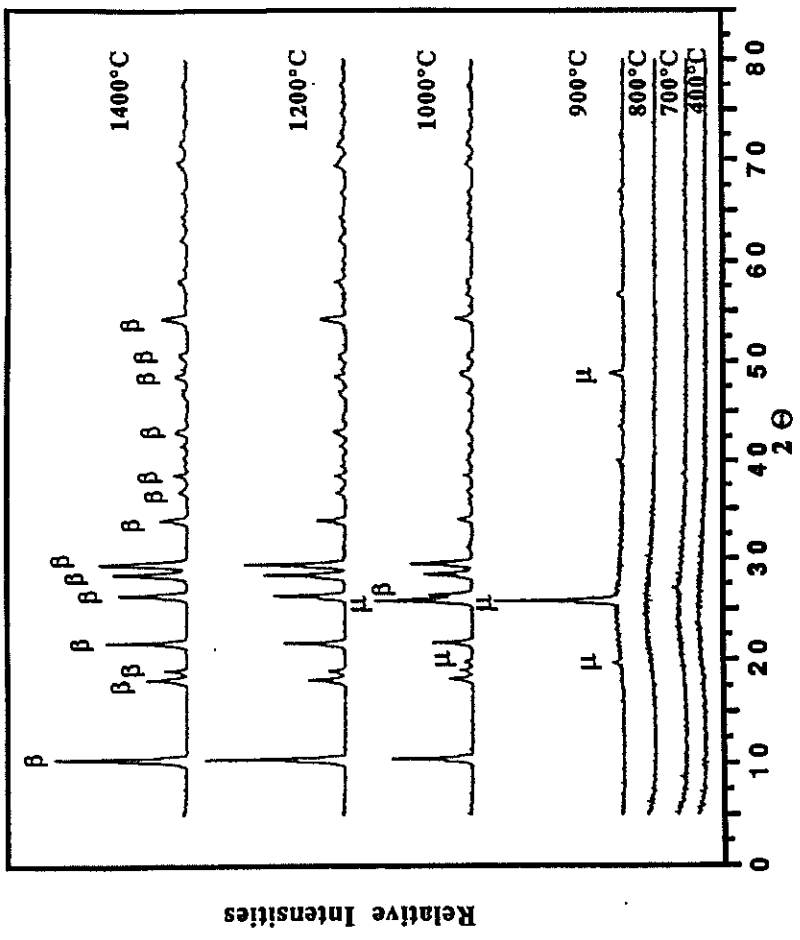
DRIFT Spectra of Spinel Precursor Heated to Selected Temps.



AND GATEWAY TO SPINEL PRECURSOR HEATED TO SELECTED TEMPS.



LOW-ANGLE DIFFRACTION OF CARBONIC TITANIUM
 Pyrolyzed for 4 Hours in Flowing Air



Conclusions

Directly from SiO₂ synthesis:

- tetra-alkoxysilane monomers and oligomers
- penta-alkoxy, aryloxy silicate monomers and oligomers
- hexa-alkoxy, aryloxy silicate monomers

Directly from Al(OH)₃ synthesis:

- trialkoxyaluminane monomers and oligomers

From mixtures of SiO₂, Al(OH)₃ + other MO_x synthesis:

- Wide variety of aluminosilicate polymer precursors

Can make materials with following properties:

NLO

High Temperature LCs

Ion Conducting polymers

Pre-ceramic Polymers

Ceramic powders, films, fibers

Composites

Paul Calvert

Polymer/Inorganic Composites via
Biomimetic Processing

Emanuel Giannelis

Design, Synthesis and Properties of
Polymer Matrix Nanocomposites

Bruce Novak

Mutually Interpenetrating Organic-
Inorganic Networks: Synthesis,
Structure and Properties

BIO-MIMETIC COMPOSITES

Paul Calvert
University of Arizona

Bone Compared to Synthetic Short Fiber Composites
Microstructure and Properties

Organic-Inorganic Hybrids

In situ Precipitation of Elongated Particles

In situ Precipitation in Crystalline Polymers

Biomimetic Composites

Summary

Bone is a composite material with good strength, stiffness and toughness. An equivalent synthetic material could have many applications as a replacement for metal in structures.

Various approaches to organic-inorganic hybrids let us replicate the morphological characteristics of bone: very fine particles, high volume fractions, elongated particles, tough matrices and good adhesion. Interesting materials can be formed, such as surface hardened polymer sheets. However, the materials always become brittle as they become stiff, in exactly the same way as do normal composites.

A second look at bone shows that its toughness is due to microcracking which arises from a layered structure at the 10µm level, not from the fine scale structure. The secret is in the hierarchy.

We have recently been working on one of a family of solid freeform fabrication methods. These offer a route to the formation of hierarchical structures. Since, like bone, the material is formed layer by layer, these methods also allow chemical formation of materials as opposed to conventional thermal processing.

Properties of Synthetic and Biological Composites

| | Volume Fraction, % | Tensile Modulus, GPa | Tensile Strength, MPa | Strain to break, % | Work of Fracture, J m ⁻² |
|---|--------------------|----------------------|-----------------------|--------------------|-------------------------------------|
| Continuous fiber PEEK/AS4, Parallel | 61 | 140 | 2200 | 1.5 | 1900 |
| Continuous fiber PEEK/AS4, Perpendicular | 61 | 8.3 | 73 | | |
| Sheet Molding compound (resin + filler + fiber) | 50, 15-30% fiber | 15 | 86-230 | 1.3-1.7 | |
| Polybutyleneterephthalate/glass beads | 25 | 4.9 | 95 | | |
| Polyethyleneterephthalate + short glass fiber | 35 | 20 | 165 | 1 | 3200 |
| Bone (bovine femur) | 41 | 20 | 220 | 10 | 1700 |
| Collagen (tendon) | 0 | 3 | 100 | | |
| Hydroxyapatite | 100 | 110 | 100 | | |
| Polyethyleneterephthalate | 0 | 3.3 | 60 | 275 | 7300 |
| E glass | 100 | 70 | 3000 | | |

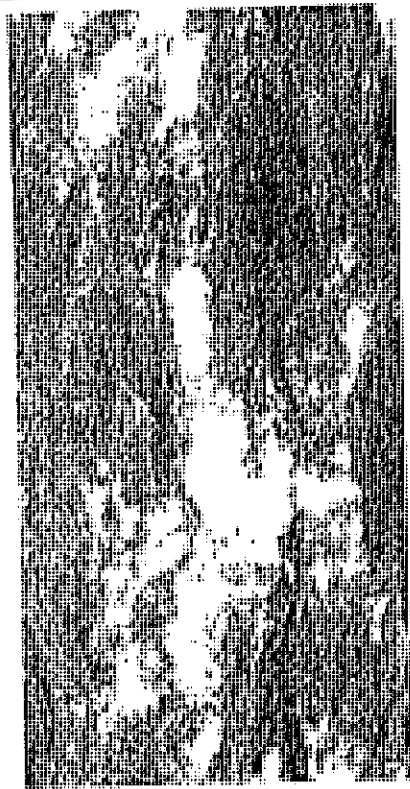
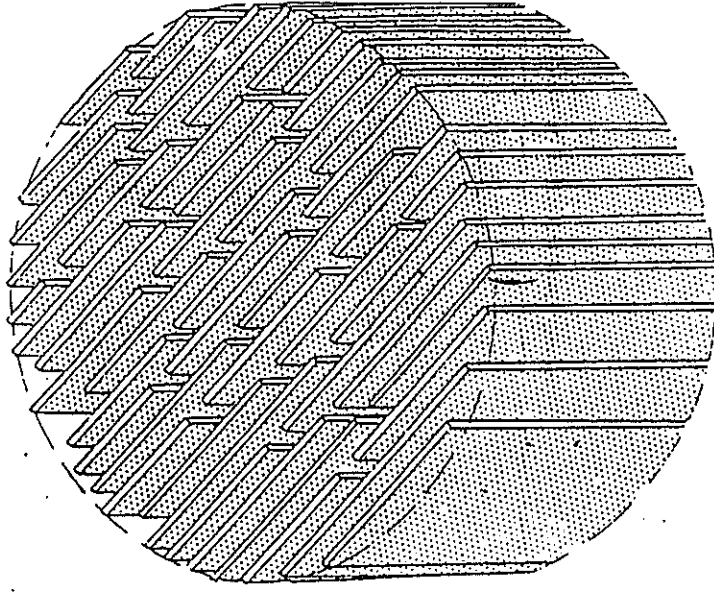


FIGURE 3. Area of advanced calcification in osteonic bone: needle- and filament-like crystals partially mask the inorganic substance in bands (compare with Figure 2). Unstained, original magnification $\times 80,000$.

E. B. M. C. C.



Bone: Hydroxyapatite plates in
collagen fibril

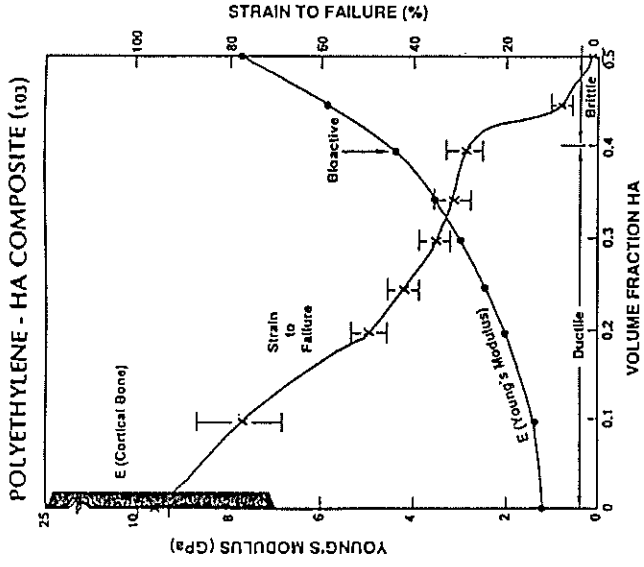
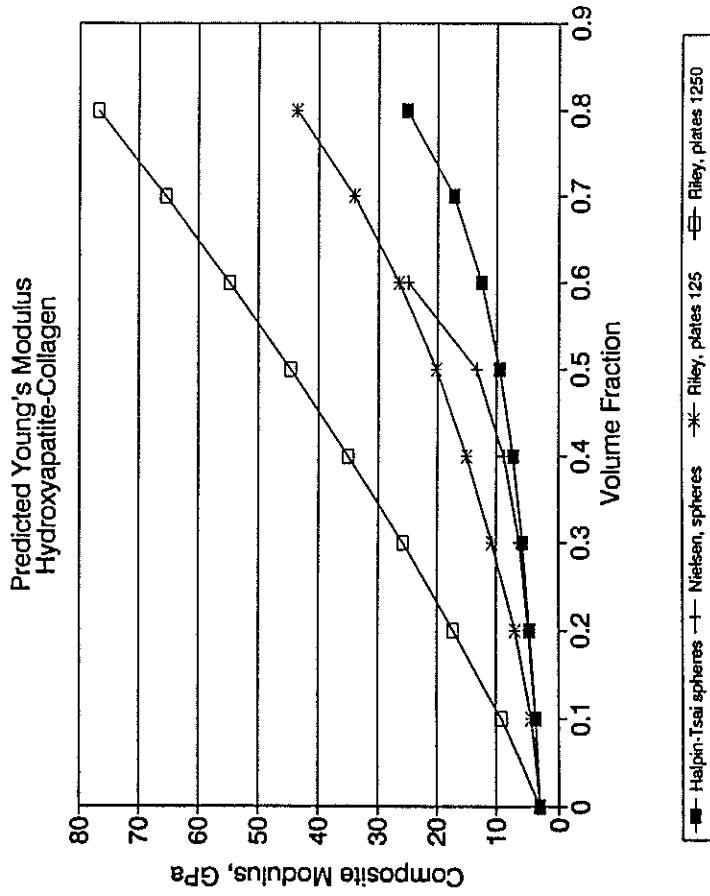


Figure 11. Effect of HA on Young's modulus and strain to failure of a polyethylene-HA composite. (Based on data of Reference 102.)



Bone as a Composite

Nanosized reinforcing particles

Ribbon-like reinforcement

High Volume fraction, oriented packing

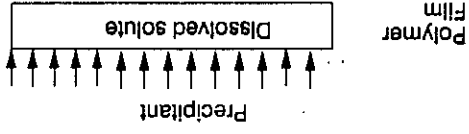
Tough matrix

Good Interfacial Bonding

Hierarchical Structure

Fracture toughness by microcracking ?

IN-SITU PRECIPITATION



| SOLUTE | PRECIPITANT | PRECIPITATE |
|---|-------------------------|----------------------------|
| Iron (III) chloride | Base | Haemattite, Magnetite |
| Titanium isopropoxide | Water | Titania (amorphous) |
| Titanium isopropoxide/
Barium isopropoxide | Water | Barium titanate |
| Water | Silicon tetraethoxide | Silica --> Silicon carbide |
| Iron (III) Chloride | Reducing agents | Iron |
| Zirconium isopropoxide | Water | Zirconia |
| Cadmium chloride | D(trimethylsilyl)sulfur | Cadmium sulfide |

Polymers: PMMA, PBuMA, PVF2, Polyethersulfone, Cellulose

Size Control in Titania Mineralization

Phase separation:

Scale about 1 μm in polymer

Diffusion controlled growth

$$r^2 = D(C-C_s)t$$

$D=10^{-5}-10^{-8} \text{ cm}^2\text{s}^{-1}$, $c=1-10\%$ and $t=100 \text{ sec.}$

Precipitation by reaction:

Homogeneous system, followed by reaction

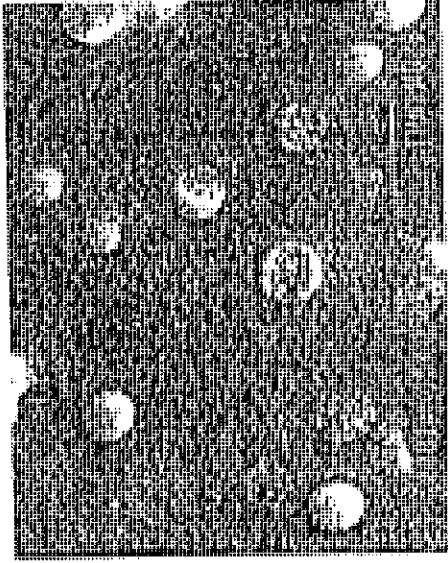
A nucleation concentration must be reached

The growth concentration is then close to this:

$$r^2 = D(C-C_s)t$$

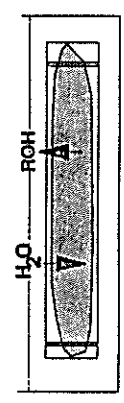
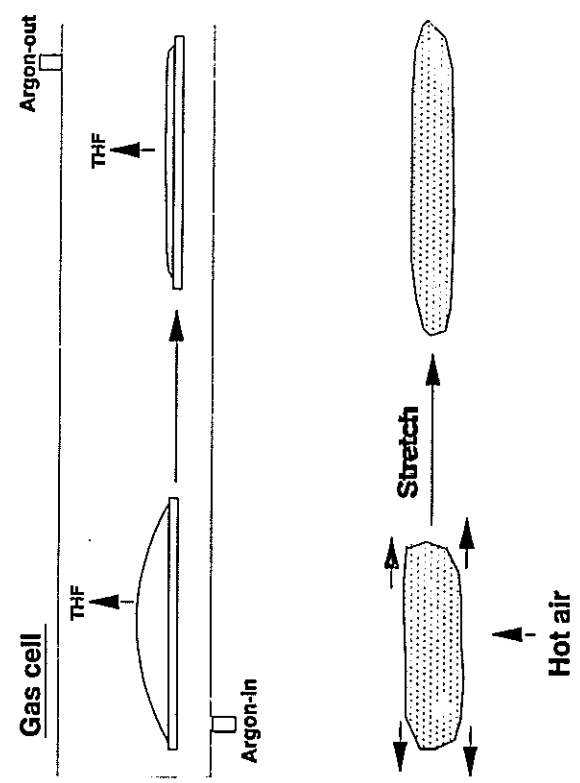
Now $D=10^{-8}-10^{-12} \text{ cm}^2\text{s}^{-1}$, C is low: $10^{-3}-10^{-5}$, and $t=10^4\text{s}$.

The resulting r is 0.1 μm or less.

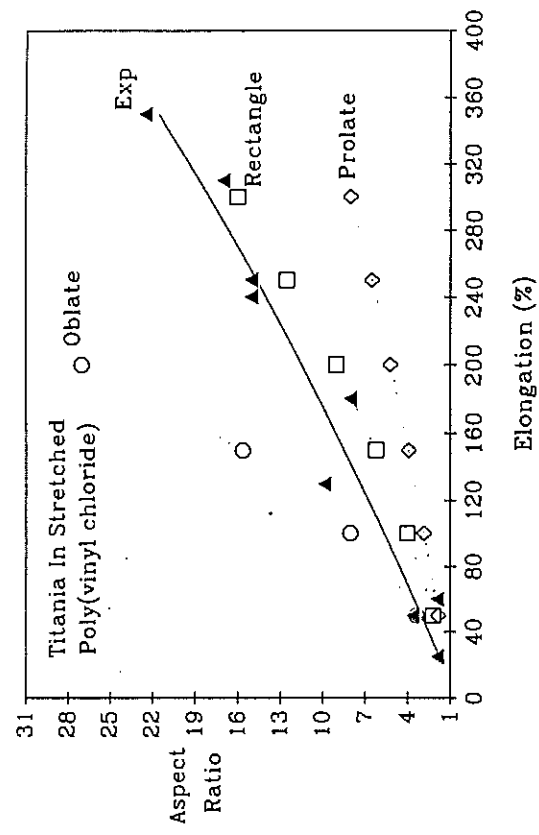


Spherical Barium Titanate particles in an Acrylate matrix

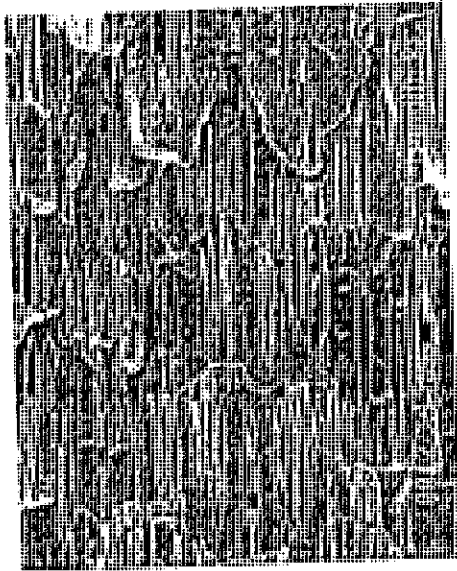
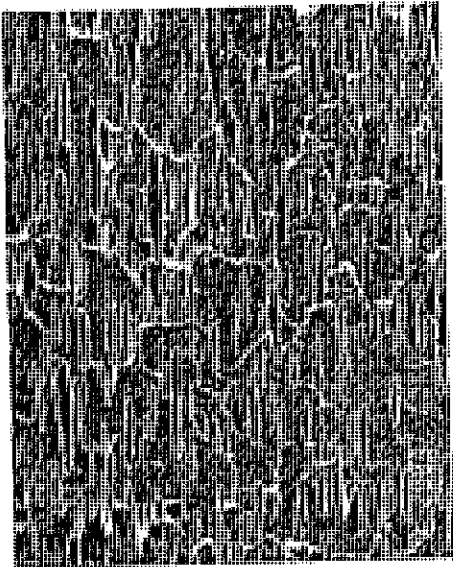
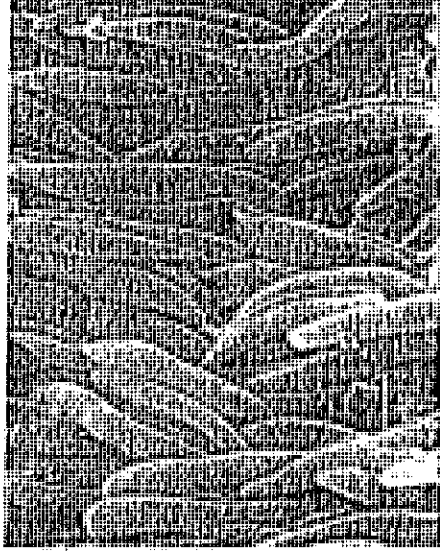
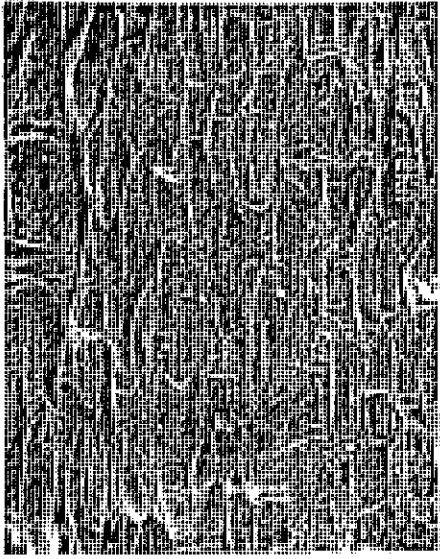
Preparation of Oriented Samples



Clamp sample and hydrolyse.



- ◆ Prolate Ellipsoid formation ?
- ◆ Selective axis constriction during draw process in film
- ◆ Close to rectangular A.R pattern



MELT PROCESSING

Polypropylene + 0-60 wt% Titanium butoxide
+ Potassium Benzoate (Nucleating Agent)
+ Tristearyl titanium isopropoxide (coupling agent)

Extrude 180°C, hydrolyze 12 hours 100°C.

PROCESSING

Miscibility in Melt
Can be achieved by match of solubility parameters

Extrusion
Titanium Alkoxide reduces melt viscosity

Crystallization
Nucleation
Delayed Crystallization
Rejection

Reaction time
D (100°C) 10^{-5} - 10^{-7} $\text{cm}^2 \cdot \text{s}^{-1}$, Diffusion time(100 μm)=100-1000 sec.

Particle Size & Shape

Coupling Agent

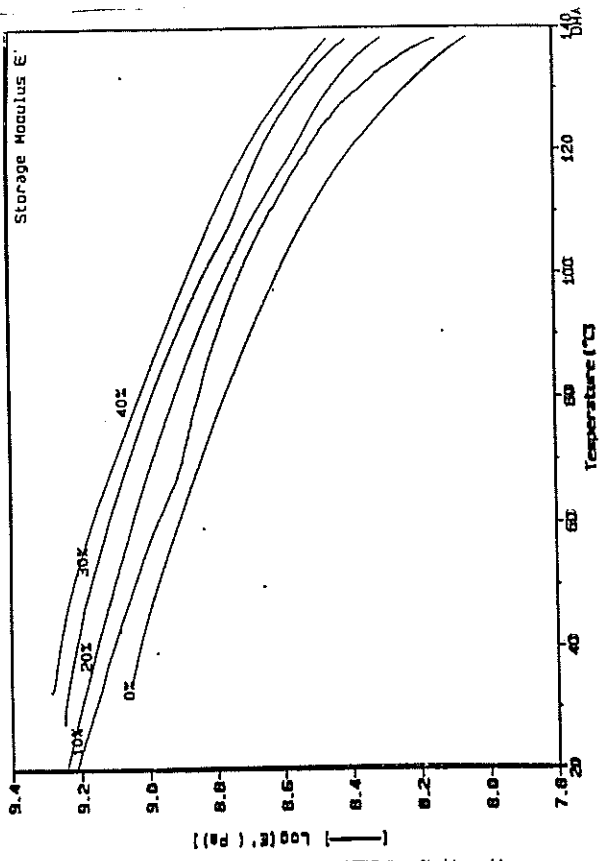


Figure 9 : Dynamic modulus as a function of T°C & wt% il-butoxide

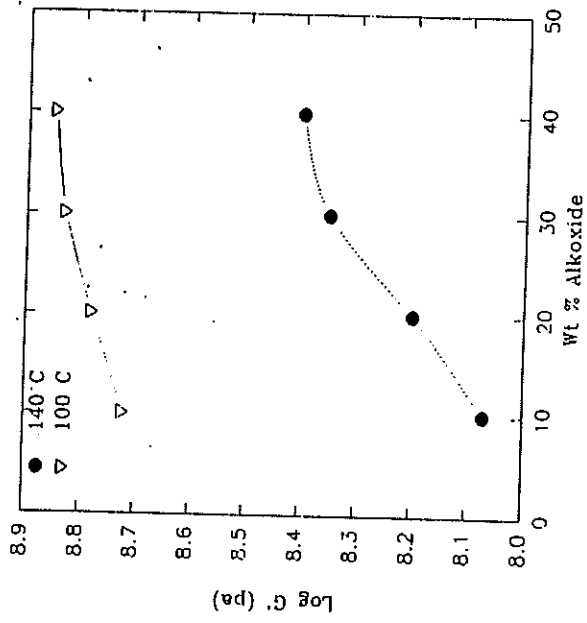


Figure 11 : Effect of temperature on modulus

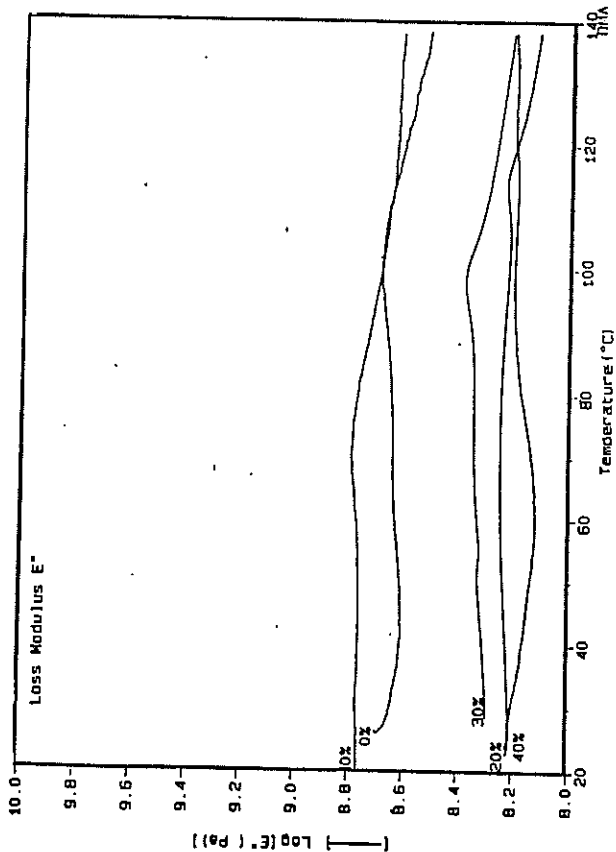


Figure 12 : Loss modulus as a function of T°C & wt% titanium dioxide

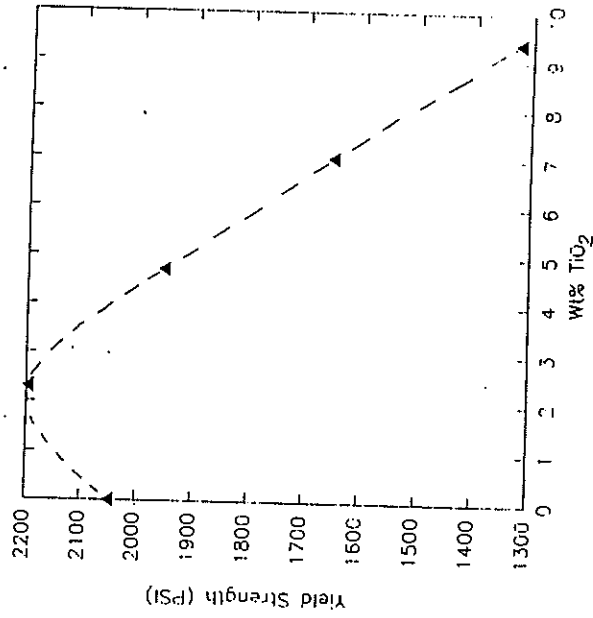


Figure 14 : PP/TiO₂ Composite Strip Yield Strength

CONCLUSIONS

- Suppression of Segregation
- Interspherulitic Fracture vs Uniform Yield
- Microstructural Control
- Interfacial Strength

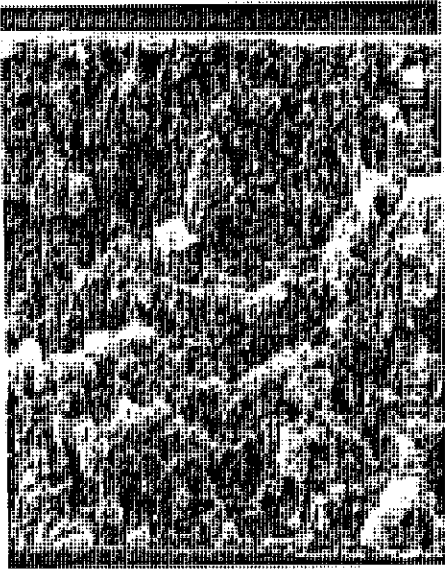


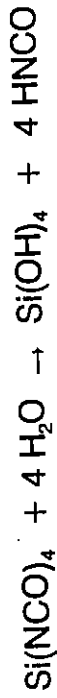
Figure 28 : Tensile specimen fracture - 9.38% TiO₂ composite



Figure 29 : Tensile fracture surface closeup - 9.38% TiO₂ composite



bp 185.6 °C
mp 26 °C



Sorption Results

| 140 °C,
1 hr. | Film mass
before imm. (g) | Film mass
after imm. (g) | %
Change |
|-----------------------|------------------------------|-----------------------------|-------------|
| Biaxially
Oriented | 0.1183 | 0.1236 | 4.5 |
| | 0.1687 | 0.1781 | 5.6 |
| | 0.1491 | 0.1814 | 5.4 |
| Amorphous
A-150 | 0.1512 | 0.1814 | 20.0 |
| | 0.1798 | 0.2138 | 18.9 |
| | 0.1488 | 0.1771 | 19.0 |

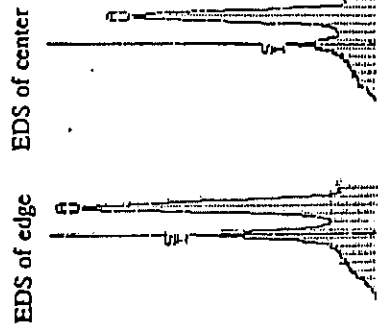


Fig. 2. SEM micrograph/EDS spectra of cross section of Si(NCO)_4 treated film. Edge & bulk regions of film are denoted by 1 & 2 respectively.

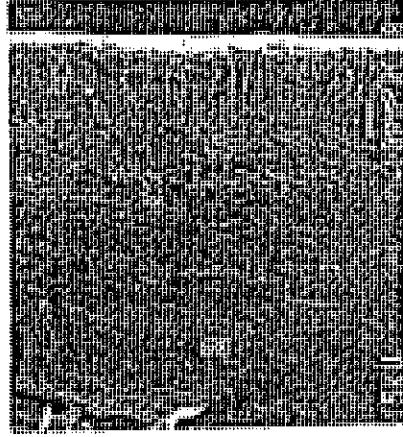


Fig. 3. SEM micrograph/EDS spectra of cross section of Si(NCO)_4 treated film washed in CH_2Cl_2 . Edge & bulk film regions denoted by 1 & 2 respectively.

Table I
Hildebrandt solubility parameter values for selected organosilanes

| Silane | δ (cal/cc) ^{1/2} |
|--|----------------------------------|
| SiCl ₄ | 7.82 |
| Si(O ₂ Me) ₄ | 8.51 |
| Si(O ₂ Et) ₄ | 6.83 |
| (MeO) ₂ Si(O ₂ Ph-Me) ₂ | 9.21 |
| (EtO) ₂ Si(O ₂ Ph) ₂ | 9.20 |
| Si(NCO) ₄ | 9.41 |

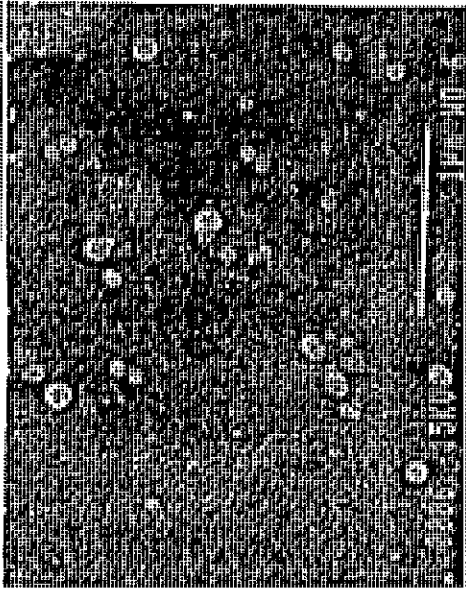


Fig. 5. SEM micrograph of Si(NCO)₄/steam treated film cross section. Note white spheres are silica particulates.

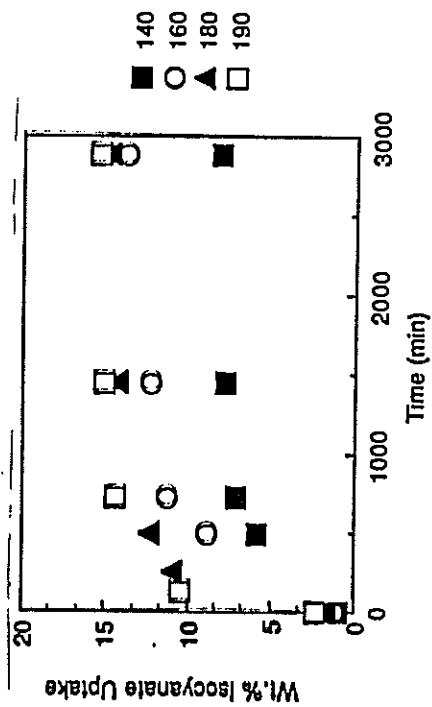


Fig. 1. Sorption isotherms of Si(NCO)₄ vapor in Melinex 442 PET film

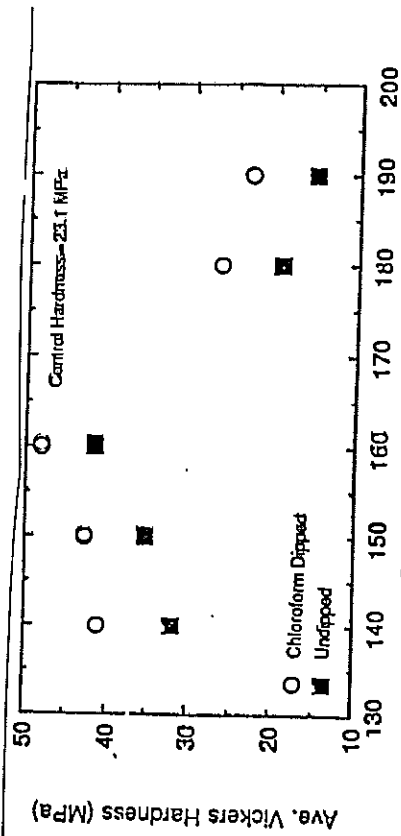


Fig. 4. Hardnesses of CHCl₃ washed & unwashed Si(NCO)₄/steam treated PET films

TGA File Name: 456
 Sample Weight: 14.535 mg
 File Name: 14.535 mg
 Date: 09/04/93 10:37 AM

TGA File Name: 456
 Sample Weight: 14.535 mg
 File Name: 14.535 mg
 Date: 09/04/93 10:37 AM

ORGANIC-INORGANIC HYBRIDS

Moldable, high stiffness composites

In Situ Precipitation: Small Particles, no agglomeration

Silica + Various Polymers:

Amorphous and Crystalline

Polar and Non-Polar

Glasses and Rubbers

Volume Fractions 10-50%

Particle Size nm- μ m

Spherical (except for intercalated clays)

Interfacial coupling is important

Modulus behaves as expected, but still embrittles at high volume fraction.

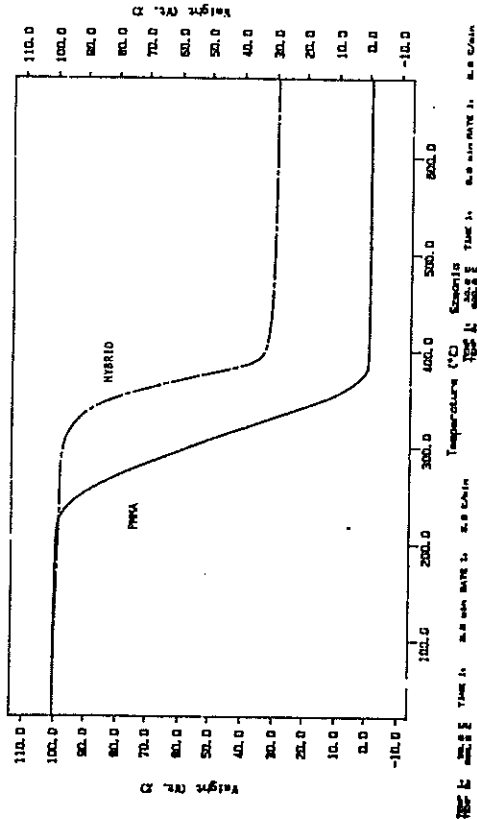




Figure 9.4. Scanning electron micrograph of a broken section through the end of the tibia of a young rat. The upper bulbous portion is the epiphysis and below that is the metaphysis. The zone bordering the two is the epiphyseal growth plate. Scale bar: 1 mm.

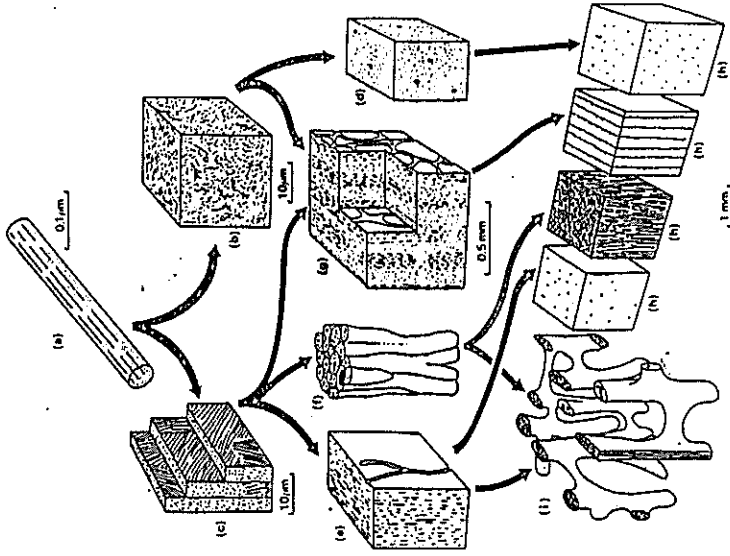


Fig. 5.14 Diagram showing the structure of mammalian bone at different levels. Bone at the same level is drawn at the same magnification. The arrows show what types may contribute to structures at higher levels.
 (a) Collagen fibril with associated mineral crystals.
 (b) Woven bone. The collagen fibrils are arranged more or less randomly. Osteocytes are not shown.
 (c) Lamellar bone. There are separate lamellae, and the collagen fibrils are arranged in 'domains' of preferred fibrillar orientation in each lamella. Osteocytes are not shown.
 (d) Women's bone. Blood channels are shown as large black spots. At this level woven bone is indicated by light dotting.

Handwritten signature

SOLID FREEBODY FORMING OF COMPOSITES AND CERAMICS

Hierarchical Structures

Bone

Control at nanometer level

Composition

Size

Orientation

Control at micron level

Phase separation

Crystallization

Control at Millimeter Level

SFF: Solid Freeform Fabrication

Functional Gradient Materials

Kevin Stuffle and Tony Mulligan
Advanced Ceramics Research Inc, Tucson

John Lombardi, Brian Fabes and Paul Calvert
University of Arizona

Solid Freebody Forming Methods

System and Products

Advantages of Layerwise Processing

Limitations of *In Situ* Polymerization

Solid Freebody Fabrication Methods
Layerwise Processing

Stereolithography

3D Systems Inc.

Prototypes & some usable parts

3D Printing

Sachs, Cima et al., MIT, E-systems

Molds

Selective Laser Sintering

Marcus, Barlow et al., U. of T. Austin, DTM (B.F. Goodrich)

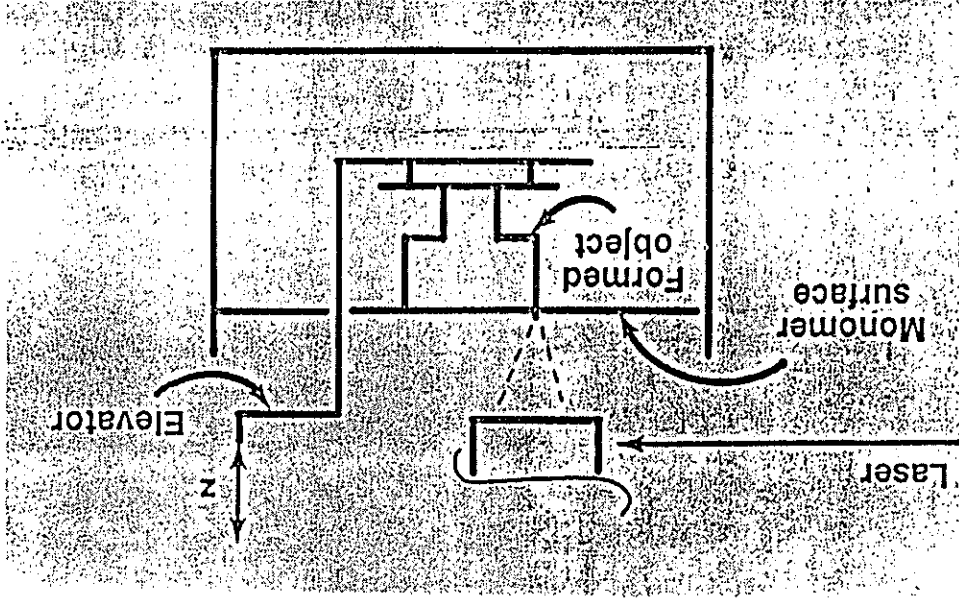
Fused Deposition Modelling

Stratasys Inc.

Laser Cut and Weld

CNC Machining

Figure 1. The stereolithography apparatus



Filling Pattern

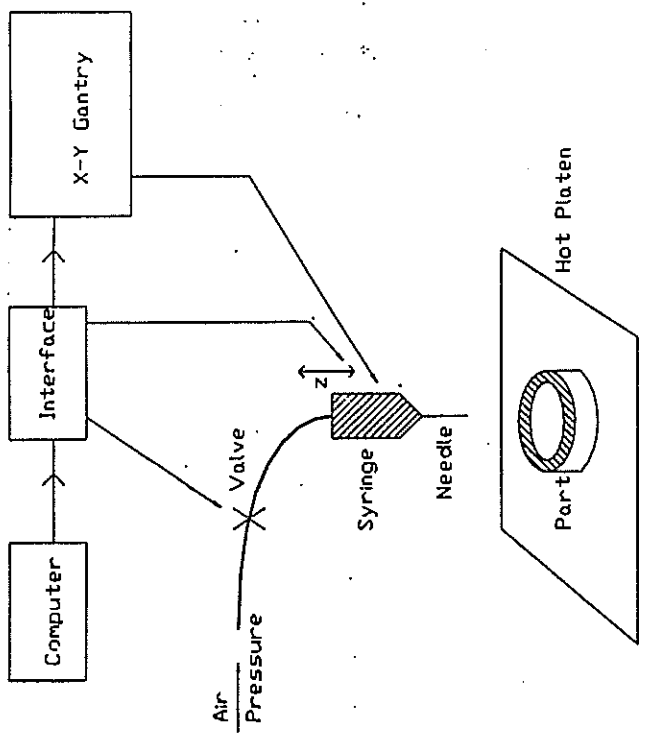
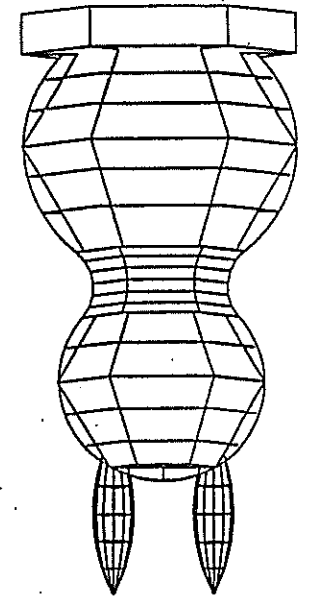
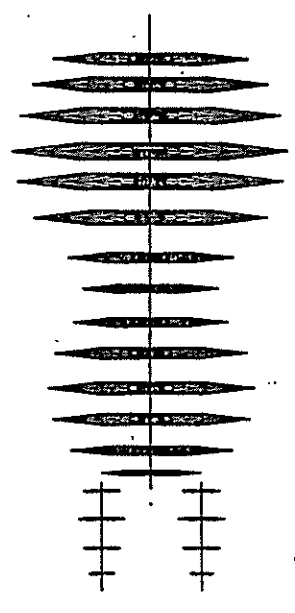
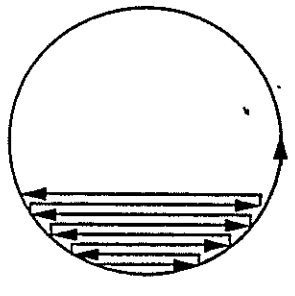


Table 1: Composition of Acrylic Monomer Formulations

| | | | | | | | |
|-------------------|------|------|------|------|------|------|------|
| Alumina, wt% | 26.2 | 34.0 | 39.5 | 42.5 | 45.1 | 47.7 | 48.9 |
| Triton X-100, wt% | 7.8 | 10.1 | 10.3 | 9.8 | 9.3 | 10.8 | 10.5 |
| TMPTA, wt% | 3.4 | 4.4 | 4.0 | 3.8 | 3.6 | 3.6 | 3.2 |
| HODA, wt% | 29.6 | 36.3 | 34.5 | 32.8 | 31.3 | 28.2 | 27.9 |
| DBE, wt% | 32.9 | 13.1 | 39.5 | 11.2 | 10.7 | 9.7 | 9.5 |
| Mill time, hr | 24 | 24 | 21 | 21 | 41 | 49 | 49 |
| Density, g/ml | 1.54 | 1.75 | 2.01 | 2.00 | 2.16 | 2.19 | 2.23 |
| Viscosity, P | 6 | 12 | 29 | 50 | 112 | 127 | 146 |

HODA: Hexanedioylacrylate, TMPTA: Trimethylolpropanetriacrylate, DBE: Dibasic ester, plasticizer (dimethylglutarate + dimethylsuccinate).

Properties:

Green Density 60%

Fired Density 90-99%

Flexural Modulus 358 GPa

Flexural Strength 430-600 MPa

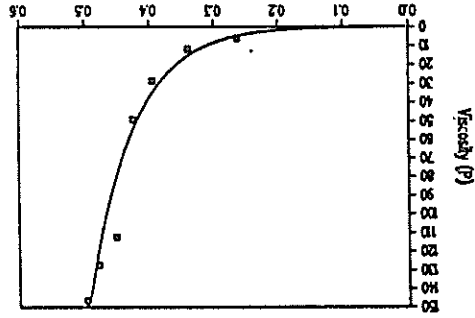
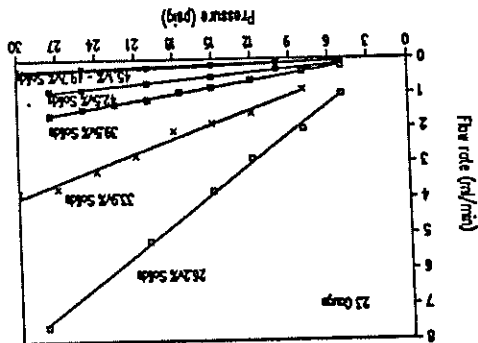
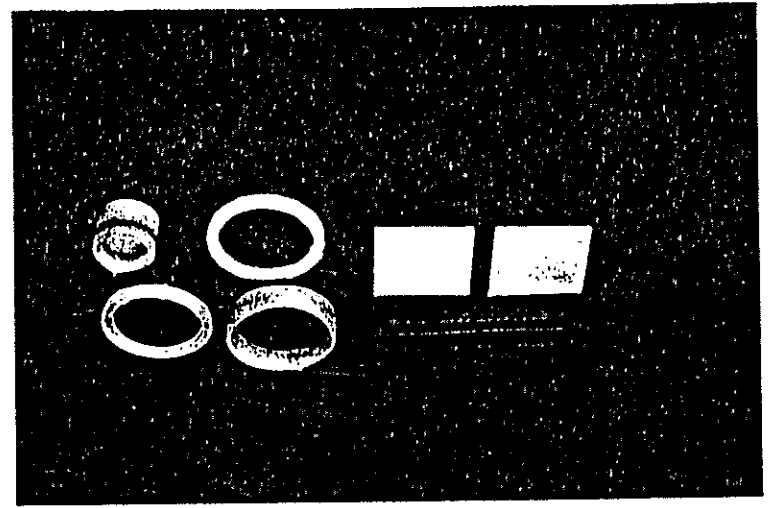


Figure 1. Flow Rate vs. Pressure Profiles for Al_2O_3 -(HODA-TMPTA) Slurries



3D Bodies Prepared by ACR SFF

Process



Rings, Plates and Bar

TABLE III
Summary of Flexure Testing Results

| Composition | Max Displacement (MPa) | Max Stress (MPa) | Flexure Modulus (GPa) |
|-------------------------------|------------------------|------------------|-----------------------|
| 0 wt Silica - Resin I | 2.57 | 38.3 | 0.96 |
| 3.75wt Silica - Resin I | 3.05 | 42.8 | 1.03 |
| 7.5 wt Silica - Resin I | 3.12 | 48.8 | 1.15 |
| 11.23wt Silica - Resin I | 3.16 | 54.9 | 1.39 |
| 15.0 wt Silica - Resin I | 2.99 | 58.2 | 1.56 |
| 22.5 wt Silica - Resin I | 2.34 | 75.1 | 2.56 |
| 30.0 wt Silica - Resin I | 1.64 | 61.5 | 2.89 |
| 7wt Silica- Resin II (TEOS) | 1.75 | 50.4 | 2.10 |
| 7 wt C ₁ - Resin I | 1.73 | 27.0 | 1.24 |

ACR Work

Thermoplastic Extrusion

Use high pressure extruder on polymer slug

Cantilevered Parts

Toy soldier

Functional Gradient Materials

Layer a syringe beforehand

Use double feed

Tungsten-alumina

Silicon Carbide Aluminum?

Zirconia, Silicon Carbide, Silicon Nitride

Aqueous dispersion?

Organic liquid?

Polymer preform?

Semiconductor Packages

Fundamental Issues

Chemical Solidification

No Shrinkage Constraints

Rapid Reactions

Non-Isothermal

Curling

Speed

Precision

Multiple heads

Curing methods

Layer filling patterns

Applications of Freeforming

Functional Gradient Materials

Reinforce Materials by in situ Reaction

Hierarchical Composites

Custom Materials

Form directly from CAD or Tomography data.

ACKNOWLEDGEMENTS

In Situ Precipitation : Jeremy Burdon

Mineralization: Mualla Oner, Jeremy Burdon

Surface Hardening: John Lombardi

SFF: John Lombardi, John O'Kelly, Robert Crockett, Kevin Stuffle

Support: Army Research Office, Elf Atochem, Courtaulds Plc,
Sandia National Labs., Battelle Pacific Northwest Labs.

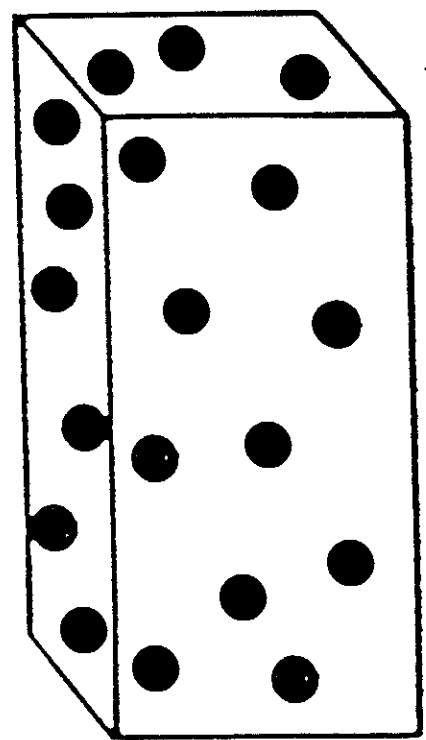
DESIGN, SYNTHESIS AND PROPERTIES OF POLYMER NANOCOMPOSITES

Emmanuel P. Giannelis
Materials Science and Engineering
Cornell University

February 1995

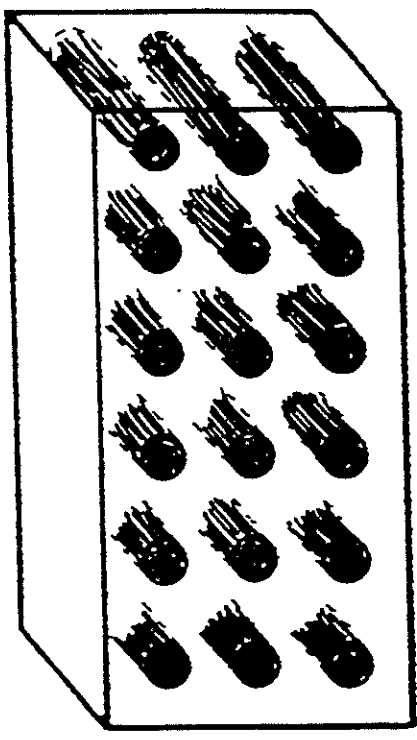
COMPOSITES

PARTICULATES

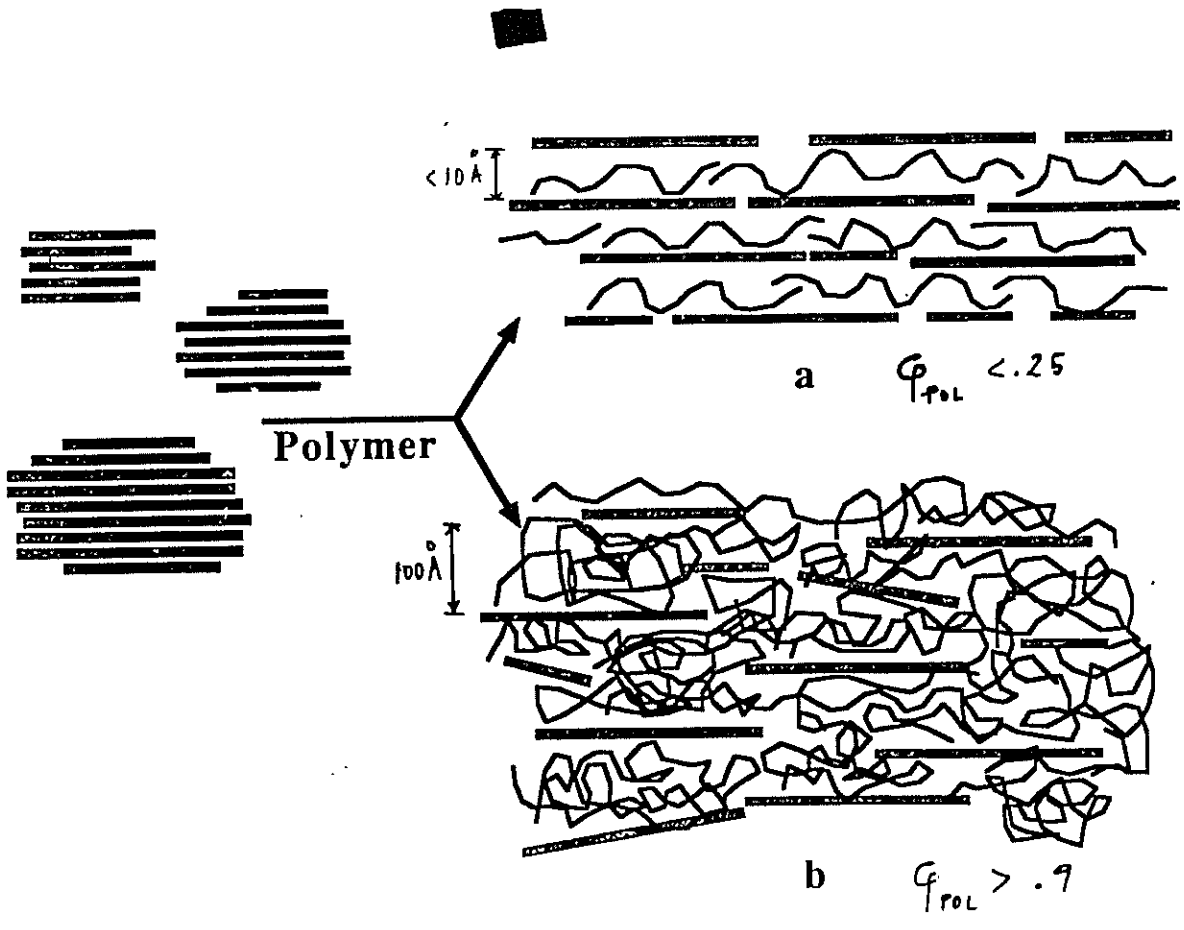


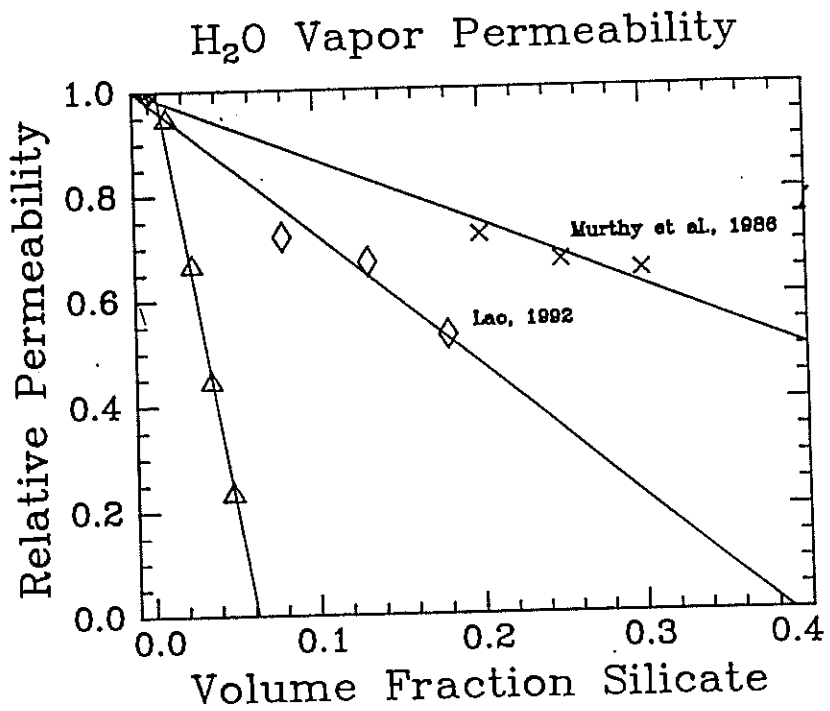
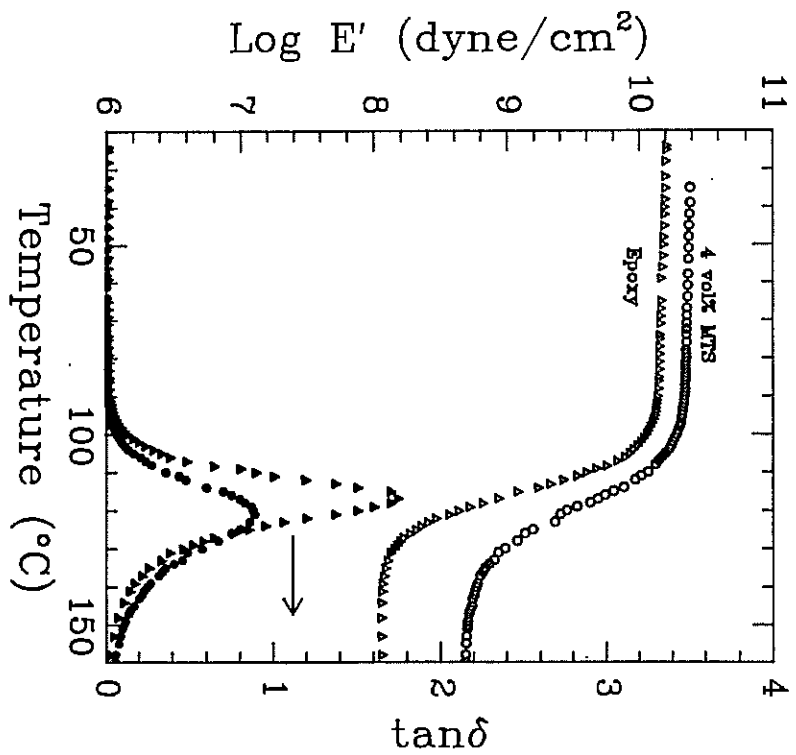
(a)

FIBERS

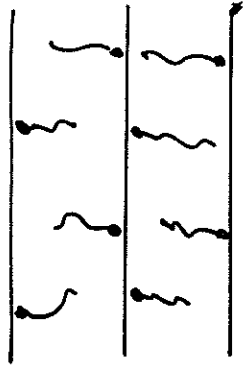


(b)





MINIEMULSION MODIFIED SILICAIES

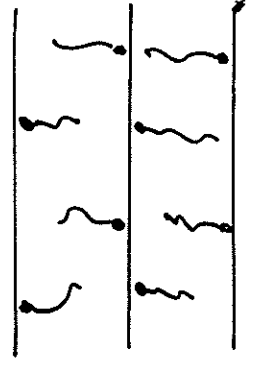


ALKYL AMMONIUM CATION

EXAMPLES

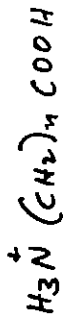


ORGANICALLY MODIFIED SILICATES



ALKYL AMMONIUM CATION

EXAMPLES



Schematic of Synthetic Approach

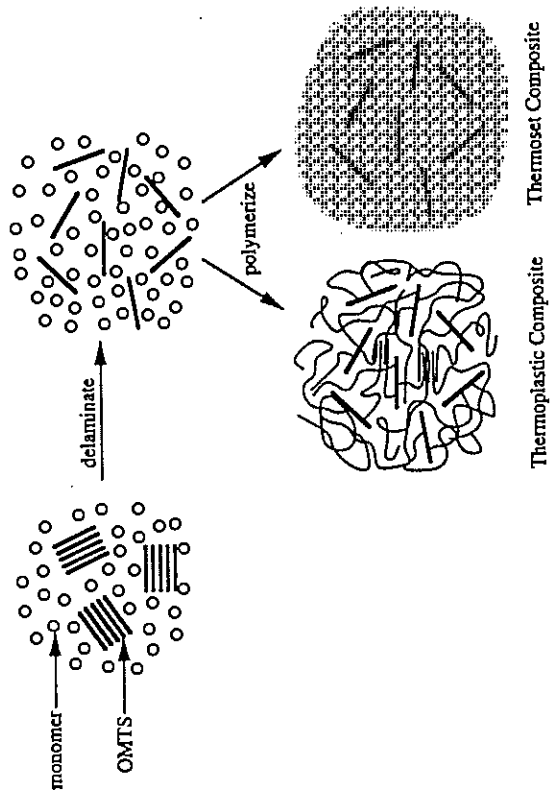
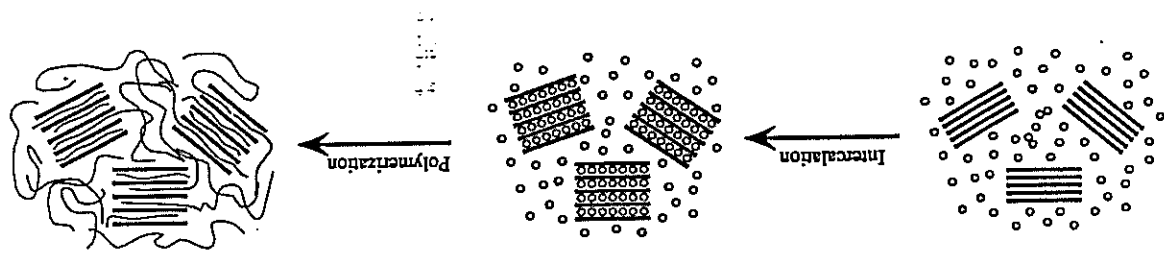
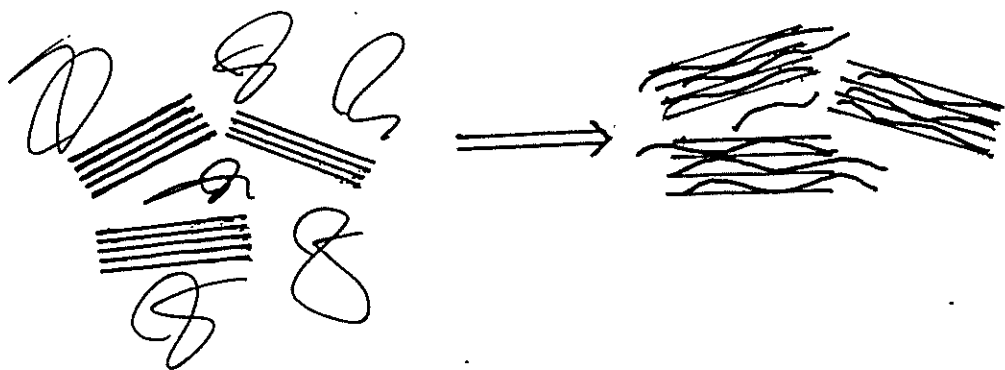


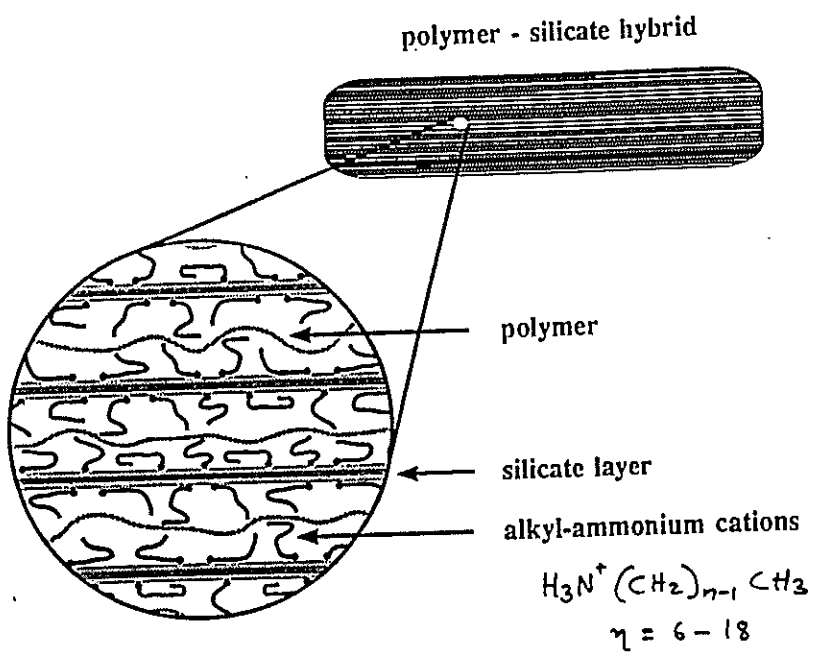
Fig. 1



INTERCALATIVE POLYMERIZATION

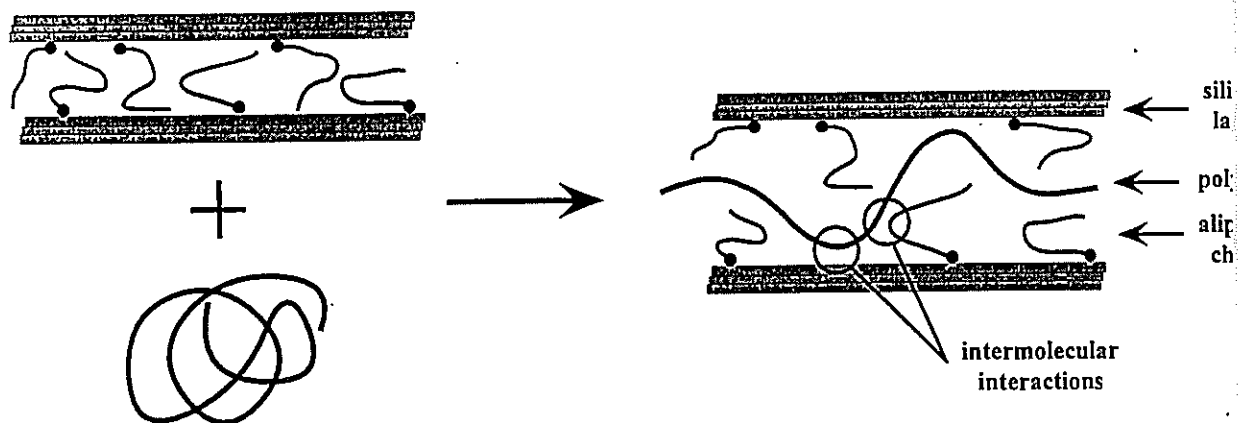
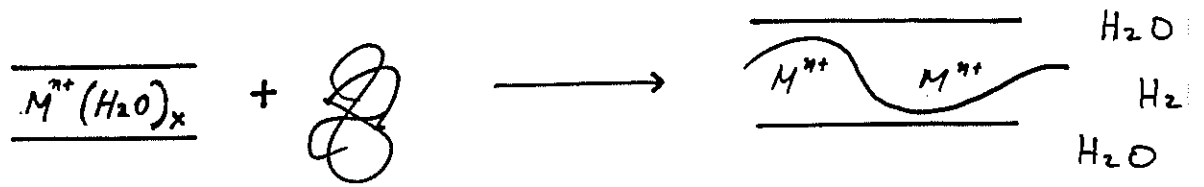


INTERCALATION OF POLYSTYRENE IN ORGANICALLY MODIFIED SILICATES

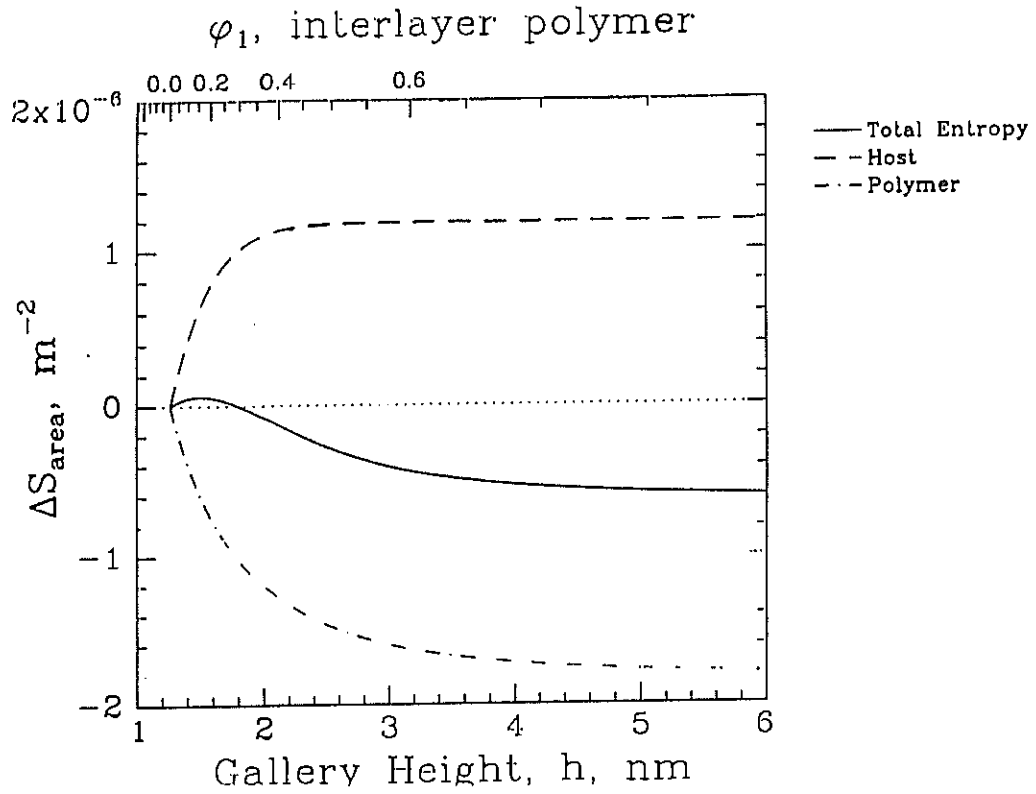


DRIVING FORCE FOR INTERCALATION

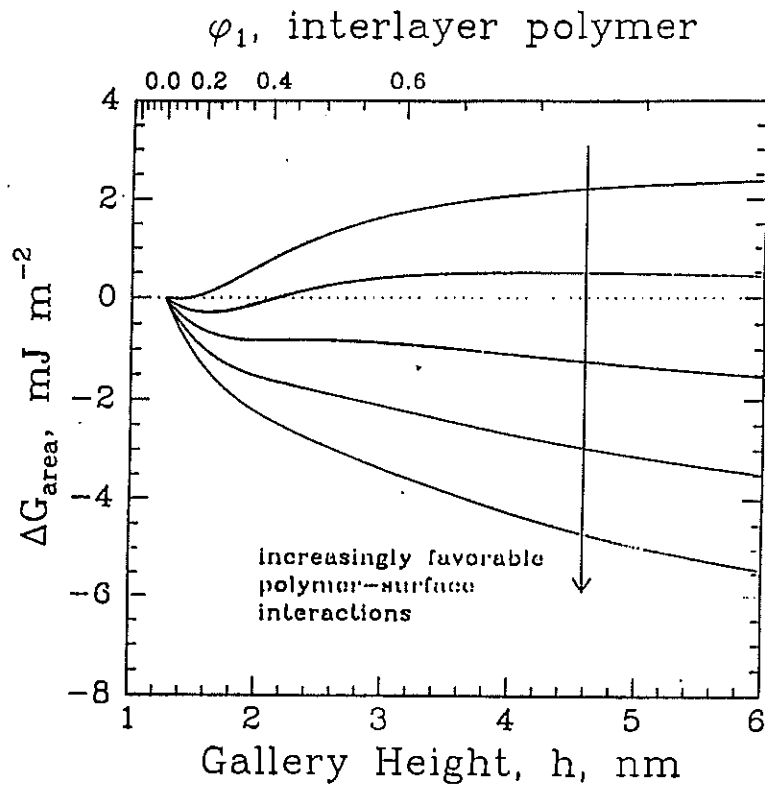
- PRISTINE HOST -



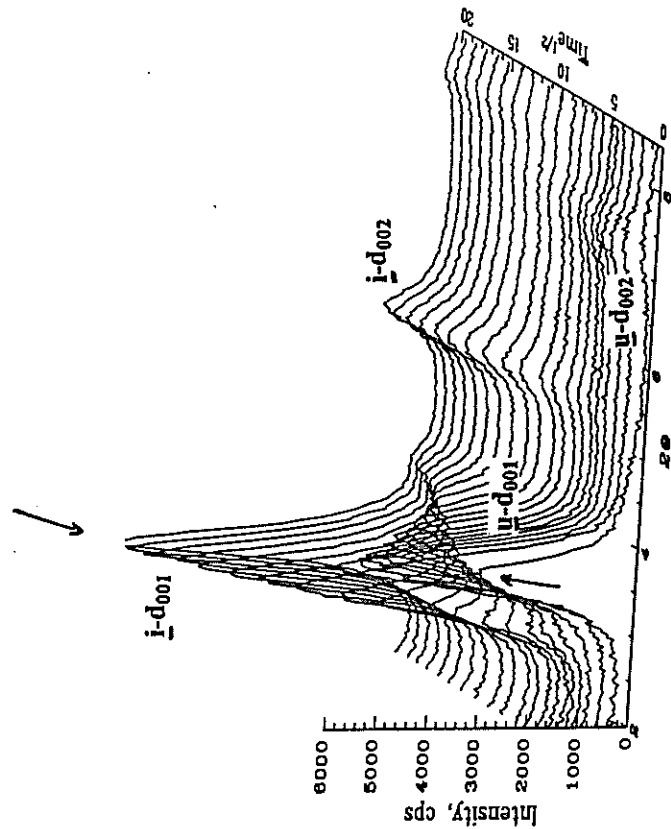
Entropic Factors



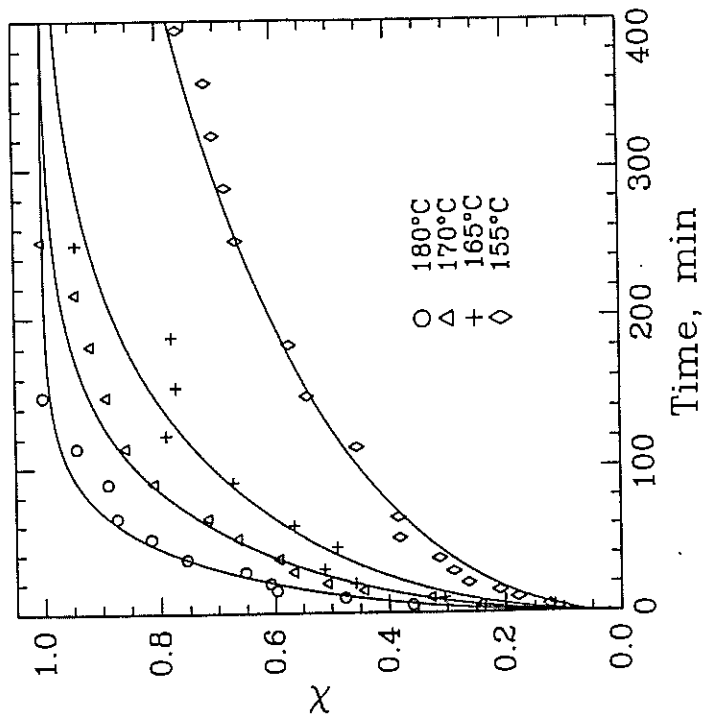
Free Energy Change



Evolution of PS Melt Intercalation

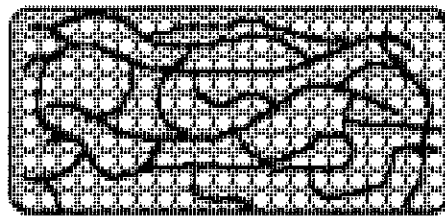




Kinetic Considerations



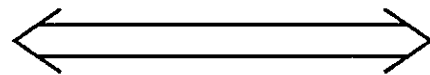
- Fickian Behavior
- Activation Energy ~ 150 kJ/mol, 35 kcal/mol
- $D_{exp} \sim D_{poly}$
- $T \geq 200$ °C, $t_{intercalation} \sim$ minutes

Optically Transparent Composite Materials



 = Organic Polymer
 = Low Density SiO₂ Network

Modified Inorganic Glasses



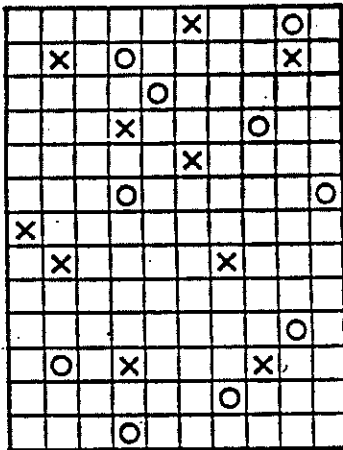
Modified Organic Polymers

- Fiber Optics
- Wave Guides
- Nonlinear Optical Materials
- Impact Resistant Glasses
- Low Density, Strong Materials
- Inorganic Adhesives
- Safety Glass

- Toughness
- Strength
- Modulus
- Modify Adhesive Properties
- Scratch Resistant Coatings

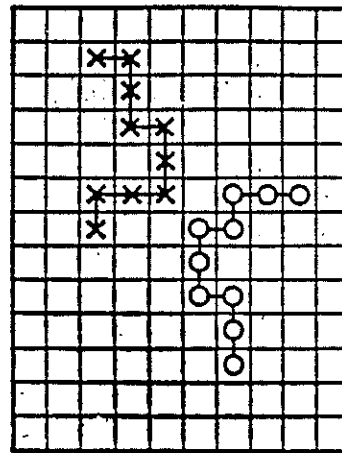
The Thermodynamics of Polymer Blends

Small Molecules



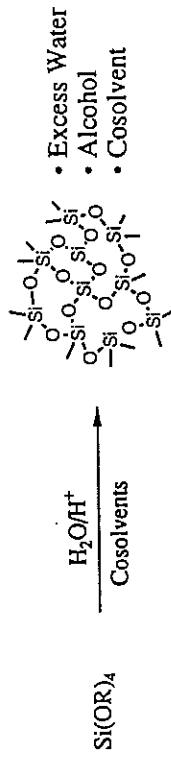
$\Delta S_{\text{mix}} = \text{Large}$

Two Polymers



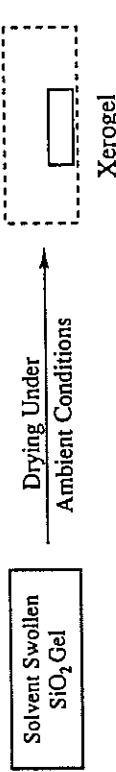
$\Delta S_{\text{mix}} = \text{Small}$

Shrinkage in Sol-Gel Glasses



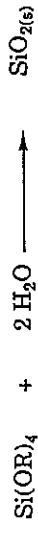
- Excess Water
- Alcohol
- Cosolvent

Solvent Swollen Gel



Shrinkages of 75-80% are Routine

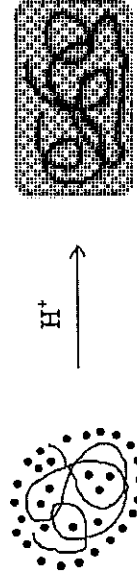
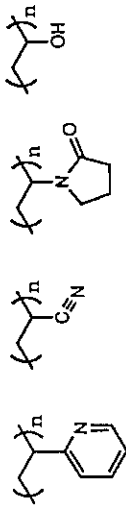
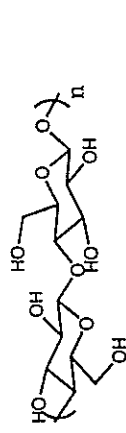
Organic-Inorganic Polymer Composites



Nonpolar }
Polar }

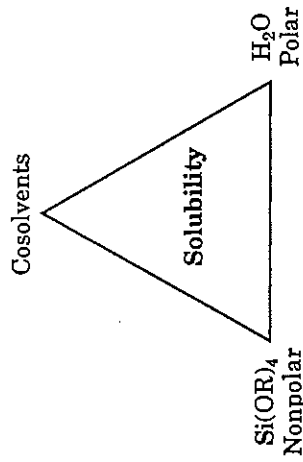
Co-Solvent

- Alcohols
- Acetone
- THF
- Formic Acid

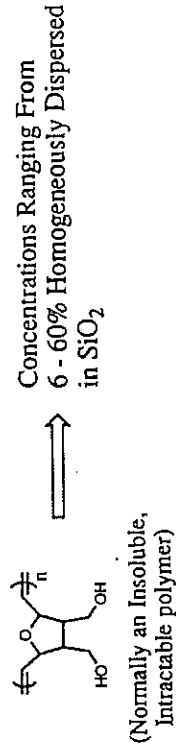
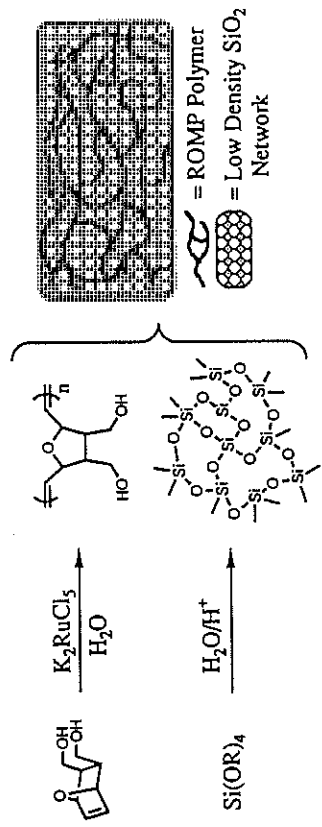


Optically Transparent
Monolithic Composite Glasses

Candidate Composite Polymers



The Formation of Simultaneous Interpenetrating Networks (Organic-Inorganic SIPN's)

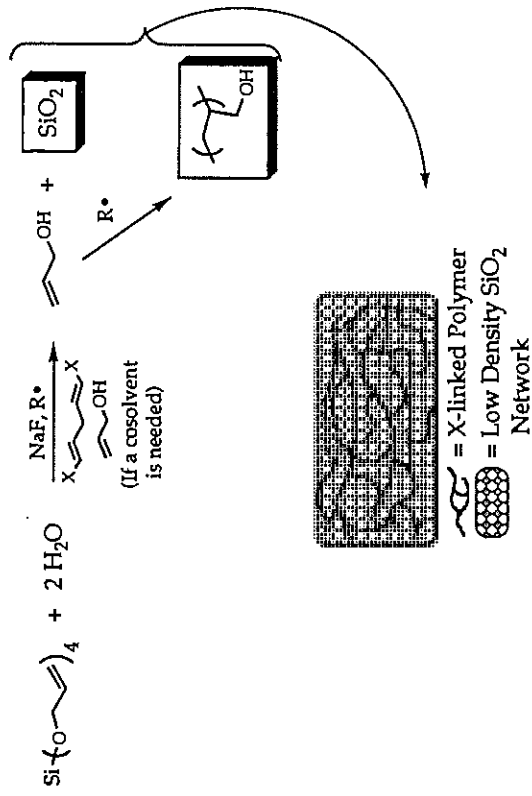


Important Issues

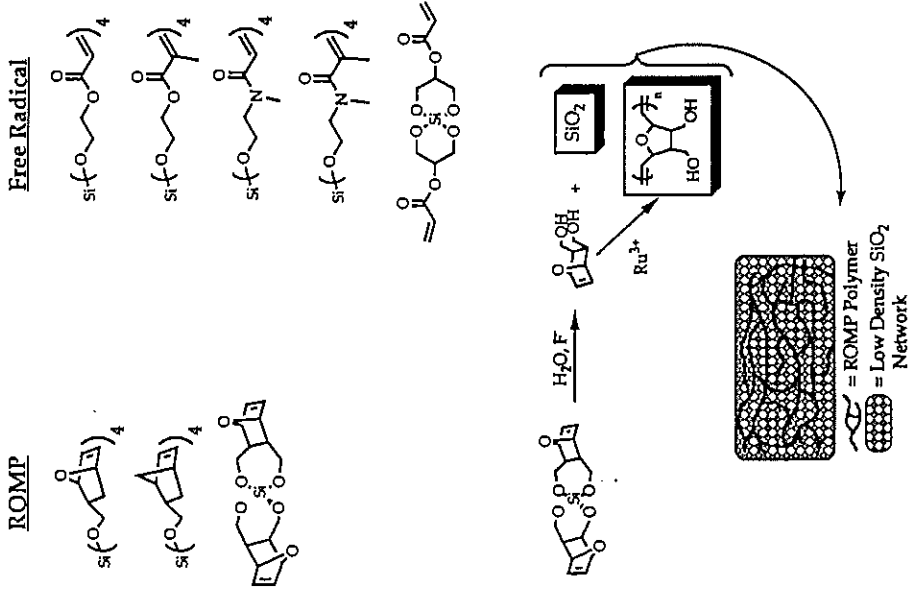
1. Solubility of Polymer in Sol-Gel Solution.
2. Require functionalized polymers in order to control the compatibility between the inorganic and organic phases.
3. Require the ability to adjust the polymer's T_g in order to control the composite's mechanical properties.

Ellisworth, Novak, *J. Am. Chem. Soc.* 1991, 113, 2756

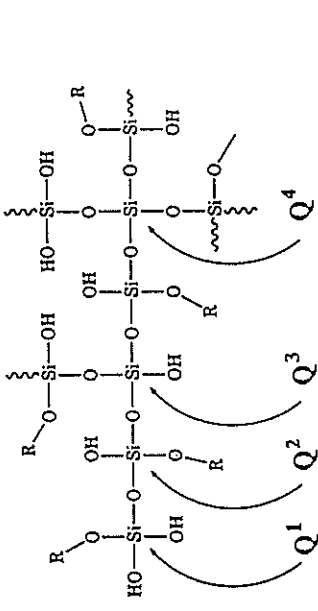
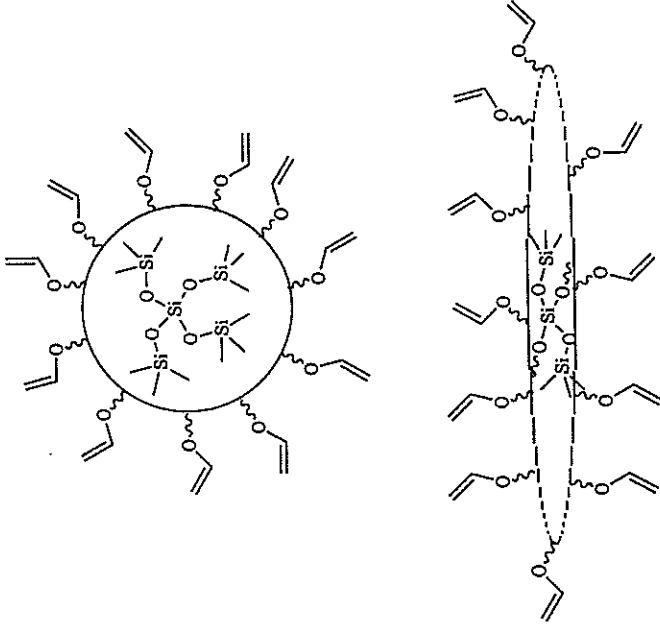
The Design of Nonshrinking Sol-Gel Composites



Nonshrinking Sol-Gel Composites: Free Radical and ROMP Systems



**High Glass Composite Strategy:
Soluble, Preformed Glass Particles
with Controlled Morphologies**



$$\% \text{ Glass} = \left[\frac{1}{n} \left(1 + x \left(\frac{2MW}{60.1} \right) \right) \right]^{-1} + \left[\frac{1}{m} \left(1 + x \left(\frac{MW}{60.1} \right) \right) \right]^{-1} + P$$

Where:

$n = \% Q^2$

$m = \% Q^3$

$p = \% Q^4$

MW = Molecular Weight of Alkoxide

X, X' = Degree of Substitution on Q² and Q³ Centers

% Glass = ca. 20 - 100%

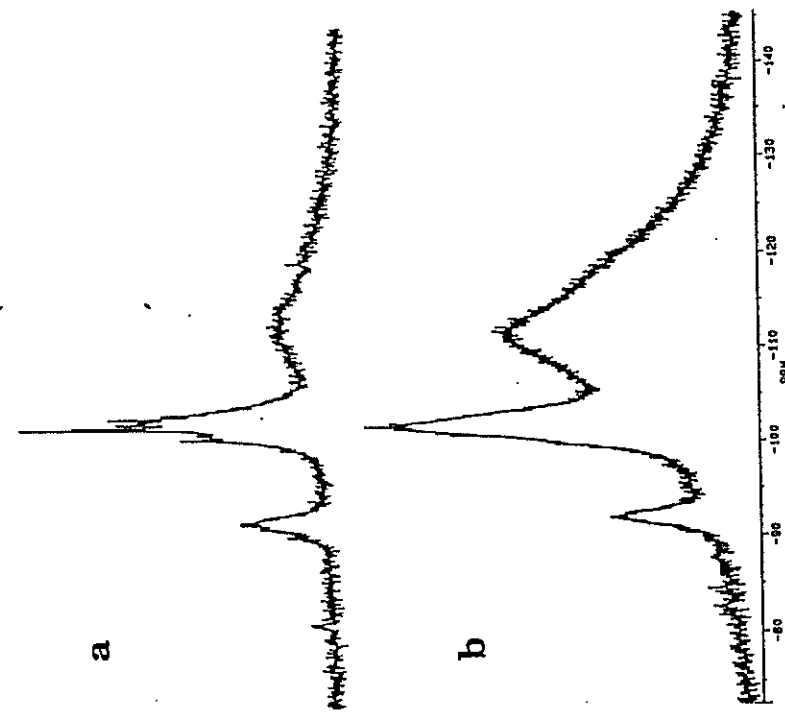
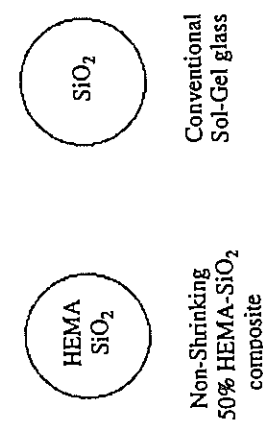
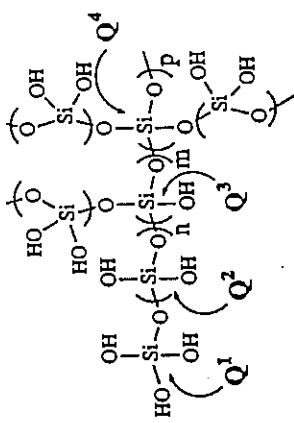
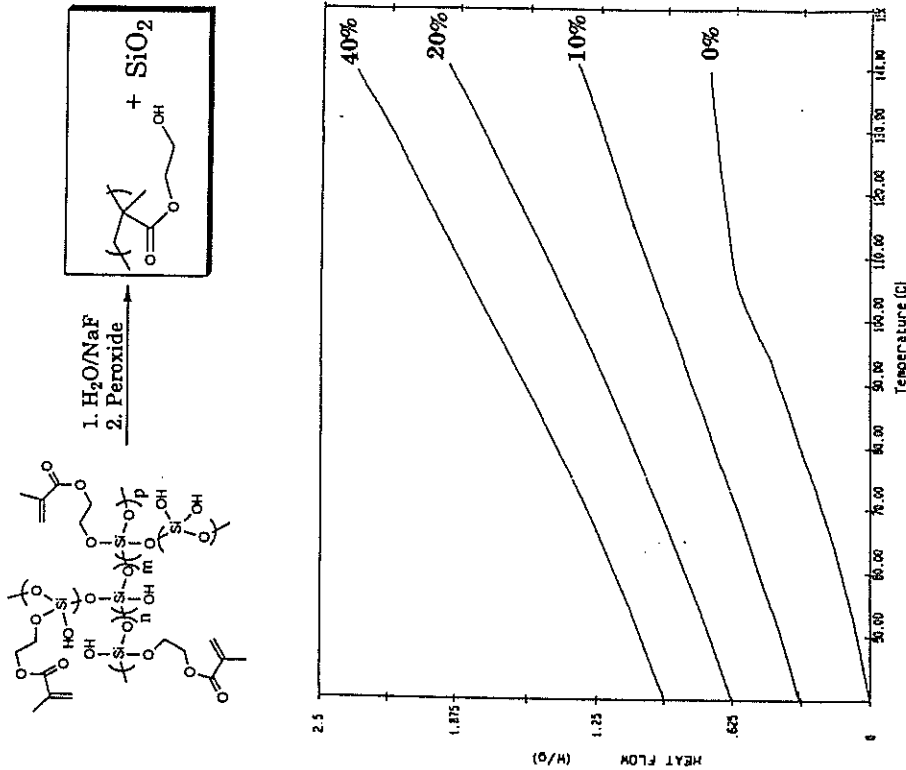


Figure 1. ²⁹Si NMR spectra of poly(silicic acid): a) 3M HCl, one hour reaction; b) 6M HCl, one hour reaction. Assignments: δ -81, Q¹; δ -92, Q²; δ -103, Q³; δ -112, Q⁴.

Organic-Inorganic SIPNs: Structural Characterization

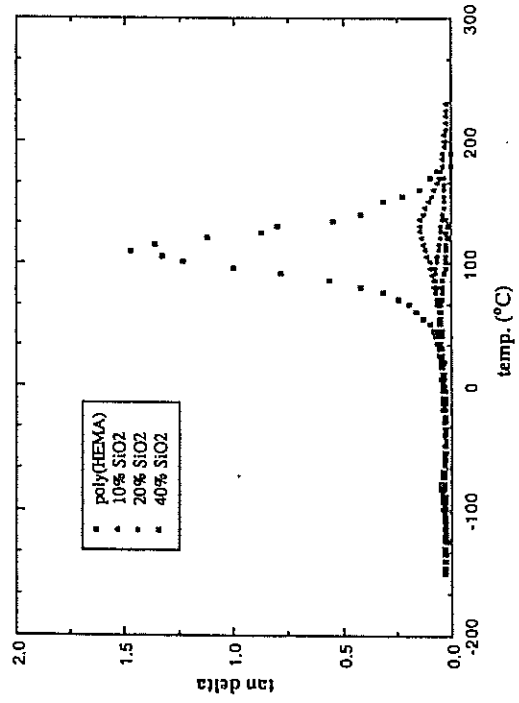
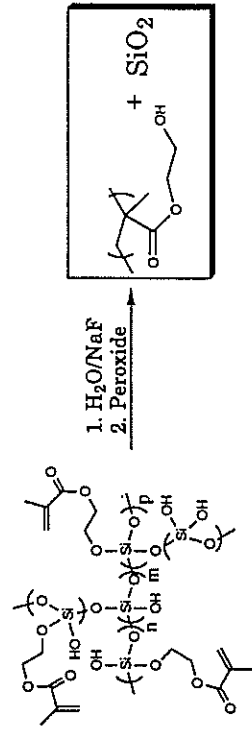
- 1. Solid State CP-MAS ^{13}C , and ^{29}Si NMR
- 2. Thermal-Mechanical Analysis
 - a. Differential Scanning Calorimetry
 - b. Dynamic Mechanical Analysis
- 3. Scattering Techniques
 - a. Light Scattering
 - b. Small Angle X-Ray Scattering

Thermal Analysis of IPN Composites



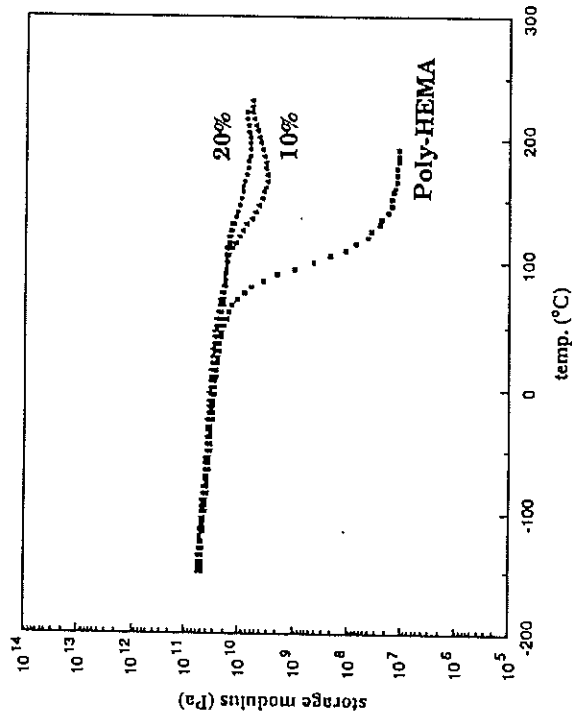
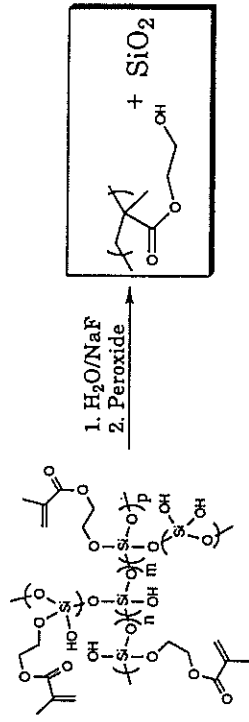
Therm Stability 10/23/93 11:39 AM

Dynamic Mechanical Analysis of IPNs: Loss Tangent ($\tan \delta$)



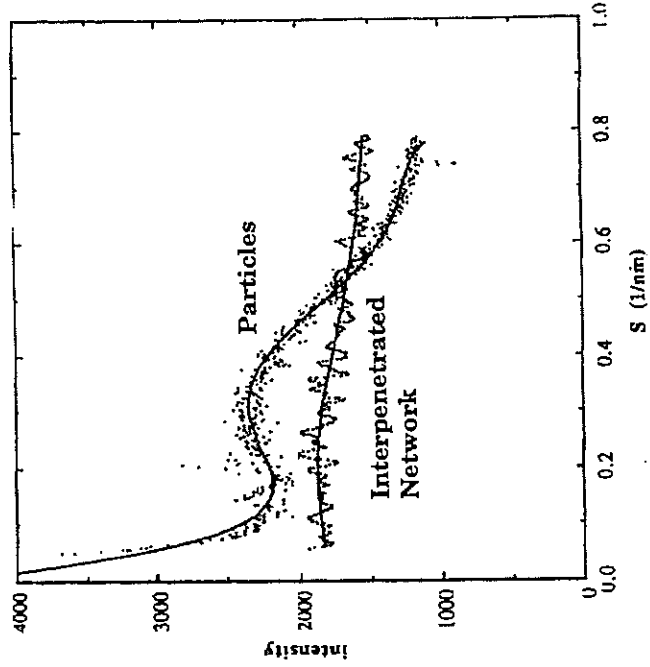
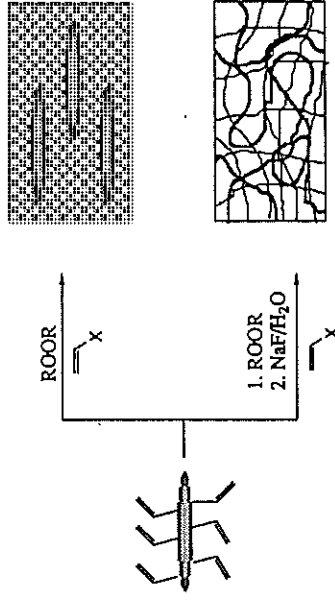
Untitled 10/23/93 11:36 AM

Dynamic Mechanical Analysis of IPNs: Storage Modulus



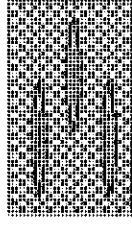
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Organic-Inorganic Hybrid Materials: Synthetic Control Over Morphology



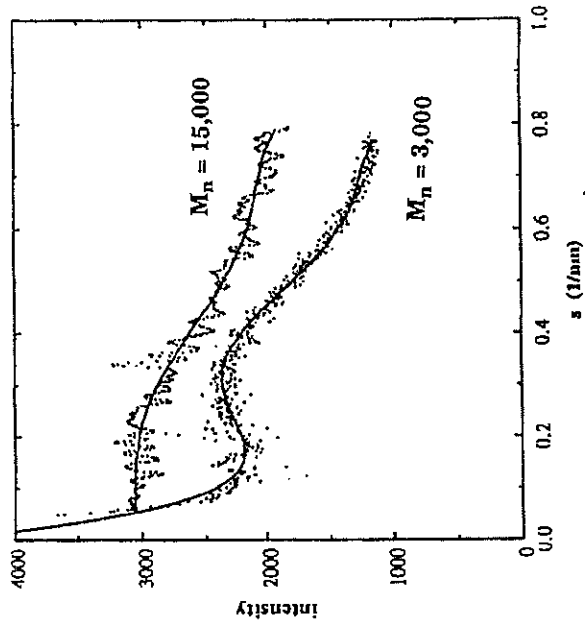
United 10/25/93 12:02 PM

Organic-Inorganic Hybrid Composites: Synthetic Control over Particle Size



40% SiO₂

$M_n = 3,000$ VS. $M_n = 15,000$

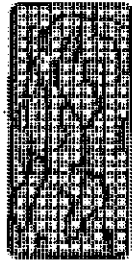
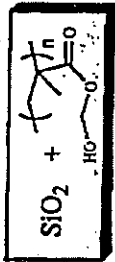


Syn Particle Size 10/25/93 12:18 PM

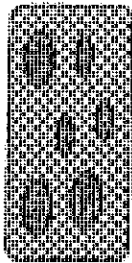
Conventional Composites

vs.

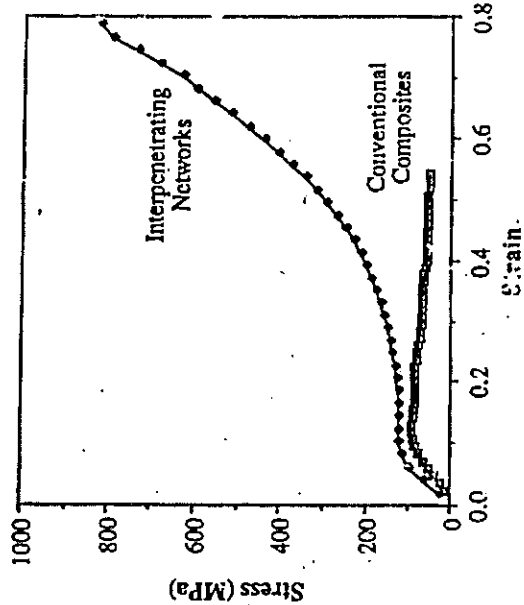
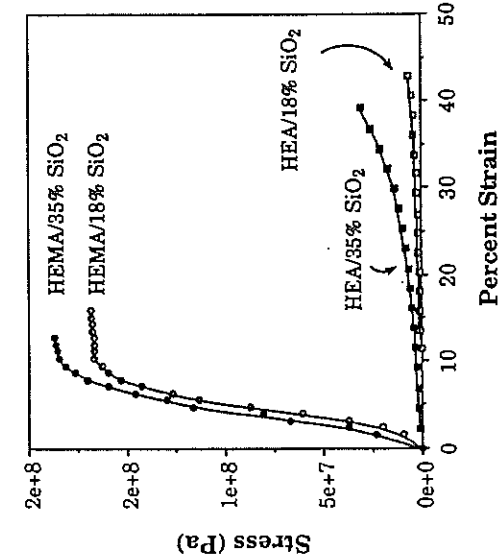
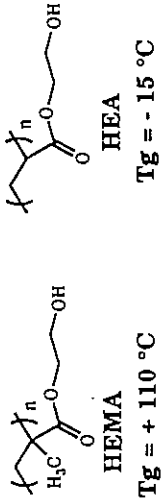
Mutually Interpenetrating Networks



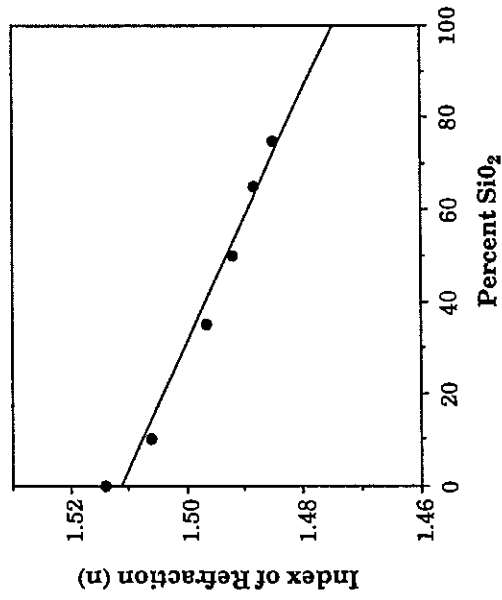
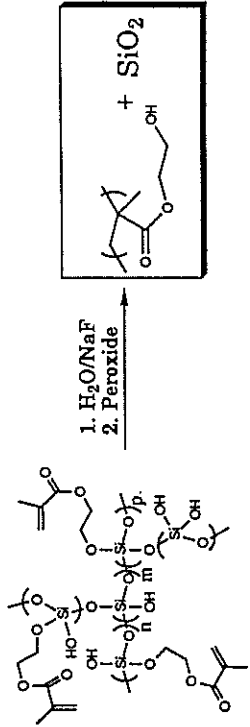
vs.



Stress - Strain Curve (Elastic Regime) Effect of Polymer's Tg

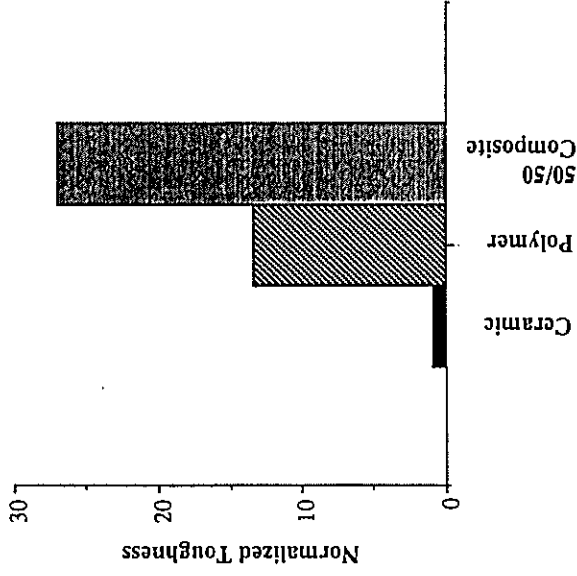


Varying Index of Refraction In Organic - Inorganic Composites



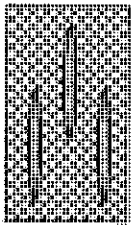
Index of Refraction 2 1/4/93 5:36 AM

Composite Materials: Toughness



Synergistic Toughness 1/4/93 9:11 AM

Organic-Inorganic Hybrid Composites: Dependence of Modulus on Particle Size

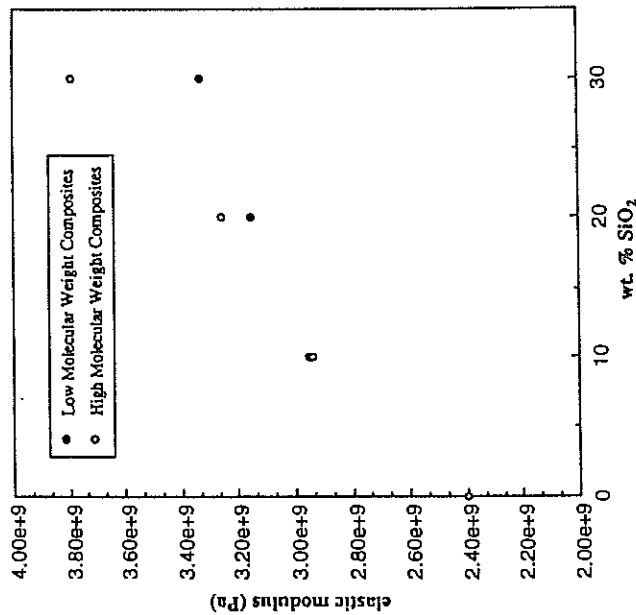


40% SiO₂

M_n = 3,000

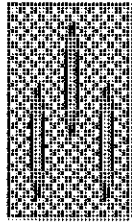
VS.

M_n = 15,000



Syn Particle Size 10/25/93 12:25 PM

Organic-Inorganic Hybrid Composites: Dependence of Yield Strength on Particle Size

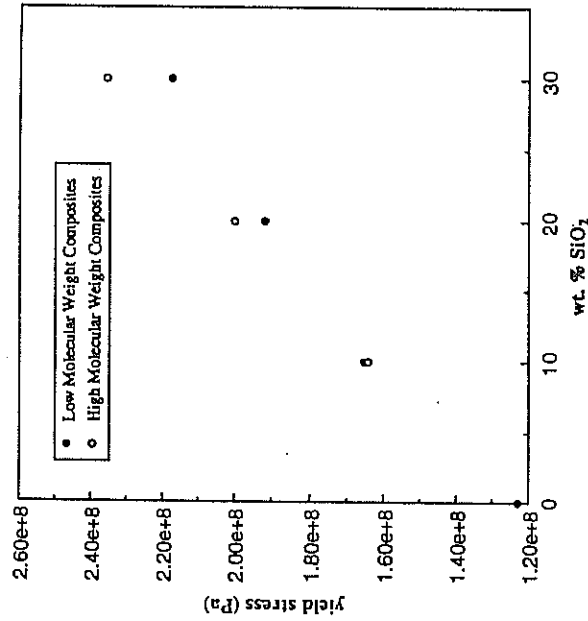


40% SiO₂

M_n = 3,000

VS.

M_n = 15,000

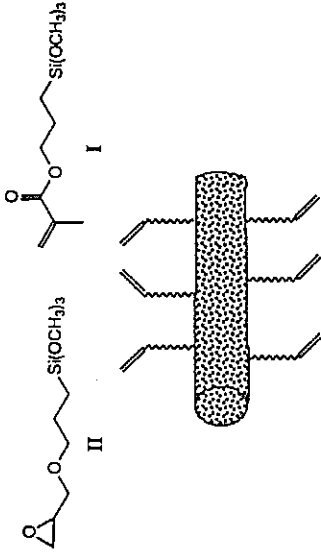


Syn Particle Size 10/25/93 12:25 PM

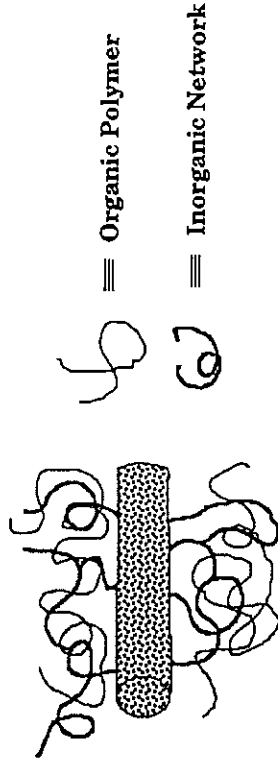
Mutually Interpenetrating Organic-Inorganic Networks

Mediating the Matrix - Fiber Interface

Silane Coupling Agents



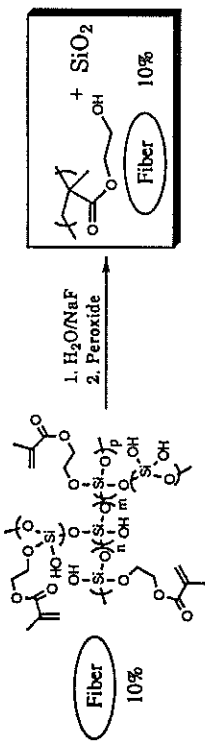
Can Improve Fiber Bonding by Making the Organic Matrix More "Inorganic Like"?



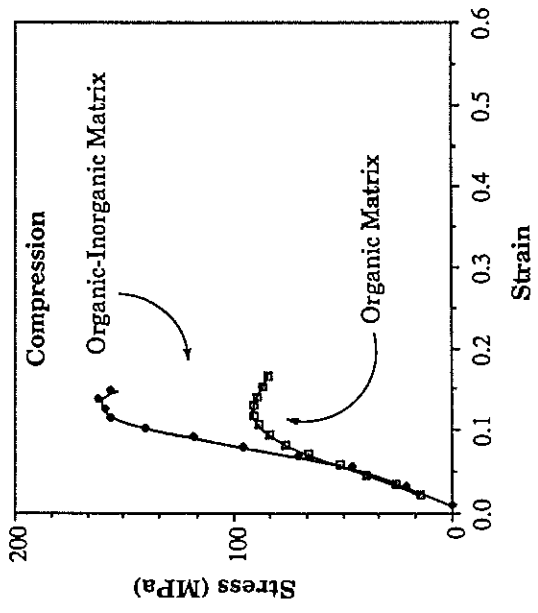
1. Ultra-Low Density Composites
2. Highly Ordered Organic Phases
3. Porous Glasses with Controlled Pore Sizes and Connectivities
4. Alternative Matrices for Conventional Glass Fiber Composites
5. Photo-Curable Inorganic Coatings

**Fiber Reinforced, Composite Materials:
Organic Matrix vs. Organic-Inorganic Matrix**

Organic-Inorganic Matrix

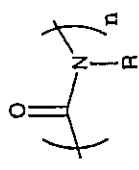


Organic Matrix



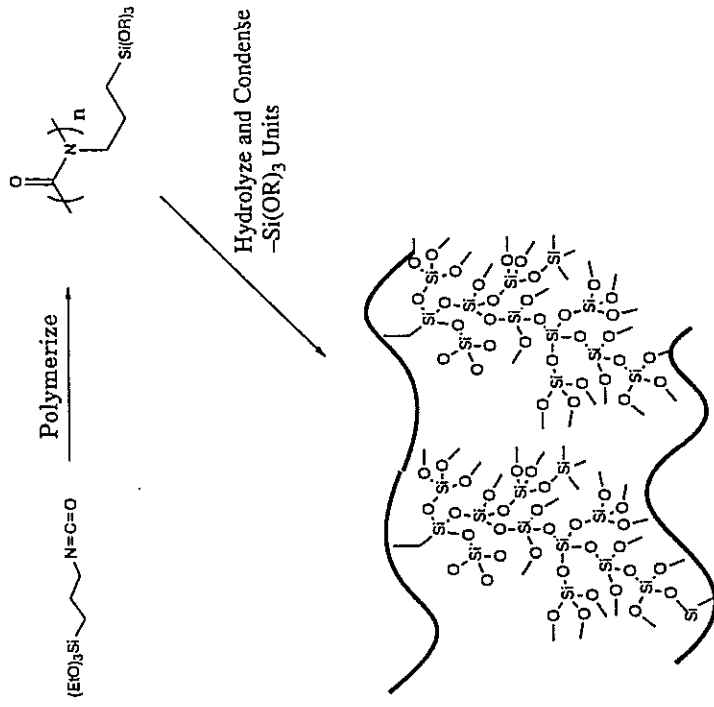
Fiber-Matrix Org vs. Org/Inorg 6/27/94 3:50 PM

**Polyisocyanates:
A Class of Synthetic, Helical Polymers**

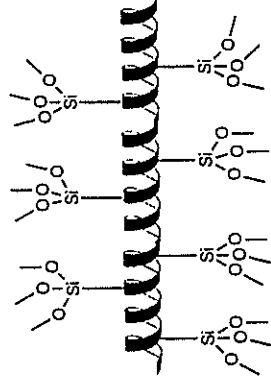


- Helical Conformation (8/3 Helix) in Both the Solid State and Solution.
- Chirality Associated with Helical Backbone Structure
- Stiff-Chain Polymer Properties (Persistence Length = 600 Å)
- Cellulosic Mechanical Properties
- Liquid Crystalline Phases in Solution and Melt

Routes into Polyisocyanate / Inorganic Composite Materials



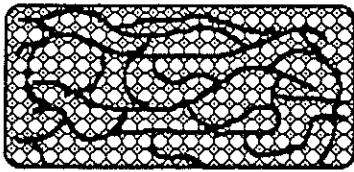
Biomimetic Composite Materials Based on Helical, Synthetic Polymers





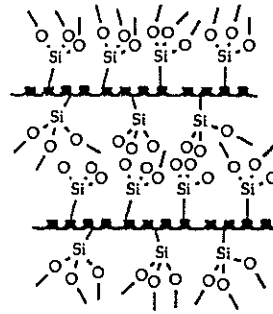
Helical Organic Polymers

- Act as Spatial Templates
- Provide Extended Chain / Liquid Crystalline Properties
- Improve Toughness, Strength, etc.

Vicker's Hardness: Random Coil vs. Ordered Polymer Composites

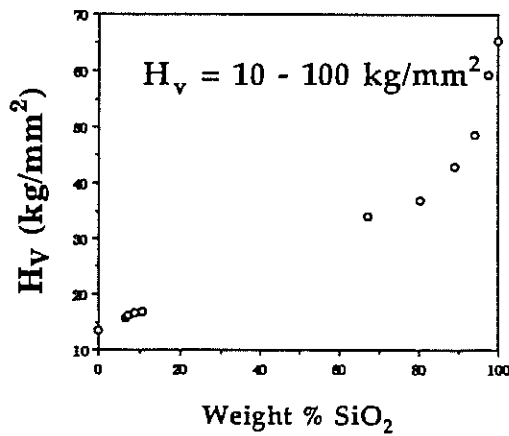
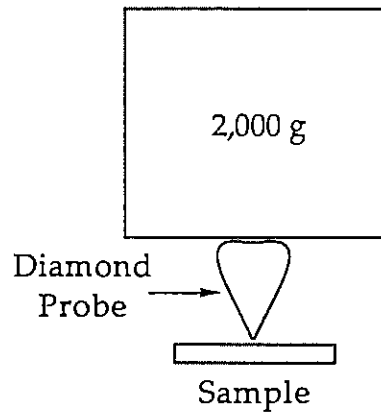
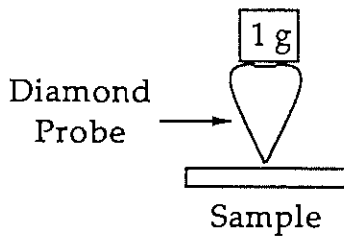


 Random Coil Polymer
 Low-Density Glass



Random Coil Composites

Ordered Polymer Composites



$$H_v > 10,000 \text{ kg/mm}^2$$

Synthesis and Characterization of Carbonate-bridged Polysilsesquioxane Sol-Gels

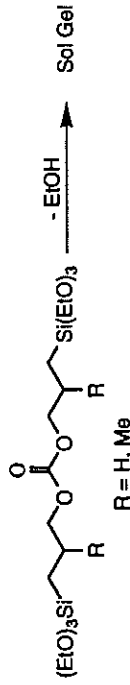
James V. Beach, Douglas A. Loy*, Roger A. Assink
Sandia National Laboratories, Properties of Organic Materials Dept. Albuquerque, NM 87185

Joe Tran, Ken J. Shea*
and
Department of Chemistry, University of California, Irvine
Irvine, CA 92717

Sol-gels formed from the condensation of alkoxy silanes can serve as precursors to high purity glasses, ceramics, coatings and specialty fibers. While manipulation of the condensation and processing conditions of sol-gels are useful in controlling the materials' physical properties, hybrid organic sol-gels have allowed for systematic modification of the physical and chemical properties of the gels through the introduction of organic functionality.

Of particular interest to our group is the study of organic-bridged polysilsesquioxane gels. The condensation of bis(triethoxysilyl) monomers with various organic spacers has led to a series of interesting materials. Most of the organic spacers explored so far have been simple hydrocarbons. We are now examining the use of more complex functionalities in the bridge in order to broaden the scope of hybrid organic sol-gels and to generate handles for secondary chemical modification of the materials.

Two new polysilsesquioxanes are examined here incorporating a carbonate bridge into the network architecture.



Characterization of these carbonate gels is presented. Though the gels are interesting materials in their own right, thermal treatment leads to the elimination of carbon dioxide to form a vacant site within the gel with both an alcohol and an olefin functionality present.



Such chemical handles may allow for the attachment of various catalysts or other interesting chemistry.

Acknowledgments

This research is supported by the United States Department of Energy under Contract No. DE-A C04-AL85000.

Annealing Effects on Solid State Properties of Transition-Metal Coordination Complexes and Networks based on Diene Polymers with Palladium Chloride.

Francis Bossé, Pronab Das and Laurence A. Belfiore*

Polymer Physics and Engineering Laboratory

Department of Chemical Engineering

Colorado State University, Fort Collins, Colorado, USA 80523.

Abstract

This study focuses on the thermal and mechanical properties of polybutadiene and/or polyisoprene, with an inorganic salt, bis(acetonitrile) dichloropalladium (II). Upon mixing, effective crosslinks are formed, because the acetonitrile ligands of the palladium salt are displaced by olefinic pendant groups of the polymers. Glass transition temperatures (T_g) determined by dynamic mechanical analysis (DMTA) and differential scanning calorimetry (DSC) increase with palladium content, annealing (heat treatment) time, and annealing temperature. Hence, T_g values obtained via differential scanning calorimetry (DSC) on films of transition-metal complex between diene polymers and PdCl_2 showed similar trends. Using a customary n -th order kinetic rate model, the Heck-like reaction which is catalyzed by palladium was characterized via isothermal and non-isothermal DSC. Relevant kinetic parameters such as the order of the reaction, the characteristic time constant for the chemical reaction, and the activation energy have been determined. Transition-metal compatibilization of atactic 1,2-polybutadiene and 3,4-polyisoprene via PdCl_2 is demonstrated by monitoring the glass transition obtained from the DMTA $\tan \delta$ profiles. The effect of annealing this ternary reactive "blend" produces a homogeneous glassy material exhibiting an elevated T_g and synergistic mechanical properties.

* Author to whom correspondence should be addressed.

Reactive Blending via Metal-Olefin Coordination in Diene Polymers---Solid State Properties that Support the Concept of a Network Structure

Francis Bossé, Pronab Das, and Laurence A. Belfiore*
Polymer Physics and Engineering Laboratory
Department of Chemical Engineering

Colorado State University, Fort Collins, Colorado, USA 80523.

Abstract

This study focuses on the properties of solid films formed from tetrahydrofuran solutions containing diene polymers, polybutadiene or polyisoprene, and an inorganic salt, bis(acetonitrile) dichloropalladium (II). Upon mixing, effective crosslinks are formed, because the acetonitrile ligands of the palladium salt are displaced by olefinic pendant groups of the polymers. Infrared data measured herein and previous studies of the chemistry of palladium compounds suggest that a Heck-like mechanism is operative to generate chemical crosslinks via palladium-catalyzed reactions. The swelling behavior of polybutadiene and polyisoprene was studied in various solvents. In both cases, equilibrium swelling ratios decrease with an increase in salt content, indirectly supporting the concept of a network structure. Using the Flory-Rehner relation, the number average molecular weight between crosslink junction points (M_c) was calculated from the swelling data. The mechanical properties of polybutadiene and polyisoprene solid films show a dramatic increase of 3 orders of magnitude in Young's modulus of elasticity (0.2 vs. \approx 200 Kpsi) when the palladium salt content is 4 mole %. The Mooney-Rivlin coefficients C_1 and C_2 , which describe the elastic behavior of rubbery materials in simple extension, were determined for films containing up to 2 mole % palladium chloride. Good agreement was found between the M_c values determined by both experimental techniques (equilibrium swelling and stress-strain data). Thermogravimetric measurements show that an increase in the transition-metal salt ($PdCl_2$) content, as well as annealing at 80°C, enhances the thermal stability of solid films. The glass transition temperatures (T_g) of solid films determined by differential scanning calorimetry increase with $PdCl_2$ content until the thermal plateau is achieved between 1 and 3 mole % palladium salt, where the increase in T_g (i.e., ΔT_g) is approximately 100°C.

* Author to whom correspondence should be addressed.

Densification Behavior in Organically Modified Silica Systems as a Function of Heat Treatment: A Comparative Study of Bulk Gels and Thin Films Prepared Using Pendant and Bridged Organic Ligands

Narayan K. Raman¹ and C. Jeffrey Brinker^{1,2}

¹UNM/NISF Center for Micro-Engineered Ceramics, University of New Mexico, Albuquerque, NM 87106; ²Ceramic Synthesis and Inorganic Chemistry (1846), Sandia National Laboratories, Albuquerque, NM 87185.

We have used pendant and bridged organic ligands to prepare bulk gels, thin films on silicon wafers, and membranes on porous tubular alumina supports. In the pendant case, we have used R-Si-(OC₂H₅)₃/Si(OC₂H₅)₄ where R = methyl, ethyl, phenyl, and in the bridged case, we have used (C₂H₅O)₃Si-R'-Si(OC₂H₅)₃ where R = biphenyl, phenyl, ethylene.

We have studied the evolution of porosity in bulk gels as a function of heat treatment using isothermal gas adsorption measurements. We observe the collapse of the pore structure after the organic groups are pyrolyzed. The extent of collapse is determined by the connectivity of the gel network which we control by varying the loading of the organic ligands and reaction conditions (acid versus base catalyzed). We show using dilatometry, TGA/DTA, and ²⁹Si NMR that the disruption of the gel network caused by the pyrolysis of organic ligands causes a dramatic reduction in the viscosity of the network and is primarily responsible for the collapse of the pore structure.

Unlike bulk gels that can shrink isotropically, thin films are constrained in two dimensions causing them to shrink in one dimension only. Consequently, thin films do not show the densification behavior of gels under similar heat treatment conditions. The onset of densification in thin films and membranes depends on the loading of the organic ligands and occurs at 50-100°C above that of bulk gels. We have used ellipsometry and gas transport measurements respectively to study the evolution of porosity in thin films and membranes.

Submitted for consideration as a poster presentation at the Organic/Inorganic Polymer Systems Workshop, Napa Valley, CA, Feb 12-15, 1995.

Aluminosilicate-Poly(ethylene glycol) Copolymer Electrolytes and Vanadium Oxide Oxymethylene Bridged Polyethylene Oxide Nanocomposite Electrode Materials

Glen Rawsky, Grant Kloster, and Du Shriver
Department of Chemistry and Materials Research Center
Northwestern University, Evanston IL

Solvent-free polyelectrolytes: The low basicity of many natural and synthetic aluminosilicates prompted us to incorporate an aluminosilicate into the backbone of polyethylene oxide with the thought that the anionic aluminosilicate anions would have a reduced tendency to ion pair with alkali metal cations. This reduced ion pairing should lead to increased mobility of the cation and therefore superior electrolyte behavior in a material with only one type of mobile ion. The observed conductivities $>10^{-4}$ S cm^{-1} bear out this expectation.

Redox active vanadium oxide/polymer composites: Alkali metal oxovanadate composites with polyethylene oxide have been prepared previously in Owen's and Kanazada's groups. Our materials were prepared by a sol-gel method to obtain nanocomposites. The electronic conductivity has been measured and ionic conductivity measurements are under way. An interesting scientific issue with these potential electrode materials is the mutual influence of ion and electron transport.

ALUMINOSILICATE-POLYMER ELECTROLYTES

Glenn C. Rawsky, Tatsuo Fujinami, John C. Hutchison and D. F. Shriver
Department of Chemistry, Northwestern University, Evanston, IL

I. Aluminosilicate/poly(ethylene glycol) Copolymers. A New Class of Polyelectrolytes. Novel sodium ion-conducting polyelectrolytes incorporating aluminosilicate anions have been synthesized in order to reduce the strong ion pairing observed in other polyelectrolytes. The conductivity increases in the series $\text{Al(OR)}_4^- < \text{Al(OR)}_3\text{(OSi)}_2^- < \text{Al(OSi)}_4^-$ indicating reduced ion pairing by the weakly basic, sterically hindered aluminosilicate anions. The addition of cryptand [2.2.2] increases conductivity 1-2 orders of magnitude through further reduction of ion pairing.

II. MEEP-Na-Montmorillonite Intercalates as Polyelectrolytes. Following a report by Giannelis on a PEO-clay intercalation compounds, we are currently exploring the effects of a constrained environment on the ionic-conductivities of poly[bis(2-(2-methoxyethoxy)ethoxy)phosphazine](MEEP-salt) complexes. Toward this end, we have synthesized a new clay-polymer intercalation compound by dissolving MEEP in a suspension of Na-montmorillonite(SWy-2). The resulting product can be cast into thin, pliable films and has mechanical properties distinct from either starting material. Evidence of intercalation is provided by an increase in d-layer spacing from 12Å to 19Å, and a narrowing of peak widths in the P-N stretching region of the FTIR spectrum. Results of AC-impedance spectroscopy, multi-nuclear NMR, and TGA/DSC studies, will be reported.

Ambient Pressure Aerogels via Organosilyl Derivatization of Polysiloxanes

Sai S. Prakash^a, C. Jeffrey Brinker^{a,b}, Alan J. Hurd^c, and Sudeep M. Rao^a

- ^a University of New Mexico/Sandia National Laboratories Advanced Materials Lab, Albuquerque, NM 87106
- ^b Ceramic Synthesis and Inorganic Chemistry Department, Sandia National Laboratories, Albuquerque, NM, 87185
- ^c Ceramic Processing Science Department, Sandia National Laboratories, Albuquerque, NM, 87185

Abstract

Aerogels are highly porous solids normally prepared by the supercritical extraction of pore fluid from a wet gel. Under supercritical conditions liquid-vapor interfaces and therefore capillary stresses are absent so drying occurs with little or no shrinkage. This poster describes an ambient pressure process to prepare aerogels in bulk or thin film form. This process is based on organosilyl-derivatization of an inorganic gel that allows drying shrinkage to be reversible. As prepared gels are hydrophobic, comprise variable porosity, depending on the extent of surface derivatization, and can exhibit reversible capillary induced strains ($\Delta V/V$) of over 61. Pyrolyzed samples exhibit refractive indices as low as 1.006 corresponding to 98.5% porosity. In general, it is anticipated that organic modification of inorganic networks can be exploited to make a wide range of porous materials with tailored microstructures.

Proposed for presentation at Organic/Inorganic Polymer Systems
February 12-15, 1995, Napa CA

NOVEL, FUNCTIONALIZED HYBRID MATERIALS FROM POLYHEDRAL SILSESQUIOXANES AND PROPARGYLOXY DERIVATIVES,

Alan Sellinger and Richard M. Laine, Macromolecular Science and Engineering Center, and Depts. of Chemistry and Materials Science and Engineering, University of Michigan, Ann Arbor, MI, 48109-1055

Novel hybrid materials have been prepared by Pt catalyzed hydrosilylation of propargyloxy derivatives, $\text{HC}\equiv\text{CCH}_2\text{OR}$ with cubic hydridosilsesquioxanes, $(\text{HSiO}_{1.5})_8$ and $[\text{H}(\text{CH}_3)_2\text{SiOSiO}_{1.5}]_8$. Reaction stoichiometry was chosen to retain Si-H functionality in the products for further curing at higher temperatures. For example the products obtained by reacting 4 equiv. 4-(4-propargyloxybenzoyloxy)biphenyl with either $(\text{HSiO}_{1.5})_8$ or $[\text{H}(\text{CH}_3)_2\text{SiOSiO}_{1.5}]_8$ can be cured at temperatures < 180°C to produce clear, hard, thermoset plastics. The DSC traces show multiple transitions before curing indicating potential liquid crystalline (LC) behavior.

Lower temperature curing can be realized using methacrylate chemistry. For example the products obtained by reacting 4 equiv. propargyl methacrylate with either $(\text{HSiO}_{1.5})_8$ or $[\text{H}(\text{CH}_3)_2\text{SiOSiO}_{1.5}]_8$ can be thermally cured at temperatures < 90°C or by radical polymerization at 21 °C as hydrosilylation occurs at the propargyloxy site, retaining the methacrylate functionality. Thermal stability in air of the materials based on $(\text{ROCH}_2\text{CH}=\text{CH})_4[\text{H}_{10}\text{SiO}_{1.5}]_8$ and $(\text{ROCH}_2\text{CH}=\text{CH})_4[\text{H}_9\text{Si}(\text{CH}_3)_2\text{SiOSiO}_{1.5}]_8$ are 340°C and 250°C respectively. The products were characterized using TGA, DSC, size exclusion chromatography (SEC), and NMR (^1H , ^{13}C , ^{29}Si).

ABSTRACT

POLYPHOSPHAZENE SOLUTION PROPERTIES

Wendel J. Shuely, Dept. Army, Aberdeen Proving Ground, MD

Polyphosphazenes have unique low temperature properties that provide a highly flexible sealing and gasket material for environmental applications. New applications require additional chemical resistance performance. In order to characterize the solvent-solute interaction behavior of polyphosphazenes in a more general manner, the determination of polymer solubility phase diagrams was conducted. Several sets of solvent parameters for dispersion, polarity, and hydrogen bond acceptor strength were employed and compared. Correlations between the liquid-in-polymer volume fraction results from phase diagram studies were attempted with polymer-in-liquid sorption-desorption measurements.

Preparation and Properties of Inorganic-Organic Composite Materials Containing $R_3SiO_{1/2}$, SiO_2 and TiO_2 Units

Toshio Suzuki*, Youko Sakae, Nobuo Kushibiki and Iam Mita
Research Center, Dow Corning Asia Ltd.

603 Kishi, Yamakita, Kanagawa, 258-01 Japan

* Central R&D, Dow Corning Corporation
Midland, Michigan 48686-0994

Abstract

A new class of inorganic-organic composite materials containing $RM_e_2SiO_{1/2}$ (R =vinyl or methyl), SiO_2 and TiO_2 units, namely organically modified polytitanosiloxane, have been synthesized. The synthetic procedure is as follows; (1) partial hydrolysis of a tetraalkyl titanate in THF at $-78^\circ C$, (2) addition of a tetraalkyl silicate and partial hydrolysis under the same conditions, (3) addition of dimethylvinylsilanol and optionally trimethylsilanol and reaction at room temperature, (4) addition of excess water, and (5) removal of volatile components. The resulting polytitanosiloxanes are either viscous liquid or solid materials with good solubility in common organic solvents. The polytitanosiloxane can be cured with an SiH -functional polyorganosiloxane and a Pt catalyst via hydrosilylation to form a transparent hard material. While the cured polytitanosiloxane showed remarkable stability toward hydrolysis, uncured material tends to increase their molecular weight upon exposure to moisture.

**MOLECULAR COMPOSITES FROM VINYL AND HYDRIDO FUNCTIONALIZED
POLYHEDRAL SILSESIQUOXANES**

Chunxin Zhang, Rita Baranwal, Richard M. Laine and Albert F. Yee,
Departments of Chemistry, and Materials Science and Engineering,
University of Michigan, Ann Arbor, MI 48109-2136

The Pt catalyzed co-polymerization, by hydrosilylation, of the vinyl and hydrido functionalized silsesquioxanes: $[\text{vinylSi}(\text{CH}_3)_2\text{-O-SiO}_1.5]_n$, $[\text{vinylSiO}_1.5]_n$, $[\text{HM}_2\text{Si-O-SiO}_1.5]_n$ and $[\text{HSiO}_1.5]_n$ is currently under investigation with the intent of synthesizing molecular composites that can act as porous, high surface area, low density materials. Copolymerization of the above compounds in common organic solvents provides high surface area (>400 m²/g) materials with very narrow pore size distributions (10-20 Å). These molecular composites are characterized by TGA, DSC, ¹H-, ¹³C-, ²⁹Si-NMR, and porosimetry.

Speakers

Speakers

Lecture Topic

Sol-Gel Processing

1. Clement Sanchez
Laboratoire de la Matière Condensée
Tour 54, 5ème étage,
4 place Jussieu
75252 Paris Cédex 05
France

Hybrid Inorganic/Organic Systems with
Electrochromic, Photochromic... Properties
FAX 011-33-1-44-27-47-69
Phone 1-33-1-4-427-5545

2. Professor Dr. Heilmut Schmidt,
Institut für Neue Materialien gem. GmbH
Universität des Saarlandes
Gebäude 43, Im Stadtwald
D-6600 Saarbrücken, Fed. Republic of Germany

Inorganic/Organometallic Hybrid Polymers: Routes
to Industrial Applications
49-681-302-5000 or 5005
FAX No. 49-681-302-5223

3. Michael J. Michalczyk
Du Pont Central Research and Development
Experimental Station E356/327
Wilmington, DE 19880-0356

Star Gels: New Single Component Organic/Inorganic
Network Materials
(302) 695-9565
FAX 695-1664

4. Douglas A. Loy
Advanced Materials Laboratory
Sandia National Lab
844-4445
Albuquerque, NM 87185

Silsesquioxane Derived Porous Materials
(305)

5. Frank J. Feher
"Frameworks"
University of California, Irvine
Irvine, CA 92717

Rational Synthesis of SiO and Si/OM
Chemistry
(714) 856-4682
FAX 856-8571

6. Professor Bruce Dunn
Dept of Materials Science and Eng.
531 Boelter Hall
UCLA
Los Angeles, CA 90024

Optical Applications of Inorganic/Organic Hybrids

7. Richard W. Pekala
Lawrence Livermore
National Laboratories
Chemistry and Materials Science Dept.
P.O. Box 808, L-322
7000 E Ave.
Livermore, CA 94550

Organic/Inorganic Aerogels: Controlling Structure at
the Nanometer Scale
(510) 422-0152
FAX 423-4897

Inorganic/Organometallic Polymers

8. Harry Allcock
Evan Hugh Professor
Department of Chemistry
The Pennsylvania State University
University Park, Pennsylvania 16802

Polyphosphazenes, Properties and Application
Phone (814) 865-3527
FAX 814-865-3314

9. James E. Mark
 Dept. of Chemistry
 University of Cincinnati
 Cincinnati, OH 45221
 Properties of Inorganic Polymers with Bimodal
 Chain Distributions
 (513) 556-9292
 FAX 513-556-9239
10. Richard M. Laine
 Silica and Alumina
 2300 Hayward St.
 University of Michigan
 Ann Arbor, MI 48109-2136
 Depts. of MSE and Chemistry
 Chemicals and Polymers from
 (313) 764-6203
 FAX 763-4788
11. Barry Arkles
 President of Gelest, Gelest
 612 William Leigh Dr.
 Tullytown, PA 19007-6308
 Commercial Production of Inorganic/Organometallic
 Hybrids
 (215) 547-1015
12. Len Interrante
 Dept of Chemistry
 Cogswell Laboratory
 Rensselaer Polytechnic University
 Troy, N. Y. 12180-3590
 Preceramics Polymers
 (518) 276-8752
 (518) 276-2636 FAX
- Composites**
 13. Professor Bruce Novak
 Polymer Science and Properties
 Networks: Synthesis, Structure and Properties
 University of Massachusetts at Amherst
 Room 701, Lederle Graduate Res. Center
 Amherst, MA 01003
 Mutually Interpenetrating Organic-Inorganic
 and Engineering
 Phone (413) 545-2160
 FAX 413-545-0764
14. Paul D. Calvert
 Arizona Materials Laboratory
 4715 East Fort Lowell Rd.
 Tucson, AZ 85712
 Polymer/Inorganic Composites via Biomimetic
 Processing
 (602) 322-2994
 FAX 322-2993
15. Emanuel Giannelis
 Properties of Polymer
 Dept. of MSE
 Cornell University
 Bard Hall
 Ithaca, NY 14853-1501
 Design, Synthesis and
 Matrix Nanocomposites
 (607) 255-9680
 FAX 255-2365

Attendees